

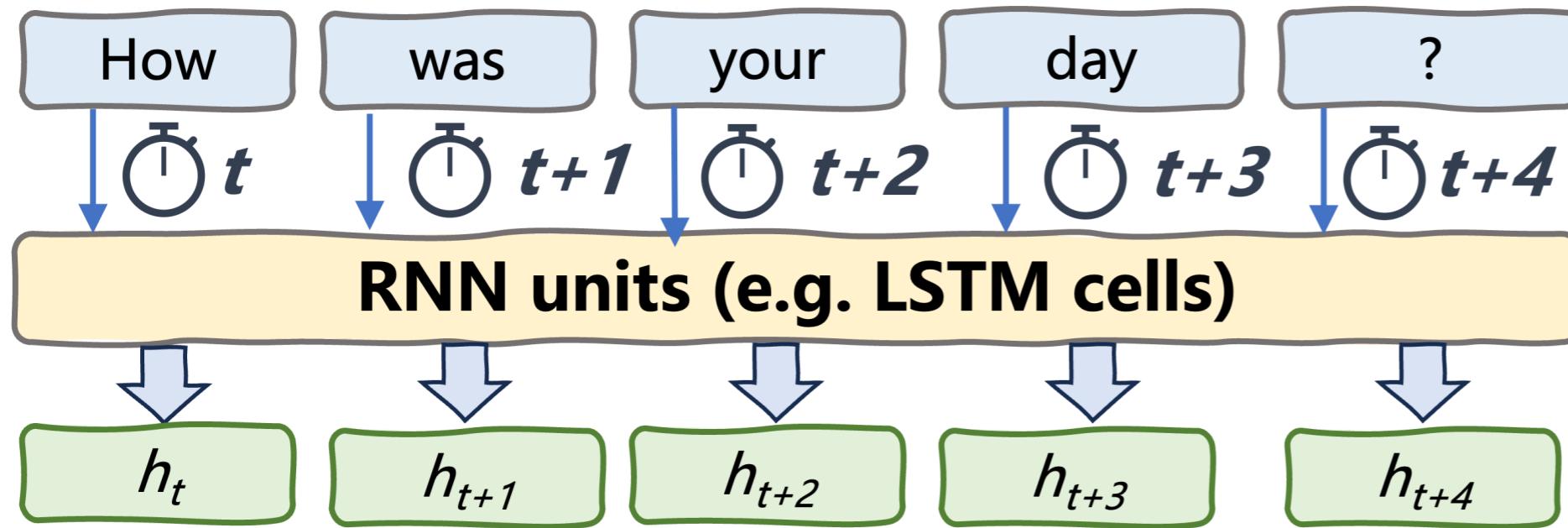
Attention mechanisms and positional encoding

INTRODUCTION TO LLMS IN PYTHON



Iván Palomares Carrascosa, PhD
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Why attention mechanisms?



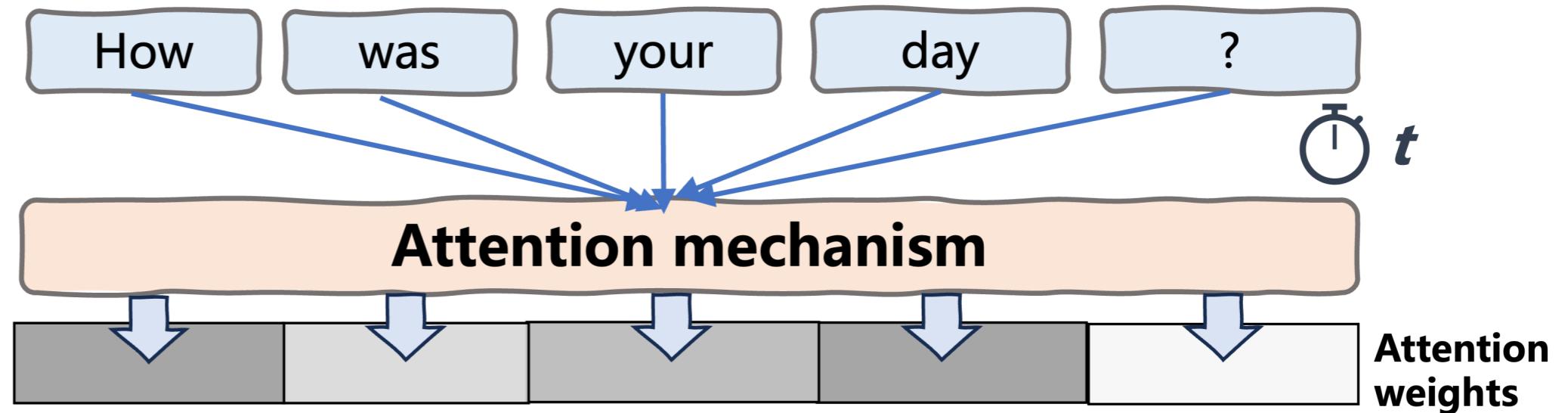
Recurrent architectures:

- Process sequence token by token
- Memorize recently processed information

Why attention mechanisms?

Self-attention

- Use whole sequence to weigh each element at time t

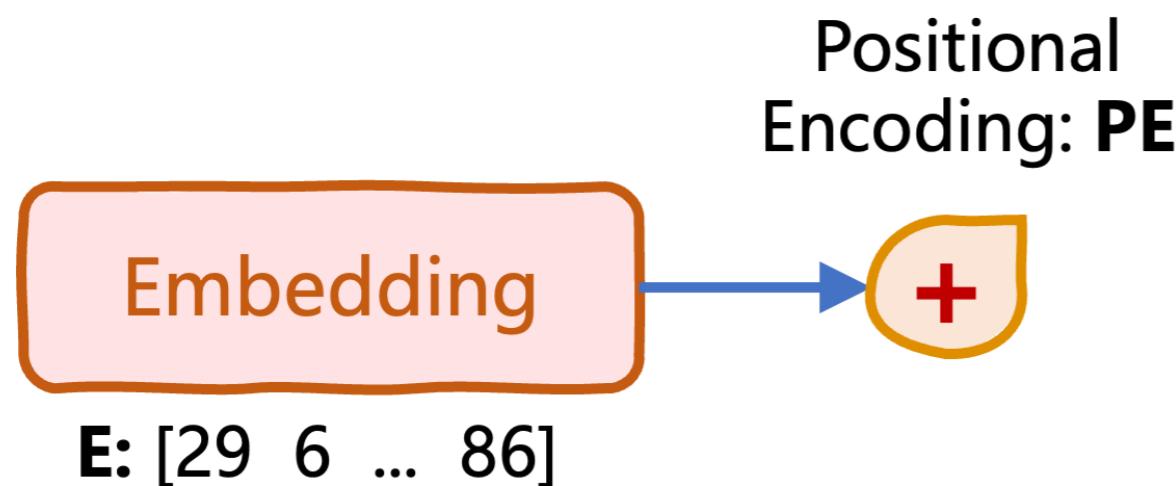


Positional encoding

Embedding

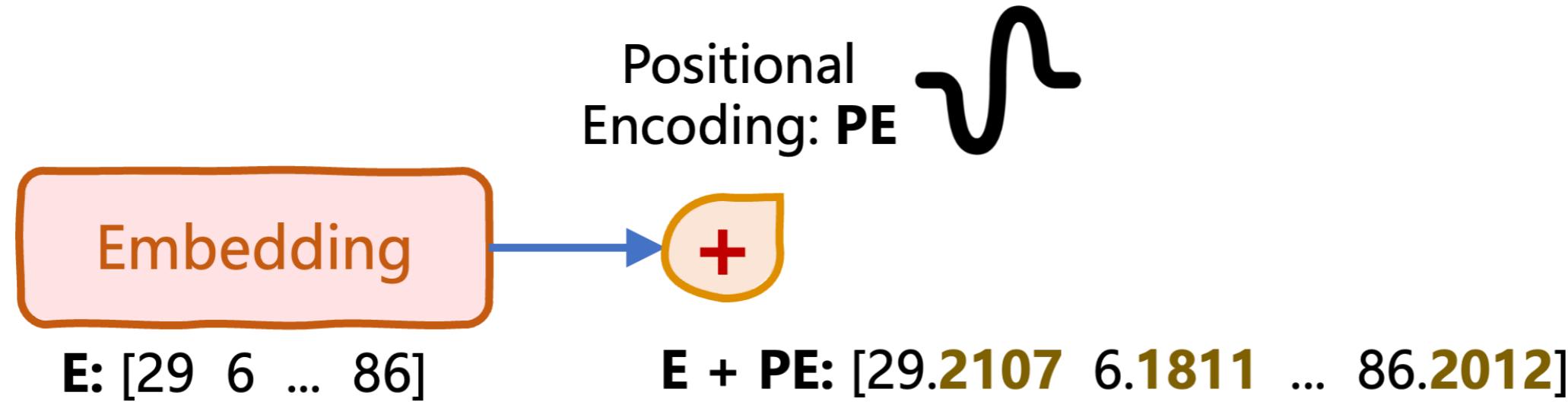
E: [29 6 ... 86]

Positional encoding



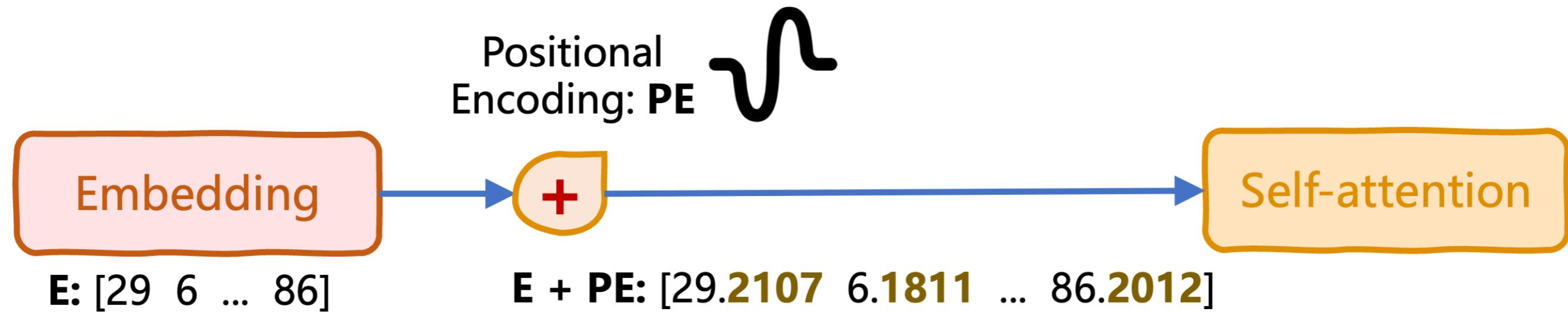
- Create positional encodings vector **PE** for token embedding **E**

Positional encoding



- Create positional encodings vector **PE** for token embedding **E**
 - Based on *sine* and *cosine* functions
- Add **PE** to **E**

Positional encoding



- Create positional encodings vector PE for token embedding E
 - Based on *sine* and *cosine* functions
- Add PE to E

Positional encoder class

```
class PositionalEncoder(nn.Module):
    def __init__(self, d_model, max_seq_length=512):
        super(PositionalEncoder, self).__init__()
        self.d_model = d_model
        self.max_seq_length = max_seq_length

        pe = torch.zeros(max_seq_length, d_model)
        position = torch.arange(0, max_seq_length,
                               dtype=torch.float).unsqueeze(1)

        div_term = torch.exp(torch.arange(0, d_model, 2,
                                         dtype=torch.float) * -(math.log(10000.0) / d_model))
        pe[:, 0::2] = torch.sin(position * div_term)
        pe[:, 1::2] = torch.cos(position * div_term)
        pe = pe.unsqueeze(0)
        self.register_buffer('pe', pe)

    def forward(self, x):
        x = x + self.pe[:, :x.size(1)]
        return x
```

- Set `max_seq_length` and embedding size: `d_model`
- Create positional encoding matrix `pe`, for sequences up to `max_seq_length`
- `position` : position indices in the sequence
- `div_term` : a term to scale position indices
- Alternately apply sine and cosine to `pe`
 - `register_buffer()` : set `pe` as non-trainable
- Add positional encodings `pe` to input tensor of sequence embeddings, `x`

