

Maintaining the heap property

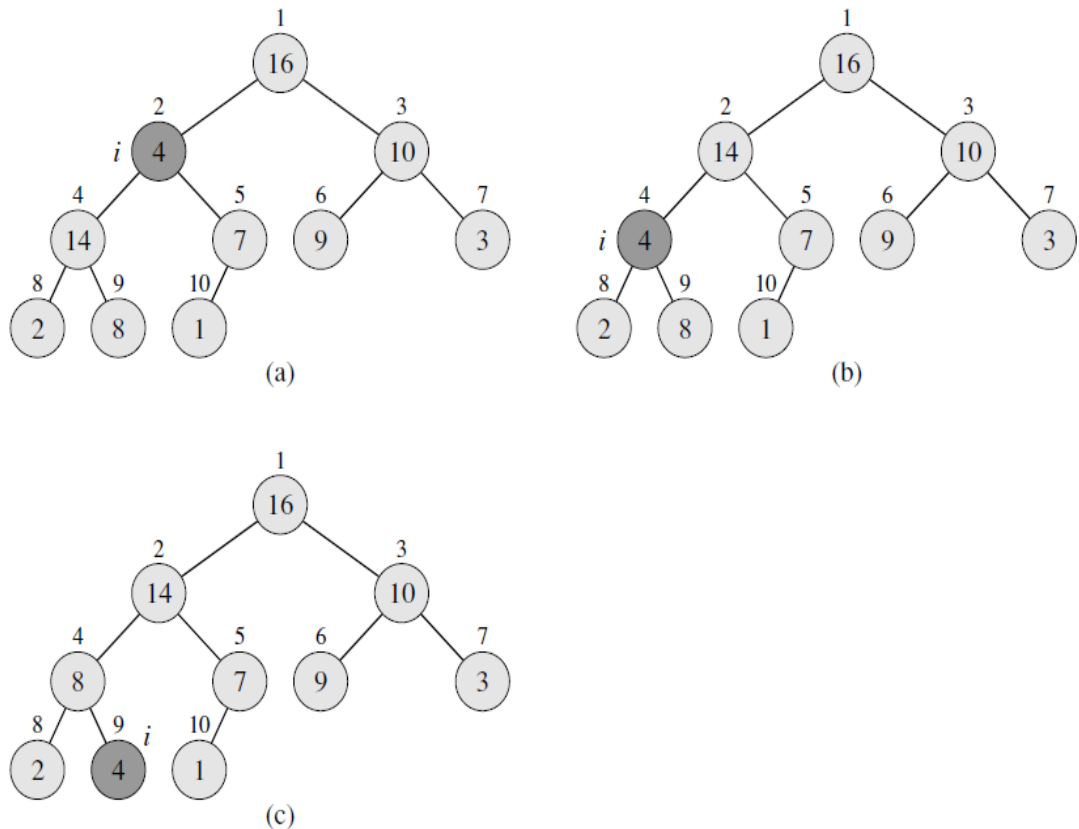
- MAX-HEAPIFY is important procedure for manipulating max-heaps. It is used to maintain the max-heap property.
Inputs: an array A and an index i into the array.
- When MAX-HEAPIFY is called, it is assumed that the binary trees rooted at $\text{LEFT}(i)$ and $\text{RIGHT}(i)$ are max-heaps, but that $A[i]$ may be smaller than its children, thus violating the max-heap property.
Output: After MAX-HEAPIFY, subtree rooted at i is a max-heap.

