

## Merge Sort:

- It's an Divide & Conquer Algorithm  
(divide the array in two parts, sort these two parts, then merge this two parts)
- Stable
- $O(n \log n) \leftarrow TC$  |  $O(n) \leftarrow SC$  (not in-place)
- Block Merge Sort:  
D is a sorting algo combining atleast two merge operations with an insertion sort to arrive at  $O(n \log n)$  in-place stable sorting algo.
- For Array Quick Sort better than MS
- For linked list MS better uses  $O(1)$  Aux space
- Well suited for external sorting (bring parts of array in RAM then sort)

## Merge Without Extra Space $O(1) \rightarrow SC$

$I[p \rightarrow a1[1, 2, 5, 7]]$		$O[p \rightarrow a1[0, 1, 2, 3]]$
$a2[0, 2, 6, 8, 9]$		$a2[5, 6, 7, 8, 9]$

- Given two sorted array, sort in-place.
- a) Take a new temp[] array copy element of  $a1 \& a2$  in temp[] then sort temp[]  
 $TC \rightarrow O(n+m) \log(m+n)$   $SC \rightarrow O(n+m)$

b)  $a1[] = 1^2 4 7 8 10$        $TC \rightarrow O(nm)$   
 $a2[] = 2 3 9$   
 $\vdots$   
 $i$   
 $j$   
 $\text{if } (a1[i] > a2[j]) \text{ swap};$   
 $\text{but } a2[j] \text{ element in correct position in } a2 \text{ array}$   
 $\text{then } 4 > 2$   
 $\text{swap} \Rightarrow 1 2 7 8 10 \rightarrow 4 \text{ at correct position in array 2}$   
 $\Rightarrow 3 4 9$

doubt gap का cell why?

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### Q) Gap Method (Shell sort)

T.C -  $O(n \log(n))$   
while loop

void merge (long long a1[], long long a2[], int n, int m)

{

    int i, j, gap = ceil((float)(n+m)/2);  
    while (gap > 0)

{

        for (i = 0; i + gap < n; i++)

{

            if (a1[i] > a1[i+gap])

                swap(a1[i], a1[i+gap]);

}

        for (j = gap > n ? gap - n : 0; i < n && j < m;  
            i++, j++)

{

            if (a1[i] > a2[j])

                swap(a1[i], a2[j]);

}

        for (j = 0; j + gap < m; j++)

{

            if (a2[j] > a2[j+gap])

                swap(a2[j], a2[j+gap]);

}

हम पान्ते हैं

gap = 1 और एक ही

बार यह

if (gap == 1)

break;

gap = ceil((float)gap / 2);

}

\* when gap = 1 then ceil(1/2) is always 1 causing TLE.

or a1 {1 3 5 7}

a2 {0 2 6 8 9}

- \* 1<sup>st</sup> for loop : compare element in first array  $a_1$
- 2<sup>nd</sup> \_\_\_\_\_ : compare element in both arrays  $a_1, a_2$  with help of two pointers.
- 3<sup>rd</sup> \_\_\_\_\_ : compare element in second array itself  $a_2$ .

(III)

### Merge Sort :

```

void merge(int a[], int l, int m, int n)
{
    int n1 = m - l + 1, n2 = n - m;
    int left[n1], right[n2];
    for (int i=0; i<n1; i++)
        left[i] = a[l+i];
    for (int i=0; i<n2; i++)
        right[i] = a[m+1+i];
    int i=0, j=0, k=l; // k=l
    while (i < n1 && j < n2)
    {
        if (left[i] <= right[j])
            a[k++] = left[i++];
        else
            a[k++] = right[j++];
    }
    while (i < n1) { a[k++] = left[i++]; }
    while (j < n2) { a[k++] = right[j++]; }
}
    
```

