

# Gauge Theories and The Aspects of Flatspace Holography

State-of-Art Seminar  
by

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March 31, 2023



## Outline :

- ✧ Motivations
- ✧ Introduction to the Flatspace Holography
- ✧ Soft Theorems  $\longrightarrow$  Conformal Ward Identities
- ✧ Celestial OPE of Gluons
- ✧ Differential Equations for MHV Gluon Amplitudes
- ✧ HSA for  $SU(N)$  Gauge Theories
- ✧ Discussion on the ongoing work...

In search for the symmetries and where symmetries lead us to...

## Asymptotic Symmetries of Flat Spacetime

✍ '60s : Poincare  $\longrightarrow$  **BMS** = Lorentz  $\times$  Supertranslations

Bondi, Burg, Metzner and Sachs

✍ '10s : BMS  $\longrightarrow$  **Virasoro**  $\times$  **Supertranslations** (**Gravity**)  
(using asymptotic symmetry analysis and soft theorems.)

Lorentz group  $\longrightarrow$  Virasoro

Kapec, Mitra, Strominger, ...

### Another extension :

Lorentz group  $\longrightarrow$   **$SL(2, \mathbb{C})$  current algebra** Banerjee, Ghosh, Paul '20

[Guevara-Himwich-Pate-Strominger '21]

wedge subalgebra of  $w_{1+\infty}$  algebra (**Gravity**)

✍ **Gauge Theories**  $\longrightarrow$  **HSA**  $\times$  **Poincare**. (asymptotic symmetries)

✍ Implications of these infinite symmetries ...

# Introduction to Flatspace Holography :

## Conjecture :

Theories of QG in (3+1)D AFS  $\iff$  2D CCFTs on the Celestial sphere at null infinity.



[Courtesy: Andrea Puhm]

## Null Infinity:

$$\begin{aligned} \Rightarrow ds^2 &= -dt^2 + dx^2 + dy^2 + dz^2 \\ &= -du^2 - 2dudr + r^2(d\theta^2 + \sin^2\theta d\phi^2) \\ &= -du^2 - 2dudr + r^2 d\Omega_2^2 \quad \text{where } u = (t - r) \end{aligned}$$

$\Rightarrow$  In  $(u, r, z, \bar{z})$  coordinates, Bondi Coordinates

$$ds^2 = -du^2 - 2dudr + r^2 \frac{4dzd\bar{z}}{(1+z\bar{z})^2}$$

$$\text{Where, } z = \frac{x^1 + ix^2}{r + x^3} = e^{i\phi} \tan \frac{\theta}{2}, \quad \bar{z} = c.c$$

$\Rightarrow$  We can go to future null-infinity by taking  $r \rightarrow \infty$  at fixed  $(u, z, \bar{z})$

$$d\tilde{s}^2 = d\Omega_2^2$$

## Hints for the duality :

**Action of 4D Lorentz group  $\sim$  Action of global conformal group on  $\mathbb{CS}^2$**

$$SO(3,1)^\uparrow \cong SL(2, \mathbb{C})/\mathbb{Z}_2$$

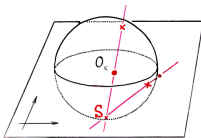
$$\Lambda = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbb{C}) \text{ with } \det(\Lambda) = 1$$

$$x = \begin{pmatrix} x^0 - x^3 & x^1 + ix^2 \\ x^1 - ix^2 & x^0 + x^3 \end{pmatrix}$$

$$x \longrightarrow x' = \Lambda x \Lambda^\dagger$$

$$z = \frac{x^1 + ix^2}{x^0 + x^3}, \quad \bar{z} \longrightarrow c.c$$

$$z \longrightarrow z' = \frac{az+b}{cz+d}, \quad c.c$$



## Celestial Amplitude : S-matrix in Boost Eigenbasis:

**S-matrix**  
**in Momentum space**  $\xrightarrow[\text{[Pasterski,Shao]}]{\text{Mellin transform}}$  **Correlation function**  
**of 2D Celestial CFT**

$$\prod_{i=1}^n \int_0^\infty d\omega_i \omega_i^{\Delta_i-1} \mathcal{S}_n(\{\omega_i, z_i, \bar{z}_i, \sigma_i, a_i\}) = \langle \prod_{i=1}^n \mathcal{O}_{h_i, \bar{h}_i}^{a_i}(z_i, \bar{z}_i) \rangle$$