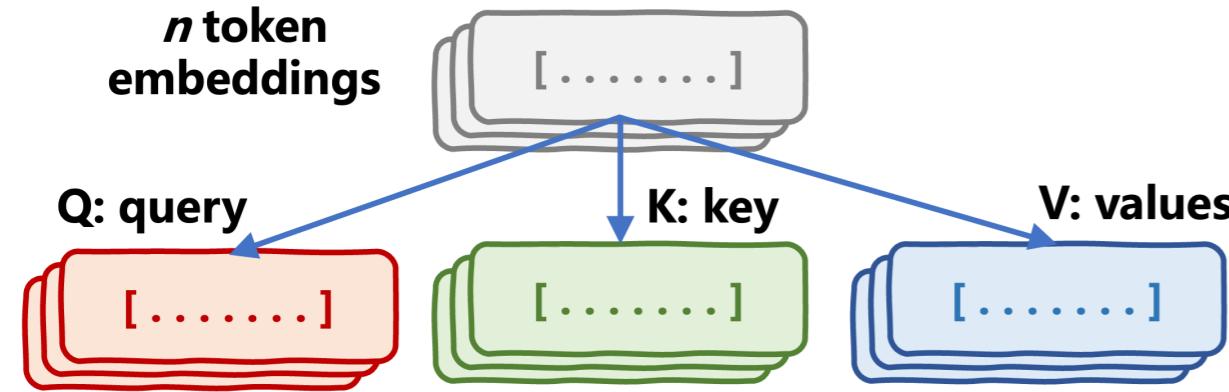
Self-attention mechanism anatomy

n token
embeddings

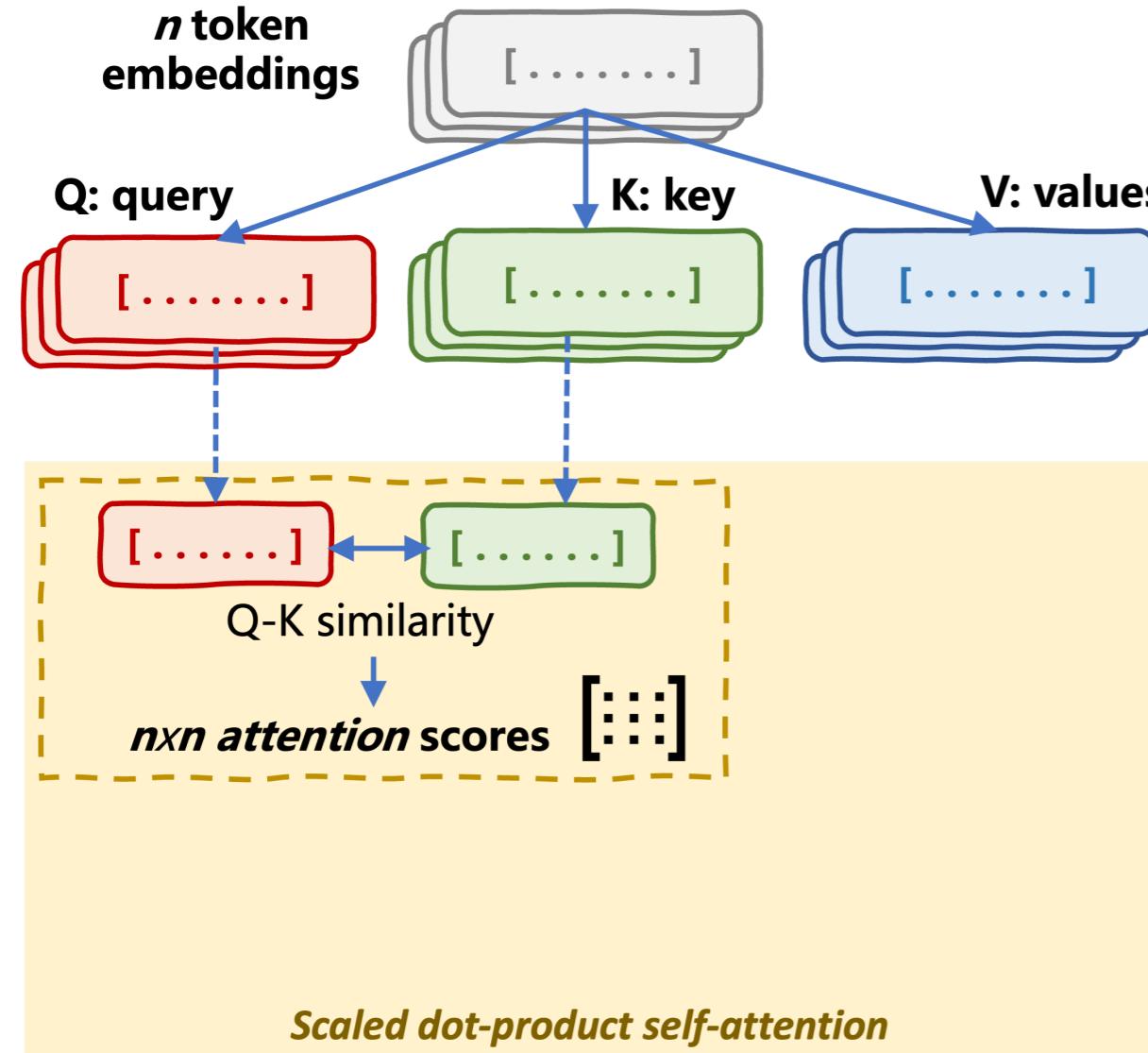


Self-attention mechanism anatomy

- Q, K, V: three linear projections of each token embedding

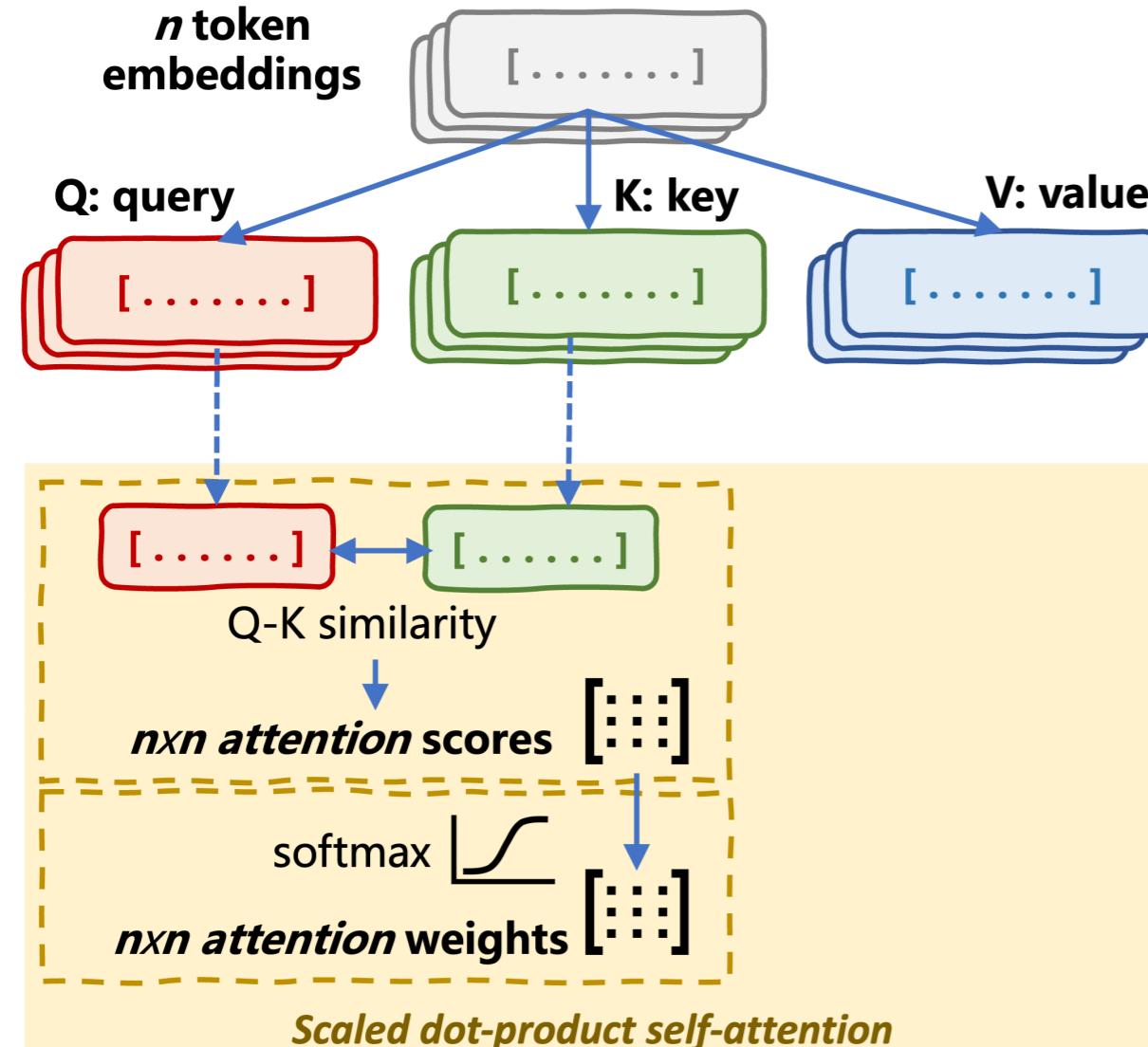


Self-attention mechanism anatomy



- **Q, K, V**: three linear projections of each token embedding
- Similarity between **Q** and **K** pairs
 - Attention scores matrix

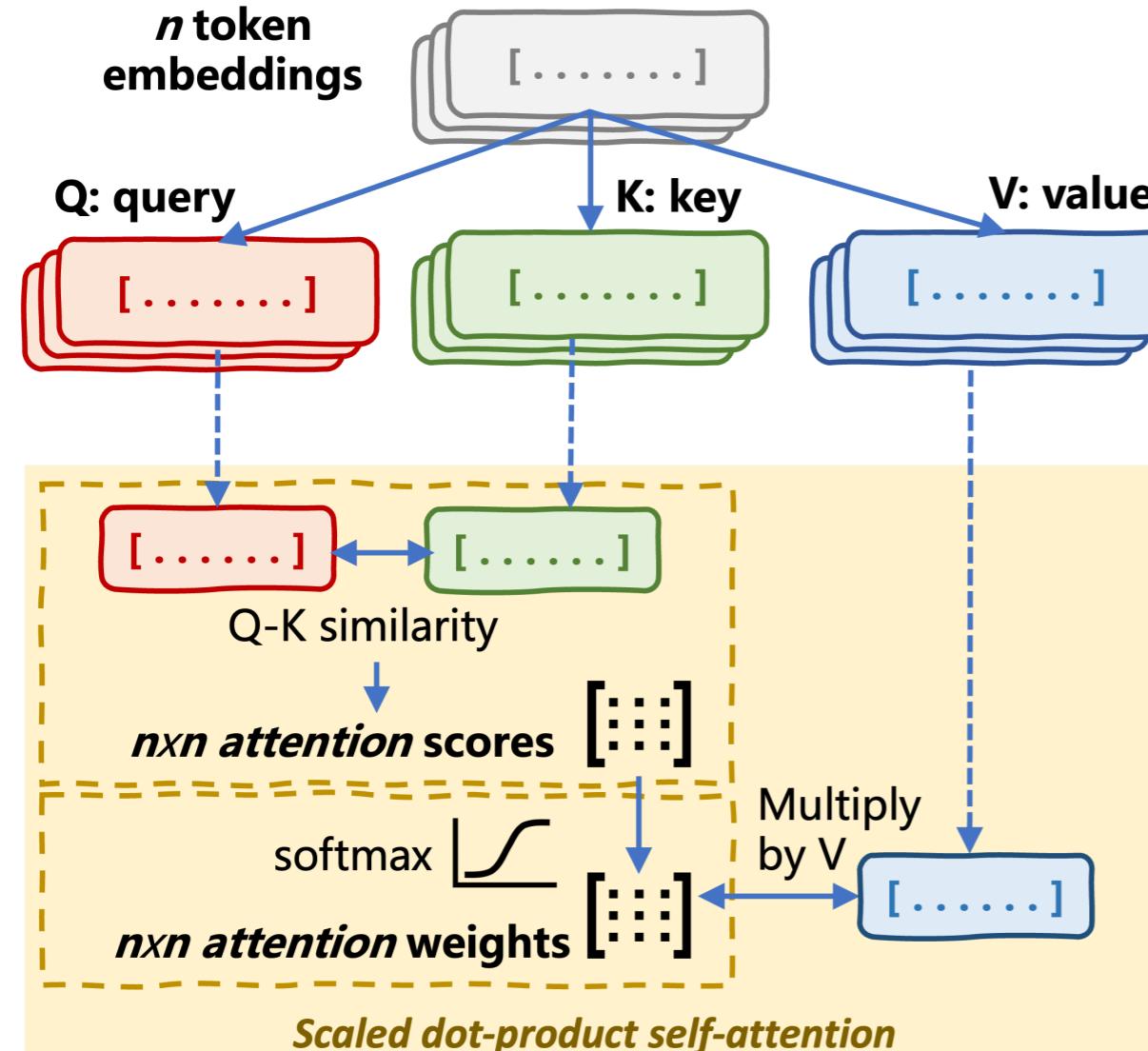
Self-attention mechanism anatomy



- Q, K, V: three linear projections of each token embedding
- Similarity between Q and K pairs
 - Attention scores matrix
- Softmax scaling
 - Attention weights matrix

	Orange	is	my	favorite	fruit
Query:	Orange				
Attention weights:	.21	.03	.05	.31	.40

