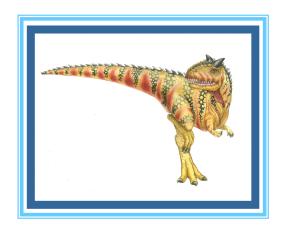
# Chapter 13: I/O Systems





# **Chapter 13: I/O Systems**

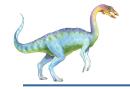
- Overview
- I/O Hardware
- Application I/O Interface
- Kernel I/O Subsystem
- Transforming I/O Requests to Hardware Operations
- STREAMS
- Performance





# **Objectives**

- Explore the structure of an operating system's I/O subsystem
- Discuss the principles of I/O hardware and its complexity
- Provide details of the performance aspects of I/O hardware and software



#### **Overview**

- I/O management is a major component of operating system design and operation
  - Important aspect of computer operation
  - I/O devices vary greatly
  - Various methods to control them
  - Performance management
  - New types of devices frequent
- Ports, busses, device controllers connect to various devices
- **Device drivers** encapsulate device details
  - Present uniform device-access interface to I/O subsystem



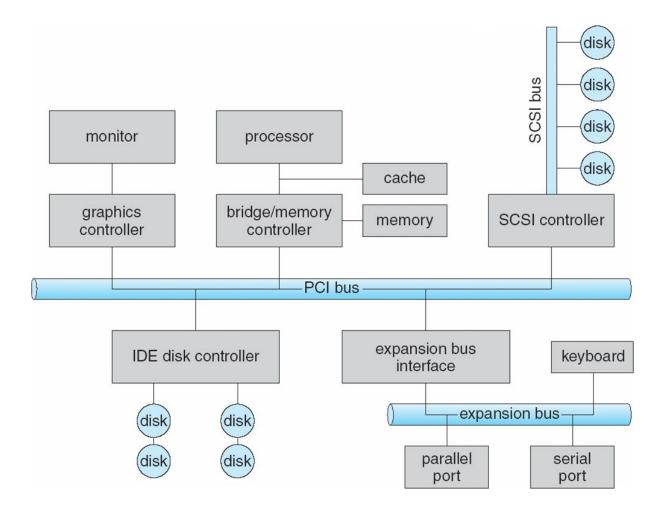
#### I/O Hardware

- Incredible variety of I/O devices
  - Storage
  - Transmission
  - Human-interface
- Common concepts signals from I/O devices interface with computer
  - Port connection point for device
  - Bus daisy chain or shared direct access
    - 4 PCI bus common in PCs and servers, PCI Express (PCIe)
    - 4 **expansion bus** connects relatively slow devices
  - Controller (host adapter) electronics that operate port, bus, device
    - 4 Sometimes integrated
    - 4 Sometimes separate circuit board (host adapter)
    - 4 Contains processor, microcode, private memory, bus controller, etc
      - Some talk to per-device controller with bus controller, microcode, memory, etc





# **A Typical PC Bus Structure**







# I/O Hardware (Cont.)

- I/O instructions control devices
- Devices usually have registers where device driver places commands, addresses, and data to write, or read data from registers after command execution
  - Data-in register, data-out register, status register, control register
  - Typically 1-4 bytes, or FIFO buffer
- Devices have addresses, used by
  - Direct I/O instructions
  - Memory-mapped I/O
    - 4 Device data and command registers mapped to processor address space
    - 4 Especially for large address spaces (graphics)





# **Device I/O Port Locations on PCs (partial)**

I/O address range (hexadecimal)	device	
000-00F	DMA controller	
020–021	interrupt controller	
040–043	timer	
200–20F	game controller	
2F8–2FF	serial port (secondary)	
320-32F	hard-disk controller	
378–37F	parallel port	
3D0-3DF	graphics controller	
3F0-3F7	diskette-drive controller	
3F8-3FF	serial port (primary)	