(Gauge theory)

massless

$$p^\mu = \omega(1 + z\bar{z}, z + \bar{z}, -i(z - \bar{z}), 1 - z\bar{z})$$

conformal weights :  $h_i = \frac{\Delta_i + \sigma_i}{2}$ ,  $\bar{h}_i = \frac{\Delta_i - \sigma_i}{2}$  and  
helicity :  $\sigma_i = \pm 1$  (for gluons).

$$\Delta = 1 + i\mathbb{R} \text{ (Unitary principal series representation)}$$

# Under Lorentz transformations, Scattering amplitudes transform as correlation functions of 2D CFT !

$$\mathcal{A}_n(\{z_i, \bar{z}_i, h_i, \bar{h}_i, a_i\}) \\ = \prod_{i=1}^n \frac{1}{(cz_i + d)^{2h_i}} \frac{1}{(\bar{c}\bar{z}_i + \bar{d})^{2\bar{h}_i}} \mathcal{A}_n\left(\left\{\frac{az_i + b}{cz_i + d}, \frac{\bar{a}\bar{z}_i + \bar{b}}{\bar{c}\bar{z}_i + \bar{d}}, h_i, \bar{h}_i, a_i\right\}\right).$$

**Example :** [S. Banerjee and S. Ghosh ; [arXiv:2011.00017](#)]

$$\mathcal{A}(1^{-a_1}, 2^{+a_2}, 4^{-x}) \sim f^{a_1 a_2 x} \frac{z_{14}^3}{z_{12}^3 z_{24}} \underbrace{\delta(\bar{z}_{14})\delta(\bar{z}_{24})}_{\nearrow} \prod_{i=1}^3 \Theta(\epsilon_i \sigma_{i,1})$$

presence of delta distributions makes it look different from the usual 2d cft-amplitudes !

- break translation and scale invariances by coupling the theory with background field  $\rightarrow$  distribution functions are replaced by some smooth functions.

[Casali-Melton-Strominger '22]

[Banerjee-Paul-Akavoor-RM '23]



## Momentum space soft theorems:

### At tree level

$$\mathcal{M}_{n+1}^a(p_1, \dots, p_n, q) \xrightarrow{\omega \rightarrow 0} (S_{(0)}^a + S_{(1)}^a) \mathcal{M}_n(p_1, \dots, p_n) + \mathcal{O}(q)$$

$\nearrow \quad \nwarrow$

$\mathcal{O}(\frac{1}{\omega}) \quad \quad \mathcal{O}(1)$

no more factorisation  
 $(q \sim \omega)$ .

Leading term,

$$S_{(0)}^a \sim \sum_{k=1}^n \frac{\epsilon_\mu p_k^\mu}{q \cdot p_k} T_k^a$$

(universal)

Subleading term,

$$S_{(1)}^a = \sum_{k=1}^n i \frac{\epsilon_\mu q_\nu J_k^{\mu\nu}}{q \cdot p_k} T_k^a$$

where,  $J_k^{\mu\nu}$  is the total angular momentum of the  $k$ 'th particle.

## ◆ Leading Conformal Soft Gluon Theorem, $\Delta \rightarrow 1$

$$\left\langle j^a(z) \prod_{i=1}^n \mathcal{O}_{h_i, \bar{h}_i}^{a_i}(z_i, \bar{z}_i) \right\rangle = - \sum_{k=1}^n \frac{T_k^a}{z - z_k} \left\langle \prod_{i=1}^n \mathcal{O}_{h_i, \bar{h}_i}^{a_i}(z_i, \bar{z}_i) \right\rangle$$

where **leading conformal soft gluon operator** is defined as,

$$j^a(z) = \lim_{\Delta \rightarrow 1} (\Delta - 1) \mathcal{O}_{\Delta, +}^a(z, \bar{z})$$

and

$$T_k^a \mathcal{O}_{h_i, \bar{h}_i}^{a_i}(z_i, \bar{z}_i) = i f^{aa_i b} \mathcal{O}_{h_i, \bar{h}_i}^b(z_i, \bar{z}_i) \delta_{ik}.$$

$\Rightarrow$  The modes  $j_n^a$  give **level zero Kac-Moody** algebra on celestial sphere.

