

## Insertion Sort

- solves the sorting problems

Input: A sequence of  $n$  numbers  $\langle a_1, a_2, a_3, \dots, a_n \rangle$

Output: A permutation (reordering) of the input sequence  
i.e.  $\langle a_1', a_2', \dots, a_n' \rangle$  such that

$$a_1' \leq a_2' \leq \dots \leq a_n'$$

- it works the way many people sort a hand of playing cards.
- Analogy Description: Referee book.

## INSERTION SORT(A)

1. for  $j \leftarrow 2$  to  $\text{length}[A]$
2.     do  $\text{key} \leftarrow A[j]$
3.     ▷ Insert  $A[j]$  into the sorted sequence  $A[1 \dots j-1]$
4.      $i \leftarrow j-1$
5.     while  $i > 0$  and  $A[i] > \text{key}$
6.         do  $A[i+1] \leftarrow A[i]$
7.          $i \leftarrow i-1$
8.      $A[i+1] \leftarrow \text{key}$

### Sorted in place

- 1) rearranged within the array and
- 2) at any time, at most, a constant number of items are stored outside the array.

### Design Method

- it uses an incremental design approach.  
⇒ Having sorted subarray  $A[1 \dots j-1]$ , we insert the single element  $A[j]$  into its proper place to obtain the subarray  $A[1 \dots j]$



## Loop invariants (LI)

$j$ : current card being inserted into the hand.

At the beginning of each iteration of the outer for loop,  
the subarray  $A[1..j-1]$  :- currently sorted hand  
and  $A[j+1..n]$  :- pile of cards still on the table

⇒ In fact, elements  $A[1..j-1]$  are the elements originally in positions 1 through  $j-1$ , but now in sorted order.

⇒ these properties of  $A[1..j-1]$  may be used to define as a loop invariant

“ At the start of each iteration of the for loop of lines 1-8, the subarray  $A[1..j-1]$  consists of the elements originally in  $A[1..j-1]$  but in sorted order. ”

- Loop invariant is used to understand the correctness of an algorithm

- we must show 3 things about a loop invariant:

i) Initialization: it is true prior to the 1st iteration of the loop.

ii) Maintenance: if it is true before an iteration of the loop, it remains true before the next iteration.

iii) Termination: when the loop terminates, the loop invariant gives us a useful property that helps to show that the algo is correct.

- when the first two properties hold, then the loop invariant is true prior to every iteration of the loop.

- note the similarity between L.I. and Mathematical Induction (M.I.).

M.I.	L.I.
base case	before the 1st iteration
inductive step	iteration to iteration
* inductive step is used infinitely	induction is stopped when loop terminates



- but we see how these properties hold for insertion sort.

i) Initialization: (L.I. holds before 1st iteration) because when  $j=2$ , the subarray  $A[1..j-1]$  consists of just single element  $A[1]$ , which is also originally at position  $A[1]$ . Moreover, this subarray is sorted trivially.  
 $\Rightarrow$  hence the L.I. holds prior to the 1st iteration of the loop.

(ii) Maintenance: (Each iteration maintains the L.I.)  
the body of the outer for loop works by moving  $A[j-1]$ ,  $A[j-2]$ , and so on by one position to the right until the proper position for  $A[j]$  is found (lines 4-7), at which point the value of  $A[j]$  is inserted (line 8).

$\Rightarrow$  i.e. 1st iteration is basically placing  $A[2]$  at the right position so that  $A[1]$  and  $A[2]$  are in sorted order and originally at positions 1 & 2.  
 $\Rightarrow$  this means the loop body is maintaining the L.I.

(8)  
iii) Termination :

Finally, we examine what happens when loop terminates.

Here, outer for loop ends when  $j > n$  i.e.  
 $j = n+1$

Now, we will use  $j = n+1$  is the statement of L.I

so we have :-

"the subarray  $A[1..n]$  consists of the elements originally in  $A[1..n]$ , but in sorted order."

$\Rightarrow$  But the subarray  $A[1..n]$  is the entire array.

Hence, the entire array is sorted.

$\Rightarrow$  This implies that the algo. is correct.

$A = \{5, 2, 4, 6, 1, 3, 7\}$