

Binary Search Tree: Need not be balanced
(BST)

• Balance Factor:

$$BF(n) = \text{Height of right subtree} - \text{Height of left subtree}$$

$BF(n) > 0$: right heavy

$BF(n) < 0$: left heavy

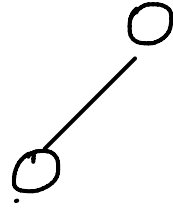
• AVL Tree which are BST, $|BF(n)| \leq 1$

Adelson, Velsky, Landis

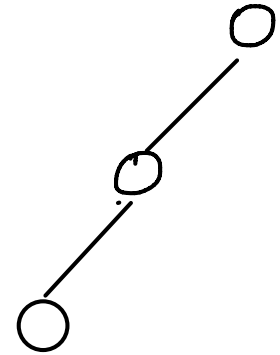
• Property $h = O(\log(n)) \leq C \log(n)$ (Prove it later)

Basic Imbalance

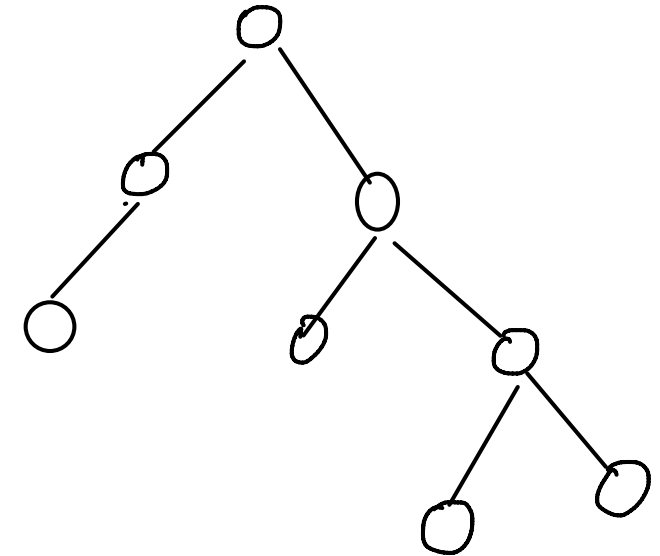
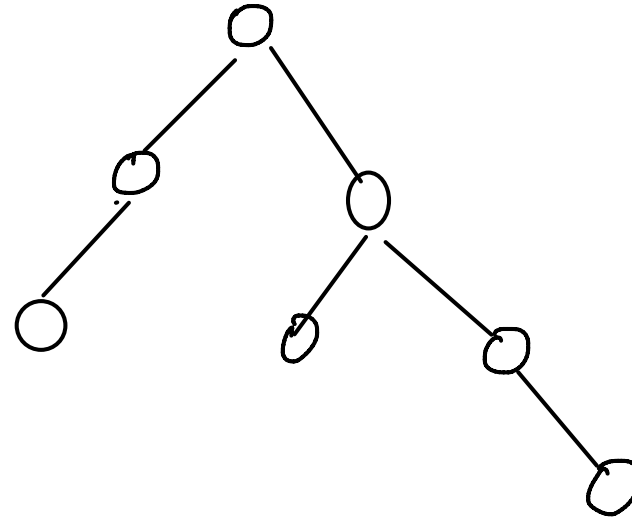
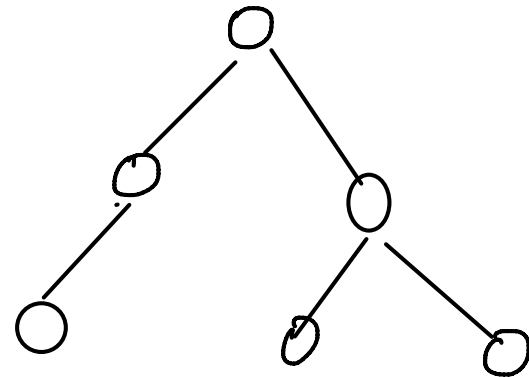
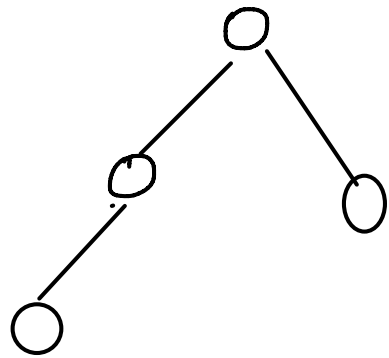
Balanced