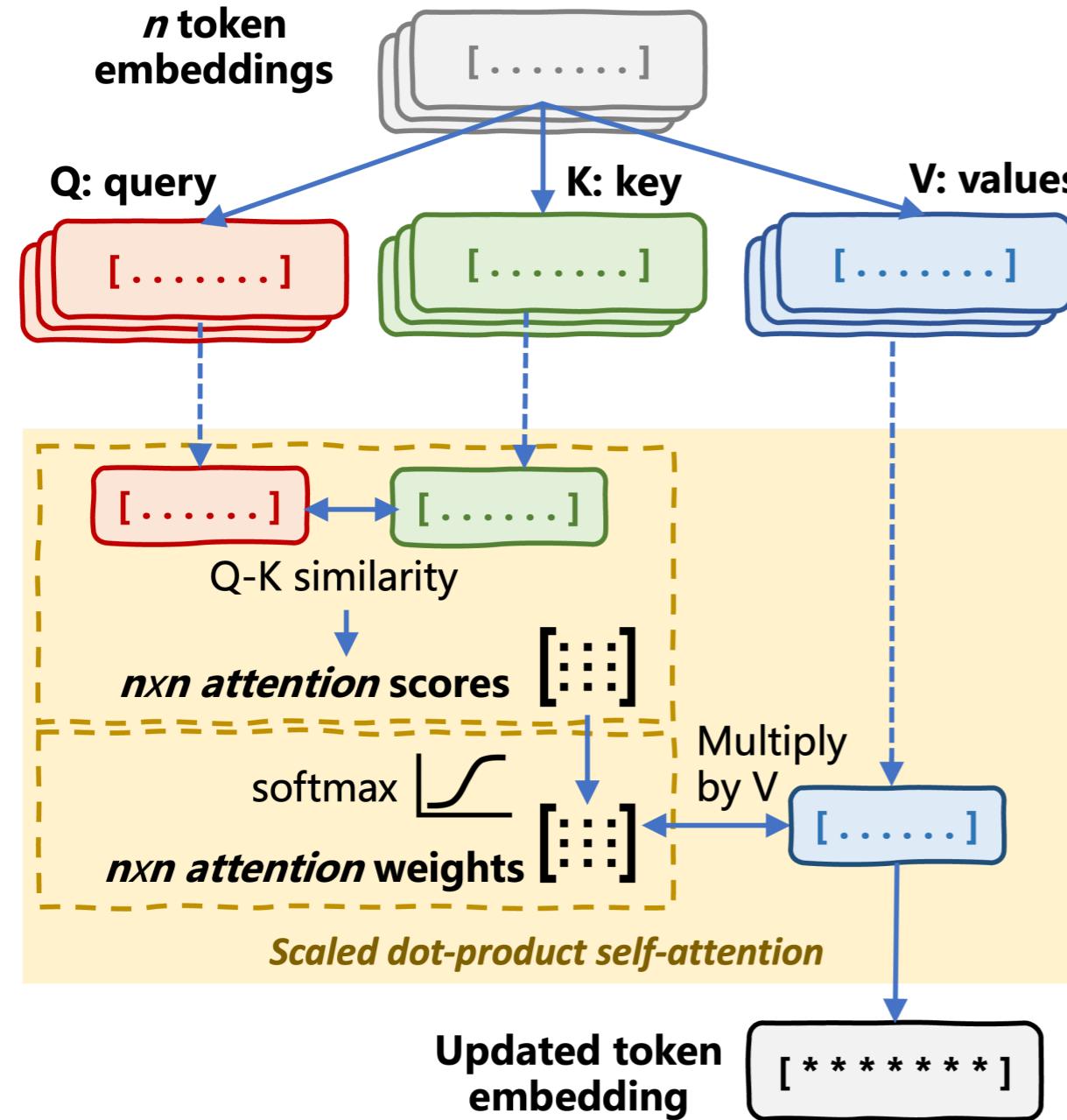
Self-attention mechanism anatomy



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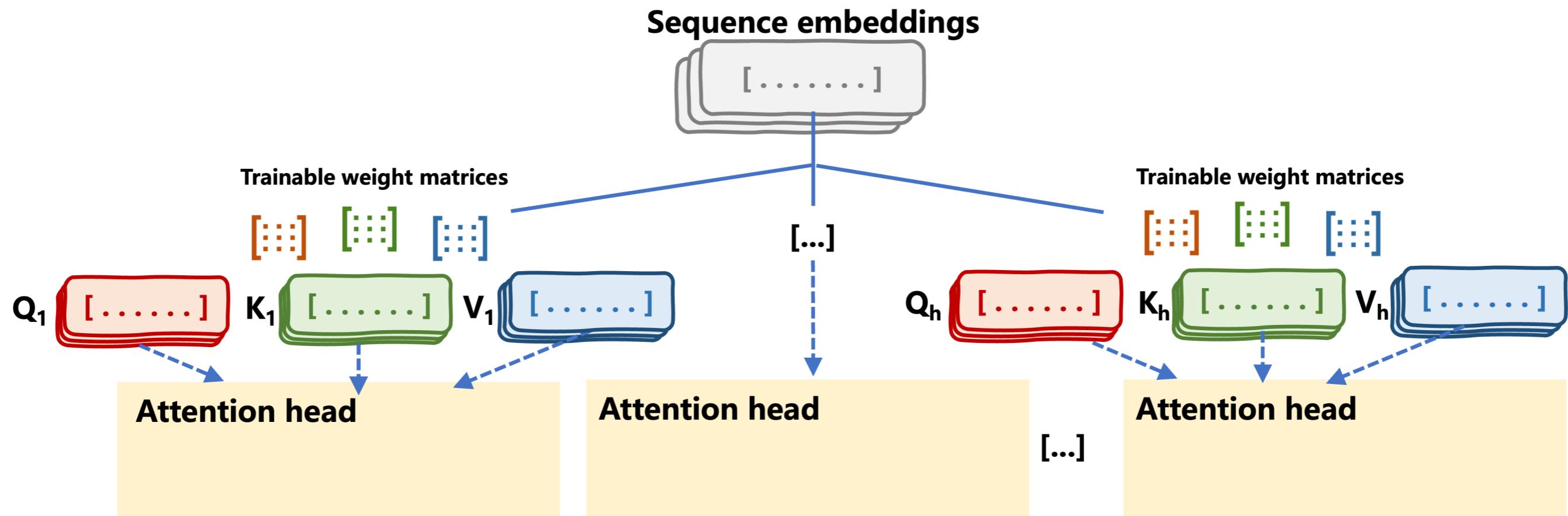
Self-attention mechanism anatomy



- **Q, K, V:** three linear projections of each token embedding
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 - **Attention weights** matrix

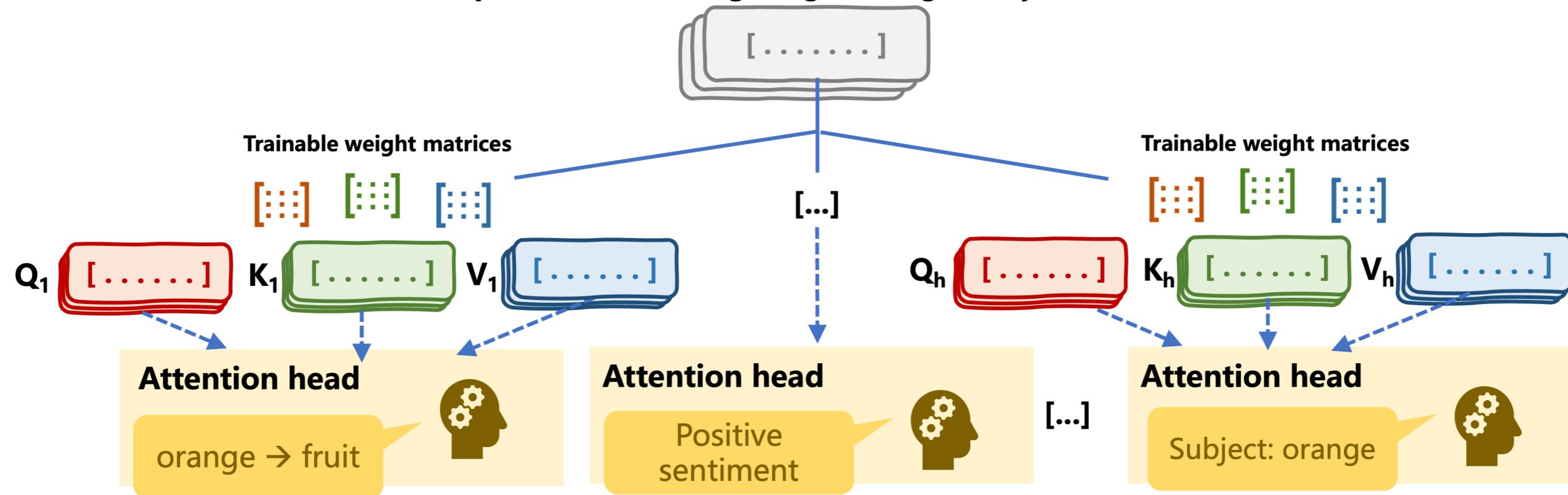
	Orange	is	my	favorite	fruit
Query:	Orange				
Attention weights:	.21	.03	.05	.31	.40

Multi-headed self-attention



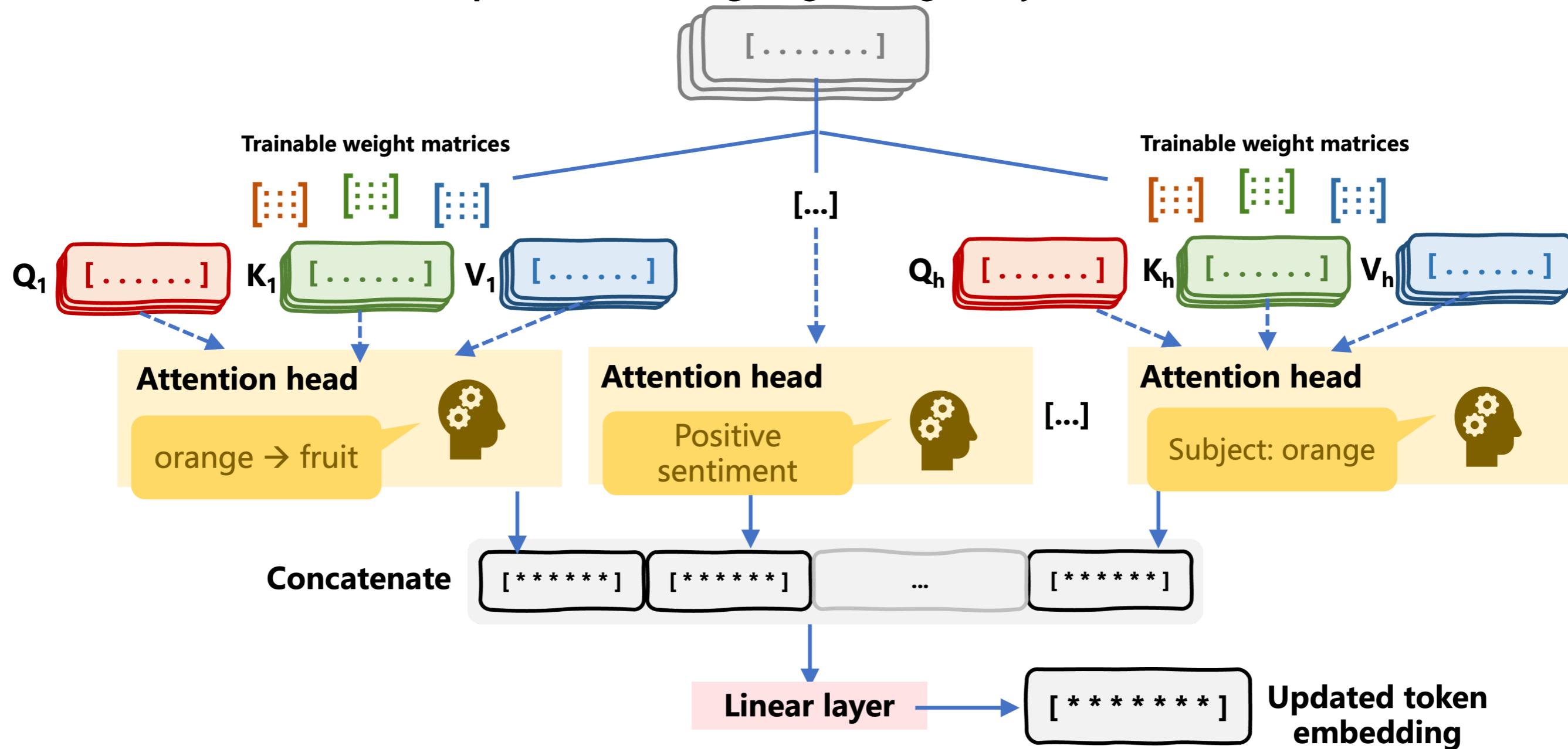
Multi-headed self-attention

Sequence embeddings: e.g. “orange is my favorite fruit”



Multi-headed self-attention

Sequence embeddings: e.g. “orange is my favorite fruit”



Multi-headed attention class

```
import torch.nn as nn
import torch.nn.functional as F

class MultiHeadAttention(nn.Module):
    def __init__(self, d_model, num_heads):
        super(MultiHeadAttention, self).__init__()
        self.num_heads = num_heads
        self.d_model = d_model
        self.head_dim = d_model // num_heads

        self.query_linear = nn.Linear(d_model, d_model)
        self.key_linear = nn.Linear(d_model, d_model)
        self.value_linear = nn.Linear(d_model, d_model)
        self.output_linear = nn.Linear(d_model, d_model)
```

- `num_heads` : number of attention heads, each handling embeddings of size `head_dim`
- Setting up linear transformations (`nn.Linear()`) for attention inputs and output

Multi-headed attention class

```
def split_heads(self, x, batch_size):
    x = x.view(batch_size, -1, self.num_heads, self.head_dim)
    return x.permute(0, 2, 1, 3).contiguous().
        view(batch_size * self.num_heads, -1, self.head_dim)

def compute_attention(self, query, key, mask=None):
    scores = torch.matmul(query, key.permute(1, 2, 0))
    if mask is not None:
        scores = scores.masked_fill(mask == 0, float("-1e9"))
    attention_weights = F.softmax(scores, dim=-1)
    return attention_weights
```

- `split_heads()` : splits the input across attention heads
- `compute_attention()` : compute attention weights using `F.softmax()`

Multi-headed attention class

```
def forward(self, query, key, value, mask=None):
    batch_size = query.size(0)

    query = self.split_heads(self.query_linear(query), batch_size)
    key = self.split_heads(self.key_linear(key), batch_size)
    value = self.split_heads(self.value_linear(value), batch_size)

    attention_weights = self.compute_attention(query, key, mask)

    output = torch.matmul(attention_weights, value)
    output = output.view(batch_size, self.num_heads, -1, self.head_dim).
        permute(0, 2, 1, 3).contiguous().view(batch_size, -1, self.d_model)

    return self.output_linear(output)
```

- `forward()` : orchestrate multi-headed attention mechanism workflow.
 - Concatenate and project head outputs with `self.output_linear()`

Let's practice!

