

## Knapsack Problem

We are given  $n$  objects and a knapsack (or bag).

The object  $i$  has a weight  $w_i$  and the knapsack has a capacity  $m$ .

Further, if a fraction  $x_i$ ,  $0 \leq x_i \leq 1$  of object  $i$  is placed into the knapsack, then a profit of  $p_i x_i$  is earned.

The objective is to obtain a filling of the knapsack that maximizes the total profit earned.

Formally, maximize  $\sum_{1 \leq i \leq n} p_i x_i$  — (1)

subject to  $\sum_{1 \leq i \leq n} w_i x_i \leq m$  — (2)

and  $\boxed{0 \leq x_i \leq 1} \quad 1 \leq i \leq n$  — (3)

Here, a feasible solution is any set  $(x_1, x_2, \dots, x_n)$  that satisfies eqn<sup>n</sup> (2) and (3).

An optimal solution is a feasible solution for which eqn<sup>n</sup> (1) is maximized.

eg

Consider 3 objects with weights  $(w_1, w_2, w_3) = (18, 15, 10)$

and profits  $(p_1, p_2, p_3) = (25, 24, 15)$ .

The capacity of knapsack is 20.

Therefore,  $n = 3$   
 $m = 20$

A few feasible sol<sup>n</sup>s

	$(x_1, x_2, x_3)$	$\sum w_i x_i$	$\sum p_i x_i$
eg	$(\frac{1}{2}, \frac{1}{2}, \frac{1}{4})$	$\Rightarrow \frac{1}{2}(18) + \frac{1}{2}(15) + \frac{1}{4}(10)$ $\Rightarrow 19$	$\Rightarrow \frac{1}{2}(25) + \frac{1}{2}(24) + \frac{1}{4}(15)$ $\Rightarrow 28.25$
eg	$(1, \frac{2}{15}, 0)$	$\Rightarrow 1(18) + \frac{2}{15}(15) + 0$ $\Rightarrow 20$	$\Rightarrow 1(25) + \frac{2}{15}(24)$ $\Rightarrow 28.2$
eg	$(1, 1, 1)$	$\Rightarrow 25 + 24 + 15$ $\Rightarrow 64 \nless knapsack\ size$	

Infeasible sol<sup>n</sup>

\* A feasible sol<sup>n</sup> may or may not be optimal.

sol<sup>n</sup>

We can observe following two key points :

(1) if the sum of all weights is  $\leq m$ , then  $x_i = 1$ , for  $1 \leq i \leq n$ .  
is an optimal sol<sup>n</sup>.

(2) All optimal solutions will fill the knapsack exactly.  
( since we can always increase the contribution of some object  $i$  by a fractional amount until the total weight is exactly  $m$  )

Greedy strategy 1

( Fill the knapsack by including the object with largest profit, if an object doesn't, then a fraction of it is included to fill the knapsack )

Here,

$$(p_1, p_2, p_3) = (25, 24, 15)$$

$$(w_1, w_2, w_3) = (10, 15, 10)$$

$$\left. \begin{array}{l} x_1 = 1 \\ x_2 = \frac{2}{15} \\ x_3 = 0 \end{array} \right\} \begin{array}{l} \sum p_i x_i = 1(25) + \frac{2}{15}(24) \\ = 28.2 \end{array}$$

→ This strategy is greedy since at each step (except possibly last) we select an object which increases the objective fn. most.  
It is not an optimal sol<sup>n</sup>.

⇒ Each time an object is included into the knapsack, we obtain largest possible increase in profit value (except possibly when last object is included)

$$\begin{array}{l} \text{eg } p_i = 4, w_i = 4 \\ p_j = 3, w_j = 2 \end{array}$$

so if we want 2 units of space then  $j$  is better than  $i$ .



Greedy Strategy 2

(Fill the knapsack by including the object with least weight)

$$(w_1, w_2, w_3) = (18, 15, 10)$$

$$(p_1, p_2, p_3) = (25, 24, 15)$$

Take  $\begin{cases} x_3 = 1 \\ x_2 = \frac{10}{15} = \frac{2}{3} \\ x_1 = 0 \end{cases}$

current weight = 10, current profit = 15

total weight = 20, total profit =  $1(15) + \frac{2}{3}(24)$

$$= 15 + 16$$

$$= 31$$

- it is not an optimal sol<sup>n</sup>

Note

In strategy 1, (non-increasing order of profit) Total Profit

In each step, the objective fn. value takes on large increases, but number of steps is few as knapsack capacity is used up at a rapid rate.

In strategy 2 (non decreasing order of weight) Capacity Used

Here, in each step, even though capacity is used slowly but profits are not coming in rapidly enough.

### Greedy Strategy 3

This time, we will try to use an algorithm that strives to achieve a balance between { the rate at which profit increases and the rate at which capacity is used

Therefore, at each step, we include that object which has maximum profit per unit of capacity used.

⇒ That means objects are considered in order of the ratio  $P_i/w_i$

$$\text{so } x_1 = 0$$

$$x_2 = 1$$

$$x_3 = \frac{1}{2}$$

$$\sum w_i x_i = 20$$

$$\sum P_i x_i = 31.5 \quad (\text{optimal value}) //$$