

Backward Substitution:

In forward substitution, put  $n=0, 1, 2, \dots$  in the given recurrence relation until, a pattern is seen.

In backward substitution, do the reverse  
 $n = n, n-1, n-2, \dots$  or  $n = n, \frac{n}{2}, \frac{n}{4}, \dots$  until a pattern is seen.

After a pattern is seen, make a guess

Consider the recurrence relation:

$$T(n) = \begin{cases} 1, & \text{if } n=1 \\ 2T\left(\frac{n}{2}\right) + n, & \text{Otherwise} \end{cases} \quad \textcircled{1}$$

Given  $T(n)$ , find  $T\left(\frac{n}{2}\right)$  in  $\textcircled{1}$

$$T\left(\frac{n}{2}\right) = 2T\left(\frac{\frac{n}{2}}{2}\right) + \frac{n}{2} = 2T\left(\frac{n}{4}\right) + \frac{n}{2}$$

back substitute value of  $T\left(\frac{n}{2}\right)$  in  $T(n)$   $\textcircled{1}$

$$T(n) = 2^2 T\left(\frac{n}{2^2}\right) + 2n$$

$$\text{Similarly, } T(n) = 2^3 T\left(\frac{n}{2^3}\right) + 3n$$

$$= 2^4 T\left(\frac{n}{2^4}\right) + 4n$$

$$= 2^K T\left(\frac{n}{2^K}\right) + kn$$

Apply boundary condition i.e.,  $T(1) = 1$   
 $\therefore$  for  $T(1) = 1$ ,  $\frac{n}{2^K} = 1$   $\textcircled{2}$

Taking  $\log_2$  on both sides  
 $n = \log_2 n$

The equation becomes

$$T(n) = 2^{\log_2 n} T\left(\frac{n}{2^{\log_2 n}}\right) + \log_2 n \cdot n$$

$$= n T(1) + n \log_2 n$$

$$= n \log_2 n + n$$

The correctness is verified using induction,

$$n = 2, 4, 8, 16$$

verified  $T(2) = 2 \log_2 2 + 2$   
 $= 2(1) + 2 = 4$

Given

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

$$\begin{aligned} T(2) &= 2T(1) + 2 \\ &= 2(1) + 2 \\ &= 4 \end{aligned}$$

X  $T(3) = 2T\left(\frac{3}{2}\right) + 3$

Not applicable  
 $T(3) = 3 \log_2 3 + 3$

verified  $\begin{aligned} T(4) &= 4 \log_2 4 + 4 \\ &= 4 \log_2 2 + 4 \\ &= 8 \log_2 2 + 4 \\ &= 8 + 4 = 12 \end{aligned}$

✓  $T(4) = 2T\left(\frac{4}{2}\right) + 4$

$$\begin{aligned} T(4) &= 2T\left(\frac{4}{2}\right) + 4 \\ &= 2T(2) + 4 \end{aligned}$$

$$\begin{aligned} T(4) &= 2T(2) + 4 \\ &= 2(4) + 4 \\ &= 8 + 4 = 12 \end{aligned}$$

## Solving Recurrence Relations: Substitution

### Forward Substitution Method:

Step 1: Solve the recurrence relation for  $n = 0, 1, 2, \dots$  until a pattern is obtained.

Step 2: Make a guess and predict the running time.

Step 3: Verify the guess work using induction.

Consider the recurrence relation:

$$T(n) = \begin{cases} 1 & \text{if } n=1 \\ T(n-1) + 1, & \text{otherwise} \end{cases}$$

Calculate the running time for  $n=0, 1, 2$

$$\begin{array}{ll} n & T(n) \end{array}$$

$$\begin{array}{ll} 1 & T(1-1) + 1 = T(0) + 1 \\ & \text{as defined in } T(n), T(n)=1, \text{ if } n=1 \end{array}$$

$$\begin{array}{ll} 2 & T(2-1) + 1 = 1+1 = 2 \end{array}$$

$$\begin{array}{ll} 3 & T(3-1) + 1 = 1+1+1 = 3 \end{array}$$

$$\begin{array}{ll} 4 & T(4-1) + 1 = 1+1+1+1 = 4 \end{array}$$

$\therefore$  when  $n=k$ ,  $T(n)=k$ ,

Hence, running time is

$$\boxed{T(n)=n}$$

To Verify by Induction,

(1)

$$T(n) = n, T(1) = 1, T(2) = 2, T(3) = 3, \dots$$

Example: 2

$$T(n) = \begin{cases} 1, & \text{if } n=1 \\ 2T(n-1)+1, & \text{otherwise} \end{cases}$$

$$\begin{array}{ll} n & T(n) \end{array}$$

$$\begin{array}{ll} 1 & 1 \end{array}$$

$$\begin{array}{ll} 2 & 2 \cdot T(2-1) + 1 = 2 \cdot 1 + 1 = 3 \end{array}$$

$$\begin{array}{ll} 3 & 2 \cdot T(3-1) + 1 = 2 \cdot 2 \cdot 1 + 2 \cdot 1 + 1 = 7 \end{array}$$

$$\begin{array}{ll} 4 & 2 \cdot T(4-1) + 1 = 2 \cdot 2 \cdot 2 \cdot 1 + 2 \cdot 2 \cdot 1 + 2 \cdot 1 + 1 \\ & = 15 \end{array}$$

$$\begin{array}{ll} 5 & 2 \cdot T(5-1) + 1 = 2 \cdot 2 \cdot 2 \cdot 2 \cdot 1 + 2 \cdot 2 \cdot 2 \cdot 1 + 2 \cdot 2 \cdot 1 \\ & + 2 \cdot 1 + 1 = 31 \end{array}$$

To see a pattern, When value of  $n$  is  $k$

$$T(k) = 2^{k-1} + 2^{k-2} + \dots + 2^0$$

This is a geometric series, [Refer to Lecture material Asymptotic Notation Review on Sums and functions]

Sum is calculated as

$$2^k - 1$$

The running time of algorithm is

$$T(n) = 2^n - 1 \quad \textcircled{1}$$

The correctness of this running time can be verified for  $n=1, 2, 3$  in  $\textcircled{1}$