→ merge algo  
→ merge will happen  
only when  $a[]$  has  
at least 2 elements

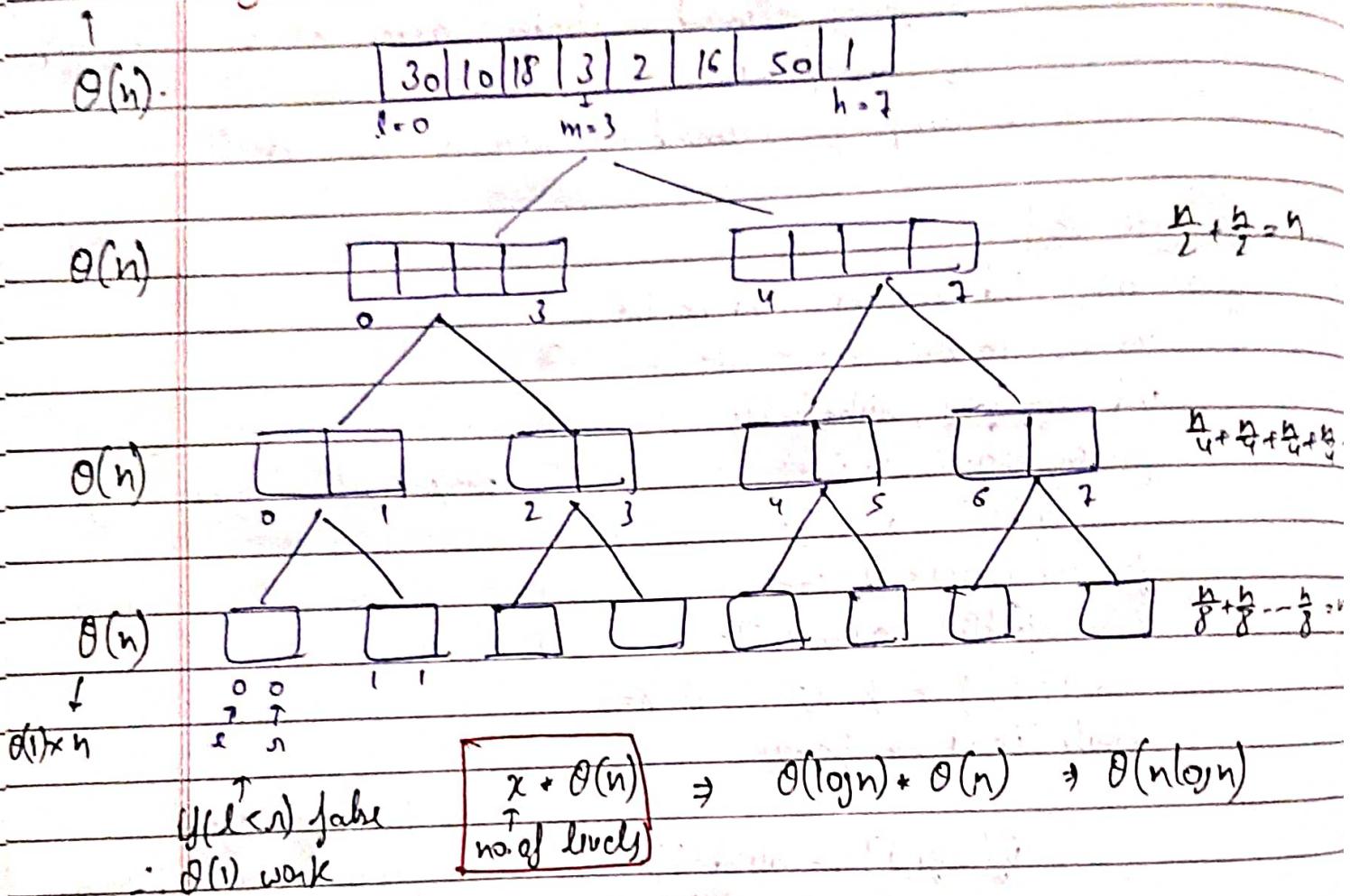
```
void mergeSort(int a[], int l, int n) // l=0, n=n-1;
```

```
{
    if (n > 1)
    {
        int m = (l+n)/2;
        mergeSort(a, l, m);
        mergeSort(a, m+1, n);
        merge(a, l, m, n);
    }
}
```

work done at  
every level is  $\Theta(n)$

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## $\Theta(n) \times \Theta(n)$ Merge Sort Analysis:



$$\text{levels} = \lceil \log_2 n \rceil \quad \text{cost per level} = O(n) \quad \text{total cost} = O(n \log n)$$