INTRODUCTION TO LLMS IN PYTHON

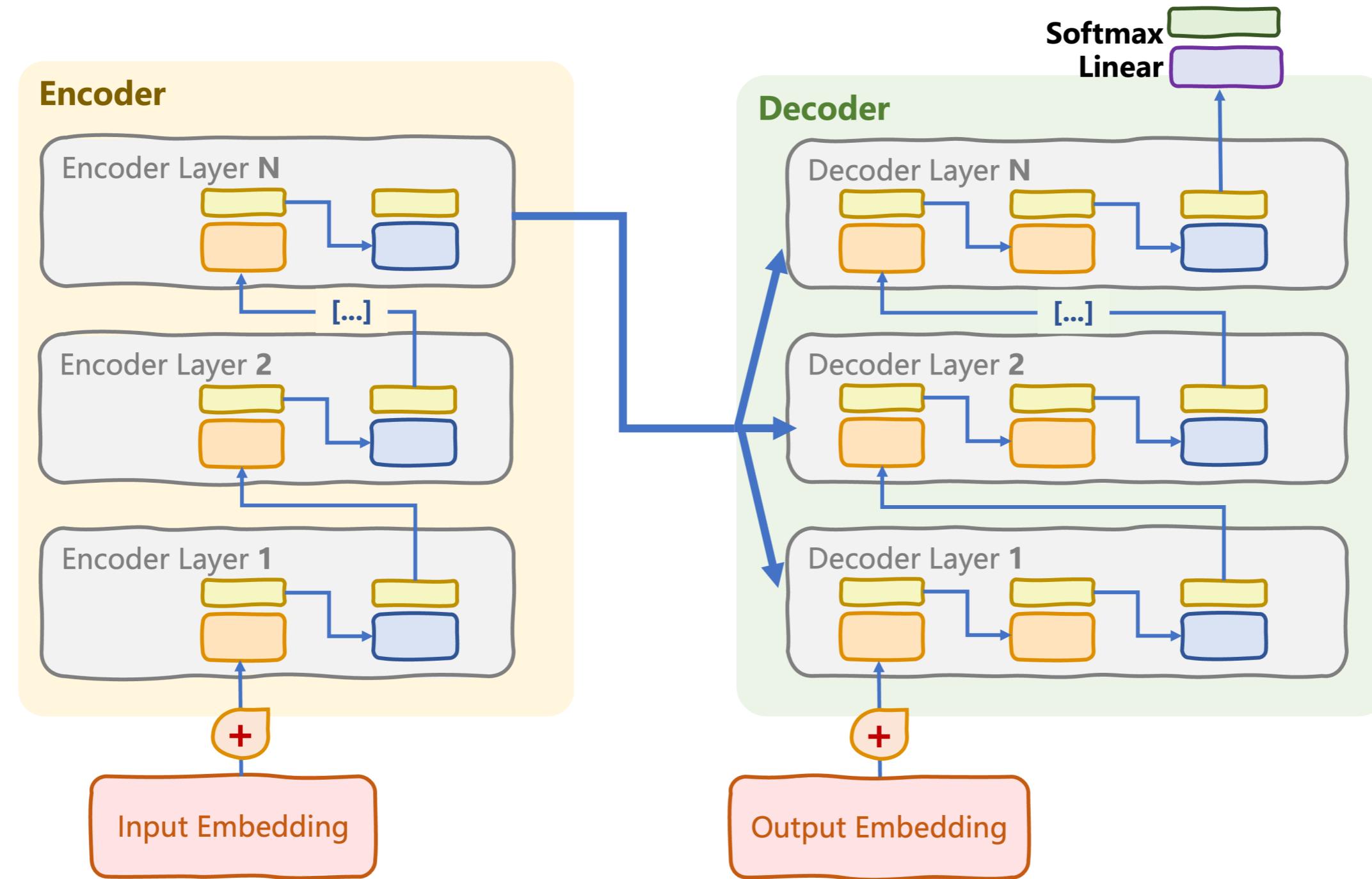
Building an encoder transformer

INTRODUCTION TO LLMS IN PYTHON



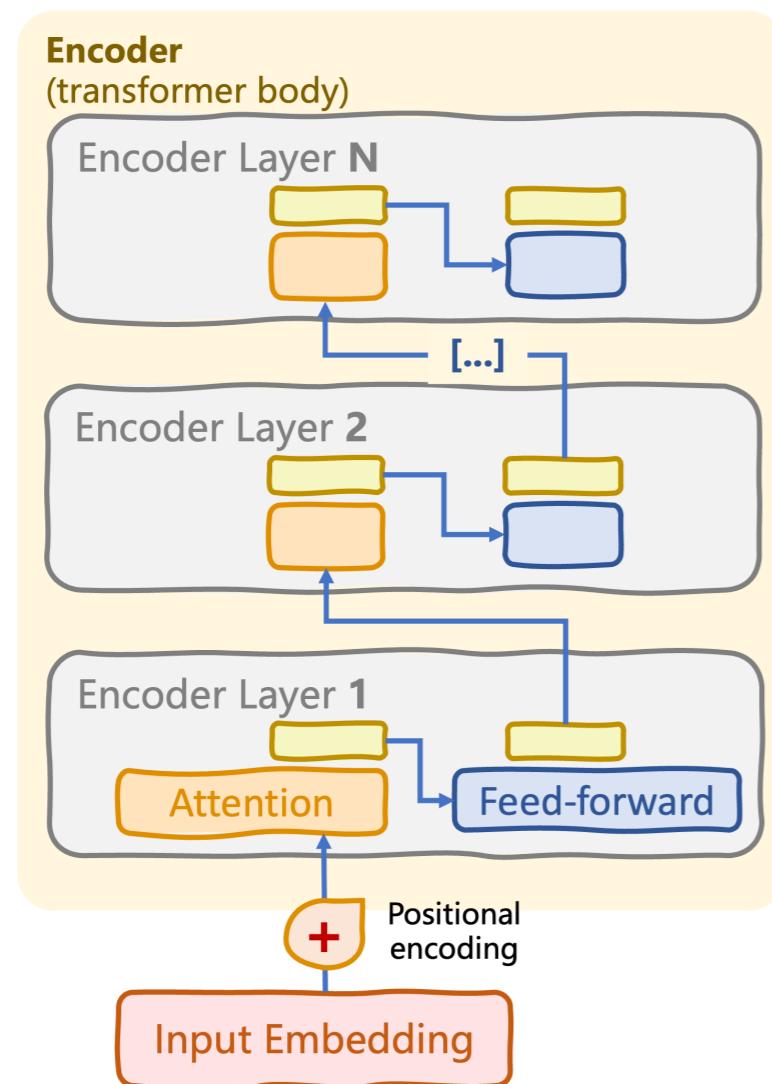
Iván Palomares Carrascosa, PhD
Senior Data Science & AI Manager

From original to encoder-only transformer



From original to encoder-only transformer

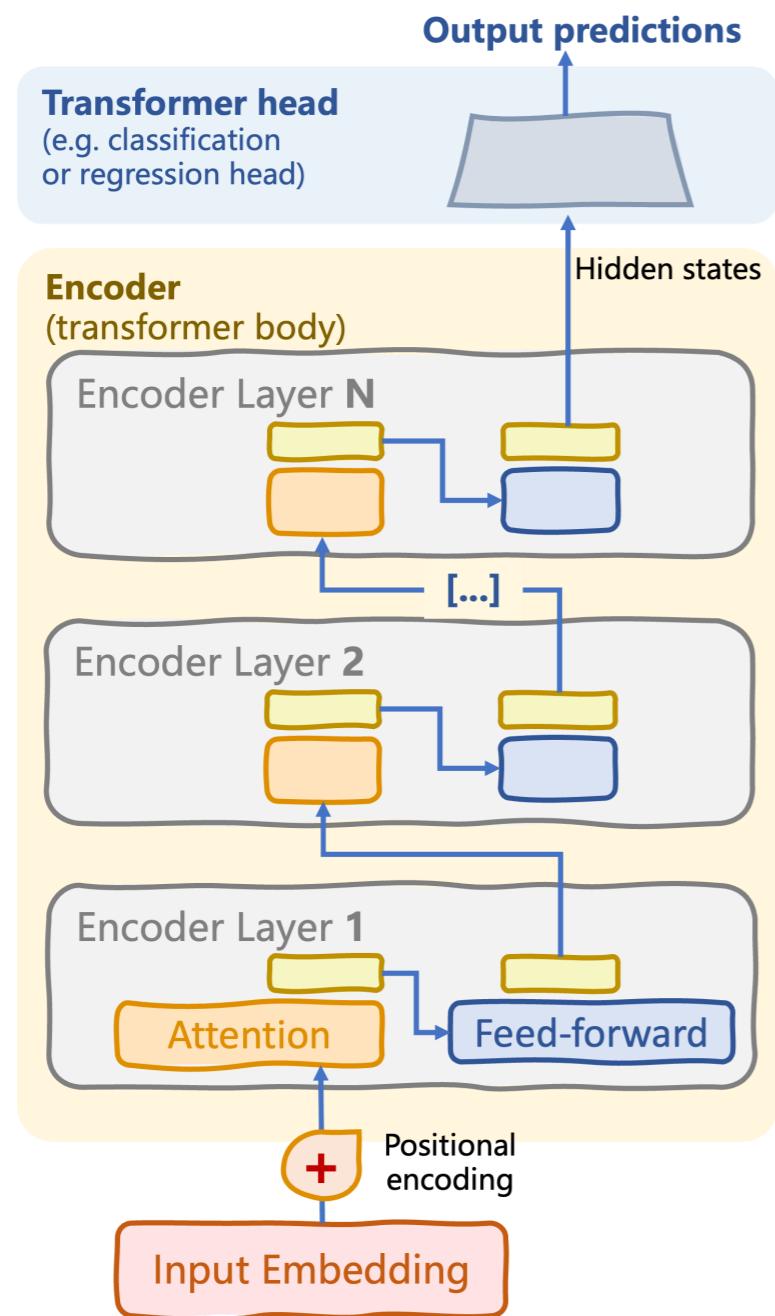
Transformer body: encoder stack with N encoder layers



Encoder layer

- Multi-headed self-attention
- Feed-forward (sub)layers
- Layer normalizations, skip connections, dropouts

From original to encoder-only transformer



Transformer body: encoder stack with N encoder layers

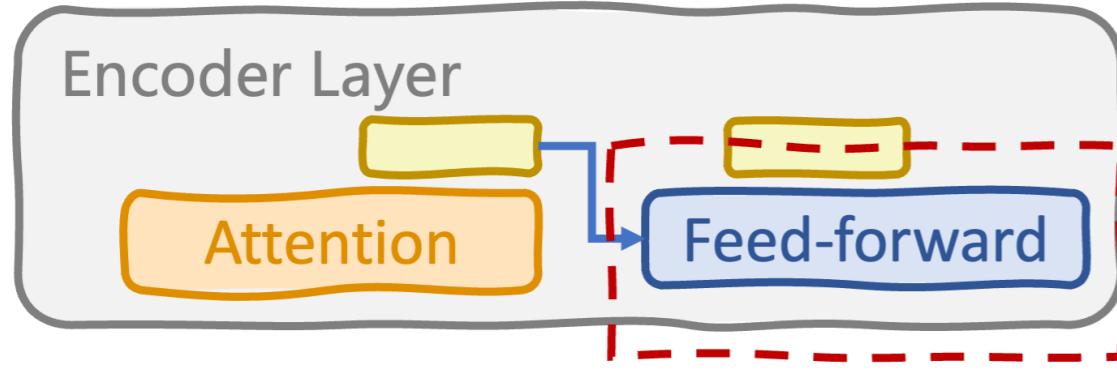
Encoder layer

- Multi-headed self-attention
- Feed-forward (sub)layers
- Layer normalizations, skip connections, dropouts

Transformer head: process encoded inputs (hidden states) to produce output prediction

Supervised task: classification, regression

Feed-forward sublayer in encoder layer



```
class FeedForwardSubLayer(nn.Module):
    def __init__(self, d_model, d_ff):
        super(FeedForwardSubLayer, self).__init__()
        self.fc1 = nn.Linear(d_model, d_ff)
        self.fc2 = nn.Linear(d_ff, d_model)
        self.relu = nn.ReLU()

    def forward(self, x):
        return self.fc2(self.relu(self.fc1(x)))
```

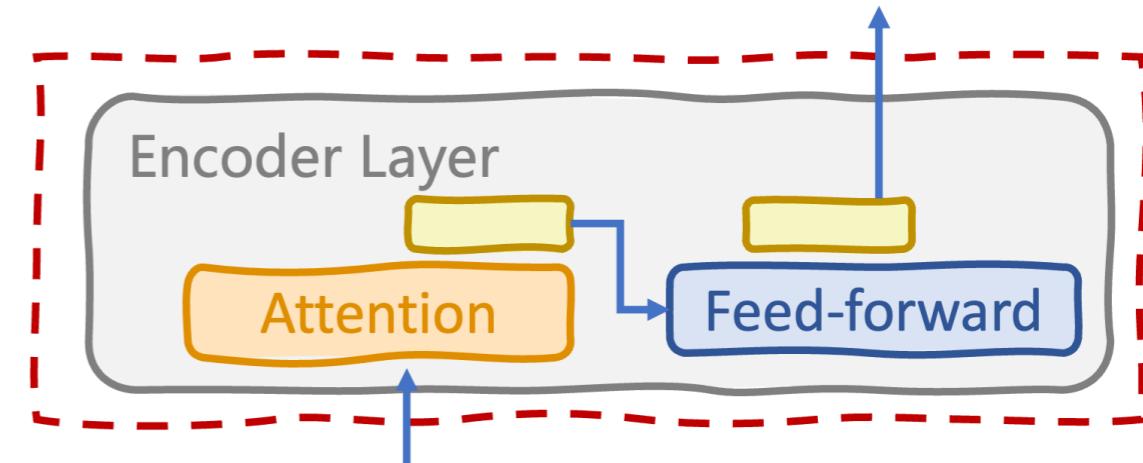
2 x fully connected + ReLU activation

- `d_ff` : dimension between linear layers
- `forward()` : processes attention outputs to capture complex, non-linear patterns

