# Neural Language Models

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Introduction to Large Language Models



## Pre-requisite for this chapter

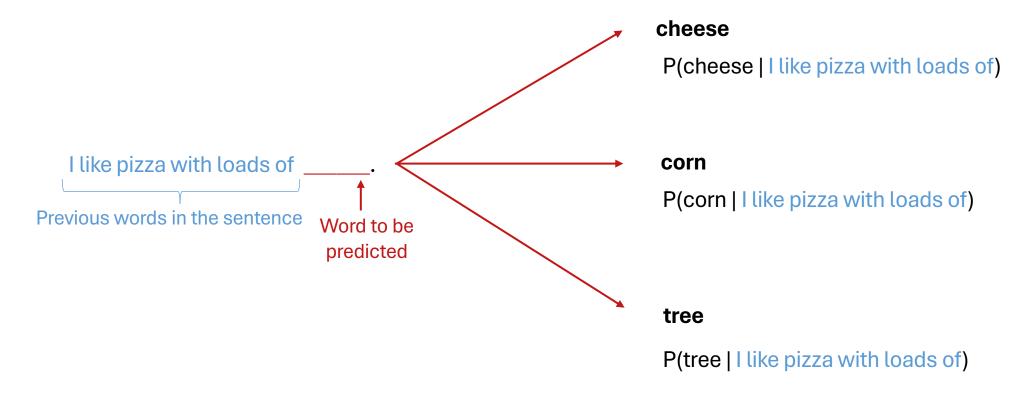
- Loss function, backpropagation
- CNN
- RNN (LSTM/GRU)





## Recall: Language Modeling

Language Modeling is the task of predicting what word comes next







**Tanmoy Chakraborty** 

## Recall: Language Modeling

- You can also think of a Language Model as a system that assigns a probability to a
  piece of text.
- For example, if we have some text  $x^{(1)}$ , ...,  $x^{(T)}$ , then the probability of this text (according to the Language Model) is:

$$P(\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(T)}) = P(\mathbf{x}^{(1)}) \times P(\mathbf{x}^{(2)} | \mathbf{x}^{(1)}) \times \dots \times P(\mathbf{x}^{(T)} | \mathbf{x}^{(T-1)}, \dots, \mathbf{x}^{(1)})$$

$$= \prod_{t=1}^{T} P(\mathbf{x}^{(t)} | \mathbf{x}^{(t-1)}, \dots, \mathbf{x}^{(1)})$$

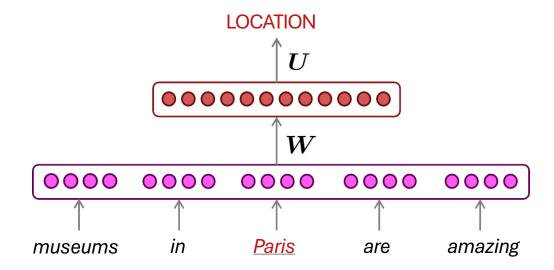
This is what our LM provides



## How to Build a *Neural* Language Model?

- Recall the Language Modeling task:
  - Input: sequence of words  $x^{(1)}, x^{(2)}, \dots, x^{(t)}$
  - Output: probability distribution of the next word  $Pig(x^{(t+1)} ig| x^{(t)}, ..., x^{(1)}ig)$
- How about a window-based neural model?

**Example: NER Task** 





## A Fixed-window Neural Language Model



the students opened their \_\_\_\_\_\_
fixed window





## A Fixed-window Neural Language Model

#### output distribution

$$\hat{\boldsymbol{y}} = \operatorname{softmax}(\boldsymbol{U}\boldsymbol{h} + \boldsymbol{b}_2) \in \mathbb{R}^{|V|}$$