for  $n=8$  ( $\log_2 8 = 3$ ) levels =  $3 + 1 = 4$  levels

Space complexity  $\rightarrow \Theta(n)$

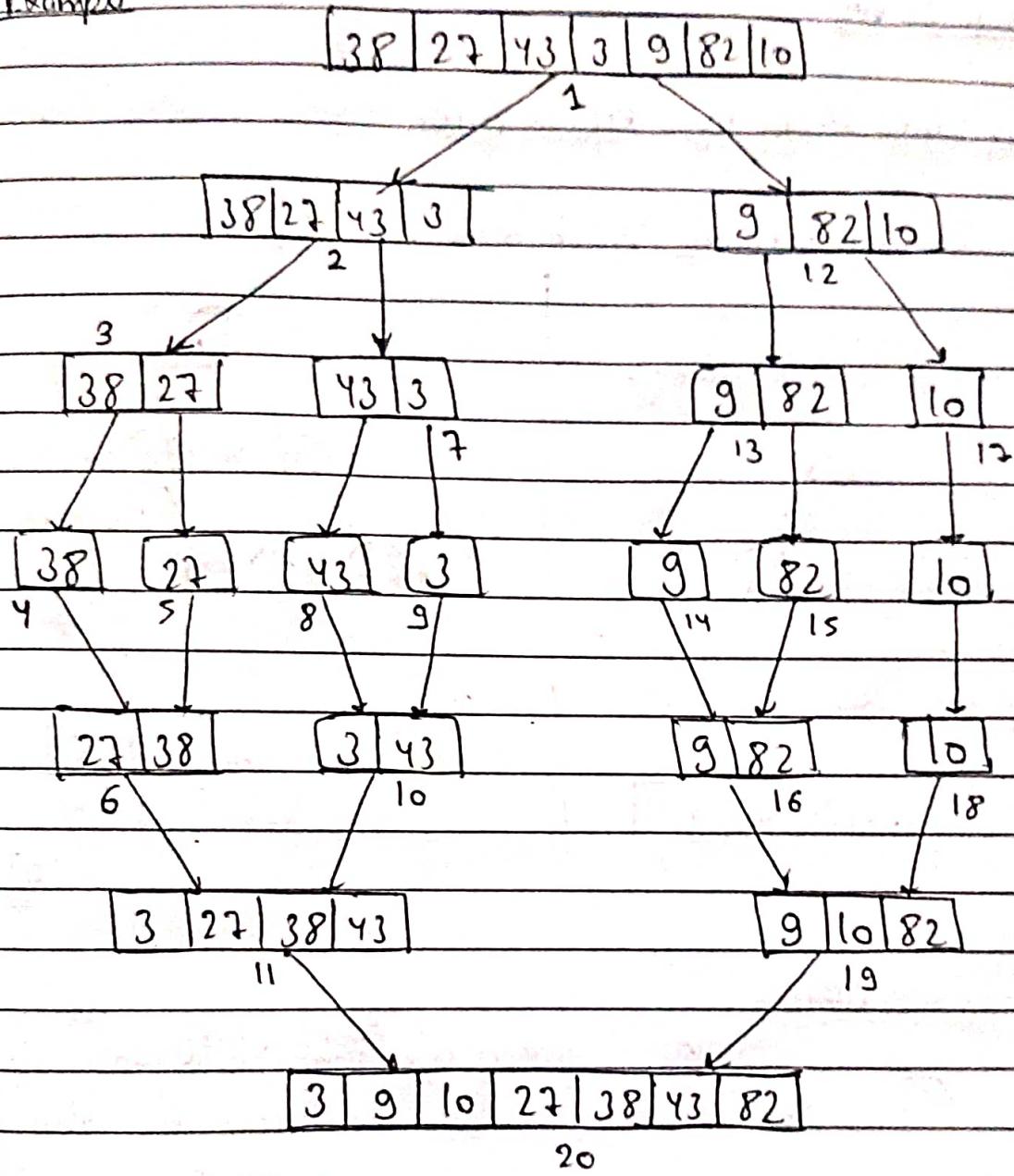
# Merge() takes  $\Theta(n)$  time

$\rightarrow$  When  $n$  is even no. of levels  $(\log_2 n + 1)$

$\rightarrow$  When  $n$  is odd  $\lceil \log_2 n \rceil + 1$

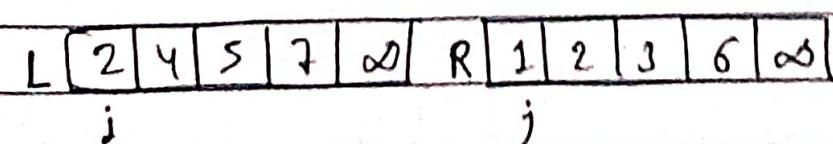
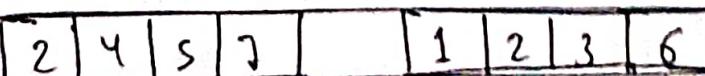
ceil value

## Example



## Merge boundary

### Example



If  $i = j$   
then  $A[i] = i$   
so it is



$A[R] = j$   
for

final array  
first & last

## (Count Inversions :

$T/b = n = 5$

$a[] = \{2, 4, 1, 3, 5\}$

$O/b = 3$

$(2, 1), (4, 1), (4, 3)$

↓  
possible inversions

- Two elements  $a[i], a[j]$  form an inversion if  $a[i] > a[j]$  &  $i < j$
- Brute force :  $O(n^2)$  SC  $\rightarrow O(1)$
- MergeSort :  $O(n \log n)$  SC  $\rightarrow O(n)$

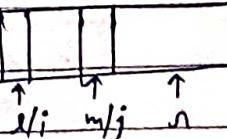
The long long

```
int inversionCount(int a[], int n)
{
    int b[n];
    return mergeSort(a, b, 0, n-1);
}
```

```
int mergeSort(int a[], int b[], int l, int r)
{
    int m = (l+r)/2, count = 0;
    if (l < r)
    {
        count += mergeSort(a, b, l, m);
        count += mergeSort(a, b, m+1, r);
        count += merge(a, b, l, m, r);
    }
    return count;
}
```

```
int merge(int a[], int b[], int l, int m, int r);
```

```
{
    int count = 0, i = l, j = m+1, k = l;
    while (i <= m && j <= r)
```



if ( $a[i] <= a[j]$ )

$b[k+] = a[i++]$ ;

else

$b[k+] = a[j++]$ ;

$count += (m+1-i)$ ;

```

while (i < m) b[k++] = a[i++];
while (j < n) b[k++] = a[j++];
for (i = l; i < r; i++)
    a[i] = b[i];
when cont;
}

```

## Quick Sort: Partition a given Array:

### (I) Naive Approach

I/P: {3, 8, 6, 12, 10, 2} if pivot = 5

O/P: {3, 6, 7, 8, 12, 10}

or

{6, 3, 2, 12, 8, 10}

or

so on

2 in left set ~~at~~

2 in right set ~~at~~

void partition(int a, int l, int h, int b)

{

int temp[h-l+1], index=0

for (int i=l; i<=h; i++)

if (a[i] <= a[b])

{ temp[index] = a[i]; index++; }

for (int i=l; i<=h; i++)

if (a[i] > a[b])

{ temp[index] = a[i]; index++; }

for (int i=l; i<=h; i++)

a[i] = temp[i-l];

}

TC  $\rightarrow$  O(n)

