

lesson7_divide and conquer

1.递归

- **Divide** the problem into a number of sub-problems; (分解)
- **Conquer** the sub-problems by solving them recursively; (递归)
- **Combine** the solutions to the sub-problems into the solution for the original problem; (合解)

General recurrence: $T(N) = aT(N/b) + f(N)$;

例子:

求和最大的子序列: $O(N\log N)$;

tree traversals : $O(N)$

merge sort and quick sort: $O(N\log N)$

本章重点是时间复杂度分析, 给定 $T(N) = aT(N/b) + f(N)$, 求 $T(N)$

2.closest point

找到最近的两个点


- 方法一: 遍历 $N(N-1)/2$ 个点, 时间复杂度 $O(N^2)$
- 方法二: 根据x坐标分成两部分, 找到第一部分, 第二部分和第一二部分之间的最短距离 (left, right, middle)

时间复杂度:

1. 有可能left, right, middle情况相同, 导致时间复杂度是 $O(N^2)$;
2. 定义 $F(N)$ 找到cross distance 的时间

$T(N) = 2 T(N/2) + c F(N)$;

如果 $F(N) = O(N)$;

 **Recall:**
$$\begin{aligned} T(N) &= 2T(N/2) + cN \\ &= 2[2T(N/2^2) + cN/2] + cN \\ &= 2^2 T(N/2^2) + 2cN \\ &= \dots\dots \\ &= 2^k T(N/2^k) + kcN \\ &= N + c N\log N = O(N\log N) \end{aligned}$$

但如果 $T(N) = 2(T(N/2)) + c N^2$; 那么 $T(N) = O(N^2)$;

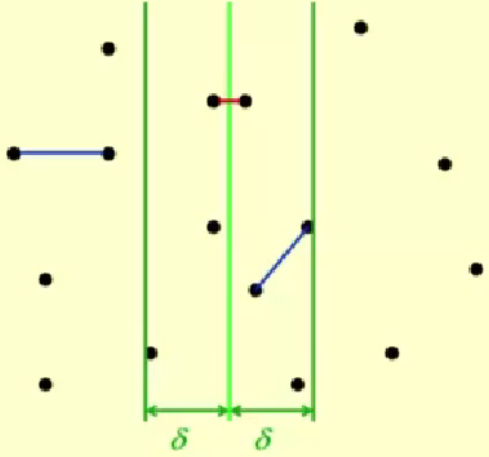
所以要想办法降低 $F(N)$;

方法1: 设置 δ -strip

$\delta = \min(\text{left_distance}, \text{right_distance})$;

strip内点的数量 $O(N^{0.5})$,最糟糕的情况是 $O(N)$, 那么时间复杂度还是 N^2

Divide and Conquer



If NumPointsInStrip = $O(\sqrt{N})$, we have

```
/* points are all in the strip */
for ( i=0; i<NumPointsInStrip; i++ )
  for ( j=i+1; j<NumPointsInStrip; j++ )
    if ( Dist( Pi, Pj ) <  $\delta$  )
       $\delta$  = Dist( Pi, Pj );
```

The worst case: NumPointsInStrip = N

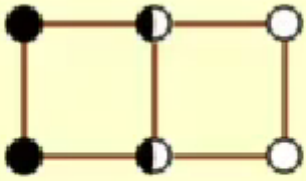
方法2: 设置 δ -strip (竖 + 横)

遍历竖的范围内的每一个点, 遍历时再遍历横的范围内的每一个点, 更新 δ

```
/* points are all in the strip */
/* and sorted by y coordinates */
for ( i = 0; i < NumPointsInStrip; i++ )
  for ( j = i + 1; j < NumPointsInStrip; j++ )
    if ( Dist_y( Pi, Pj ) >  $\delta$  )
      break;
    else if ( Dist( Pi, Pj ) <  $\delta$  )
       $\delta$  = Dist( Pi, Pj );
```

所以最终最多只有七个顶点需要确认

The worst case:



For any p_i , at most 7 points are considered.

$F(N) = O(N)$

3.Substitution

三种方法解决 $T(N) = aT(N/b) + f(N)$;

- substitution method 替代法
- recursion tree method 递归树
- master method

tip: N/b 是不是整数无所谓; $T(N) = \theta(1)$ 对一些小 n 来说成立

substitution method: 递归法证明

guess + prove by induction

eg:

[[Example]] $T(N) = 2T(\lfloor N/2 \rfloor) + N$

Guess: $T(N) = O(N \log N)$

Proof: Assume it is true for all $m < N$, in particular for $m = \lfloor N/2 \rfloor$.

