Tokenizing

- Forming words from sequence of characters
- Surprisingly complex in English, can be harder in other languages
- Early IR systems:
  - any sequence of alphanumeric characters of length 3 or more
  - terminated by a space or other special character
  - upper-case changed to lower-case

Tokenizing

- Example:
  - “Bigcorp's 2007 bi-annual report showed profits rose 10%.” becomes
  - “bigcorp 2007 annual report showed profits rose”
- Too simple for search applications or even large-scale experiments
- Why? Too much information lost
  - Small decisions in tokenizing can have major impact on effectiveness of some queries

Tokenizing Problems

- Small words can be important in some queries, usually in combinations
    - xp, ma, pm, ben e king, el paso, master p, gm, j lo, world war II
- Both hyphenated and non-hyphenated forms of many words are common
  - Sometimes hyphen is not needed
    - e-bay, wal-mart, active-x, cd-rom, t-shirts
  - At other times, hyphens should be considered either as part of the word or a word separator
    - winston-salem, mazda rx-7, e-cards, pre-diabetes, t-mobile, spanish-speaking

Tokenizing Problems

- Special characters are an important part of tags, URLs, code in documents
- Capitalized words can have different meaning from lower case words
  - Bush, Apple
- Apostrophes can be a part of a word, a part of a possessive, or just a mistake
  - rosie o'donnell, can't, don't, 80's, 1890's, men's straw hats, master's degree, england's ten largest cities, shriner's

Tokenizing Problems

- Numbers can be important, including decimals
  - nokia 3250, top 10 courses, united 93, quicktime 6.5 pro, 92.3 the beat, 288358
- Periods can occur in numbers, abbreviations, URLs, ends of sentences, and other situations
  - I.B.M., Ph.D., cs.umass.edu, F.E.A.R.
- Note: tokenizing steps for queries must be identical to steps for documents

Tokenizing Process

- First step is to use parser to identify appropriate parts of document to tokenize
- Defer complex decisions to other components
  - word is any sequence of alphanumeric characters, terminated by a space or special character, with everything converted to lower-case
  - everything indexed
  - example: 92.3 → 92 3 but search finds documents with 92 and 3 adjacent
  - incorporate some rules to reduce dependence on query transformation components

Tokenizing Process

- Not that different than simple tokenizing process used in past
- Examples of rules used with TREC
  - Apostrophes in words ignored
    - o'connor → oconnor bob's → bobs
  - Periods in abbreviations ignored
    - I.B.M. → ibm Ph.D. → ph d

Stopping

- Function words (determiners, prepositions) have little meaning on their own
- High occurrence frequencies
- Treated as *stopwords* (i.e. removed)
  - reduce index space, improve response time, improve effectiveness
- Can be important in combinations
  - e.g., “to be or not to be”

Stopping

- Stopword list can be created from high-frequency words or based on a standard list
- Lists are customized for applications, domains, and even parts of documents
  - e.g., “click” is a good stopword for anchor text
- Best policy is to index all words in documents, make decisions about which words to use at query time

Stemming

- Many morphological variations of words
  - *inflectional* (plurals, tenses)
  - *derivational* (making verbs nouns etc.)
- In most cases, these have the same or very similar meanings
- Stemmers attempt to reduce morphological variations of words to a common stem
  - usually involves removing suffixes
- Can be done at indexing time or as part of query processing (like stopwords)

Stemming

- Generally a small but significant effectiveness improvement
  - can be crucial for some languages
  - e.g., 5-10% improvement for English, up to 50% in Arabic

kitab	*a book*
kitabi	*my book*
al**kitab**	*the book*
kitabuki	*your book* (f)
kitabuka	*your book (m)*
kitabuhu	*his book*
kataba	*to write*
ma**ktaba**	*library, bookstore*
ma**ktab**	*office*

Words with the Arabic root **ktb**

Stemming

- Two basic types
  - Dictionary-based: uses lists of related words
  - Algorithmic: uses program to determine related words
- Algorithmic stemmers
  - *suffix-s:* remove 's' endings assuming plural
    - e.g., cats → cat, lakes → lake, wiis → wii
    - Many *false negatives*: supplies → supplie
    - Some *false positives*: ups → up

Porter Stemmer

- Algorithmic stemmer used in IR experiments since the 70s
- Consists of a series of rules designed to the longest possible suffix at each step
- Effective in TREC
- Produces *stems* not *words*
- Makes a number of errors and difficult to modify

Porter Stemmer

- Example step (1 of 5)

Step 1a:

- Replace ***sses*** by ***ss*** (e.g., stresses → stress).
- Delete ***s*** if the preceding word part contains a vowel not immediately before the ***s*** (e.g., gaps → gap but gas → gas).
- Replace ***ied*** or ***ies*** by ***i*** if preceded by more than one letter, otherwise by ***ie*** (e.g., ties → tie, cries → cri).
- If suffix is ***us*** or ***ss*** do nothing (e.g., stress → stress).