# **Polling**

- For each byte of I/O
  - 1. Read busy bit from status register until 0
  - 2. Host sets read or write bit and if write copies data into data-out register
  - 3. Host sets command-ready bit
  - 4. Controller sets busy bit, executes transfer
  - 5. Controller clears busy bit, error bit, command-ready bit when transfer done
- Step 1 is **busy-wait** cycle to wait for I/O from device
  - Reasonable if device is fast
  - But inefficient if device slow
  - CPU switches to other tasks?
    - 4 But if miss a cycle data overwritten / lost





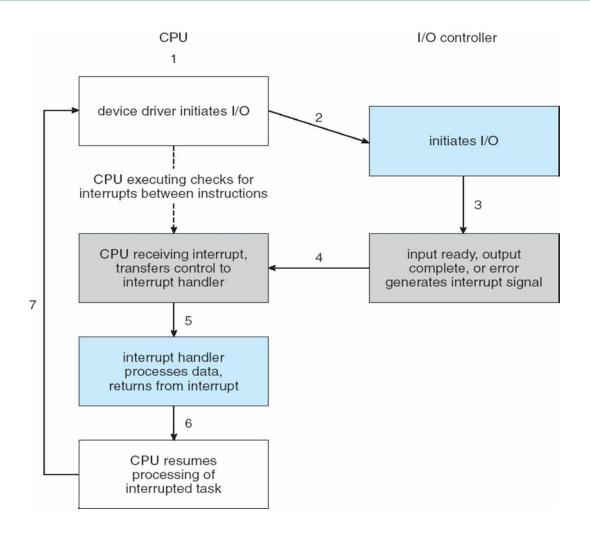
### **Interrupts**

- Polling can happen in 3 instruction cycles
  - Read status, logical-and to extract status bit, branch if not zero
  - How to be more efficient if non-zero infrequently?
- CPU Interrupt-request line triggered by I/O device
  - Checked by processor after each instruction
- **Interrupt handler** receives interrupts
  - Maskable to ignore or delay some interrupts
- **Interrupt vector** to dispatch interrupt to correct handler
  - Context switch at start and end
  - Based on priority
  - Some nonmaskable
  - Interrupt chaining if more than one device at same interrupt number





### Interrupt-Driven I/O Cycle







# **Intel Pentium Processor Event-Vector Table**

vector number	description	
0	divide error	
1	debug exception	
2	null interrupt	
3	breakpoint	
4	INTO-detected overflow	
5	bound range exception	
6	invalid opcode	
7	device not available	
8	double fault	
9	coprocessor segment overrun (reserved)	
10	invalid task state segment	
11	segment not present	
12	stack fault	
13	general protection	
14	page fault	
15	(Intel reserved, do not use)	
16	floating-point error	
17	alignment check	
18	machine check	
19–31	(Intel reserved, do not use)	
32–255	maskable interrupts	





# Interrupts (Cont.)

- Interrupt mechanism also used for exceptions
  - Terminate process, crash system due to hardware error
- Page fault executes when memory access error
- System call executes via **trap** (**software interrupt**) to trigger kernel to execute request
- Multi-CPU systems can process interrupts concurrently
  - If operating system designed to handle it
- Used for time-sensitive processing, frequent, must be fast