#### hidden layer

$$\boldsymbol{h} = f(\boldsymbol{W}\boldsymbol{e} + \boldsymbol{b}_1)$$

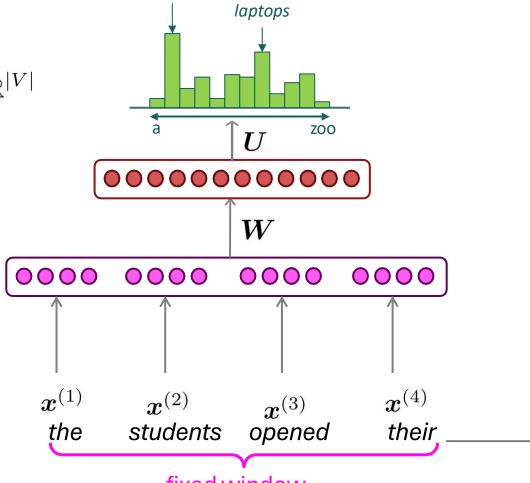
concatenated word embeddings

$$e = [e^{(1)}; e^{(2)}; e^{(3)}; e^{(4)}]$$

words / one-hot vectors

$$m{x}^{(1)}, m{x}^{(2)}, m{x}^{(3)}, m{x}^{(4)}$$

e the preeter started the slee! discard



books

fixed window





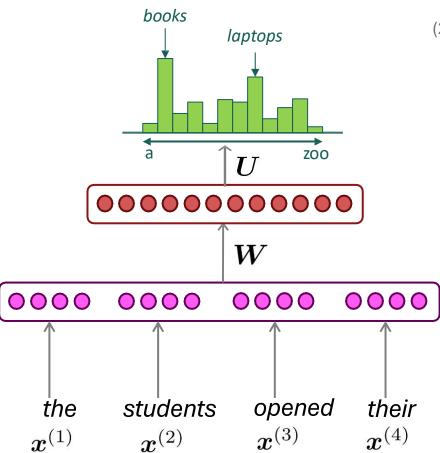
## A Fixed-window Neural Language Model

#### **Improvements** over *n*-gram LM:

- No sparsity problem
- Don't need to store all observed ngrams

#### Remaining problems:

- Fixed window is too small
- Enlarging window enlarges W
- x<sup>(1)</sup> and x<sup>(2)</sup> are multiplied by completely different weights in W.
   No symmetry in how the inputs are processed.



Approximately: Y. Bengio, et al. (2000/2003): A Neural Probabilistic Language Model

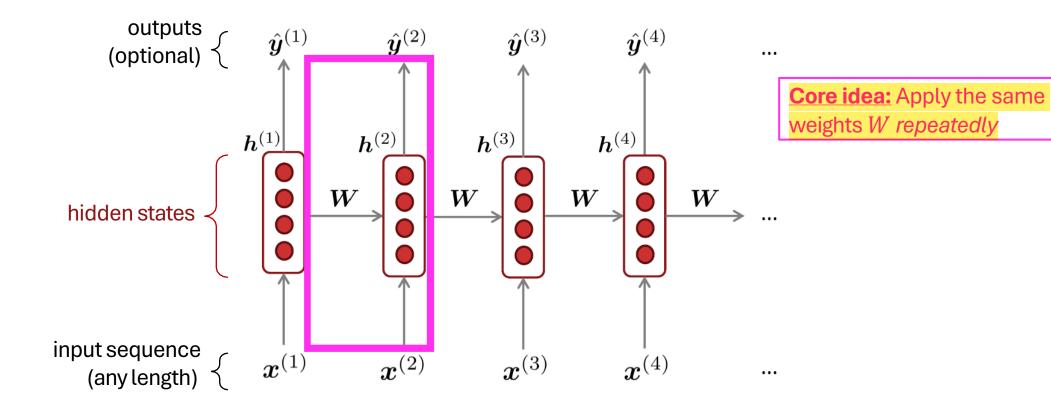
We need a neural architecture that can process any length input







## Recurrent Neural Networks (RNN)





#### $\hat{\boldsymbol{y}}^{(4)} = P(\boldsymbol{x}^{(5)}|\text{the students opened their})$

*laptops* 

books



#### output distribution

$$\hat{m{y}}^{(t)} = \operatorname{softmax}\left(m{U}m{h}^{(t)} + m{b}_2\right) \in \mathbb{R}^{|V|}$$

#### hidden states

$$oldsymbol{h}^{(t)} = \sigma \left( oldsymbol{W}_h oldsymbol{h}^{(t-1)} + oldsymbol{W}_e oldsymbol{e}^{(t)} + oldsymbol{b}_1 
ight)$$