Step 1b:

- Replace ***eed***, ***eedly*** by ***ee*** if it is in the part of the word after the first non-vowel following a vowel (e.g., agreed → agree, feed → feed).
- Delete ***ed***, ***edly***, ***ing***, ***ingly*** if the preceding word part contains a vowel, and then if the word ends in ***at***, ***bl***, or ***iz*** add ***e*** (e.g., fished → fish, pirating → pirate), or if the word ends with a double letter that is not ***ll***, ***ss*** or ***zz***, remove the last letter (e.g., falling→ fall, dripping → drip), or if the word is short, add ***e*** (e.g., hoping → hope).
- Whew!

Porter Stemmer

False positives	*False negatives*
organization/organ	european/europe
generalization/generic	cylinder/cylindrical
numerical/numerous	matrices/matrix
policy/police	urgency/urgent
university/universe	create/creation
addition/additive	analysis/analyses
negligible/negligent	useful/usefully
execute/executive	noise/noisy
past/paste	decompose/decomposition
ignore/ignorant	sparse/sparsity
special/specialized	resolve/resolution
head/heading	triangle/triangular

- Porter2 stemmer addresses some of these issues
- Approach has been used with other languages

Krovetz Stemmer

- Hybrid algorithmic-dictionary
  - Word checked in dictionary
    - If present, either left alone or replaced with “exception”
    - If not present, word is checked for suffixes that could be removed
    - After removal, dictionary is checked again
- Produces words not stems
- Comparable effectiveness
- Lower false positive rate, somewhat higher false negative

Stemmer Comparison

Original text:

Document will describe marketing strategies carried out by U.S. companies for their agricultural chemicals, report predictions for market share of such chemicals, or report market statistics for agrochemicals, pesticide, herbicide, fungicide, insecticide, fertilizer, predicted sales, market share, stimulate demand, price cut, volume of sales.

Porter stemmer:

document describ market strategi carri compani agricultur chemic report predict market share chemic report market statist agrochem pesticid herbicid fungicid insecticid fertil predict sale market share stimul demand price cut volum sale

Krovetz stemmer:

document describe marketing strategy carry company agriculture chemical report prediction market share chemical report market statistic agrochemic pesticide herbicide fungicide insecticide fertilizer predict sale stimulate demand price cut volume sale

Phrases

- Many queries are 2-3 word phrases
- Phrases are
  - More precise than single words
    - e.g., documents containing “black sea” vs. two words “black” and “sea”
  - Less ambiguous
    - e.g., “big apple” vs. “apple”
- Can be difficult for ranking
    - e.g., Given query “fishing supplies”, how do we score documents with
      - exact phrase many times, exact phrase just once, individual words in same sentence, same paragraph, whole document, variations on words?

Phrases

- Text processing issue – how are phrases recognized?
- Three possible approaches:
  - Identify syntactic phrases using a *part-of-speech* (POS) tagger
  - Use word *n-grams*
  - Store word positions in indexes and use *proximity operators* in queries

POS Tagging

- POS taggers use statistical models of text to predict syntactic tags of words
  - Example tags:
    - NN (singular noun), NNS (plural noun), VB (verb), VBD (verb, past tense), VBN (verb, past participle), IN (preposition), JJ (adjective), CC (conjunction, e.g., “and”, “or”), PRP (pronoun), and MD (modal auxiliary, e.g., “can”, “will”).
- Phrases can then be defined as simple noun groups, for example

Pos Tagging Example

Original text:

Document will describe marketing strategies carried out by U.S. companies for their agricultural chemicals, report predictions for market share of such chemicals, or report market statistics for agrochemicals, pesticide, herbicide, fungicide, insecticide, fertilizer, predicted sales, market share, stimulate demand, price cut, volume of sales.

Brill tagger:

Document/NN will/MD describe/VB marketing/NN strategies/NNS carried/VBD out/IN by/IN U.S./NNP companies/NNS for/IN their/PRP agricultural/JJ chemicals/NNS ,/, report/NN predictions/NNS for/IN market/NN share/NN of/IN such/JJ chemicals/NNS ,/, or/CC report/NN market/NN statistics/NNS for/IN agrochemicals/NNS ,/, pesticide/NN ,/, herbicide/NN ,/, fungicide/NN ,/, insecticide/NN ,/, fertilizer/NN ,/, predicted/VBN sales/NNS ,/, market/NN share/NN ,/, stimulate/VB demand/NN ,/, price/NN cut/NN ,/, volume/NN of/IN sales/NNS ./.