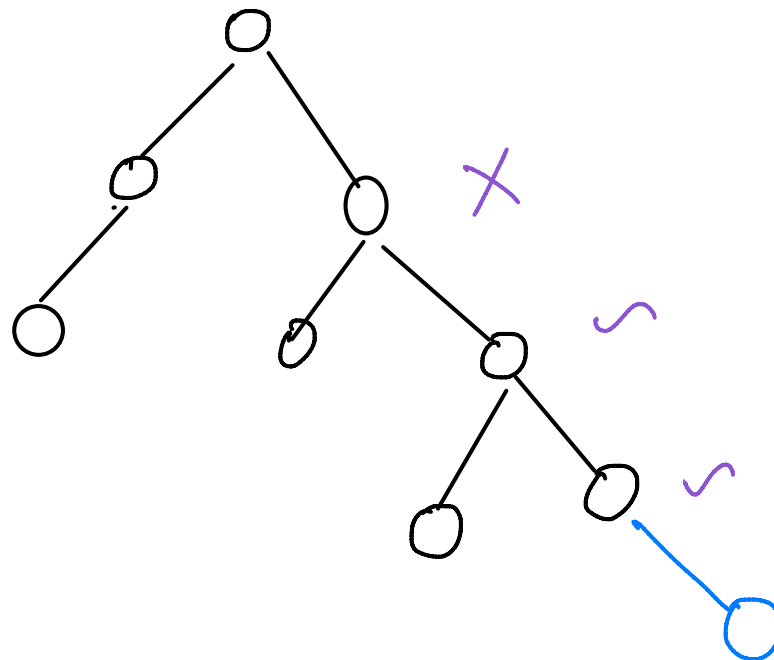
unbalanced



Balanced



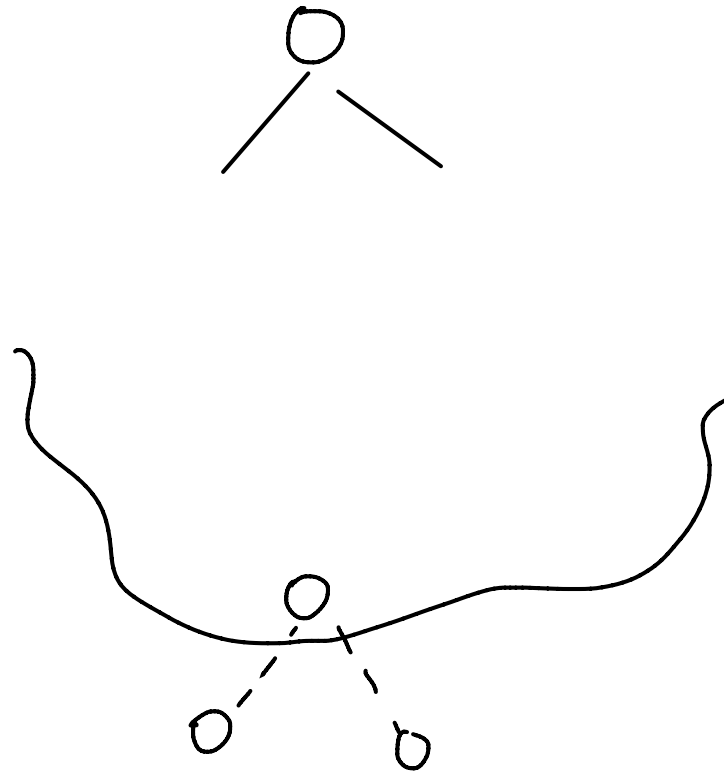
Focus on level at which balance is lost



Insertion

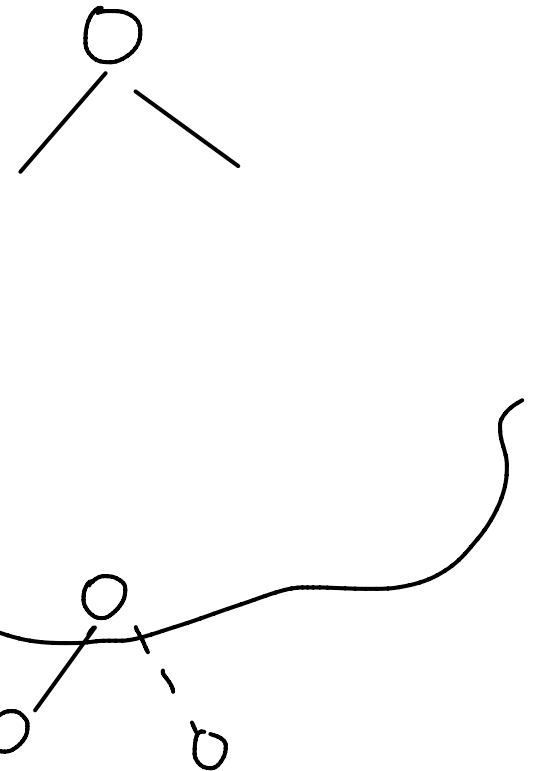
Case 1

Insert
to either
left or
right of
leaf



Case 2:

already
one child
is there
and we
are adding
a second child

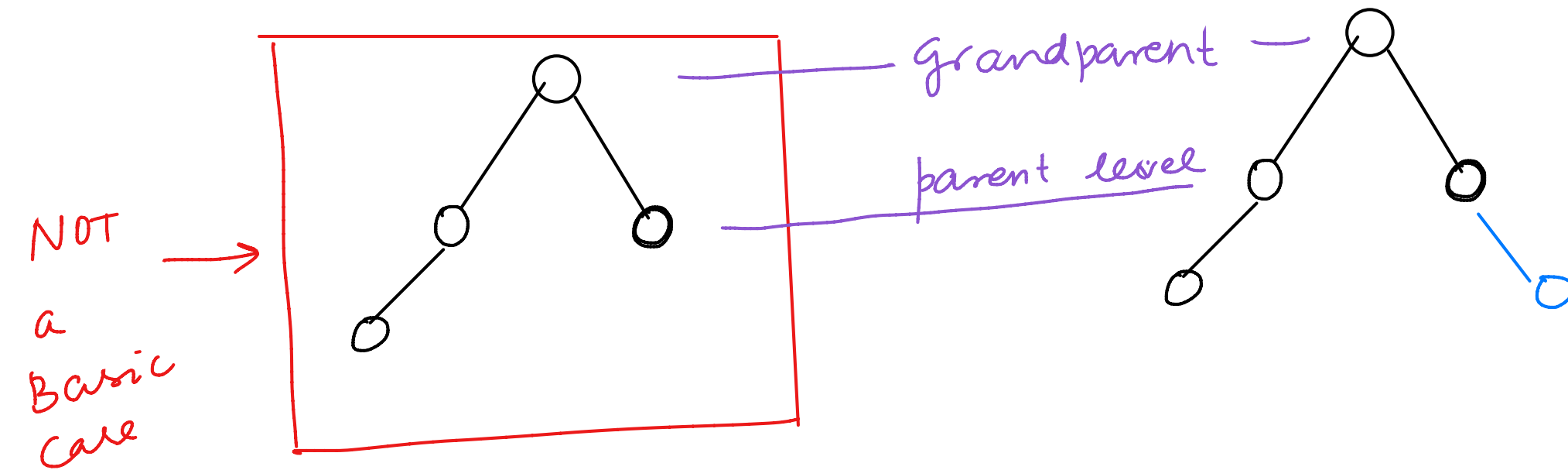


Immediate parent to which the new node is inserted

Will not have imbalance

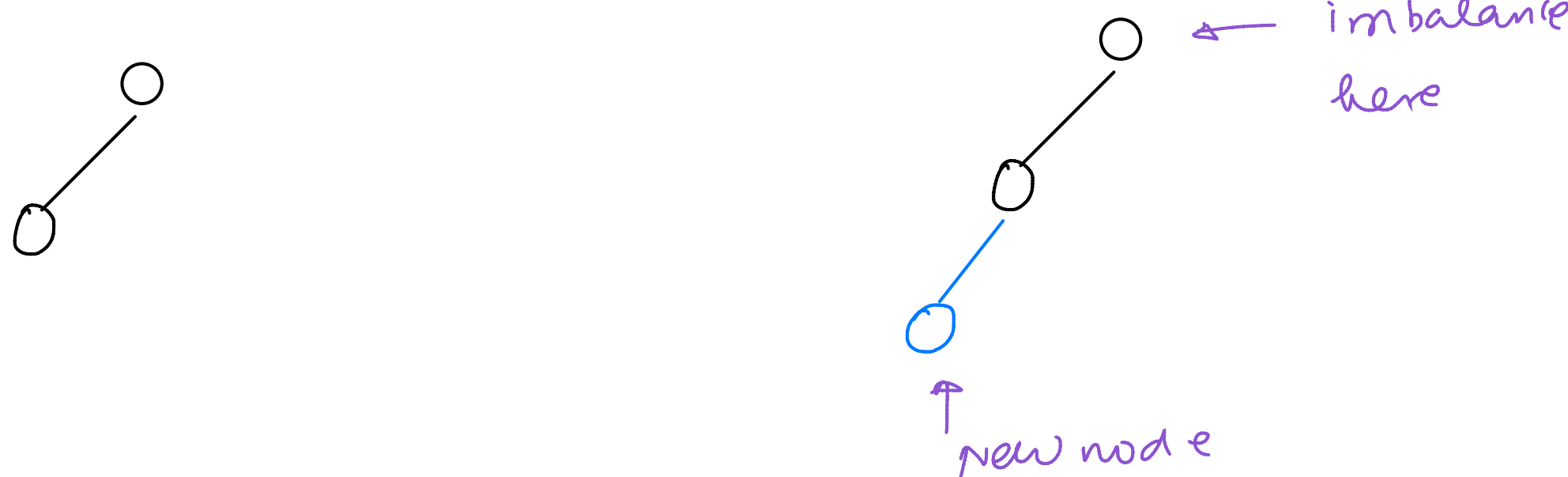
Basic Case : Simplest configuration in which
Adding new node causes imbalance

Before adding node no imbalance



Immediate Grandparent has imbalance

Basic Case :