Then there exists a constant $c > 0$ so that $T(\lfloor N/2 \rfloor) \leq c \lfloor N/2 \rfloor \log \lfloor N/2 \rfloor$

Substituting into the recurrence:

$$\begin{aligned} T(N) &= 2T(\lfloor N/2 \rfloor) + N \\ &\leq 2c \lfloor N/2 \rfloor \log \lfloor N/2 \rfloor + N \\ &\leq cN(\log N - \log 2) + N \\ &\leq cN \log N \quad \text{for } c \geq 1 \end{aligned}$$

tips:

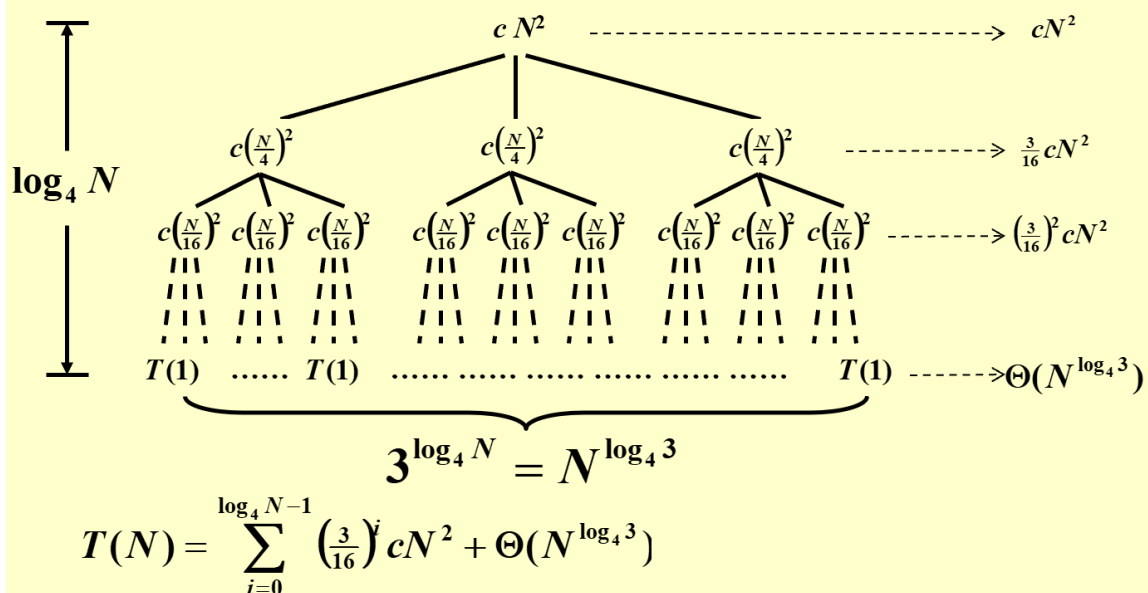
- c can be sufficiently large~
- 不一定要从N=2,3开始, 可以设置大一些

如果猜错了, 那么证明就会有问题; 所以关键是good guess

4.recursion method

eg1 (simple,balanced tree)

[[Example]] $T(N) = 3T(N/4) + \Theta(N^2)$

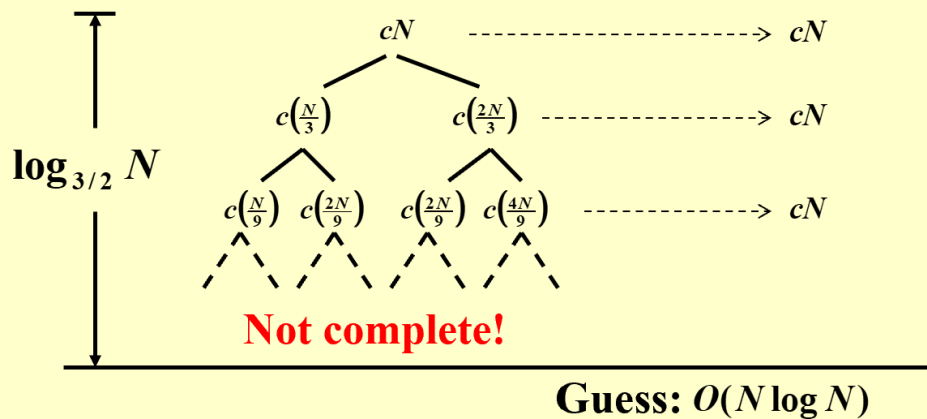


height(Tree) = $\log_4 N$

$T(N) = O(N^2)$

eg2:(unbalanced tree)