Example Noun Phrases

TREC data		Patent data	
Frequency	*Phrase*	*Frequency*	*Phrase*
65824	united states	975362	present invention
61327	article type	191625	u.s. pat
33864	los angeles	147352	preferred embodiment
18062	hong kong	95097	carbon atoms
17788	north korea	87903	group consisting
17308	new york	81809	room temperature
15513	san diego	78458	seq id
15009	orange county	75850	brief description
12869	prime minister	66407	prior art
12799	first time	59828	perspective view
12067	soviet union	58724	first embodiment
10811	russian federation	56715	reaction mixture
9912	united nations	54619	detailed description
8127	southern california	54117	ethyl acetate
7640	south korea	52195	example 1
7620	end recording	52003	block diagram
7524	european union	46299	second embodiment
7436	south africa	41694	accompanying drawings
7362	san francisco	40554	output signal
7086	news conference	37911	first end
6792	city council	35827	second end
6348	middle east	34881	appended claims
6157	peace process	33947	distal end
5955	human rights	32338	cross-sectional view
5837	white house	30193	outer surface

Word N-Grams

- POS tagging too slow for large collections
- Simpler definition – phrase is any sequence of *n* words – known as *n-grams*
  - *bigram*: 2 word sequence, *trigram*: 3 word sequence, *unigram*: single words
  - N-grams also used at character level for applications such as OCR
- N-grams typically formed from *overlapping* sequences of words
  - i.e. move n-word “window” one word at a time in document

N-Grams

- Frequent n-grams are more likely to be meaningful phrases
- N-grams form a Zipf distribution
  - Better fit than words alone
- Could index all n-grams up to specified length
  - Much faster than POS tagging
  - Uses a lot of storage
    - e.g., document containing 1,000 words would contain 3,990 instances of word n-grams of length $2 \leq n \leq 5$

Google N-Grams

- Web search engines index n-grams
- Google sample:

Number of tokens:	1,024,908,267,229
Number of sentences:	95,119,665,584
Number of unigrams:	13,588,391
Number of bigrams:	314,843,401
Number of trigrams:	977,069,902
Number of fourgrams:	1,313,818,354
Number of fivegrams:	1,176,470,663

- Most frequent trigram in English is “all rights reserved”
  - In Chinese, “limited liability corporation”

Document Structure and Markup

- Some parts of documents are more important than others
- Document parser recognizes structure using markup, such as HTML tags
  - Headers, anchor text, bolded text all likely to be important
  - Metadata can also be important
  - Links used for *link analysis*

Example Web Page

Tropical fish

From Wikipedia, the free encyclopedia

Tropical fish include fish found in tropical environments around the world, including both freshwater and salt water species. Fishkeepers often use the term *tropical fish* to refer only those requiring fresh water, with saltwater tropical fish referred to as *marine fish*.

Tropical fish are popular aquarium fish , due to their often bright coloration. In freshwater fish, this coloration typically derives from iridescence, while salt water fish are generally pigmented.

Example Web Page

```
<html>
<head>
<meta name="keywords" content="Tropical fish, Airstone, Albinism, Algae eater,
Aquarium, Aquarium fish feeder, Aquarium furniture, Aquascaping, Bath treatment
(fishkeeping),Berlin Method, Biotope" />
…
<title>Tropical fish - Wikipedia, the free encyclopedia</title>
</head>
<body>
 …
<h1 class="firstHeading">Tropical fish</h1>
…
<p><b>Tropical fish</b> include <a href="/wiki/Fish" title="Fish">fish</a> found in <a
href="/wiki/Tropics" title="Tropics">tropical</a> environments around the world,
including both <a href="/wiki/Fresh_water" title="Fresh water">freshwater</a> and <a
href="/wiki/Sea_water" title="Sea water">salt water</a> species. <a
href="/wiki/Fishkeeping" title="Fishkeeping">Fishkeepers</a> often use the term
<i>tropical fish</i> to refer only those requiring fresh water, with saltwater tropical fish
referred to as <i><a href="/wiki/List_of_marine_aquarium_fish_species" title="List of
marine aquarium fish species">marine fish</a></i>.</p>
<p>Tropical fish are popular <a href="/wiki/Aquarium" title="Aquarium">aquarium</a>
fish , due to their often bright coloration. In freshwater fish, this coloration typically
derives from <a href="/wiki/Iridescence" title="Iridescence">iridescence</a>, while salt
water fish are generally <a href="/wiki/Pigment" title="Pigment">pigmented</a>.</p>
…
</body></html>
```