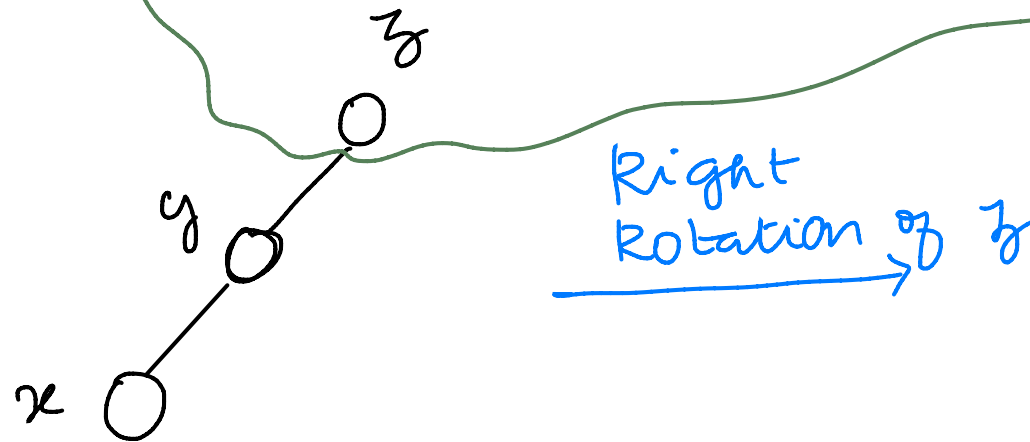


Reversing the basic case



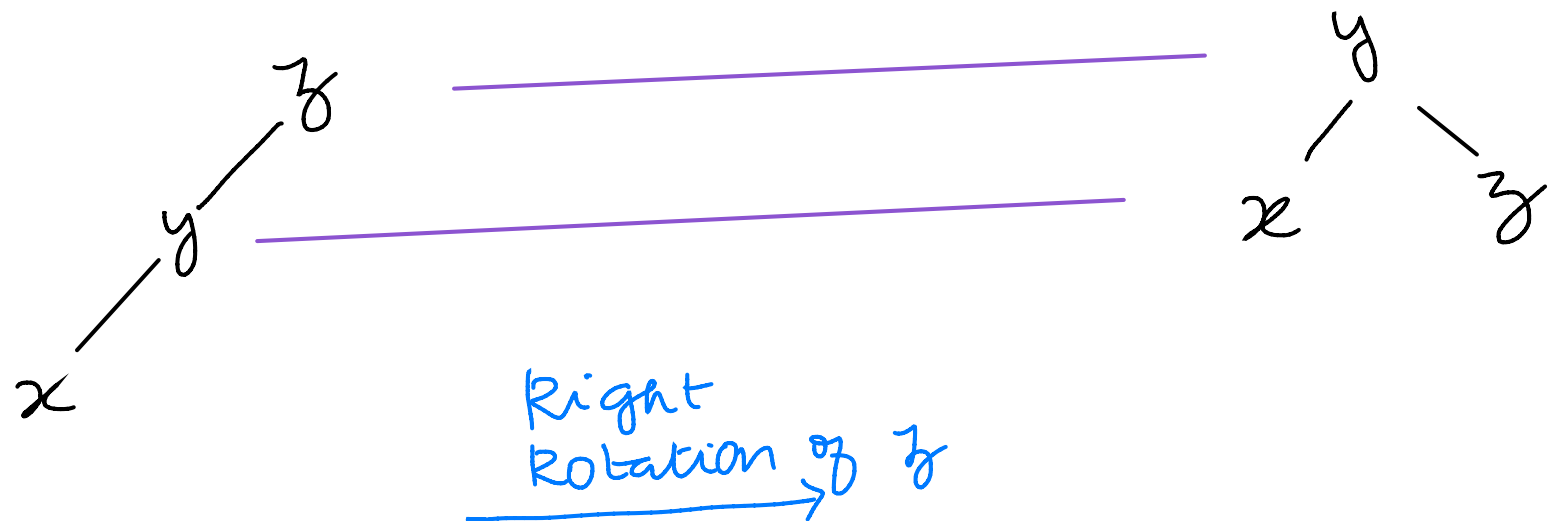
Other Tree nodes

Left Left
Imbalance



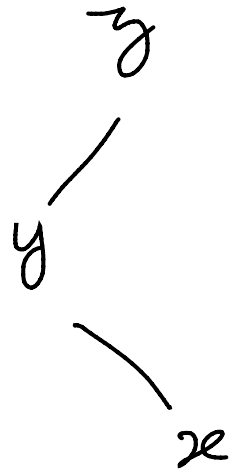
Remove rest of the tree from our mind and focus only on node where imbalance has occurred

Left Left