Encoder layer

```
class EncoderLayer(nn.Module):
    def __init__(self, d_model, num_heads, d_ff, dropout):
        super(EncoderLayer, self).__init__()
        self.self_attn = MultiHeadAttention(d_model, num_heads)
        self.feed_forward = FeedForwardSubLayer(d_model, d_ff)
        self.norm1 = nn.LayerNorm(d_model)
        self.norm2 = nn.LayerNorm(d_model)
        self.dropout = nn.Dropout(dropout)

    def forward(self, x, mask):
        attn_output = self.self_attn(x, x, x, mask)
        x = self.norm1(x + self.dropout(attn_output))
        ff_output = self.feed_forward(x)
        x = self.norm2(x + self.dropout(ff_output))
        return x
```



- Multi-headed self-attention
 - Feed-forward sublayer
 - Layer normalizations and dropouts
- `forward()` : forward-pass through encoder layer
- `mask` prevents processing padding tokens

Masking the attention process

“I really like to travel”

835 8246 94 302 7547

“Eastern Asia looks fascinating”

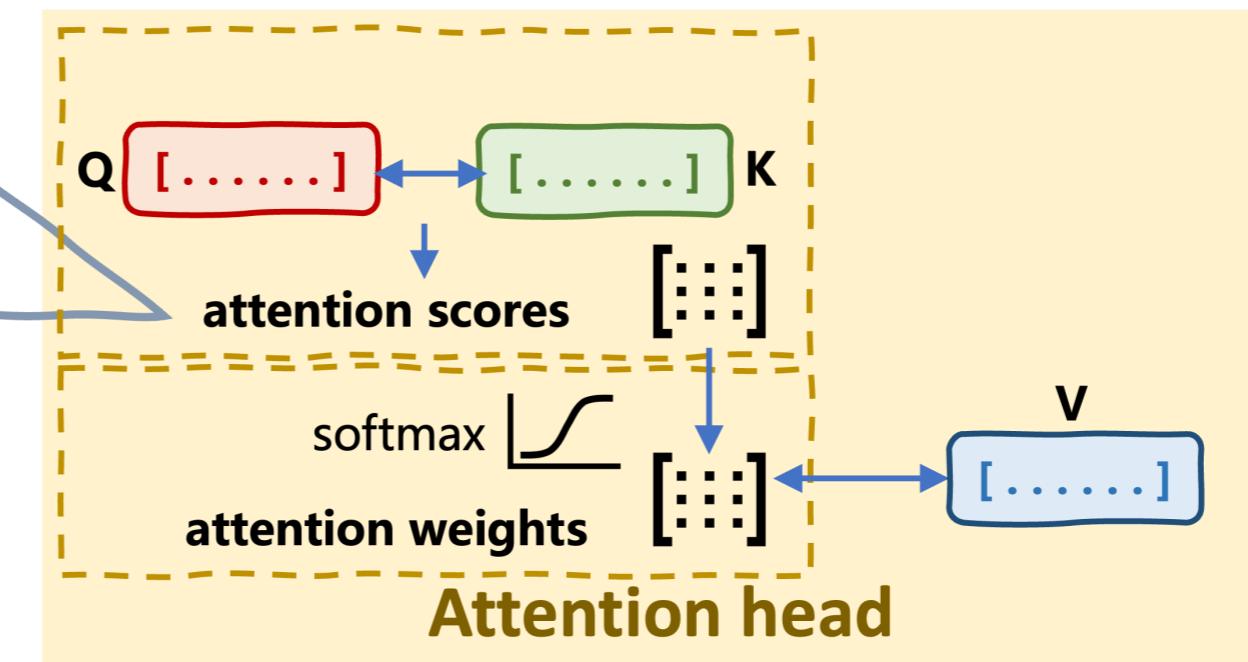
5839 236 492 28 0

“We should go”

12 641 46 0 0

“We should go”

1	1	1	0	0
1	1	1	0	0
1	1	1	0	0
0	0	0	0	0
0	0	0	0	0



Transformer body: encoder

```
class TransformerEncoder(nn.Module):
    def __init__(self, vocab_size, d_model, num_layers,
                 num_heads, d_ff, dropout, max_sequence_length):
        super(TransformerEncoder, self).__init__()
        self.embedding = nn.Embedding(vocab_size, d_model)

        self.positional_encoding =
            PositionalEncoding(d_model, max_sequence_length)
        self.layers = nn.ModuleList(
            [EncoderLayer(d_model, num_heads, d_ff, dropout)
             for _ in range(num_layers)])
    )

    def forward(self, x, mask):
        x = self.embedding(x)
        x = self.positional_encoding(x)
        for layer in self.layers:
            x = layer(x, mask)
        return x
```

Encoder structure:

- Input embeddings based on `vocab_size`
- Positional encoding
- Stack of `num_layers` encoder layers, using `nn.ModuleList()`
- `forward()` : forward-pass `x` through transformer body

Transformer head

```
class ClassifierHead(nn.Module):
    def __init__(self, d_model, num_classes):
        super(ClassifierHead, self).__init__()
        self.fc = nn.Linear(d_model, num_classes)

    def forward(self, x):
        logits = self.fc(x)
        return F.log_softmax(logits, dim=-1)
```

```
class RegressionHead(nn.Module):
    def __init__(self, d_model, output_dim):
        super(RegressionHead, self).__init__()
        self.fc = nn.Linear(d_model, output_dim)

    def forward(self, x):
        return self.fc(x)
```

Classification head

- **Tasks:** text classification, sentiment analysis, NER, extractive QA, etc.
- **fc** : fully connected linear layer
 - Transforms encoder hidden states into `num_classes` class probabilities

Regression head

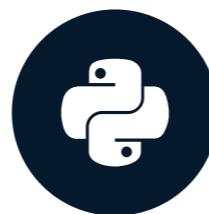
- **Tasks:** estimate text readability, language complexity, etc.
 - `output_dim` is 1 when predicting a single numerical value

Let's practice!

INTRODUCTION TO LLMS IN PYTHON

Building a decoder transformer

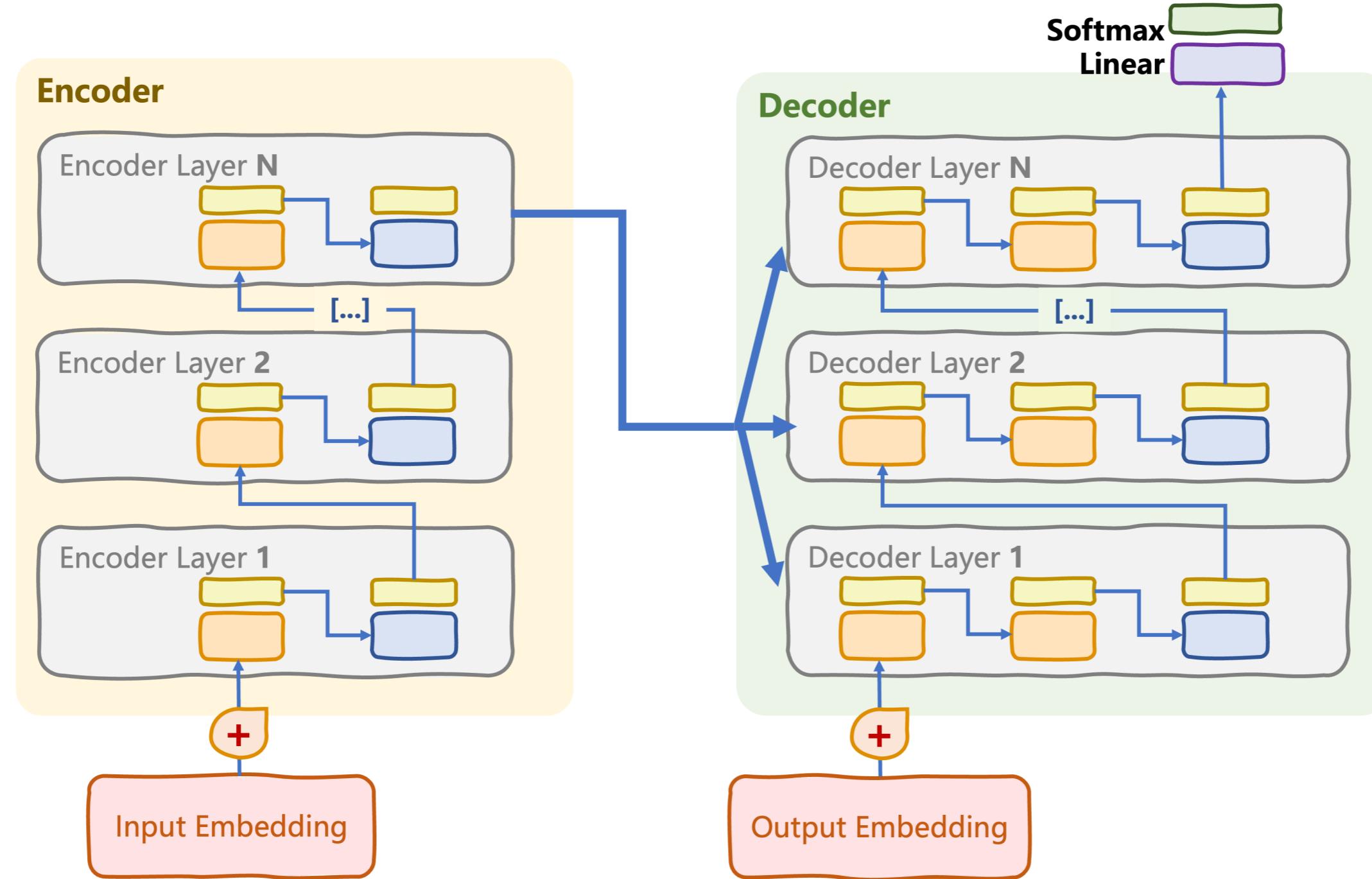
INTRODUCTION TO LLMS IN PYTHON



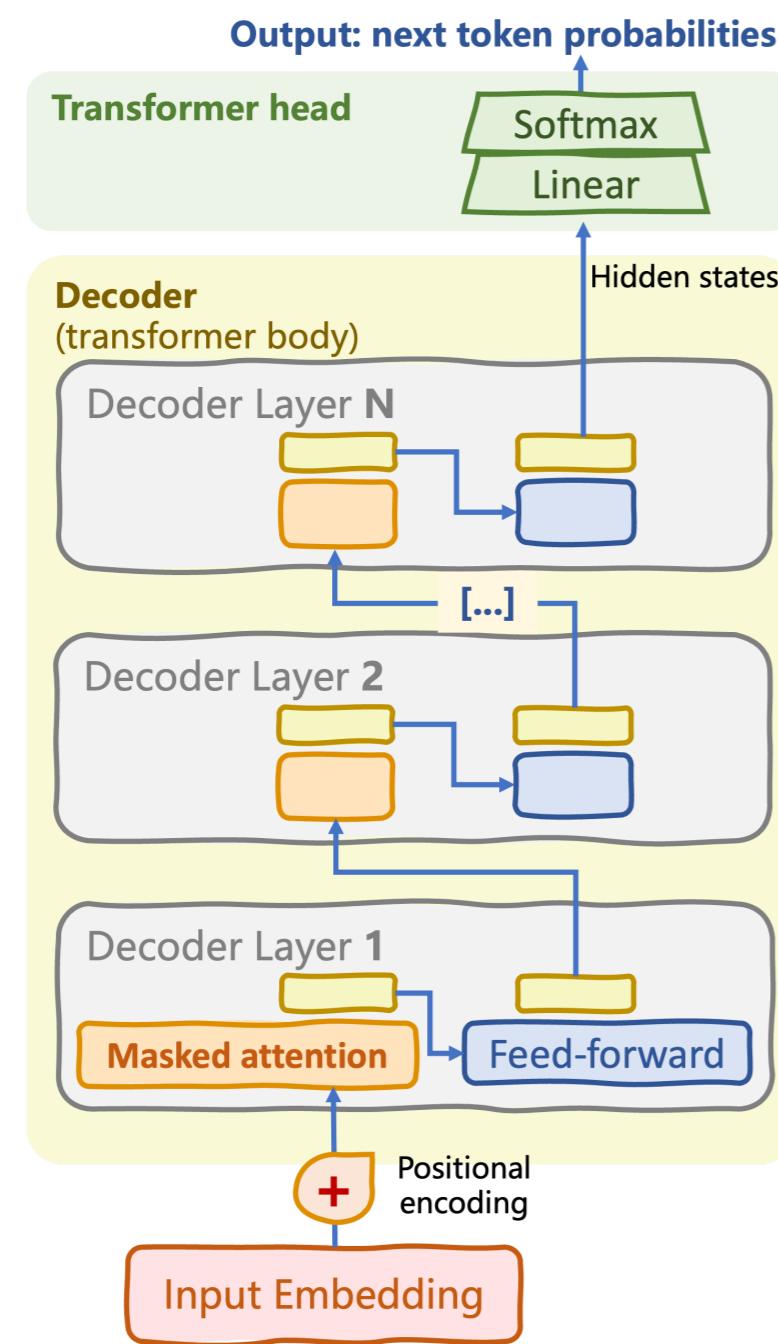
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Senior Data Science & AI Manager

