

lesson10 NP completeness

1. recall

1. **euler circuit problems**: find a path that touches every **edge** once

deep first search

2. **hamilton cycle**: find a single path that contains every **vertex**

hard!

3. **single-source unweighted shortest-path problem**

breadth first search

4. **single-source unweighted longest-path problem**

hard! No known algorithms are guaranteed to run in polynomial time.

easiest: $O(N)$ read inputs

hardest: undecidable problems

不是所有的true statement都可以被证明

2. eg: halting problem

Is it possible to have your C compiler detect all infinite loops?

No.

Proof: If there exists an infinite loop-checking program, then surely it could be used to check itself.

```
Loop( P )
{
/* 1 */ if ( P(P) loops )   print (YES);
/* 2 */ else infinite_loop();
}
```

P: program

if(loop(loop)

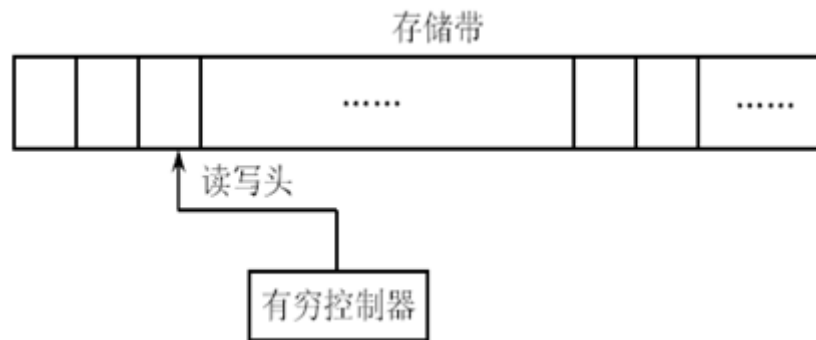
terminates, 判断P是一个infinite_loop,

else loops 判断P是一个会terminate 矛盾!

3. the class NP

3.1 turing machine

有穷控制器（有限状态机）、无穷带（符号集合）和读写头（读、改写、左移、右移）



图灵机是一个五元组 $(K, \Sigma, \delta, s, H)$ ，其中：

- K 是有穷个状态的集合；
- Σ 是字母表，即符号的集合；
- $s \in K$ 是初始状态；
- $H \in K$ 是停机状态的集合，当控制器内部状态为停机状态时图灵机结束计算；
- δ 是转移函数，即控制器的规则集合

operations:

改变state,改变head指向symbol的值，head每次移动一个位置

eg:

deterministic turing machine: 顺序执行instruction

non-deterministic turing machine: 从有限集合中自由选择下一步，如果结果正确，就会一直选择这一步

3.2 NP

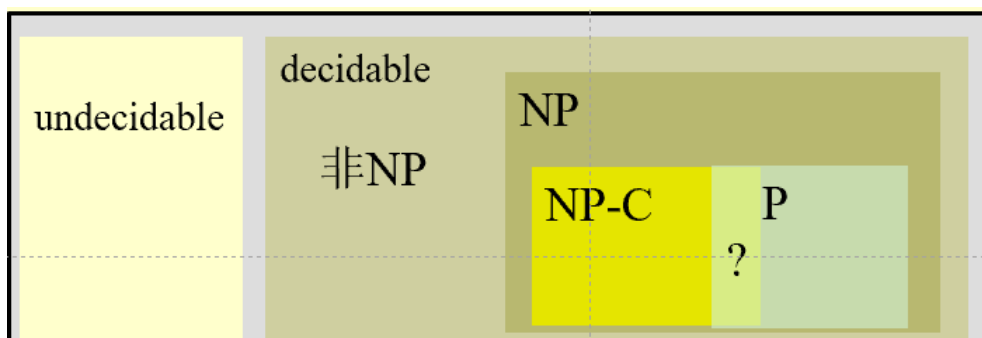
NP: Nondeterministic polynomial-time

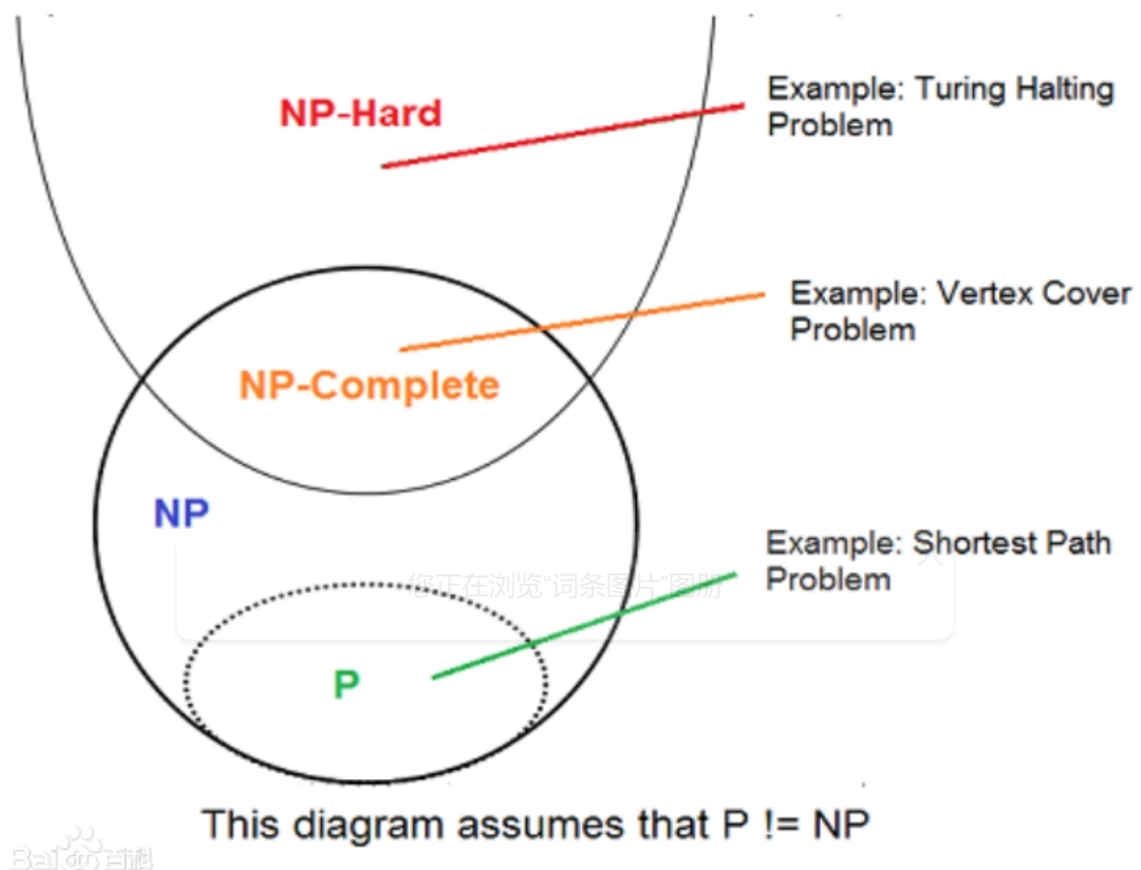
The problem is NP if we can prove any solution is true in polynomial time.

eg NP: Hamilton cycle problem

Note: Not all decidable problems are in NP. For example, consider the problem of determining whether a graph does not have a Hamiltonian cycle.