Imbalance

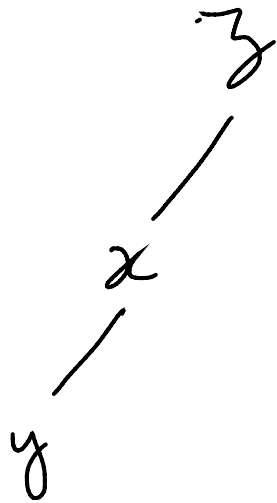


Left Right

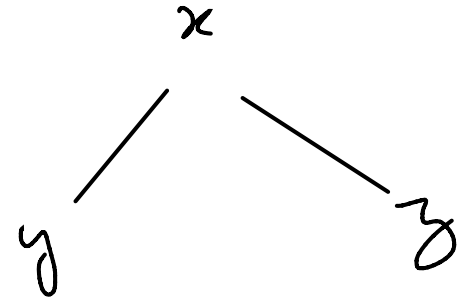
Imbalance



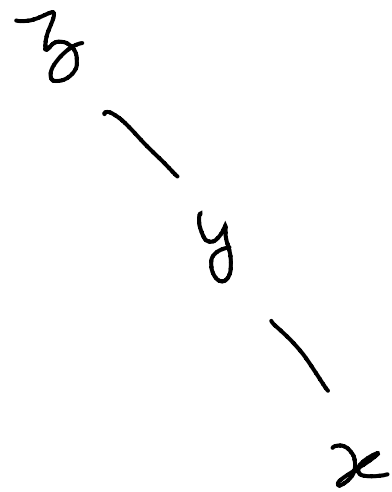
First reduce it to left left imbalance by doing
left rotation of y



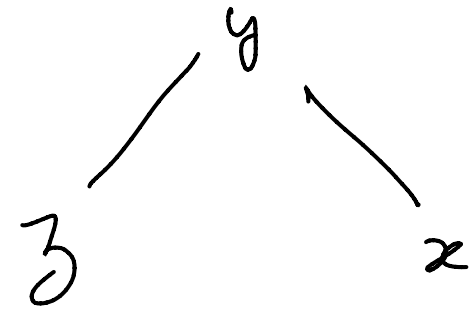
Right
Rotation of (z)