SC  $\rightarrow$  O(n)

last element is pivot  
↑

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(II) Lomuto Partition:  $T(n) \rightarrow O(n)$  SC:  $O(1)$

int partition(int a[], int l, int h)

{ int p=a[h];

int i=l;

for(int j=l; j <=h-1; j++)

if(a[j] < p)

{

swap(a[j], a[i]);

i++;

}

swap(a[i], a[h]); // swap the pivot(a[h]) with just greater element than pivot.  
return i;

}

eg:

10	80	30	90	40	50	70
i	j			h-1	h	

i=0, j=0,

for loop

10	80	30	90	40	50	70
i	j					

10 < 70 swap & i++ i=1, j=1

10	80	30	90	40	50	70
i	j					

i=1, j=2

10	80	30	90	40	50	70
i	j					

30 < 70 swap i++ , i=2, j=2

10	30	80	90	40	50	70
i	j					

j=3, i=2

10	30	40	90	80	50	70
i	j					

j=4 40 < 70 swap i++ i=3

10	30	40	50	80	90	70
i	j					

50 < 70 swap i++ i=4, j=5

in last iteration j=6 > h=1  
at last swap (a[i] & a[h])

10	30	40	50	70	90	80
i	j					

return i=4

→ When pivot is not the last element, then simply swap() the pivot with last element

First element is pivot

III

Hoare's Partition TC = O(n) SC = O(1)

- HP takes less no. of comparison than Lomuto Partition.
- It doesn't fix the pivot at its correct position.
- Corner Case : pivot is smallest {5, 10, 12, 14} pivot - largest {12, 10, 5, 9} → {9, 10, 5, 12} all elements are same only one swap

int partition(int arr[], int l, int h)

{

    int pivot = arr[l];

    int i = l + 1, j = h + 1;

    while (1)

    {

        do { i++; } while (arr[i] < pivot);

        do { j--; } while (arr[j] > pivot);

        if (i == j) return j;

        swap(arr[i], arr[j]);

    }

}

\* swap ~~at~~ ~~in~~ ~~at~~ ~~in~~ ~~at~~ ~~in~~ ~~at~~ ~~in~~ ~~at~~ ~~in~~ ~~at~~ ~~in~~ ~~at~~ because do while loop.

Corner Case: pivot is largest

{12, 10, 5, 9} → {12, 10, 5, 9} → {9, 10, 5, 12} → {9, 10, 5, 12}

i

j

i

j

<= j

pivot = 5 \*

{1, 3, 2, 4, 8, 7, 5, 10}

j

i

in HP on left ( $\leq$  pivot) elements are ~~in~~  
in right ( $\geq$  pivot)

pivot = 5 \*

{5, 3, 2, 5, 8, 7, 9, 10}

j

i

→ Hoare & Lomuto both unstable

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unstable

Corner Case: all elements are same

$\{s, s, s, s\}$   $i = -1, j = 4$

$\{s, s, s, s\}$  swap  $\Rightarrow \{s, s, s, s\}$  swap  $\Rightarrow \{s, s, s, s\}$  when  $j$

$a[1] \boxed{5 \ 3 \ 8 \ 4 \ 2 \ 7 \ 1 \ 10} \quad i = -1, j = 8$

$\begin{matrix} \boxed{s} & 3 & 8 & 4 & 2 & 2 & \boxed{1} & 10 \\ i & & & & & j & & \end{matrix}$  swap  $a[i]$  &  $a[j]$

$\begin{matrix} 1 & 3 & \boxed{8} & 4 & \boxed{2} & 7 & 5 & 10 \\ i & & j & & & & & \end{matrix}$ "

$\begin{matrix} 1 & 3 & 2 & 4 & 8 & 7 & 5 & 10 \\ & j & i & & & & & \end{matrix}$  when  $j$

## Quick Sort

- Divide and Conquer Algo (divide array in 2 part then sort these 2 part independently)
- Worst Case  $O(n^2)$
- Consider faster than Merge Sort b/c it is in-place, cache friendly, average case  $O(n \ log n)$ , tail recursive
- If you don't need stability Quick Sort is fastest Algo

### (I) QS using IP:

void QuickSort (int a[], int l, int h)

{

    if (l < h)

{

        int b = partition (a, l, h);

        QuickSort (a, l, b-1);

        QuickSort (a, b+1, h);

}

}

Tail Recursion: No extra work after child function call

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e.g.  $\{8, 4, 7, 9, 3, 10, 5\}$

Merge Sort

# Backtrack list  
from merge() function  
call list DT

Backtrack # start now work. QS(0, 6)

QS divide the array &  
send them independently.