 $m{h}^{(0)}$  is the initial hidden state

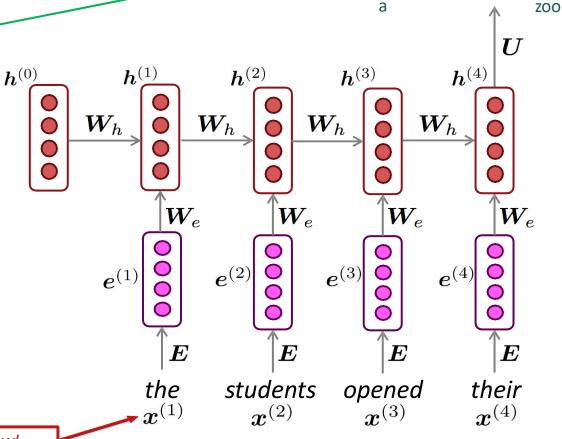
word embeddings

$$oldsymbol{e}^{(t)} = oldsymbol{E} oldsymbol{x}^{(t)}$$

words / one-hot vectors

$$\boldsymbol{x}^{(t)} \in \mathbb{R}^{|V|}$$

**Note**: this input sequence could be much longer now!





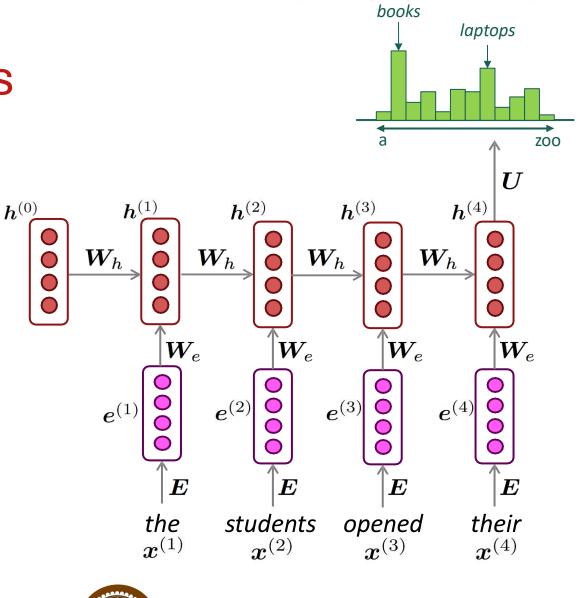
## RNN Language Models

#### **RNN Advantages:**

- Can process any length input
- Computation for step t can (in theory) use information from many steps back
- Model size doesn't increase for longer input context
- Same weights applied on every timestep, so there is symmetry in how inputs are processed.

#### **RNN Disadvantages:**

- Recurrent computation is slow
- In practice, difficult to access information from many steps back







 $\hat{\mathbf{y}}^{(4)} = P(\mathbf{x}^{(5)}|\text{the students opened their})$ 

## Training an RNN Language Model







## Training an RNN Language Model

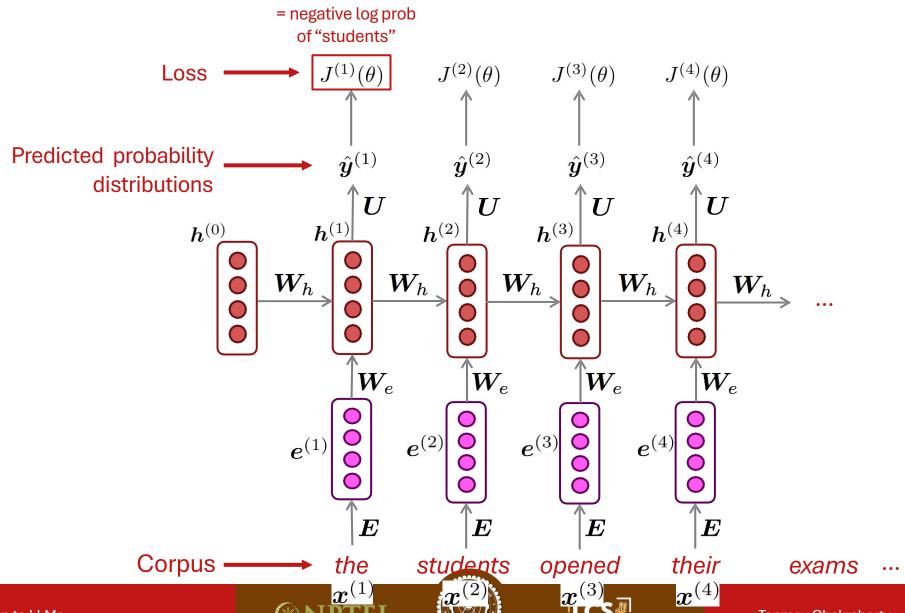
- Get a big corpus of text which is a sequence of words  $x^{(1)}, x^{(2)}, ..., x^{(T)}$
- Feed into RNN-LM; compute output distribution  $\hat{y}^{(t)}$  for every step t.
  - i.e., predict probability distribution of every word, given words so far
- Loss function on step t is cross-entropy between predicted probability distribution  $\hat{y}^{(t)}$ , and the true next word  $y^{(t)}$  (one-hot for  $x^{(t+1)}$ ):

$$J^{(t)}(\theta) = CE(\boldsymbol{y}^{(t)}, \hat{\boldsymbol{y}}^{(t)}) = -\sum_{w \in V} \boldsymbol{y}_w^{(t)} \log \hat{\boldsymbol{y}}_w^{(t)} = -\log \hat{\boldsymbol{y}}_{\boldsymbol{x}_{t+1}}^{(t)}$$