Right Right Imbalance



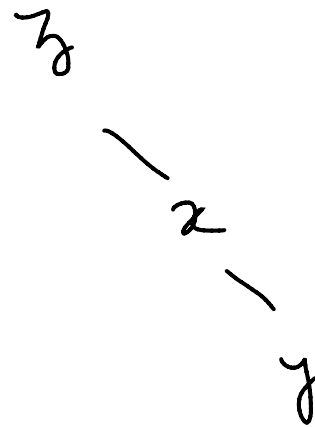
Rotate z to left



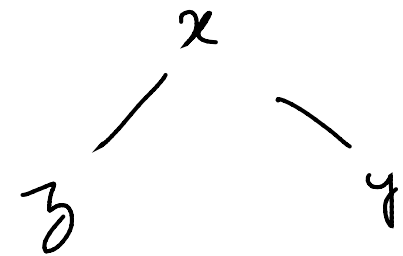
Right Left Imbalance



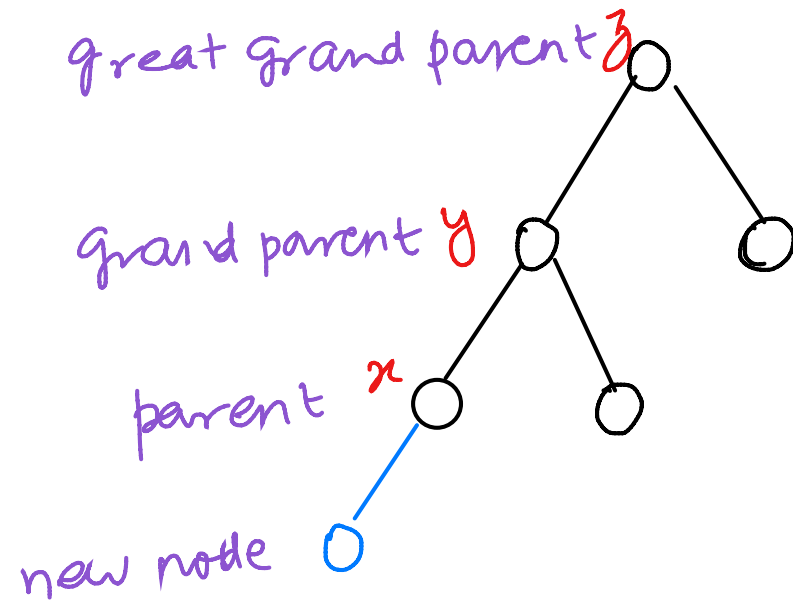
Rotate y to right



Rotate z to left



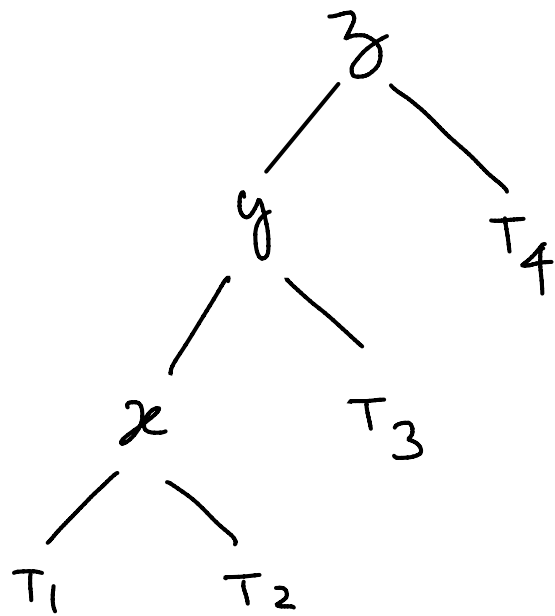
General Case of Imbalance



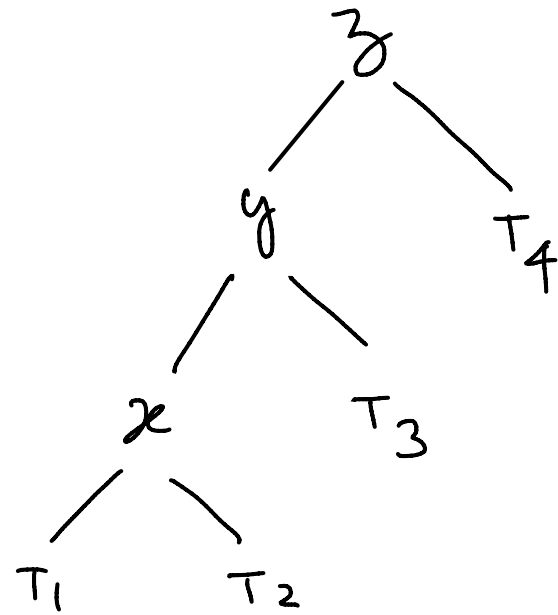
$x y z$ shifted up one level

General case:

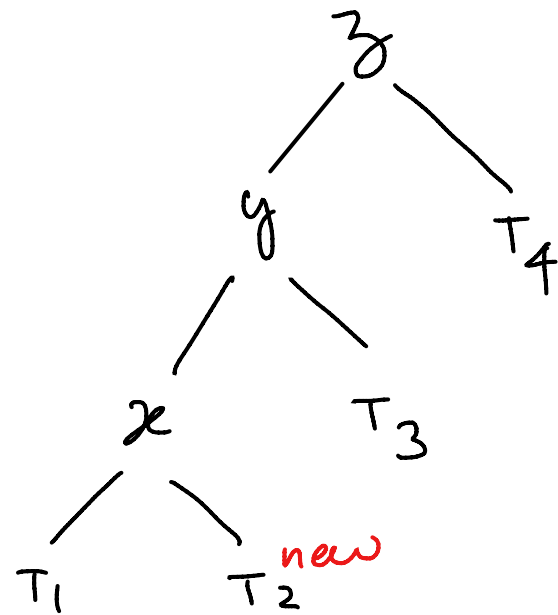
$x y z$ shifts up by arbitrary levels



Left Left Imbalance
(Property I) Before addition all nodes are balanced



(Property II)
After addition nodes x, y are balanced and z is imbalanced

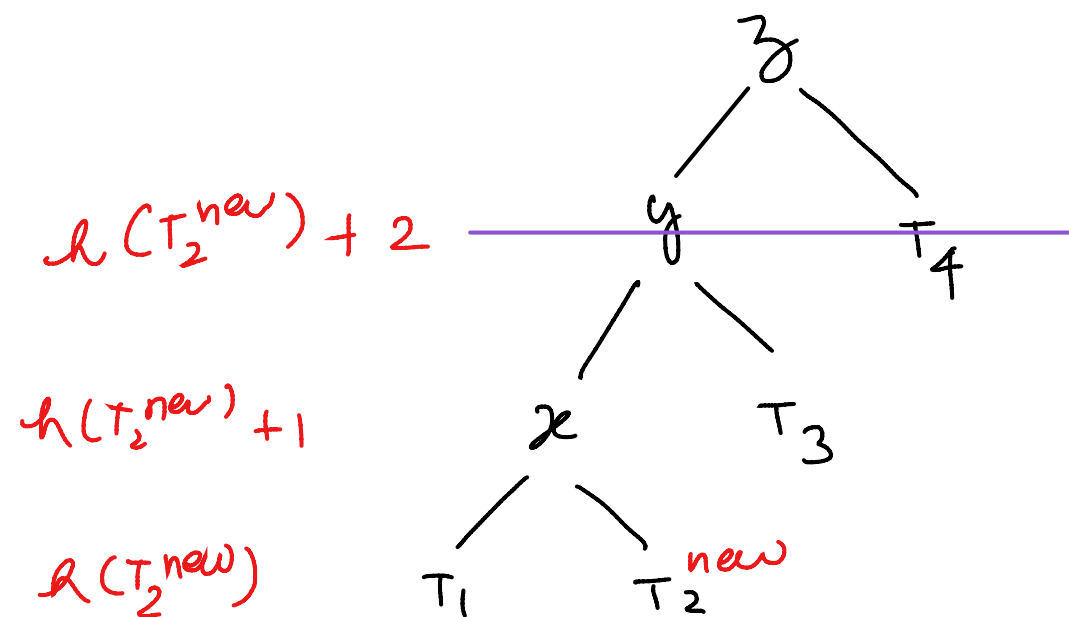


T_2^{new} is T_2 after adding New Node

- Property I \Rightarrow
- \times • $h(T_2) = h(T_1) - 1$ (not possible; cannot change height at level x by adding new node to T_2)
 - \times • $h(T_2) = h(T_1) + 1$ (not possible; because after adding new node to T_2 , level x itself becomes unbalanced \Rightarrow contradicts property II)
 - \checkmark • $h(T_2) = h(T_1)$
- $\Rightarrow h(T_2^{\text{new}}) = h(T_1) + 1$

Property II

After adding, imbalance is at level z ; T_4 is lighter



$$h(T_2^{\text{new}}) + 2 - h(T_4) = 2$$

$$h(T_2^{\text{new}}) = h(T_4)$$