QS(0, 1)

$\boxed{4 \mid 3}$

b=0

QS(0, -1)

QS(1, 1)

{3, 4}

QS(3, 6)

$\boxed{9 \mid 8 \mid 10 \mid 7}$

b=03

QS(3, 2)

{7} {8}

QS(4, 6)

$\boxed{8 \mid 10 \mid 9}$

b=5

QS(4, 4)

QS(6,

{3, 4, 5, 7, 8, 9, 10}

{8, 9, 10}

II QS using HP:

void Quicksort(int arr, int l, int h)

{

if(l < h)

{

int b = partition(a, l, h);

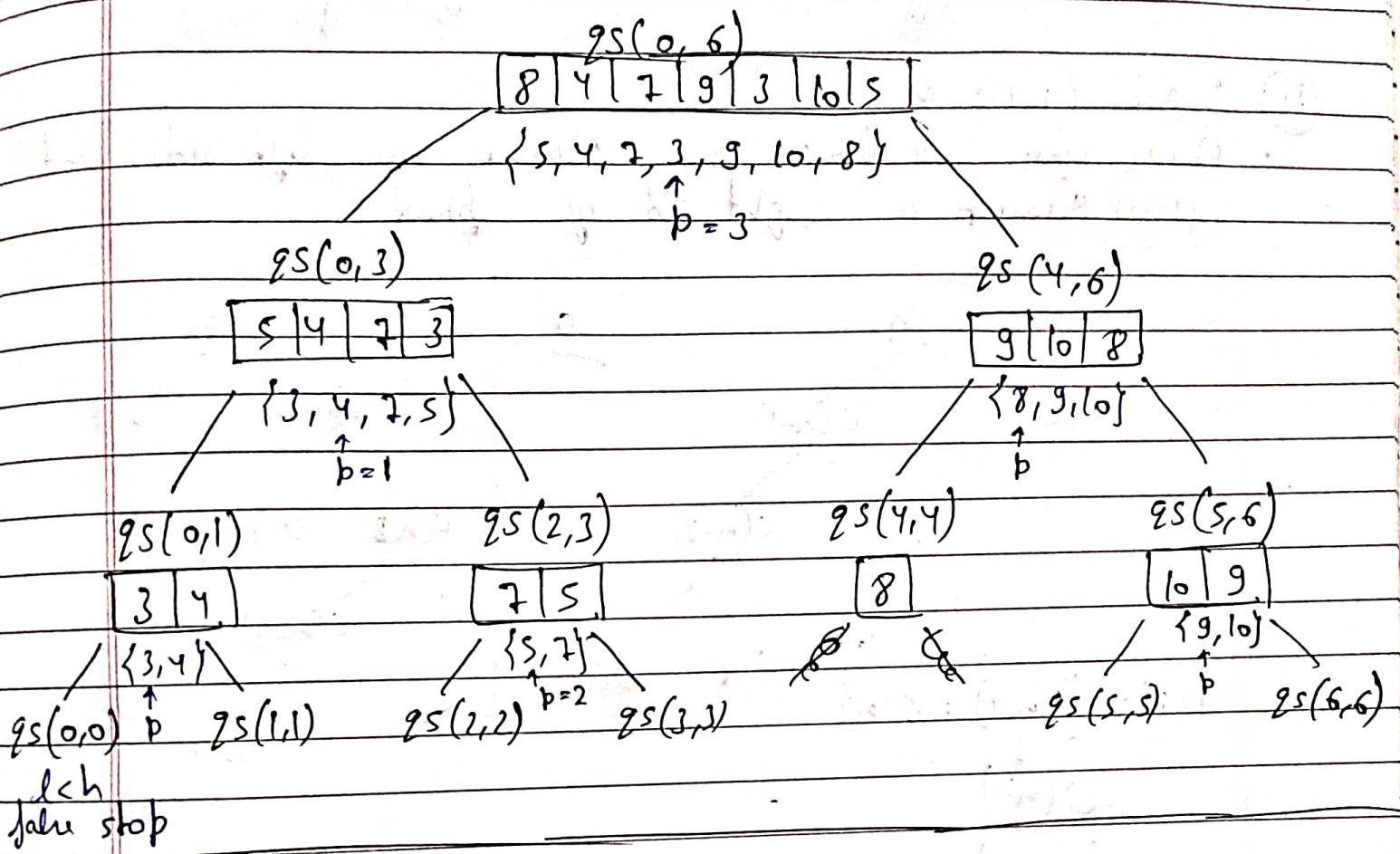
Quicksort(a, l, b);

Quicksort(a, b+1, h);

}

e.g.  $\{ \overset{1}{8}, \overset{2}{4}, \overset{3}{7}, \overset{4}{9}, \overset{5}{3}, \overset{6}{10}, \overset{6}{5} \}$