Average this to get overall loss for entire training set:

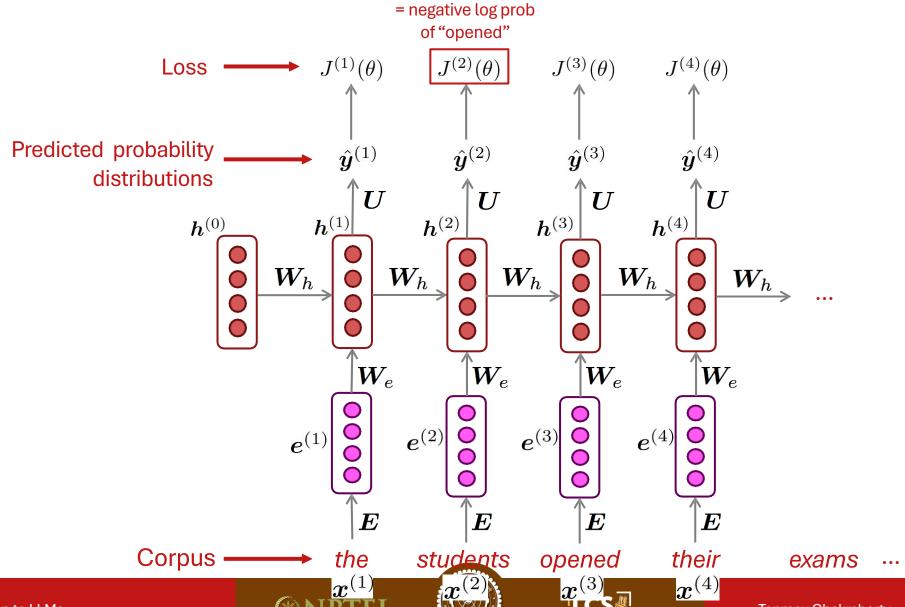
$$J(\theta) = \frac{1}{T} \sum_{t=1}^{T} J^{(t)}(\theta) = \frac{1}{T} \sum_{t=1}^{T} -\log \hat{\boldsymbol{y}}_{\boldsymbol{x}_{t+1}}^{(t)}$$