MAX-HEAPIFY(A, i)

```
1   $l \leftarrow \text{LEFT}(i)$ 
2   $r \leftarrow \text{RIGHT}(i)$ 
3  if  $l \leq \text{heap-size}[A]$  and  $A[l] > A[i]$ 
4      then  $\text{largest} \leftarrow l$ 
5      else  $\text{largest} \leftarrow i$ 
6  if  $r \leq \text{heap-size}[A]$  and  $A[r] > A[\text{largest}]$ 
7      then  $\text{largest} \leftarrow r$ 
8  if  $\text{largest} \neq i$ 
9      then exchange  $A[i] \leftrightarrow A[\text{largest}]$ 
10     MAX-HEAPIFY( $A, \text{largest}$ )
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Following diagram illustrates the action of MAX-HEAPIFY.

At each step, the largest of the elements $A[i]$, $A[\text{LEFT}(i)]$, and $A[\text{RIGHT}(i)]$ is determined, and its index is stored in *largest*.



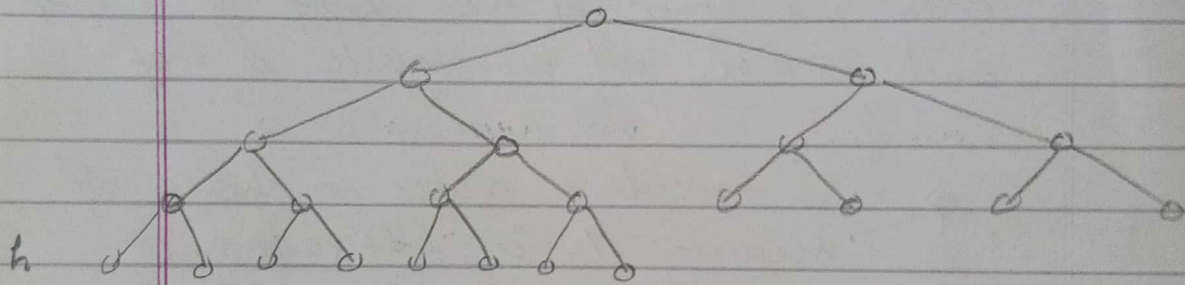
The action of MAX-HEAPIFY(A , 2), where $\text{heap-size}[A] = 10$.

- (a) The initial configuration, with $A[2]$ at node $i = 2$ violating the max-heap property since it is not larger than both children.
- (b) by exchanging $A[2]$ with $A[4]$, which destroys the max-heap property for node 4. The recursive call MAX-HEAPIFY(A , 4) now has $i = 4$.
- (c) After swapping $A[4]$ with $A[9]$, node 4 is fixed up, and the recursive call MAX-HEAPIFY(A , 9) yields no further change to the data structure.

- Since the running time $T(n)$ is analysed by the number of elements in the tree n
- the worst case of max-heapify occurs when we start at the root of a heap and recurse all the way to a leaf
 - \Rightarrow the procedure makes a choice of recursing to its left subtree or right subtree
 - \Rightarrow when the procedure recurses it cuts the work done by some fraction of the work it had before
 - \Rightarrow we have to show that this fraction is at most $2/3$.
- From the inspection we see that the worst case occurs when we are at the root node of a heap of height h and the left subtree is a complete binary tree of height $h-1$ and right subtree is a complete binary tree of height $(h-2)$.

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we have to understand that the maximum number of elements in a subtree happens for the left subtree of a tree that has the last level half full.



h = height of heap

$$\text{no of nodes in left subtree} = (2^h - 1)$$

$$\text{right subtree} = (2^{h-1} - 1)$$

$$\text{total nodes} = 1 + (2^h - 1) + (2^{h-1} - 1)$$

$$\frac{\text{size of left subtree}}{\text{size of entire heap}} = \frac{2^h - 1}{1 + (2^h - 1) + (2^{h-1} - 1)}$$

$$= \frac{2^h - 1}{2^h + 2^{h-1} - 1}$$

$$= \frac{2^h - 1}{2^{h-1} (2+1) - 1}$$

$$= \frac{2^h + 1}{3 \cdot 2^{h-1} - 1}$$

$$= \frac{2 \cdot 2^{h-1} - 1}{3 \cdot 2^{h-1} - 1}$$

Now for obtaining an upper bound on the ratio, we will take $h \rightarrow \infty$

$$\text{Therefore, } \lim_{h \rightarrow \infty} \frac{2 \cdot 2^{h-1} - 1}{3 \cdot 2^{h-1} - 1}$$

$$= \frac{2}{3}$$

so

$$T(n) = T\left(\frac{2n}{3}\right) + \Theta(1)$$

or

$$T(n) \leq T\left(\frac{2n}{3}\right) + \Theta(1)$$

$$\text{so } T(n) = \Theta(\lg n)$$

$$\left\{ \begin{array}{l} \text{Here } a = 1 \\ b = 3/2 \\ n^{\log_b a} = n^0 = 1 \end{array} \right\}$$

Q 6.2.3

No effect, just return

because the if statement on line 8 will be false

6.2.4

if $i > \frac{\text{heap-size}[A]}{2}$

then l and r both will exceed $\text{heap-size}[A]$

so the if statement conditions on lines 3 & 6 of the procedure will never be satisfied. Therefore $\text{largest} = i$, so the re

6.2.5

Max-Heapify(A, i)

while ($i \leq \text{heap-size}[A]$)

$l \leftarrow \text{left}(i)$

$r \leftarrow \text{right}(i)$

$\text{largest} \leftarrow i$

if $l \leq \text{heap-size}[A]$ and $A[l] > A[i]$

then $\text{largest} \leftarrow l$

if $r \leq \text{heap-size}[A]$ and $A[r] > A[i]$

then $\text{largest} \leftarrow r$

if $\text{largest} \neq i$

exchange $A[i] \leftrightarrow A[\text{largest}]$

$i \leftarrow \text{largest}$

else return