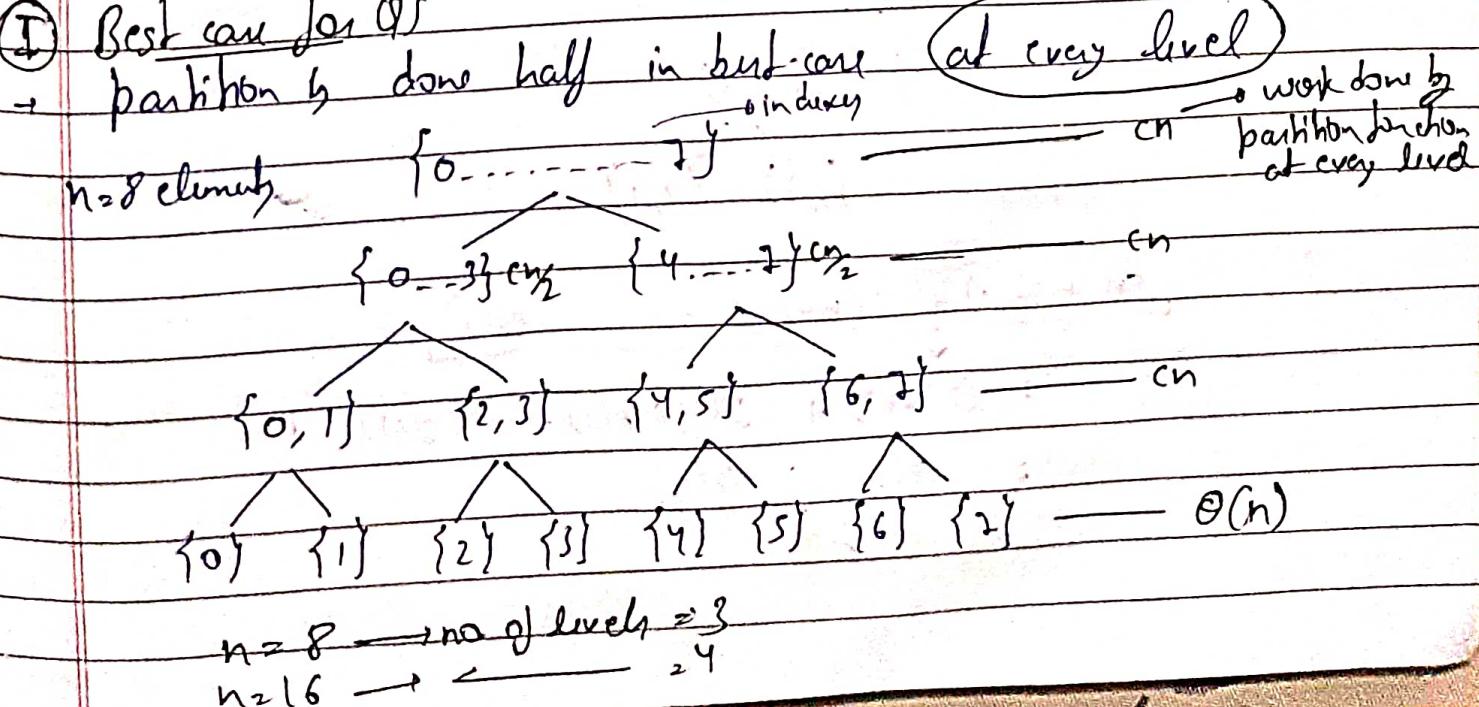
→ see properly the returned portion of  $\phi$



### → Analysis of QuickSort:

- Analysing using NP (for both it is same)

#### I Best case for QS



When Array is sorted, both HP & LP will give complexity

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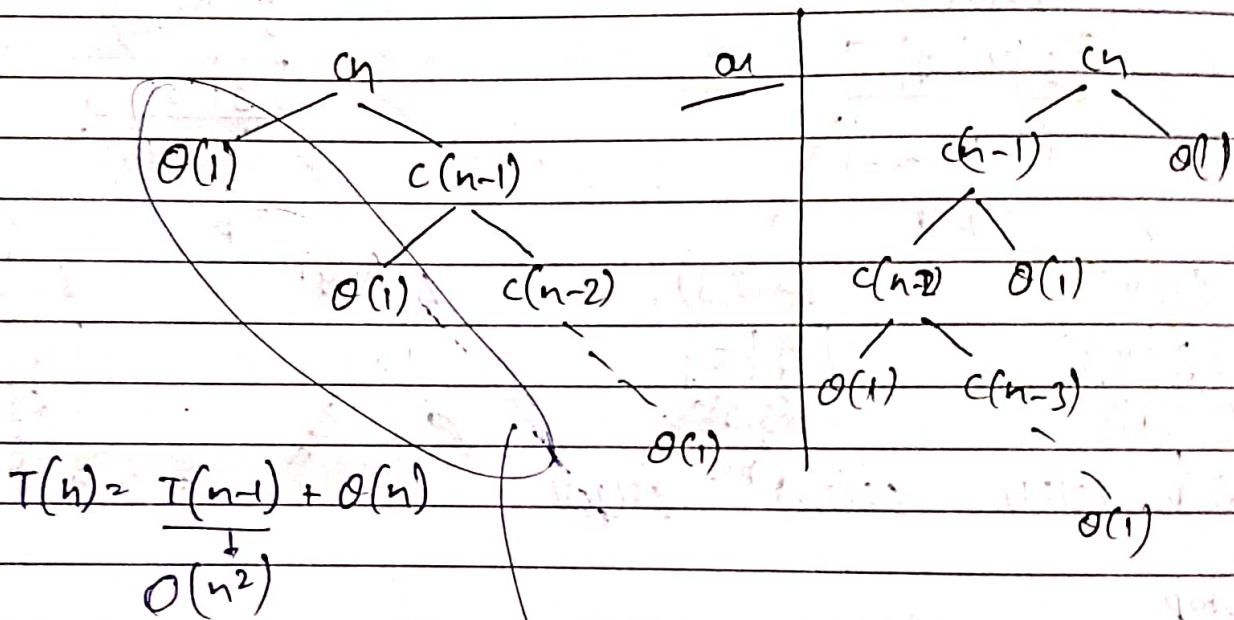
$$cn + cn + cn + \dots + \Theta(n)$$

$$cn \times \log_2 n + \Theta(n) = \Theta(n \log_2 n)$$

$$T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n)$$

## (II) Worst Case:

- Occurs when we have one element on left side and  $(n-1)$  element on right side of pivot.