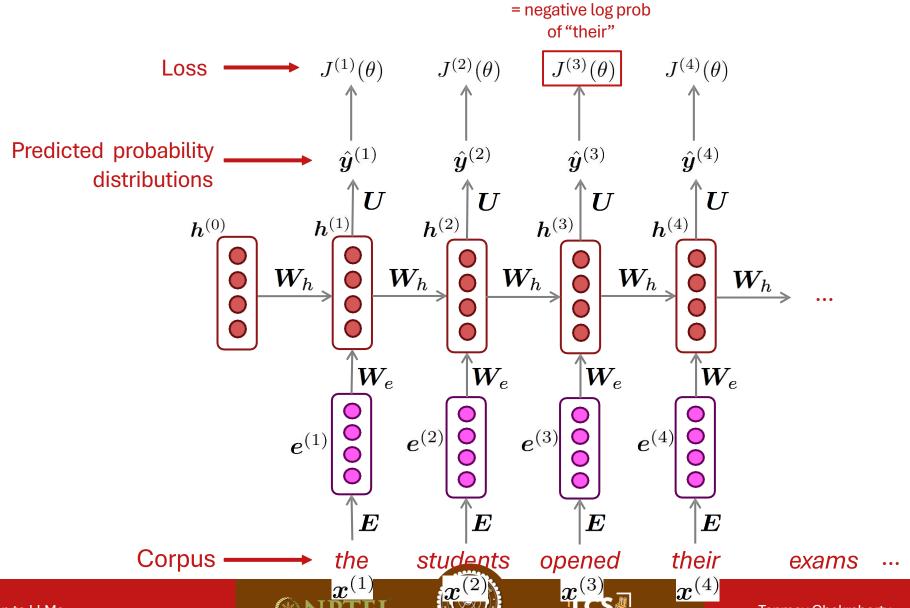


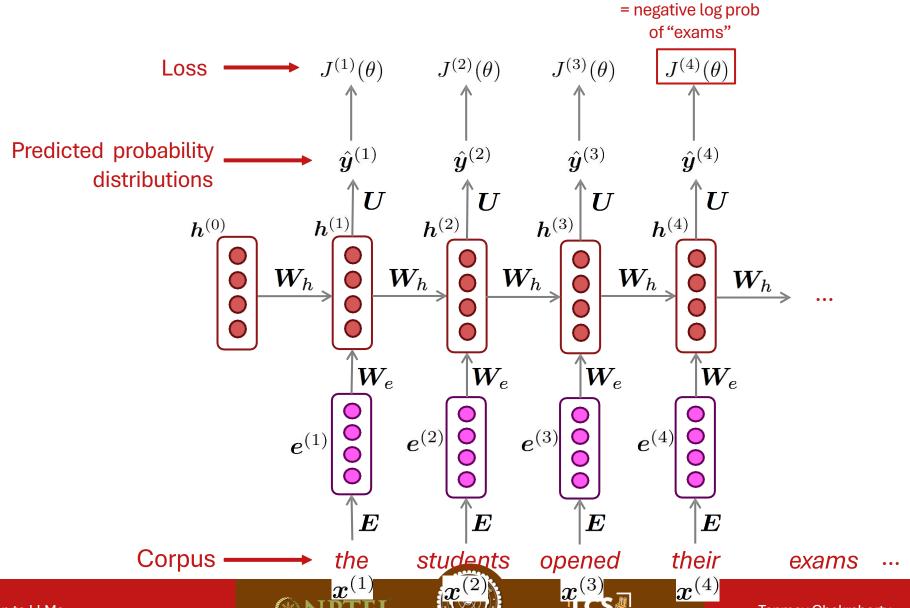




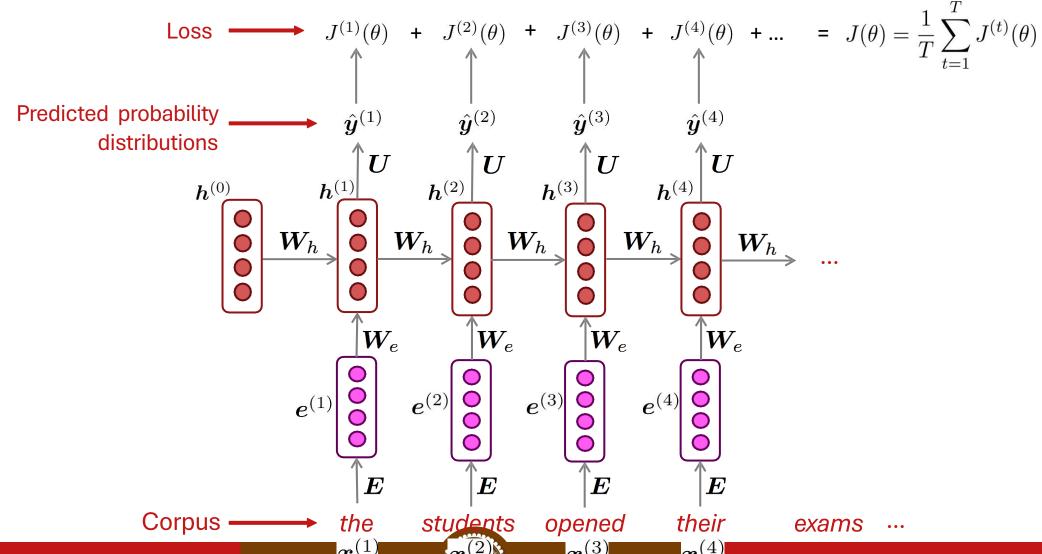








#### "Teacher forcing"



## Training a RNN Language Model

• However: Computing loss and gradients across entire corpus  $x^{(1)}, x^{(2)}, ..., x^{(T)}$  at once is too expensive (memory-wise)!