From original to decoder-only transformer

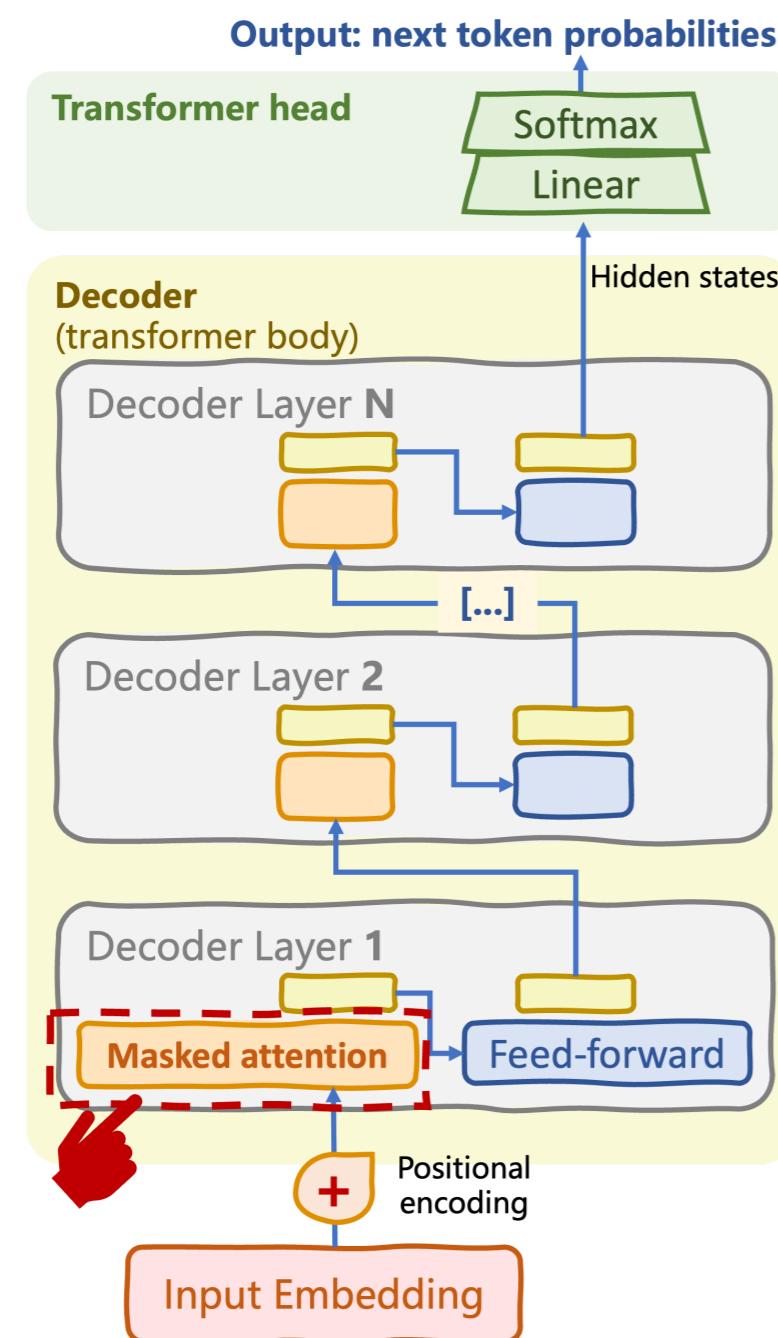


From original to decoder-only transformer



Autoregressive sequence generation: text generation and completion

From original to decoder-only transformer

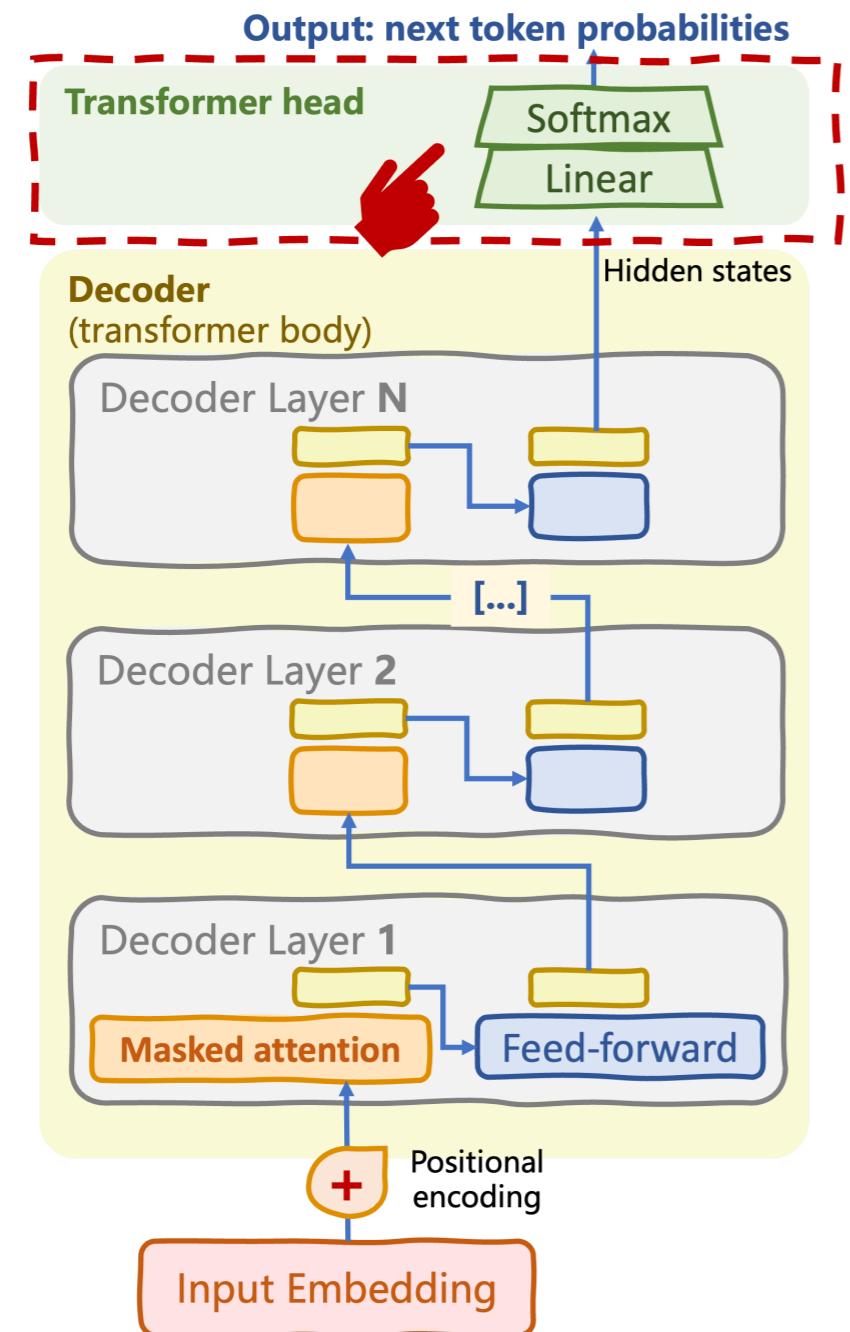


Autoregressive sequence generation: text generation and completion

Masked multi-head self-attention

- **Upper triangular mask**
 - Hide future positions in sequence
 - Only attend tokens before current one

From original to decoder-only transformer



Autoregressive sequence generation: text generation and completion

Masked multi-head self-attention

- **Upper triangular mask**
 - Hide future positions in sequence
 - Only attend tokens before current one

Decoder-only transformed head

- Linear + Softmax over vocabulary
- Predict most *likely* next token

Masked self-attention

- Key to **autoregressive** or **causal** behavior
- Triangular (causal) attention mask

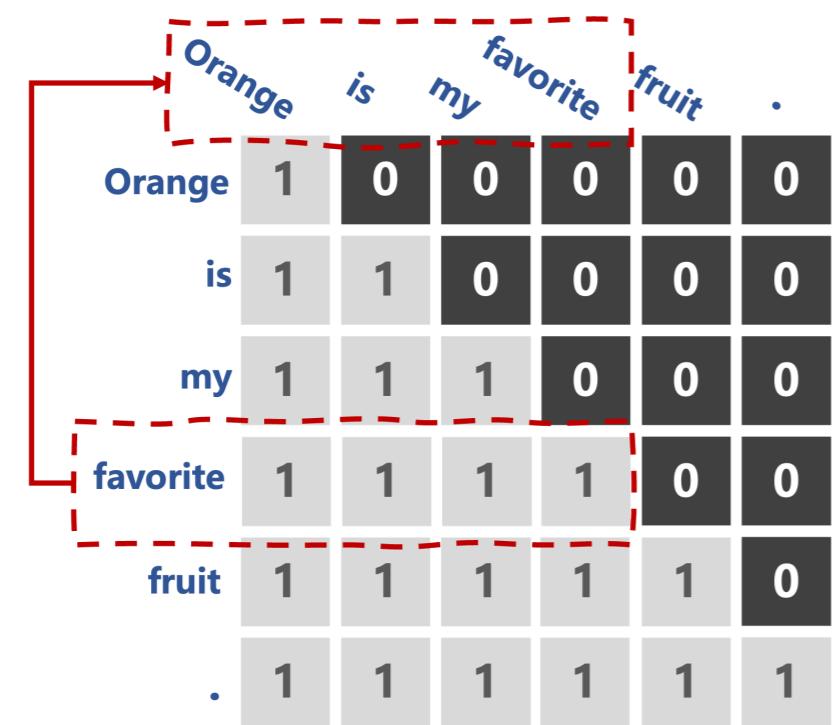
1	0	0	0	0	0	0
1	1	0	0	0	0	0
1	1	1	0	0	0	0
1	1	1	1	0	0	0
1	1	1	1	1	0	0
1	1	1	1	1	1	0
1	1	1	1	1	1	1

Masked self-attention

	Orange	is	my	favorite	fruit	.
Orange	1	0	0	0	0	0
is	1	1	0	0	0	0
my	1	1	1	0	0	0
favorite	1	1	1	1	0	0
fruit	1	1	1	1	1	0
.	1	1	1	1	1	1

- Key to **autoregressive** or **causal** behavior
- Triangular (causal) attention mask
- A token only pays **attention to "past"** (left-hand side) **information** in the sequence

Masked self-attention



```
self_attention_mask = (1 - torch.triu(  
    torch.ones(1, sequence_length, sequence_length),  
    diagonal=1)).bool()  
(...)  
output = decoder(input_sequence, self_attention_mask)
```

- Key to **autoregressive** or **causal** behavior
- Triangular (causal) attention mask
- A token only pays **attention to "past"** (left-hand side) **information** in the sequence
 - **"favorite"**: "orange", "is", "my", "favorite"
- Enforced causal attention: predict next word to generate, e.g. **"fruit"**
- Mask built and passed to the model
 - **self_attention_mask**
 - **Same multi-headed attention mechanism**: only the mask is different

Transformer body (decoder) and head

```
class DecoderOnlyTransformer(nn.Module):
    def __init__(self, vocab_size, d_model, num_layers, num_heads, d_ff, dropout, max_sequence_length):
        super(TransformerDecoder, self).__init__()
        self.embedding = nn.Embedding(vocab_size, d_model)
        self.positional_encoding = PositionalEncoding(d_model, max_sequence_length)
        self.layers = nn.ModuleList([DecoderLayer(d_model, num_heads, d_ff, dropout) for _ in range(num_layers)])
        self.fc = nn.Linear(d_model, vocab_size)

    def forward(self, x, self_mask):
        x = self.embedding(x)
        x = self.positional_encoding(x)
        for layer in self.layers:
            x = layer(x, self_mask)
        x = self.fc(x)
        return F.log_softmax(x, dim=-1)
```

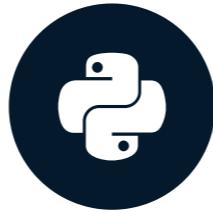
- `self.fc` : output linear layer with `vocab_size` neurons
- Add `self.fc` and *softmax* activation in forward pass

Let's practice!

INTRODUCTION TO LLMS IN PYTHON

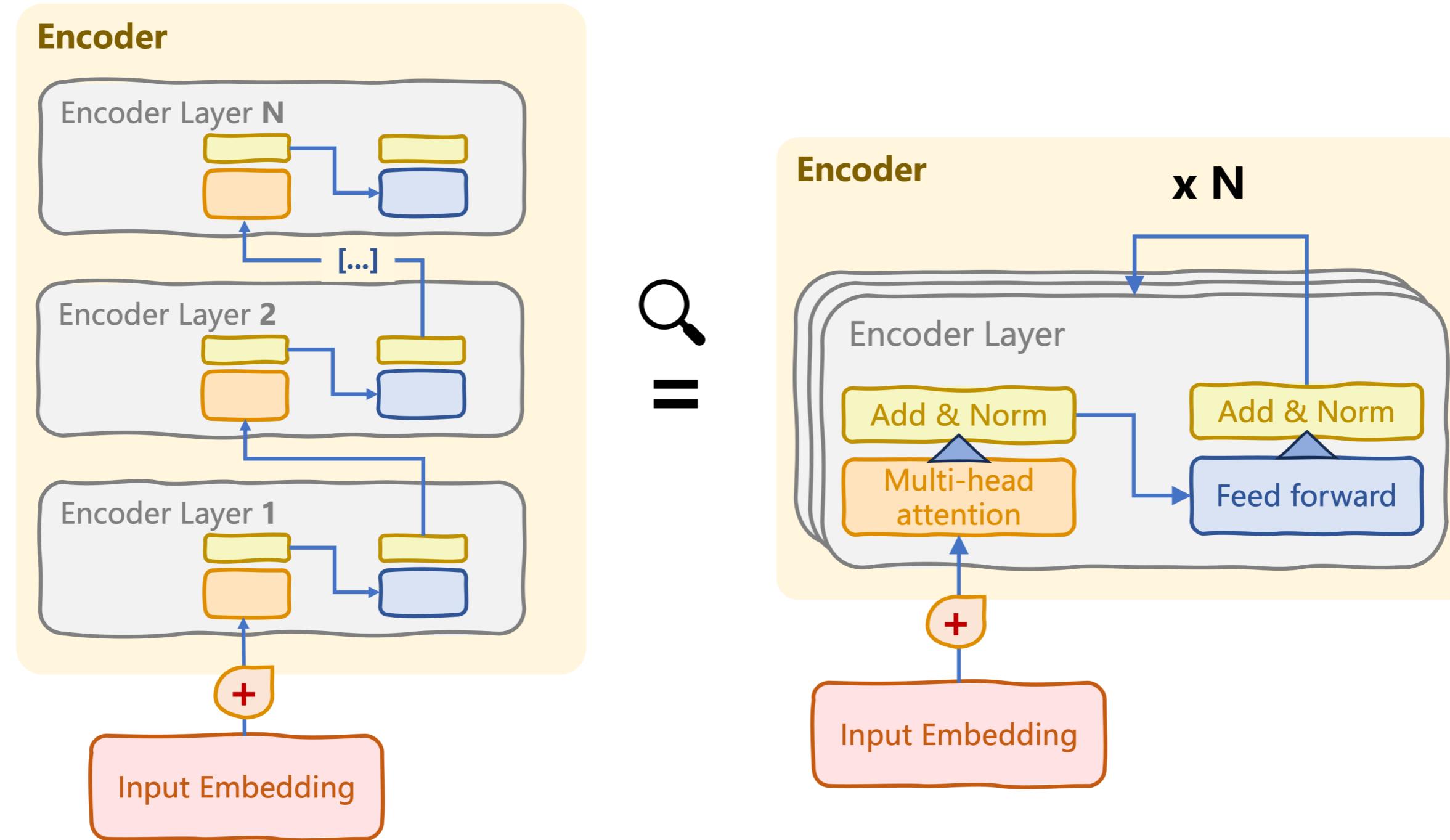
Building an encoder-decoder transformer

INTRODUCTION TO LLMS IN PYTHON



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Transformer architecture: encoder recap