e.g.  $\{0, 4\}$

$\{0, 0\} \quad \{1, 4\}$

$\{1, 1\} \quad \{2, 4\}$

$\{2, 2\} \quad \{3, 4\}$

$\{3, 3\} \quad \{4, 4\}$

$n=5 \rightarrow \text{no. of levels} = 5$

$$cn + c(n-1) + c(n-2) + \dots + \Theta(1)$$

$$= \Theta(n^2) + \Theta(1) + \Theta(1) - \dots - \Theta(1)$$

$$= \Theta(n^2) + \Theta(n)$$

$$= \Theta(n^2)$$

Random pivot selection gives  $O(n \log n)$

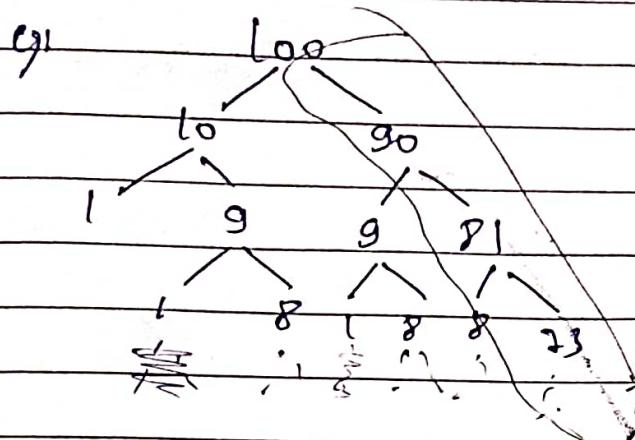
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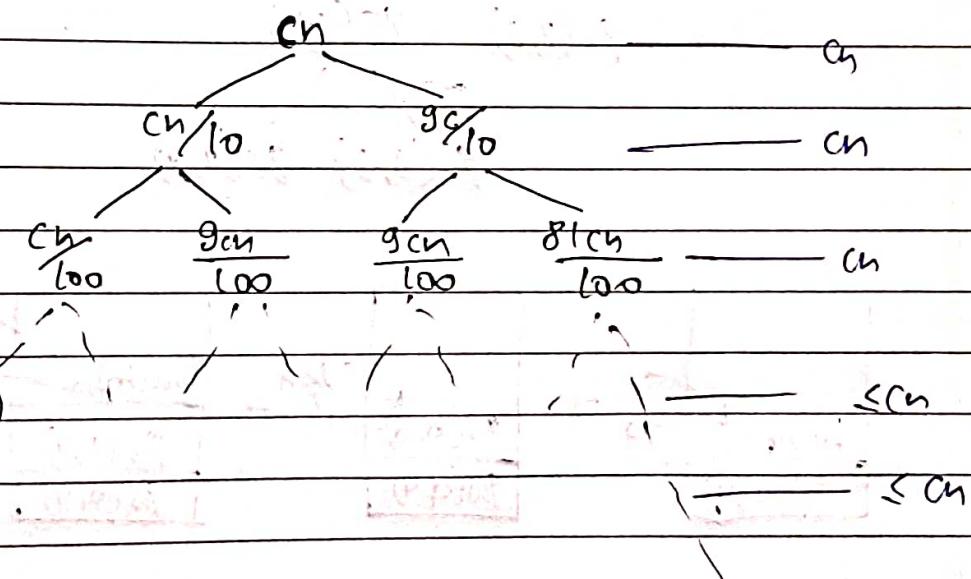
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### (III) Average Case:

- Here we calculate AC by dividing the array into  $\left(\frac{n}{10}\right)$  on one left side &  $\left(\frac{9n}{10}\right)$  element on right side other