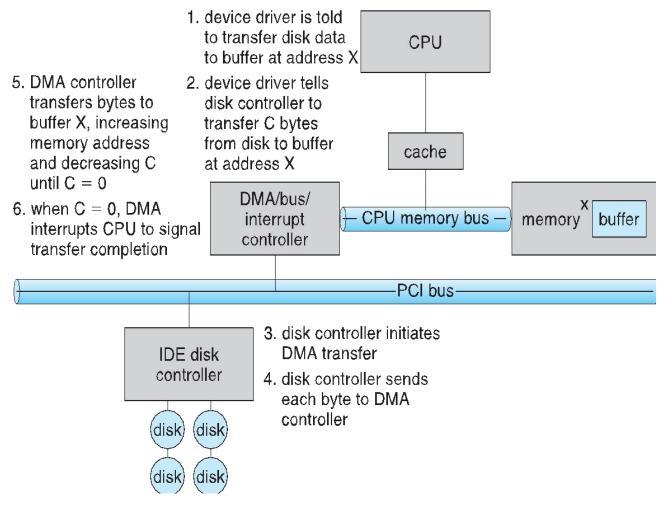
# **Direct Memory Access**

- Used to avoid **programmed I/O** (one byte at a time) for large data movement
- Requires **DMA** controller
- Bypasses CPU to transfer data directly between I/O device and memory
- OS writes DMA command block into memory
  - Block contain pointer to source and destination addresses
  - Read or write mode
  - Count of bytes
  - The CPU writes location of command block to DMA controller, then goes on with other work.
  - Bus mastering of DMA controller grabs bus from CPU
    - 4 Cycle stealing from CPU but still much more efficient
  - When done, interrupts to signal completion
- Version that is aware of virtual addresses can be even more efficient **DVMA**





#### **Six Step Process to Perform DMA Transfer**



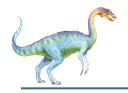




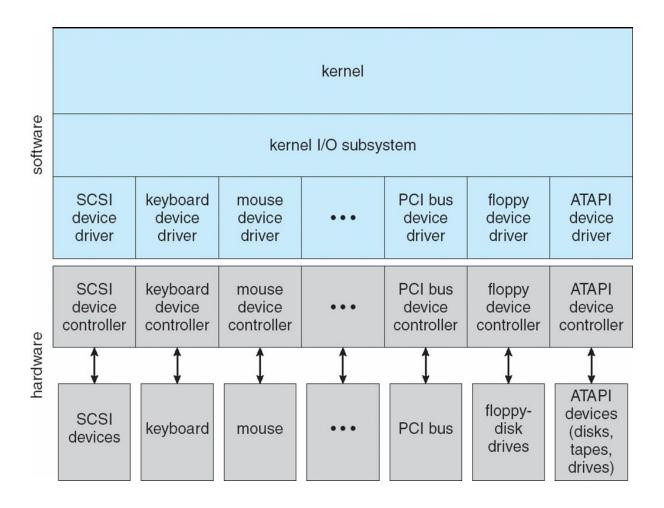
### **Application I/O Interface**

- I/O system calls encapsulate device behaviors in generic classes
- Device-driver layer hides differences among I/O controllers from kernel
- New devices talking already-implemented protocols need no extra work
- Each OS has its own I/O subsystem structures and device driver frameworks
- Devices vary in many dimensions
  - Character-stream or block
  - Sequential or random-access
  - Synchronous or asynchronous (or both)
  - Sharable or dedicated
  - Speed of operation
  - read-write, read only, or write only





#### A Kernel I/O Structure





### **Characteristics of I/O Devices**

aspect	variation	example
data-transfer mode	character block	terminal disk
access method	sequential random	modem CD-ROM
transfer schedule	synchronous asynchronous	tape keyboard
sharing	dedicated sharable	tape keyboard
device speed	latency seek time transfer rate delay between operations	
I/O direction	read only write only read–write	CD-ROM graphics controller disk