[arXiv:2011.00017]

◆ **Subleading Conformal Soft Gluon Theorem**,  $\Delta \rightarrow 0$

$$\left\langle S_1^{+a}(z, \bar{z}) \prod_{i=1}^n \mathcal{O}_{h_i, \bar{h}_i}^{a_i}(z_i, \bar{z}_i) \right\rangle$$

$$= - \sum_{i=1}^n \frac{\epsilon_k}{z - z_k} (-2\bar{h}_k + 1 + (\bar{z} - \bar{z}_k)\bar{\partial}_k) T_k^a P_k^{-1} \left\langle \prod_{i=1}^n \mathcal{O}_{h_i, \bar{h}_i}^{a_i}(z_i, \bar{z}_i) \right\rangle$$

where **subleading conformal soft gluon operator** is defined as,

$$S_1^{+a}(z, \bar{z}) = \lim_{\Delta \rightarrow 0} \Delta \mathcal{O}_{\Delta, +}^a(z, \bar{z})$$

and

$$P_k^{-1} \mathcal{O}_{h_i, \bar{h}_i}^{a_i}(z_i, \bar{z}_i) = \mathcal{O}_{h_i - \frac{1}{2}, \bar{h}_i - \frac{1}{2}}^{a_i}(z_i, \bar{z}_i) \delta_{ki}.$$

[Donnay, Puhm, Strominger; 2018]

Where,

$$S_1^{+a}(z, \bar{z}) = J^a(z) + \bar{z} K^a(z).$$

## Ward Identities of 2D conformal currents :

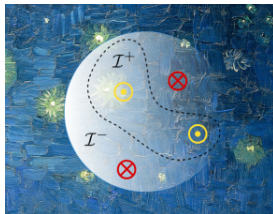
$$\left\langle J^a(z) \prod_{i=1}^n \mathcal{O}_{h_i, \bar{h}_i}^{a_i}(z_i, \bar{z}_i) \right\rangle = - \sum_{k=1}^n \frac{\epsilon_k}{z - z_k} (-2\bar{h}_k + 1 - \bar{z}_k \bar{\partial}_k) T_k^a P_k^{-1} \left\langle \prod_{i=1}^n \mathcal{O}_{h_i, \bar{h}_i}^{a_i}(z_i, \bar{z}_i) \right\rangle$$

and

$$\left\langle K^a(z) \prod_{i=1}^n \mathcal{O}_{h_i, \bar{h}_i}^{a_i}(z_i, \bar{z}_i) \right\rangle = - \sum_{k=1}^n \frac{\epsilon_k}{z - z_k} \bar{\partial}_k T_k^a P_k^{-1} \left\langle \prod_{i=1}^n \mathcal{O}_{h_i, \bar{h}_i}^{a_i}(z_i, \bar{z}_i) \right\rangle$$

$\Rightarrow$  Current algebra of two currents  $J^a(z)$  and  $K^a(z)$ .  
[arXiv:2011.00017]

# OPE structures :



## 1. From colliner limit :

$$\mathcal{A}(1^{-a_1}, 2^{+a_2}, 3^{+a_3}, 4^{-a_4}) \xrightarrow[\substack{z_{34} \rightarrow 0 \\ p_3 \cdot p_4 = 0}]{z_{34}} - \frac{f^{a_3 a_4 x}}{z_{34}} B(\Delta_3 - 1, \Delta_4 + 1) \mathcal{A}(1^{-a_1}, 2^{+a_2}, 4^{-x})$$

+ subleading in  $z_{34} + \dots$

## Leading OPE :

$$\mathcal{O}_{\Delta_3, +}^{a_3}(z_3, \bar{z}_3) \mathcal{O}_{\Delta_4, -}^{a_4}(z_4, \bar{z}_4) \sim - \frac{f^{a_3 a_4 x}}{z_{34}} B(\Delta_3 - 1, \Delta_4 + 1) \mathcal{O}_{\Delta_3 + \Delta_4 - 1, -}^x(z_4, \bar{z}_4)$$

## Subleading ... (similarly)

[arXiv: 2011.00017]

## 2. From symmetry analysis : [ref: 2011.00017]

$$\mathcal{O}_{\Delta_1,+}^{a_1}(z_1, \bar{z}_1) \mathcal{O}_{\Delta_2,\sigma_2}^{a_2}(z_2, \bar{z}_2) = -iz_{12}^p \bar{z}_{12}^q f^{a_1 a_2 x} \underbrace{C_{p,q}(\Delta_1, \Delta_2, \sigma_2)} \mathcal{O}_{\Delta,\sigma}^x(z_2, \bar{z}_2) + \dots$$

**Goal:** To determine  $C_{p,q}(\Delta_1, \Delta_2, \sigma_2)$  and values of  $p$  and  $q$ .

### Step 1.

Null states of current algebra  $\longrightarrow$  Null decoupling equations (BG equations)

### Step 2.

BG equations  $\longrightarrow$  a recursion relation

$$(\Delta_1 + 2p + 1)C_{p,q}(\Delta_1, \Delta_2, \sigma_2) = (\Delta_2 - \sigma_2 + q - 1)C_{p,q}(\Delta_1 + 1, \Delta_2 - 1, \sigma_2)$$