left side of subtree will do the smallest work & right side will do larger work



$$O\left(cn \times \log n\right) = O(n \log n) \quad O(1)$$

↑ upper bound

at every levels  
work done  
at every levels

→ when divide  $cn$  into  $\frac{cn}{2}$  we have  $\log_2$  levels

→ when divide  $cn$  into  $\frac{cn}{10}$  we have  $\log_{10}$  levels

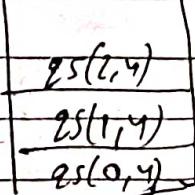
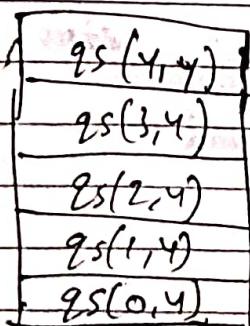
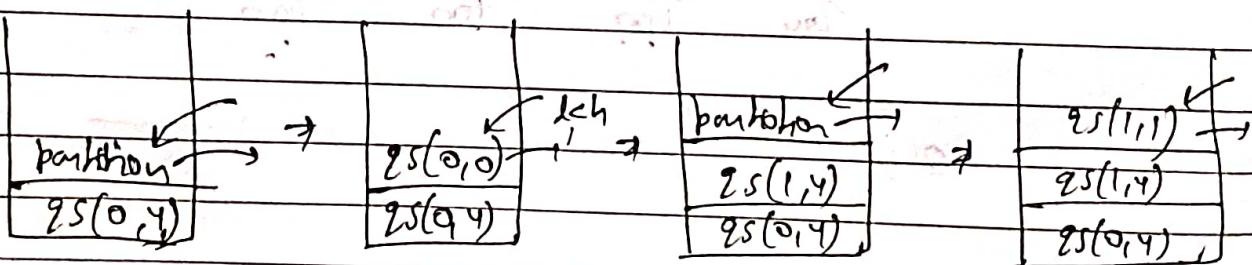
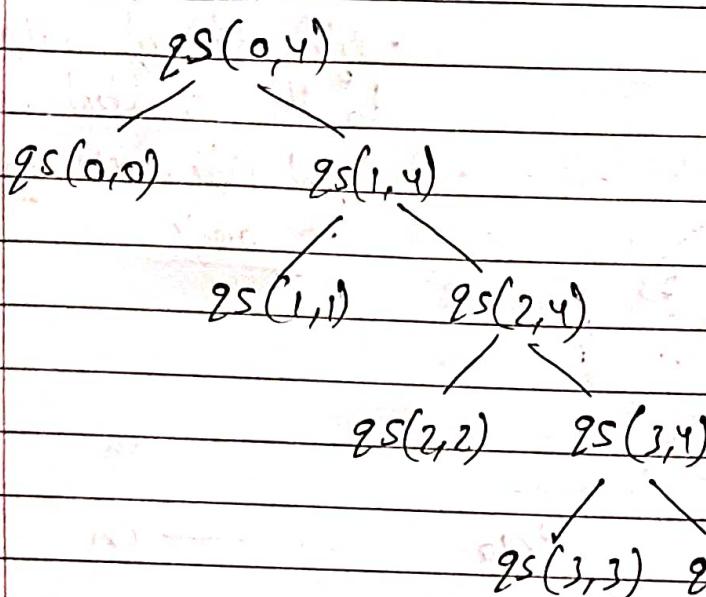
→ at last levels there will be  $< cn$  work but here in calculation we do  $cn \times \log n$

→ Space Analysis of QS

- Requires extra space for recursion call stack.
- Partition takes  $O(1)$  space.

QS is

Worst Case



at max no. of function call in the function call stack

SC  $\rightarrow O(n)$

Adversary: when the algo fail for some pattern  
give worst case complexity

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Best Case (for diagram see TC tree)

- occurs when divide the array in two half
- $n = 8 \Rightarrow$  no. of function call at max in function call stack is  $\log_2 8 + 1 = 4$

$$SC \rightarrow \Theta(\log n)$$

When

~~for~~ Array is sorted choosing last or first element as Pivot will give Worst case Complexity

- To avoid WC we use rand() function to generate random pivot.
- And swap with either first or last element, according to LP or HP.

## (V) $k^{\text{th}}$ Smallest element

$\text{A} \rightarrow a[ ] \rightarrow 2 \ 10 \ 4 \ 3 \ 2 \ 0 \ 15 \quad k=3$

$o/p \rightarrow 7$

a) Naive - sort

b) int  $k^{\text{th}}\text{smallest}(\text{int } a[], \text{int } l, \text{int } n, \text{int } k)$

while ( $l < n$ )

{ int  $b = \text{rand}() \% (n-l+1);$

$\text{swap}(a[n], a[l+b]);$

int  $b = \text{partition}(a, l, n);$

if ( $b == k-1$ )

return  $a[b];$

else if ( $b > (k-1)$ )

$n = b-1;$

else

$l = b+1;$

int  $\text{partition}(\text{int } a[], \text{int } l, \text{int } n)$

{ int  $b = a[l], i = l;$

while for ( $\text{int } j = 0; j < n-1; j++$ )

{ if ( $a[j] < b$ )

$\text{swap}(a[j], a[i]);$

$i++;$

$\text{swap}(a[i], a[n]);$

return  $i;$

$\text{rand}()$  implement

$b$  ch. lyf st  
all smaller element  
to  $b^{\text{th}}$  by the required  
element.

Tomuto  
partition

(5)

Union of Two Sorted Arrays:

IP  $n=5$   $a[ ] = \{1, 2, 3, 7, 5\}$  O/P  $1 2 3 7 5$   
 $m=3$   $a_2[ ] = \{1, 2, 3\}$

vector<int> f(int a[], int b[], int n, int m)

{ vector<int> v;

int l1=0, l2=0;

while (l1 < n && l2 < m)

{

    if ( $a[l1] == a[l1+1]$ ) { l1++; continue; }

    if ( $b[l2] == b[l2+1]$ ) { l2++; continue; }

    if ( $a[l1] == b[l2]$ )

        { v.push\_back(a[l1]); l1++; l2++; continue; }

    avoiding  
    duplicacy

    if ( $a[l1] < b[l2]$ )

        { v.push\_back(a[l1]); l1++; }

    else if ( $a[l1] > b[l2]$ )

        { v.push\_back(b[l2]); l2++; }

}

    while (l1 < n)

    { if (v.size() > 0 && v[v.size()-1] == a[l1])

        v.push\_back(a[l1]);

    } l1++;

    while (l2 < m)

    { if (v.size() > 0 && v[v.size()-1] != b[l2])

        v.push\_back(b[l2]);

    } l2++;

return v;

}