[[Example]] $T(N) = T(N/3) + T(2N/3) + cN$



Proof by substitution:

$$\begin{aligned}
 T(N) &= T(N/3) + T(2N/3) + cN \leq d(N/3)\log(N/3) + d(2N/3)\log(2N/3) + cN \\
 &= dN \log N - dN(\log_2 3 - \frac{2}{3}) + cN \leq dN \log N \\
 &\quad \text{for } d \geq c / (\log_2 3 - \frac{2}{3})
 \end{aligned}$$

height(Tree) = height of right most path = $\log_{1.5} N$

再用递归法证明 $T(N) = O(N \log N)$

5.master method

form1:

Master Theorem Let $a \geq 1$ and $b > 1$ be constants, let $f(N)$ be a function, and let $T(N)$ be defined on the nonnegative integers by the recurrence $T(N) = aT(N/b) + f(N)$. Then:

1. If $f(N) = O(N^{\log_b a - \epsilon})$ for some constant $\epsilon > 0$, then $T(N) = \Theta(N^{\log_b a})$
2. If $f(N) = \Theta(N^{\log_b a})$, then $T(N) = \Theta(N^{\log_b a} \log N)$ regularity condition
3. If $f(N) = \Omega(N^{\log_b a + \epsilon})$ for some constant $\epsilon > 0$, and if $af(N/b) < cf(N)$ for some constant $c < 1$ and all sufficiently large N , then $T(N) = \Theta(f(N))$

$f(N)$ 代表combine的时间, $N^{\log_b a}$ 代表divide的时间, 两者共同决定时间复杂度,

如果两者等价, 那么考虑层数 $\log N$, 时间复杂度就是 $O(N^{\log_b a} \log N)$,

如果两者不等价, 时间复杂度就由大的那个决定

eg1:

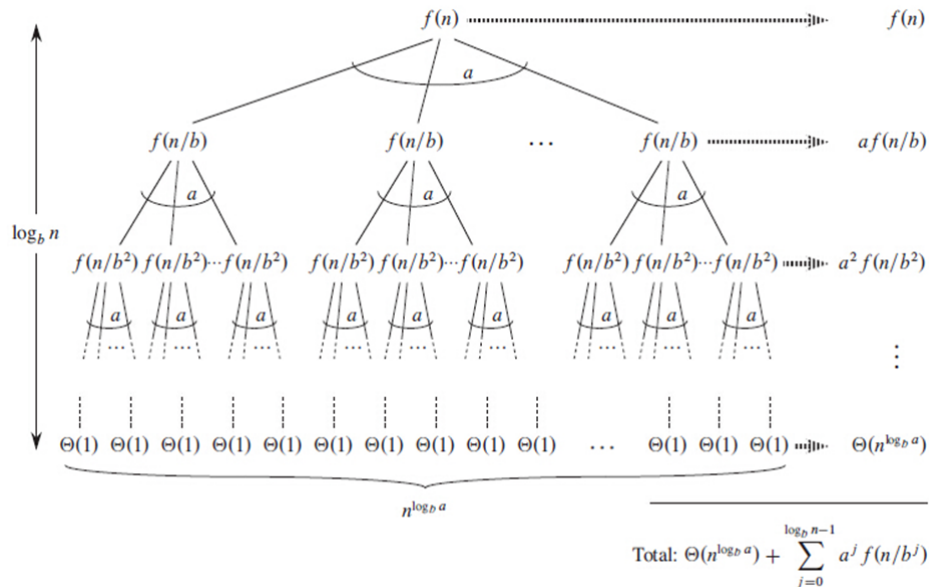
merge sort : $a=b=2$ and case 2, $T(N) = \Theta(N \log N)$

eg2:

$a=b=2$ and $f(N)=N \log N$, 不适用任何一个case, case2 θ 不对, case3 找不到合适的 ϵ 使得 N^ϵ 等价 $\log N$. Master theorem不适用该情况

证明:

假设 $n=b^k$

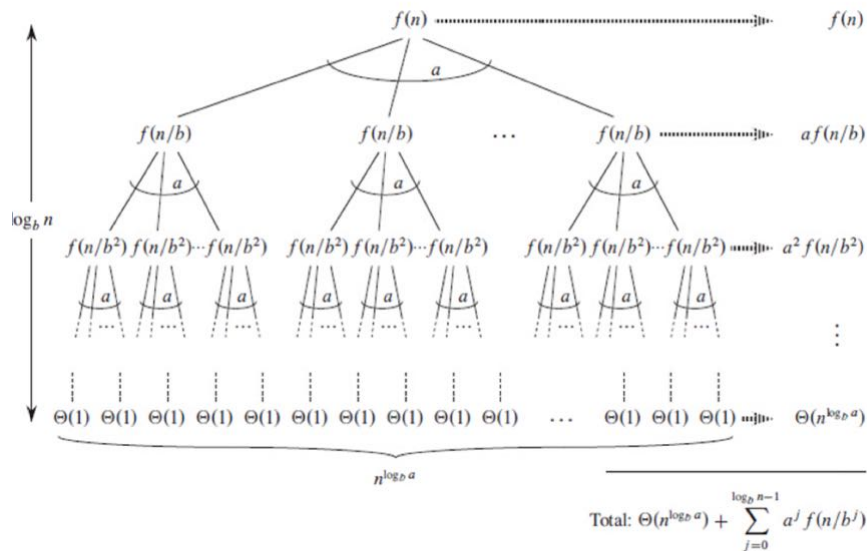


case1 证明:

For case 1 where $f(N) = O(N^{\log_b a - \epsilon})$

$$\begin{aligned}
 \sum_{j=0}^{\log_b N - 1} a^j f(N/b^j) &= O\left(\sum_{j=0}^{\log_b N - 1} a^j \left(\frac{N}{b^j}\right)^{\log_b a - \epsilon}\right) = O(N^{\log_b a - \epsilon} \sum_{j=0}^{\log_b N - 1} \left(\frac{ab^\epsilon}{b^{\log_b a}}\right)^j) \\
 &= O(N^{\log_b a - \epsilon} \sum_{j=0}^{\log_b N - 1} (b^\epsilon)^j) = O(N^{\log_b a - \epsilon} \frac{b^{\epsilon \log_b N} - 1}{b^\epsilon - 1}) \\
 &= O(N^{\log_b a - \epsilon} N^\epsilon) = O(N^{\log_b a}) \\
 T(N) &= \Theta(N^{\log_b a}) + O(N^{\log_b a}) = \Theta(N^{\log_b a})
 \end{aligned}$$

case2 证明:



for case 2 where $f(n) = \Theta(n^{\log_b a})$

$$\begin{aligned}
 \sum_{j=0}^{\log_b n - 1} a^j f(n/b^j) &= \sum_{j=0}^{\log_b n - 1} a^j \Theta\left(\left(\frac{n}{b^j}\right)^{\log_b a}\right) \\
 &= \sum_{j=0}^{\log_b n - 1} n^{\log_b a} \cdot \Theta\left(\frac{a^j}{(b^j)^{\log_b a}}\right) \\
 &= \sum_{j=0}^{\log_b n - 1} n^{\log_b a} \cdot \Theta\left(\frac{a^j}{a^j}\right) \\
 &= \Theta(\log_b n \cdot n^{\log_b a}) \\
 T(n) &= \Theta(n^{\log_b a}) + \Theta(\log_b n \cdot n^{\log_b a}) \\
 &= \Theta((1 + \log_b n) \cdot n^{\log_b a}) \\
 &= \Theta(n^{\log_b a} \cdot \log_b n) \\
 \Rightarrow \\
 T(n) &= \Theta(n^{\log_b a} \log_b n)
 \end{aligned}$$

form2:

【Master Theorem】 The recurrence $T(N) = aT(N/b) + f(N)$ can be solved as follows:

1. If $af(N/b) = \kappa f(N)$ for some constant $\kappa < 1$, then $T(N) = \Theta(f(N))$
2. If $af(N/b) = K f(N)$ for some constant $K > 1$, then $T(N) = \Theta(N^{\log_b a})$
3. If $af(N/b) = f(N)$, then $T(N) = \Theta(f(N) \log_b N)$

反例:不可以被该形式cover,但可以被之前的形式cover

[[Example]] $a = 4, b = 2, f(N) = N \log N$

$$af(N/b) = 4(N/2) \log(N/2) = 2N \log N - 2N \quad ?$$

$$f(N) = N \log N \quad O(N^{\log_b a - \epsilon}) = O(N^{2 - \epsilon})$$

$$\rightarrow T = O(N^2)$$

form3:

针对特定形式

【Theorem】 The solution to the equation

$$T(N) = a T(N/b) + \Theta(N^k \log^p N),$$

where $a \geq 1, b > 1$, and $p \geq 0$ is

$$T(N) = \begin{cases} O(N^{\log_b a}) & \text{if } a > b^k \\ O(N^k \log^{p+1} N) & \text{if } a = b^k \\ O(N^k \log^p N) & \text{if } a < b^k \end{cases}$$

三个例子:

[[Example]] Mergesort has $a = b = 2, p = 0$ and $k = 1$.

$$\rightarrow T = O(N \log N)$$

[[Example]] Divide with $a = 3$, and $b = 2$ for each recursion;
Conquer with $O(N)$ – that is, $k = 1$ and $p = 0$.

$$\rightarrow T = O(N^{1.59})$$

If conquer takes $O(N^2)$ then $T = O(N^2)$.

[[Example]] $a = b = 2, f(N) = N \log N \rightarrow T = O(N \log^2 N)$