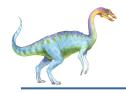




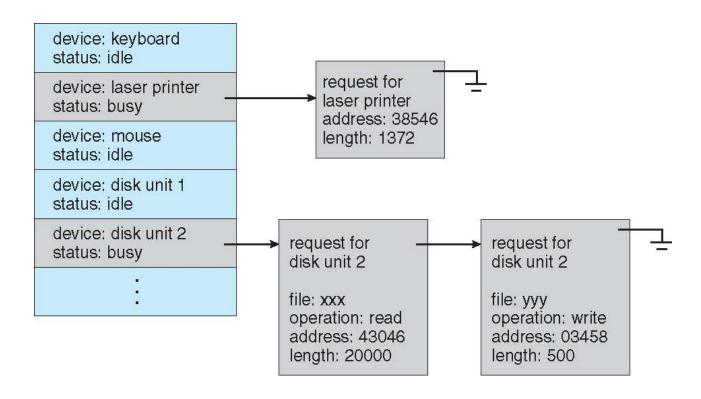
### **Kernel I/O Subsystem**

- Scheduling: (To schedule a set of I/O requests means to determine a good order in which to execute them.)
  - Some I/O request ordering via per-device queue
  - Some OSs try fairness
  - Some implement Quality Of Service (i.e. IPQOS)
- **Buffering** store data in memory while transferring between devices
  - To cope with device speed mismatch
  - To cope with device transfer size mismatch
  - To maintain "copy semantics" (an application wants to write data on a disk that is stored in its buffer.)
  - **Double buffering** two copies of the data
    - 4 Kernel and user
    - 4 Varying sizes
    - 4 Full / being processed and not-full / being used
    - 4 Copy-on-write can be used for efficiency in some cases





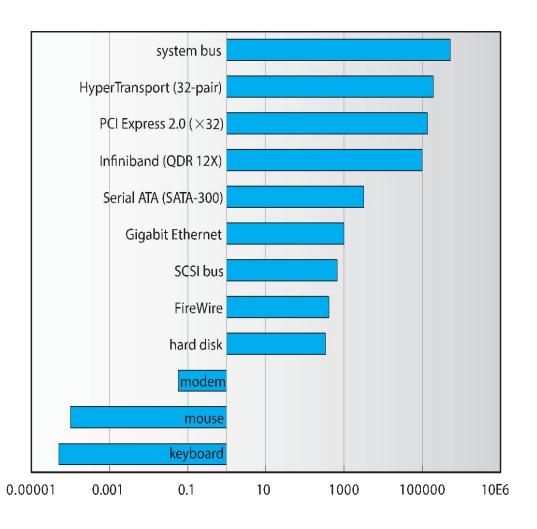
#### **Device-status Table**







# **Sun Enterprise 6000 Device-Transfer Rates**







### **Kernel I/O Subsystem**

- Caching faster device holding copy of data
  - Always just a copy
  - Key to performance
  - Sometimes combined with buffering
- **Spooling** is a buffer that hold output for a device
  - If device can serve only one request at a time
  - i.e., Printing
- Device reservation provides exclusive access to a device
  - System calls for allocation and de-allocation
  - Watch out for deadlock





### **Error Handling**

- OS can recover from disk read, device unavailable, transient write failures
  - Retry a read or write, for example
  - Some systems more advanced Solaris FMA, AIX
    - 4 Track error frequencies, stop using device with increasing frequency of retry-able errors
- Most return an error number or code when I/O request fails
- System error logs hold problem reports





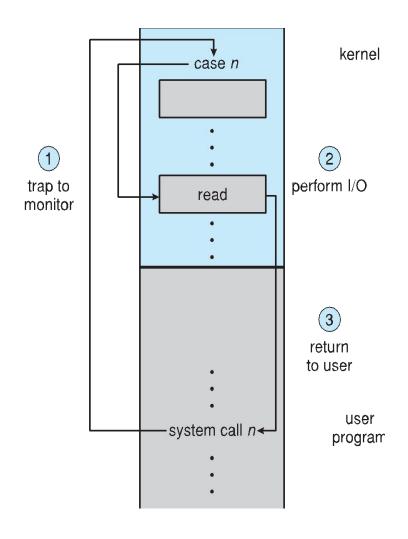
#### **I/O Protection**

- User process may accidentally or purposefully attempt to disrupt normal operation via illegal I/O instructions
  - All I/O instructions defined to be privileged
  - I/O must be performed via system calls
    - 4 Memory-mapped and I/O port memory locations must be protected too





### **Use of a System Call to Perform I/O**





# **End of Chapter 13**