### Step 3.

Global time translation  $\longrightarrow$  another recursion relation

$$C_{p,q}(\Delta_1, \Delta_2, \sigma_2) = C_{p,q}(\Delta_1 + 1, \Delta_2, \sigma_2) + C_{p,q}(\Delta_1, \Delta_2 + 1, \sigma_2)$$

#### Step 4.

Above recursion relations lead to,

$$(\Delta_1 + 2p + 1)C_{p,q}(\Delta_1, \Delta_2, \sigma_2) = (\Delta_1 + \Delta_2 + 2p + q - \sigma_2 + 1)C_{p,q}(\Delta_1 + 1, \Delta_2, \sigma_2)$$

#### Step 5.

subleading soft gluon theorem  $\rightarrow$  following recursion relation

$$\frac{\Delta_1 + q - 1}{2p + \Delta_1 + 1} + \frac{\Delta_2 - \sigma_2 - 1}{\Delta_2 - \sigma_2 + q - 1} = \frac{2(\Delta_1 + \Delta_2 + 2q - \sigma_2 - 1)}{\Delta_1 + \Delta_2 + 2p + q - \sigma_2 + 1}$$

$$\Rightarrow p = -1, \quad q = 0$$

$$\Rightarrow \Delta = \Delta_1 + \Delta_2 - 1, \quad \sigma = \sigma_2$$

$$\mathcal{O}_{\Delta_1,+}^{a_1}(z_1, \bar{z}_1) \mathcal{O}_{\Delta_2,\sigma_2}^{a_2}(z_2, \bar{z}_2) = \frac{-if^{a_1 a_2 x}}{z_{12}} \underbrace{C_{-1,0}(\Delta_1, \Delta_2, \sigma_2)} \mathcal{O}_{\Delta,\sigma}^x(z_2, \bar{z}_2) + \dots$$

### Step 6.

Using the values of  $p$  and  $q$  in the recursion relations one can get,

$$C_{-1,0}(\Delta_1, \Delta_2 + 1, \sigma_2) = \frac{\Delta_2 - \sigma_2}{\Delta_1 + \Delta_2 - \sigma_2 - 1} C_{-1,0}(\Delta_1, \Delta_2, \sigma_2).$$

### Soln.

$$C_{-1,0}(\Delta_1, \Delta_2 + 1, \sigma_2) = \alpha B(\Delta_1 - 1, \Delta_2 - \sigma_2)$$

$$\alpha = 1 \text{ (comparing with Ward Identity)}$$

$$\mathcal{O}_{\Delta_1,+}^{a_1}(z_1, \bar{z}_1) \mathcal{O}_{\Delta_2,\sigma_2}^{a_2}(z_2, \bar{z}_2) = \frac{-i f^{a_1 a_2 x}}{z_{12}} B(\Delta_1 - 1, \Delta_2 - \sigma_2) \mathcal{O}_{\Delta,\sigma}^x(z_2, \bar{z}_2) + \dots$$

**Similar analysis has been performed to determine the subleading OPE structure.**



**Null states of the current algebra  $\rightarrow$  Correlation functions for descendants  $\rightarrow$  Null decoupling equations.**

$$\left[ \frac{C_A}{2} \partial_{z_i} - h_i \sum_{j=1, j \neq i}^n \frac{T_i^a T_j^a}{z_i - z_j} + \frac{1}{2} \sum_{j=1, j \neq i}^n \frac{\epsilon_j (2\bar{h}_j - 1 - (\bar{z}_i - \bar{z}_j) \partial_{\bar{z}_j})}{z_i - z_j} T_j^a P_j^{-1} T_i^a P_{-1, -1}(i) \right] \\ \times \langle \prod_{k=1}^n \mathcal{O}_{h_k, \bar{h}_k}^{a_k}(z_k, \bar{z}_k) \rangle_{MHV} = 0. \quad i \in (1, 2, \dots, n-2)$$

Pure YM

[S. Banerjee and S. Ghosh]

**These equations have been solved to find the Scattering amplitudes !**

- 📖 Fan, Fotopoulos, Stieberger, Taylor and Zhu in [arXiv: 2202.08288] have solved these equations for pure YM theory coupled with massless scalar background field.
- 📖 S. Banerjee, P. Paul, A. Manu and RM have recently solved BG equation for a pure YM theory coupled to a massive scalar background. [arXiv:2302.10245]