$P \in NP$ ，但是很多NP问题无法用P时间解决



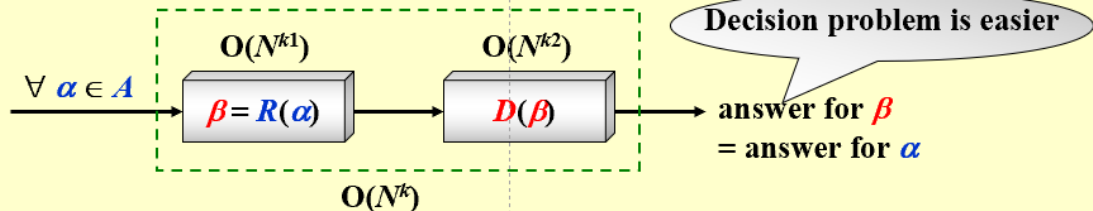


3.3 NP-completeness problem

An NP-complete problem has the property that any problem in NP can be polynomially reduced to it.

如果可以在polynomial time 解决NP问题，那么解决所有的NP问题，
也就是说要找到问题间的转换方式R

Given any instance $\alpha \in \text{Problem } A$, if we can find a program $R(\alpha) \rightarrow \beta \in \text{Problem } B$ with $T_R(N) = O(N^{k1})$, and another program $D(\beta)$ to get an answer in time $O(N^{k2})$. And more, if the answer for β is the same as the answer for α . Then



4.HCP to TSP

the **Hamiltonian cycle problem** is NP-complete. Prove that the **traveling salesman problem** is NP-complete as well.

proof:

Hamiltonian cycle problem:

Given a graph $G=(V, E)$, is there a simple cycle that visits all vertices?

Traveling salesman problem:

Given a complete graph $G=(V, E)$, with edge costs, and an integer K , is there a simple cycle that visits all vertices and has total cost $\leq K$?

proof:

1) TSP 属于 NP

2) 处理: 每个已经存在的 edge 赋值 1; 连接所有的点, 新的边赋值为 2

3) G 中存在一个 hamilton cycle 等同于 G' 中存在一个 salesman tour of total weight $|V|$

5. np-complete problem

the Satisfiability problem (Circuit-SAT): Input a boolean expression and ask if it has an assignment to the variables that gives the expression a value of 1.

6. formal-language framework

for decision problem

符号表示:

- An *alphabet* Σ is a finite set of symbols $\{0, 1\}$
- A *language* L over Σ is any set of strings made up of symbols from Σ
 $L = \{x \in \Sigma^* : Q(x) = 1\}$
- Denote *empty string* by ε
- Denote *empty language* by \emptyset
- Language of all strings over Σ is denoted by Σ^*
- The *complement* of L is denoted by $\Sigma^* - L$
- The *concatenation* of two languages L_1 and L_2 is the language $L = \{x_1x_2 : x_1 \in L_1 \text{ and } x_2 \in L_2\}$.
- The *closure* or *Kleene star* of a language L is the language $L^* = \{\varepsilon\} \cup L \cup L^2 \cup L^3 \cup \dots$, where L^k is the language obtained by concatenating L to itself k times

- Algorithm A *accepts* a string $x \in \{0, 1\}^*$ if $A(x) = 1$
- Algorithm A *rejects* a string x if $A(x) = 0$
- A language L is *decided* by an algorithm A if every binary string *in* L is *accepted* by A and every binary string *not in* L is *rejected* by A
- To *accept* a language, an algorithm need only worry about strings in L , but to *decide* a language, it must correctly accept or reject every string in $\{0, 1\}^*$

NP问题定义:

$P = \{ L \subseteq \{0, 1\}^* : \text{there exists an algorithm } A \text{ that decides } L \text{ in polynomial time} \}$

7.verification algorithm

A two-argument algorithm A verifies an input string x if there exists a certificate y such that $A(x, y) = 1$.

[[**Example**]] For SAT

$$x = (\bar{x}_1 \vee x_2 \vee x_3) \wedge (x_1 \vee \bar{x}_2 \vee x_3) \wedge (x_1 \vee x_2 \vee x_4) \wedge (\bar{x}_1 \vee \bar{x}_3 \vee \bar{x}_4)$$

Certificate: $y = \{ x_1 = 1, x_2 = 1, x_3 = 0, x_4 = 1 \}$