

Mathematics 739 Homework 2: Differential forms.

On \mathbb{R}^n a zero form is just a smooth function $f: \mathbb{R}^n \rightarrow \mathbb{R}$. A one form is an expression

$$\alpha = \sum_{j=1}^n dx^j$$

where x^1, \dots, x^n are the standard coordinates on \mathbb{R}^n and the a_j 's are smooth functions. We also view each dx^j as a linear functional on \mathbb{R}^n by letting

$$dx^j \left(\sum_{k=1}^n v^k \frac{\partial}{\partial x^k} \right) = v^j$$

where $\frac{\partial}{\partial x^1}, \frac{\partial}{\partial x^2}, \dots, \frac{\partial}{\partial x^n}$ is the standard basis of \mathbb{R}^n . Here we are identifying vectors with point derivations. That is if $p \in \mathbb{R}^n$ and v is a vector at p (i.e. $v \in TM_p$) then we can also view v as the directional derivative in the direction of v . That is if f is a smooth real valued function, then

$$v(f) = \left. \frac{d}{dt} f(p + tv) \right|_{t=0}.$$

This operator satisfies that $f \mapsto v(f)$ is linear over \mathbb{R} and that

$$v(fg) = f(p)v(g) + v(f)g(p).$$

Proposition 1. *If V is an operator on smooth real valued functions on \mathbb{R}^n such that V is linear over \mathbb{R} and for some point $p \in \mathbb{R}^n$*

$$V(fg) = V(f)g(p) + f(p)V(g)$$

then there is a vector v at p such that V is given by

$$V(f) = \left. \frac{d}{dt} f(p + tv) \right|_{t=0}.$$

Thus V is naturally identified with a vector to \mathbb{R}^n at the point p .

Problem 1. Prove this. *Hint:* First show $V(c) = 0$ for any constant c . Then show if h_1 and h_2 are smooth functions with $h_1(p) = h_2(p) = 0$, then for any smooth function g that $V(h_1 h_2 g) = 0$. Now use some form or another of Taylor's theorem to write the smooth function f as

$$f = f(p) + \sum_{j=1}^n a_j (x^j - p^j) + \sum_{j,k=1}^n (x^j - p^j)(x^k - p^k) g_{jk}$$

where the a_j 's are constants and the g_{jk} 's are smooth functions. Put this all together to conclude

$$V(f) = \sum_{j=1}^n a_j V(x^j) = \left. \frac{d}{dt} f(p + tv) \right|_{t=0}$$

where v is the vector $v = \sum_{j=1}^n a^j \frac{\partial}{\partial x^j}$. □

One reason for viewing vectors this way is that this definition is easy to generalize to manifolds. Let M be a smooth manifold and, $C^\infty(M)$ the algebra of smooth real valued functions on M and $p \in M$ a point. Then we can define a **point derivation** at p to be a map $f \mapsto V(f)$ which is linear over \mathbb{R} and such that for $f, g \in C^\infty(M)$

$$V(fg) = V(f)g(p) + f(p)V(g).$$

Then the set of all such point derivations at p form the tangent space, TM_p , to M at p . To make this definition a bit more geometric let $c: (-\delta, \delta)$ be a smooth curve with $c(0) = p$. Then

$$V(f) = \left. \frac{d}{dt} f(c(t)) \right|_{t=0}$$

is a point derivation at p which we denote, naturally enough, at $c'(0)$. It is the tangent vector to c at $t = 0$. An easy extension of Proposition 1 shows that every $v \in TM_p$ can be realized as the tangent vector to a curve through p .

If $\tilde{x}^1, \tilde{x}^2, \dots, \tilde{x}^n$ is another coordinate system on \mathbb{R}^n and let the one form α be given in the two coordinate systems by

$$\alpha = \sum_{j=1}^n a_j dx^j = \sum_{j=1}^n \tilde{a}_j d\tilde{x}^j.$$

Then

$$\tilde{a}_j = \sum_{k=1}^n \frac{\partial x^k}{\partial \tilde{x}^j} a_k.$$

This is often written as

$$\tilde{a}_j = \frac{\partial x^k}{\partial \tilde{x}^j} a_k$$

with the convention that we sum over any repeated index.¹

Problem 2. Prove this transformation rule. Also show that if a vector field is given in the two coordinates systems as

$$\sum_{j=1}^n a^j \frac{\partial}{\partial x^j} = \sum_{j=1}^n \tilde{a}^j \frac{\partial}{\partial \tilde{x}^j}$$

then

$$\tilde{a}^j = \sum_{k=1}^n \frac{\partial \tilde{x}^j}{\partial x^k} a^k = \frac{\partial \tilde{x}^j}{\partial x^k} a^k.$$

□

¹This convention seems to have been introduced by Einstein in his paper *Die Grundlage der allgemeinen Relativitätstheorie* in *Annalen der Physik* in 1916. This is why it is often called the Einstein summation convention.