# Holographic Symmetry Algebra (HSA)

- It is known that there is no soft factorisation beyond the subleading order (for gluons).
- Beta functions in the OPE have poles for  $\Delta = 1, 0, -1, -2, \dots$

$$\mathcal{O}_{\Delta_1}^{a,+}(z_1, \bar{z}_1) \mathcal{O}_{\Delta_2}^{b,+}(z_2, \bar{z}_2) = -\frac{if^{abc}}{z_{12}} \sum_{n=0}^{\infty} B(\Delta_1 + n - 1, \Delta_2 - 1) \frac{\bar{z}_{12}^n}{n!} \bar{\partial}_2^n \mathcal{O}_{\Delta_1 + \Delta_2 - 1}^{c,+}(z_2, \bar{z}_2).$$

- **Soft gluon operator :**

$$R^{k,a}(z_1, \bar{z}_1) = \lim_{\Delta_1 \rightarrow k} (\Delta_1 - k) \mathcal{O}_{\Delta_1}^{a,+}(z_1, \bar{z}_1)$$

where  $k = 1, 0, -1, \dots$

[A. Guevara, E. Himwich, M. Pate and A. Strominger]

## Mode Expansion :

$$R^{k,a}(z, \bar{z}) = \sum_{n=\frac{k-1}{2}}^{\frac{1-k}{2}} \frac{R_n^{k,a}(z)}{\bar{z}^{n+\frac{k-1}{2}}}$$

**HSA :**

[A. Guevara, E. Himwich, M. Pate and A. Strominger]

$$[R_{m,n}^{k,a}, R_{m',n'}^{l,b}]$$

$$= -i f^{abc} \frac{(\frac{1-k}{2} - n + \frac{1-l}{2} - n')! (\frac{1-k}{2} + n + \frac{1-l}{2} + n')!}{(\frac{1-k}{2} - n)! (\frac{1-l}{2} - n')! (\frac{1-k}{2} + n)! (\frac{1-l}{2} + n')!} R_{m+m', n+n'}^{k+l-1, c}$$

**So, we now have infinite tower of soft gluons and their algebra !**

**This algebra is similar to  $w_{1+\infty}$  algebra obtained in case of gravity.**

**General structure of OPE of the theories invariant under the above algebra for gluons**

$$\mathcal{O}_{\Delta_1}^{a,+}(z_1, \bar{z}_1) \mathcal{O}_{\Delta_2}^{b,+}(z_2, \bar{z}_2)$$

$$= -\frac{i f^{abc}}{z_{12}} B(\Delta_1 - 1, \Delta_2 - 1) \mathcal{O}_{\Delta_1 + \Delta_2 - 1}^{c,+}(z_2, \bar{z}_2) - \frac{i f^{abc}}{z_{12}} \sum_{n=1}^{\infty} B(\Delta_1 + n - 1, \Delta_2 - 1) \frac{\bar{z}_{12}^n}{n!} \bar{\partial}_2^n \mathcal{O}_{\Delta_1 + \Delta_2 - 1}^{c,+}$$

$$+ \sum_{m,n} \sum_{i=1}^{a(m,n)} z_{12}^m \bar{z}_{12}^n f^{abc} C_{m,n}^{(i)} \mathcal{O}_{m,n}^{(i),c}(z_2, \bar{z}_2)$$

## Our Goals ?

- ① To determine the gluon-gluon OPE for the theories which are invariant under the  $\text{HSA} \times \text{Poincare}$ .
- ② To find the null states of such theories.
- ③ To classify all such dual theories which are invariant under this algebra.

### Motivated by:

An interesting recent work [[arXiv:2301.13225](#)] done in case of gravity by [S. Banerjee](#), [H. Kulkarni](#) and [P. Paul](#).

The authors have shown that there exists a discrete infinite family of wedge subalgebra of  $w_{1+\infty}$  invariant dual theories whose bulk descriptions are not known except for the MHV and self-dual gravity.

## Progress...

- ✓ We have already found a “subalgebra” which plays an important role to check the covariance of OPE under the full **HSA**  $\times$  **Poincare**.
- ✓ We have also found the null states which furnish the representation of this subalgebra.
- ✓ We know how this algebra acts on the gluon primaries.
- ✓ General structure of OPE at  $\mathcal{O}(1)$ .

## Remarks

- ✎ This “subalgebra” has  $H^1_{-1/2,-1/2}$  which doesn't come from any soft theorem in case of gauge theories.
- ✎ In gravity  $H^1_{-1/2,-1/2}$  was manifestly present there because of the leading soft graviton theorem.
- ✎ For  $SU(N)$  gauge theories, ASG is  $(\text{HSA} \times \text{Poincare})$  which acts non-trivially on the Hilbert space.

# Thank You !!