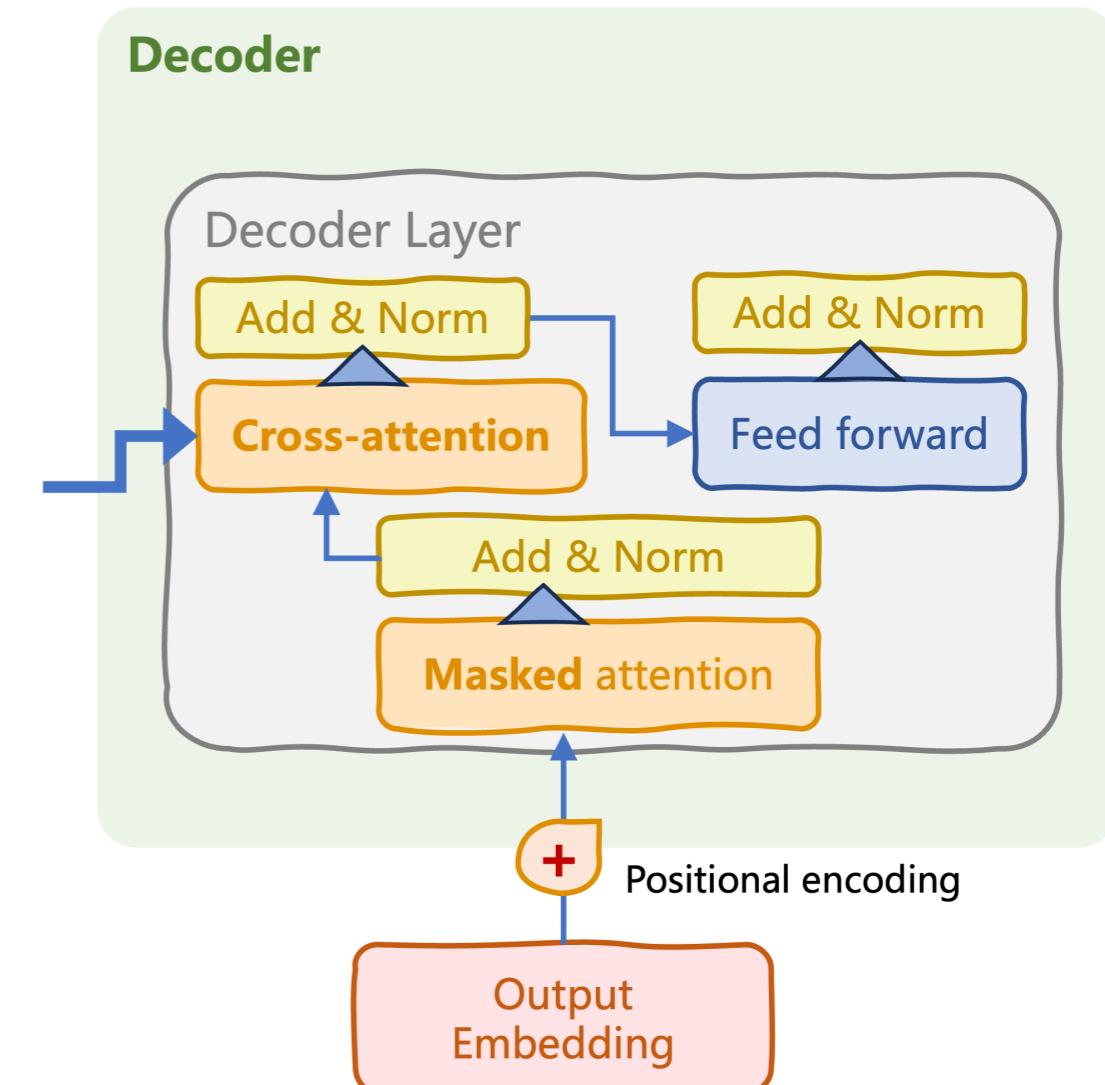
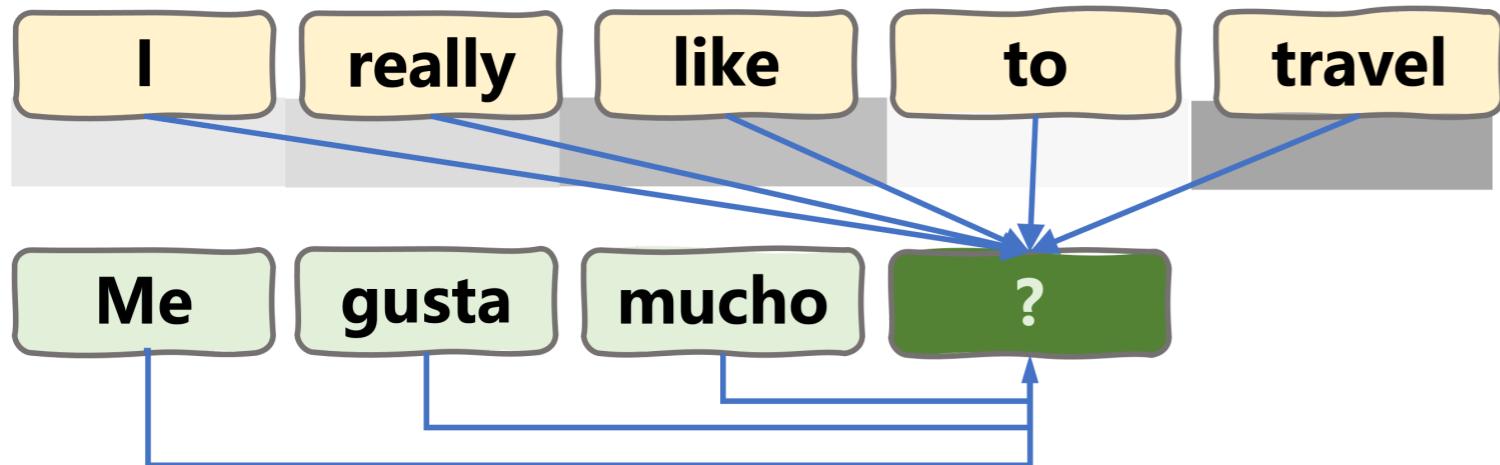
Cross-attention mechanism

Cross-attention: double inputs.

1. Information processed throughout decoder
2. Final hidden states from encoder block

Look back at processed input sequence.

Find out next output token to generate.



Cross-attention mechanism

Cross-attention: double inputs.

1. Information processed throughout **decoder**
2. Final hidden states from **encoder** block

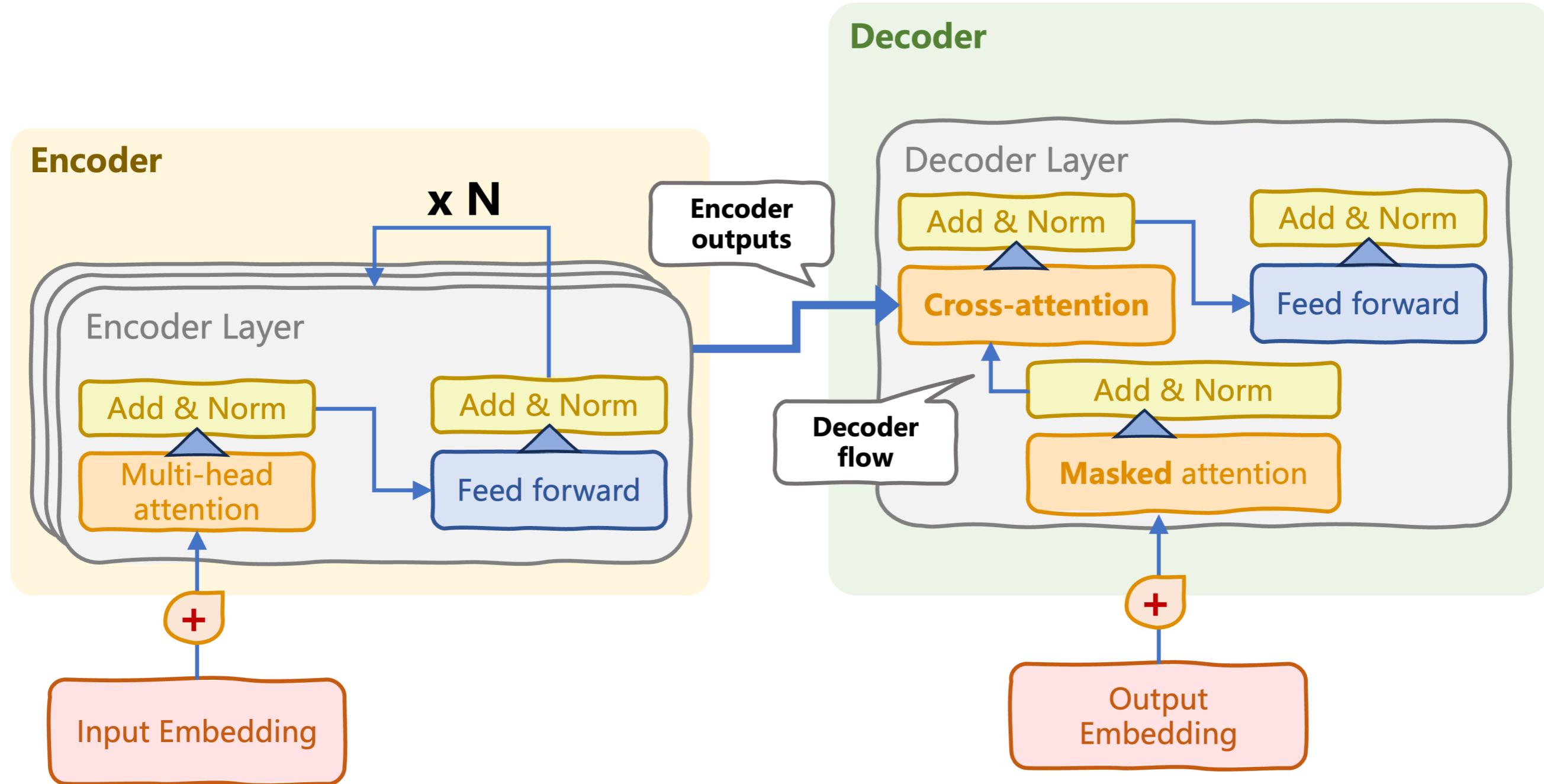
Look back at processed input sequence.

Find out next output token to generate.