If $f: \mathbb{R}^n \rightarrow \mathbb{R}$ is a smooth function we define its **differential** (also called its **exterior derivative**) by

$$df = \sum_{j=1}^n \frac{\partial f}{\partial x^j} dx^j.$$

The chain rule shows that this is the linear functional defined on vectors by

$$df_p(v) = \left. \frac{d}{dt} f(p + tv) \right|_{t=0}.$$

Problem 3. Show that the definition of df is independent of the coordinate system used to define it. \square

If $1 \leq k \leq n$ a smooth k -form is sum of the form

$$\alpha = \sum_{1 \leq j_1 < j_2 < \dots < j_k \leq n} a_{j_1 j_2 \dots j_k} dx^{j_1} \wedge dx^{j_2} \wedge \dots \wedge dx^{j_k}$$

where each of the $a_{j_1 j_2 \dots j_k}$ are smooth functions. The wedge product \wedge is so that

$$dx^j \wedge dx^k = -dx^k \wedge dx^j$$

which implies that for any j

$$dx^j \wedge dx^j = 0.$$

The products $dx^{j_1} \wedge dx^{j_2} \wedge \dots \wedge dx^{j_k}$ can be view as k -linear alternating functions as follows. For $k = 2$

$$dx^{j_1} \wedge dx^{j_2}(u, v) = dx^{j_1}(u)dx^{j_2}(v) - dx^{j_1}(v)dx^{j_2}(u) = \det \begin{bmatrix} dx^{j_1}(u) & dx^{j_2}(v) \\ dx^{j_1}(v) & dx^{j_2}(u) \end{bmatrix}$$

and in general

$$dx^{j_1} \wedge dx^{j_2} \wedge \dots \wedge dx^{j_k}(v_1, v_2, \dots, v_k) = \det \left([dx^{j_s}(v_t)]_{s,t=1}^k \right).$$

In writing differential forms it is useful to use the multi-index notation. Let $J = (j_1, j_2, \dots, j_k)$ then set

$$dx^J = dx^{j_1} \wedge dx^{j_2} \wedge \dots \wedge dx^{j_k}.$$

Problem 4. With this notation

- (a) If J has a repeated index, then $dx^J = 0$.
- (b) If the elements of J' are a permutation of the elements of J , say $J' = (j_{\sigma(1)}, j_{\sigma(2)}, \dots, j_{\sigma(k)})$ with σ a permutation of $\{1, 2, \dots, k\}$, then $dx^{J'} = \text{sign}(\sigma)dx^J$.
- (c) If J and L have an element in common, then $dx^J \wedge dx^L = 0$.
- (d) If J and L have no element in common and J has degree k and L has degree ℓ , then $dx^L \wedge dx^J = (-1)^{k\ell} dx^J \wedge dx^L$.

We can now write a k form α as

$$\alpha = \sum_J a_J dx^J$$

where, depending on which is more useful in a given context, the sum is either over all length k multi-indices or over all increasing multi-indices.

If α and β are forms, say

$$\alpha = \sum_J a_J dx^J, \quad \beta = \sum_L b_L dx^L$$

then the **wedge product** (also called the **exterior product**) of these is

$$\alpha \wedge \beta = \sum_{J,L} a_J b_L dx^J \wedge dx^L.$$

Problem 5. Show this product is associative and its definition is independent of the coordinate system used. \square

Problem 6. Let α be a k -form and β a ℓ -form.

- (a) Show that $\beta \wedge \alpha = (-1)^{k\ell} \alpha \wedge \beta$.
- (b) Show that if k is odd, then $\alpha \wedge \alpha = 0$.
- (c) Let $\omega = dx^1 \wedge dx^2 + dx^2 \wedge dx^4$. Show $\omega \wedge \omega = 2 dx^1 \wedge dx^2 \wedge dx^3 \wedge dx^4 \neq 0$.
Thus it is not true $\alpha \wedge \alpha = 0$ for all forms. \square

We can now extend the definition of the differential, df , of a smooth function to general forms. Let

$$\alpha = \sum_J a_J dx^J.$$

Then its **exterior derivative** is

$$d\alpha = \sum_J da_J \wedge dx^J.$$

Proposition 2. *This definition is independent of the coordinate system used to define it. Also*

(a) *For any form α*

$$dd\alpha = 0.$$

(b) *If α is a k form and β is a ℓ form*

$$d(\alpha \wedge \beta) = (d\alpha) \wedge \beta + (-1)^k \alpha \wedge (d\beta).$$

The following is one of the more important results about differential forms.

Theorem 3 (Poincaré lemma). *Let α be a smooth form defined on a contractible open subset U of \mathbb{R}^n . If $d\alpha = 0$, then there is a form β with*

$$d\beta = \alpha.$$