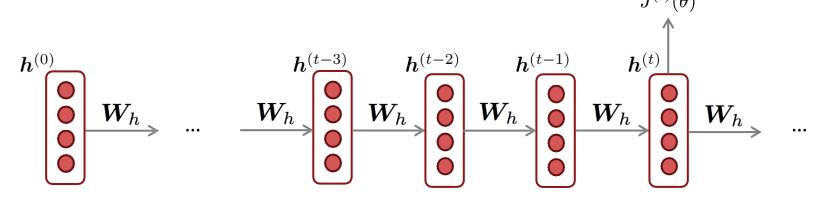
$$J(\theta) = \frac{1}{T} \sum_{t=1}^{T} J^{(t)}(\theta)$$

- In practice, consider  $x^{(1)}$ ,  $x^{(2)}$ , ...,  $x^{(T)}$  as a sentence (or a document)
- Recall: Stochastic Gradient Descent allows us to compute loss and gradients for small chunk of data, and update.
- Compute loss  $J(\theta)$  for a sentence (actually, a batch of sentences), compute gradients and update weights. Repeat on a new batch of sentences.





## Backpropagation for RNNs



**Question:** What's the derivative of  $J^{(t)}(\theta)$  w.r.t the repeated weight matrix  $W_h$ ?

Answer: 
$$\frac{\partial J^{(t)}}{\partial W_h} = \sum_{i=1}^t \frac{\partial J^{(t)}}{\partial W_h} \Big|_{(i)}$$

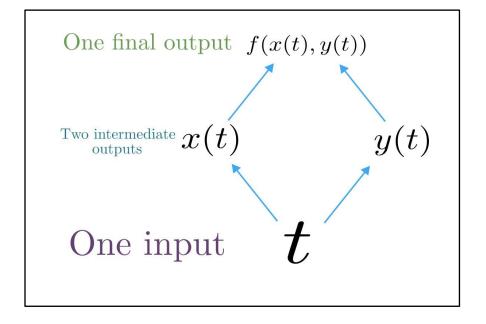
"The gradient w.r.t. a repeated weight is the sum of the gradient w.r.t. each time it appears"

Why?





### Multivariable Chain Rule



#### Source:

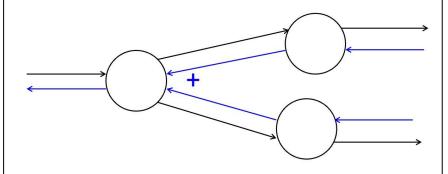
https://www.khanacademy.org/math/multivariable-calculus/multivariable-derivatives/differentiating-vector-valued-functions/a/multivariable-chain-rule-simple-version

ullet Given a multivariable function f(x,y), and two single variable functions x(t) and y(t), here's what the multivariable chain rule says:

$$\left( rac{d}{dt} \, f(m{x}(t), m{y}(t)) 
ight) = rac{\partial f}{\partial m{x}} \, rac{dm{x}}{dt} + rac{\partial f}{\partial m{y}} \, rac{dm{y}}{dt} 
ight)$$

Derivative of composition function

#### **Gradients sum at outward branches**



$$a = x + y$$

$$\frac{\partial f}{\partial x} = \frac{\partial f}{\partial y} = \frac{\partial f}{\partial a} \frac{\partial a}{\partial y} + \frac{\partial f}{\partial b} \frac{\partial b}{\partial y}$$



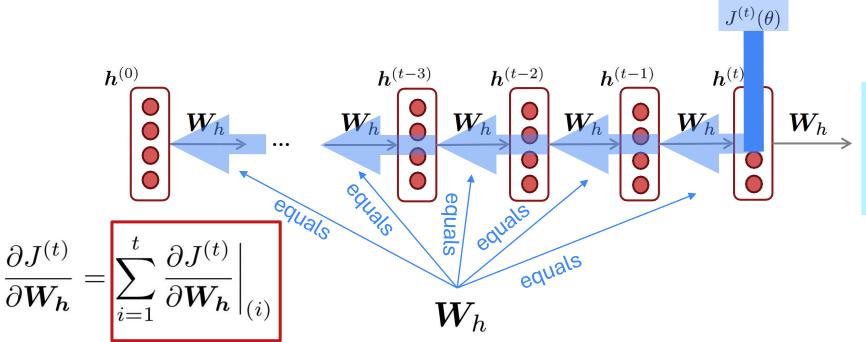


# Training The Parameters of RNNs: Backpropagation for RNNs









In practice, often "truncated" after ~20 timesteps for training efficiency reasons

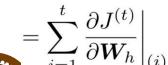
**Question:** How do we calculate this?

**Answer:** Backpropagate over timesteps i = t, ..., 0, summing gradients as you go. This algorithm is called "backpropagation through time"

Apply the multivariable chain rule:

= 1

$$= \sum_{i=1}^{t} \frac{\partial J^{(t)}}{\partial \boldsymbol{W}_{h}} \bigg|_{(i)}$$



[Morbos PG 1988 Neural Networks 1 and others]