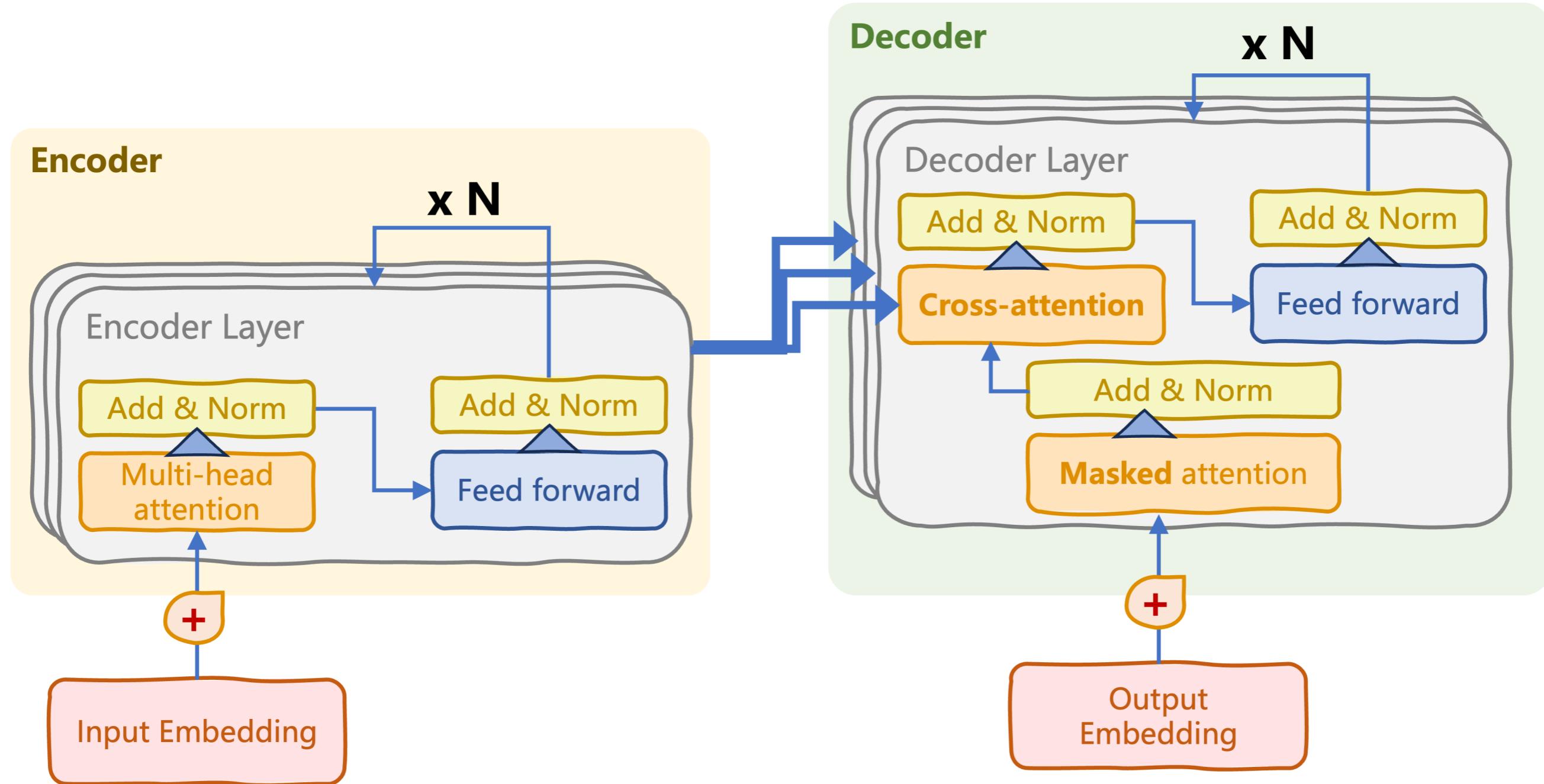
- **x** : **decoder information flow**, becomes cross-attention **query**
- **y** : **encoder output**, becomes cross-attention **key and values**

```
class DecoderLayer(nn.Module):  
    def __init__(self, d_model, num_heads, d_ff, dropout):  
        super(DecoderLayer, self).__init__()  
        self.self_attn = MultiHeadAttention(  
            d_model, num_heads)  
        self.cross_attn = MultiHeadAttention(  
            d_model, num_heads)  
        ...  
  
    def forward(self, x, y, causal_mask, cross_mask):  
        self_attn_output = self.self_attn(x, x, x,  
                                         causal_mask)  
        x = self.norm1(x + self.dropout(self_attn_output))  
  
        cross_attn_output = self.cross_attn(x, y, y,  
                                         cross_mask)  
        x = self.norm2(x + self.dropout(cross_attn_output))  
        ...
```

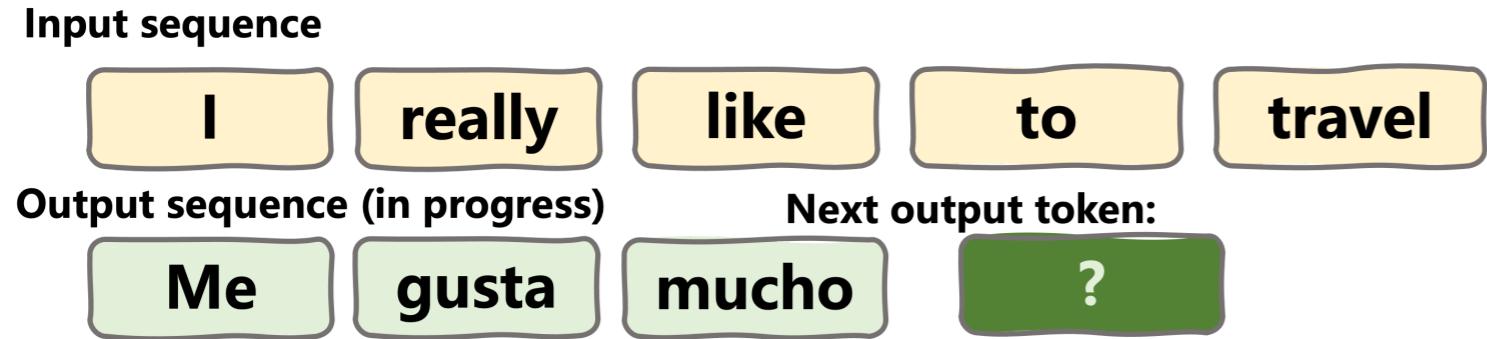
Encoder meets decoder



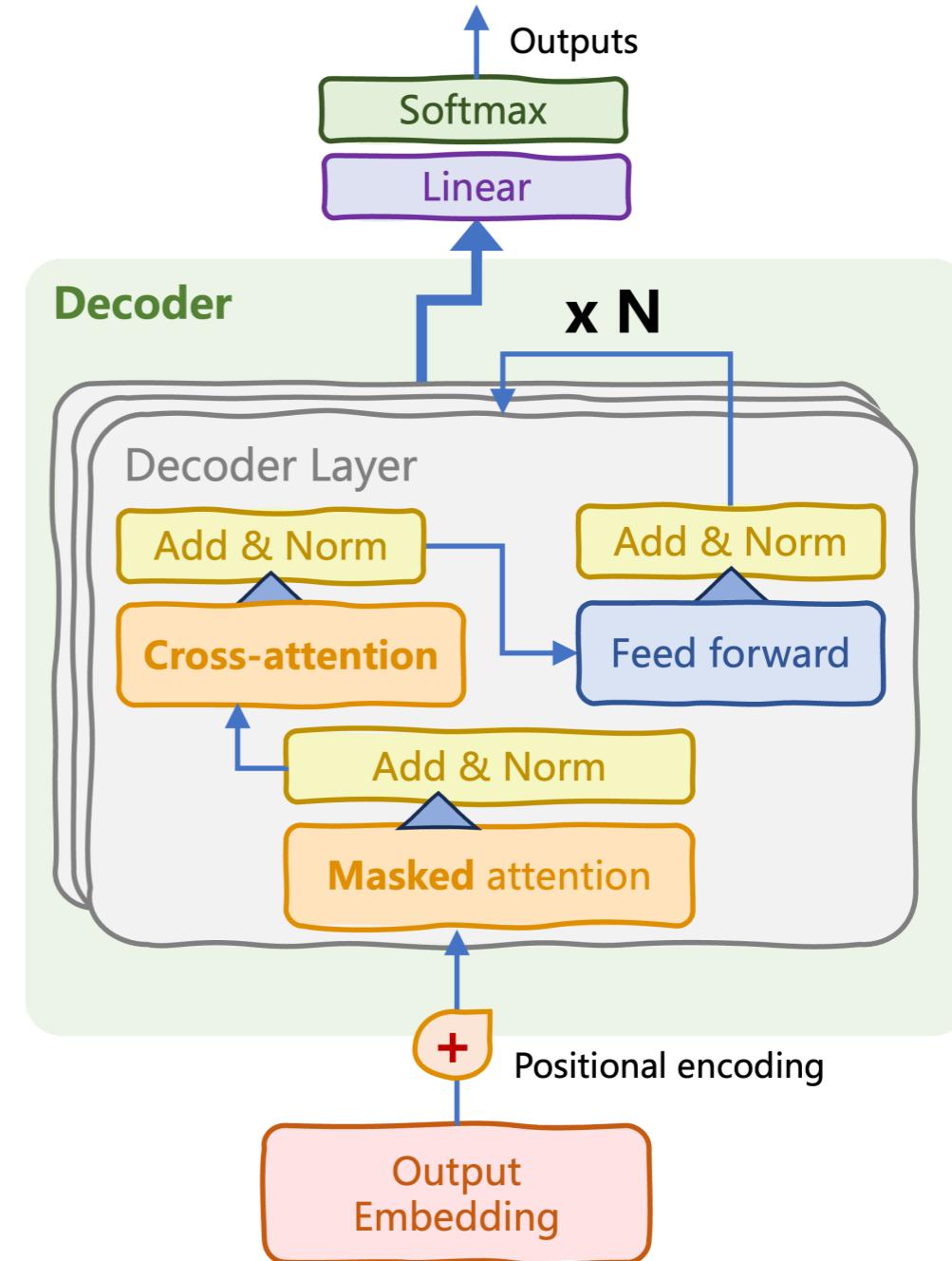
Encoder meets decoder



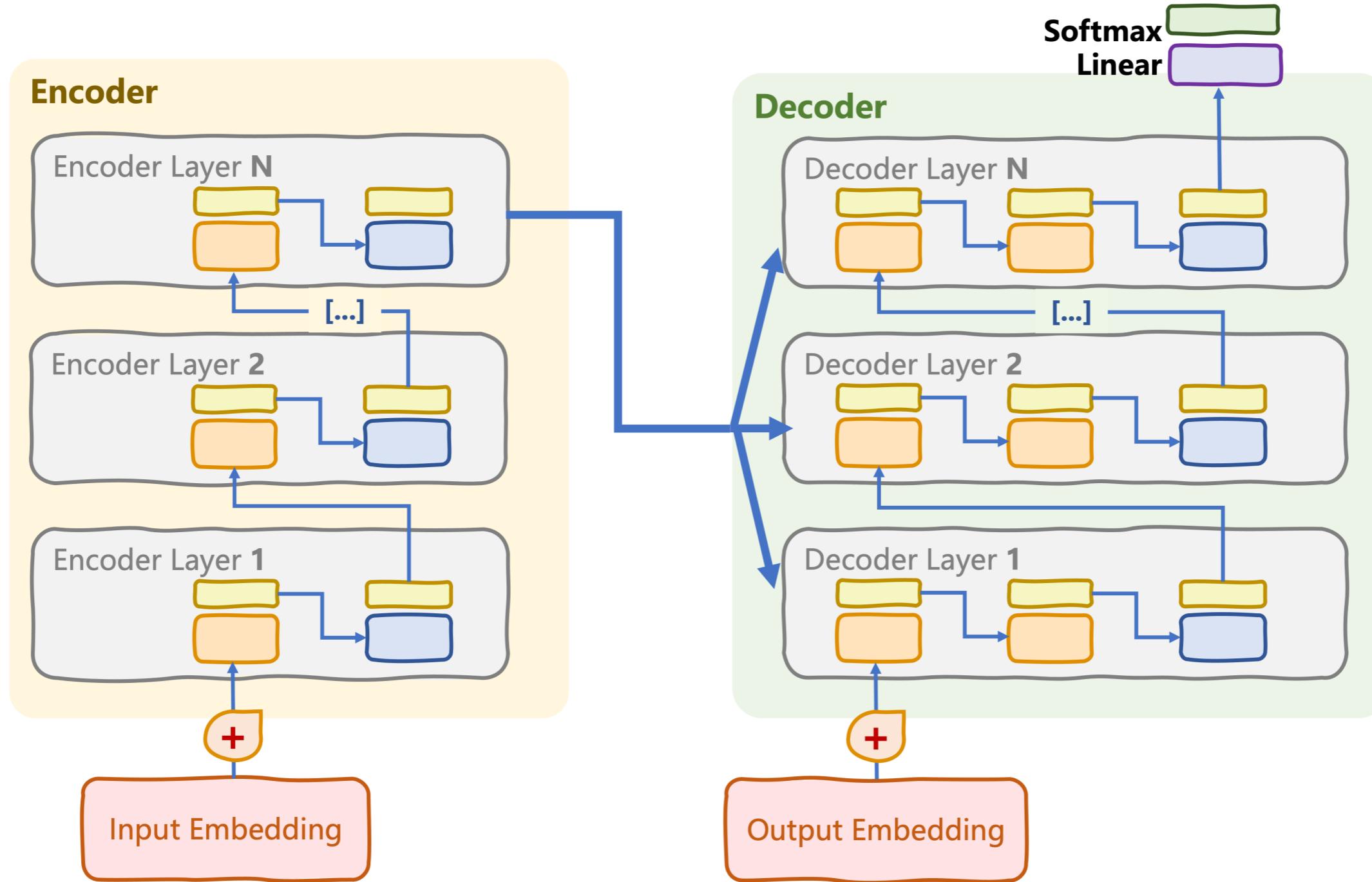
Transformer head



- jugar (*to play*): 0.03
- viajar (*to travel*): 0.96
- dormir (*to sleep*): 0.01



Everything brought together!



Everything brought together!

```
class PositionalEncoding(nn.Module):  
    ...  
class MultiHeadAttention(nn.Module):  
    ...  
class FeedForwardSubLayer(nn.Module):  
    ...  
class EncoderLayer(nn.Module):  
    ...  
class DecoderLayer(nn.Module):  
    ...
```

```
class TransformerEncoder(nn.Module):  
    ...  
class TransformerDecoder(nn.Module):  
    ...  
class ClassificationHead(nn.Module):  
    ...
```

```
class Transformer(nn.Module):  
    def __init__(self, vocab_size, d_model, num_heads,  
                 num_layers, d_ff, max_seq_len, dropout):  
        super(Transformer, self).__init__()  
  
        self.encoder = TransformerEncoder(vocab_size,  
                                           d_model, num_heads, num_layers, num_heads,  
                                           d_ff, max_seq_len, dropout)  
        self.decoder = TransformerDecoder(vocab_size,  
                                           d_model, num_heads, num_layers, num_heads,  
                                           d_ff, max_seq_len, dropout)  
  
    def forward(self, src, src_mask, causal_mask):  
        encoder_output = self.encoder(src, src_mask)  
        decoder_output = self.decoder(src, encoder_output,  
                                      causal_mask, mask)  
        return decoder_output
```

Let's practice!

INTRODUCTION TO LLMS IN PYTHON