

**EECS 211:**

**Advanced System Software**

**Lecture: File-System Interface**

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# File-System Interface

- ☐ **File Concept**
- ☐ **Access Methods**
- ☐ **Disk and Directory Structure**
- ☐ **File-System Mounting**
- ☐ **Protection**

# File Concept

- ❑ A file is a named collection of related information that is recorded on secondary storage.
  - ❑ OS provides a uniform logical view of the stored information
  - ❑ OS abstracts from the physical properties of its storage devices to define a logical storage units → **file**
- ❑ Types:
  - ❑ Data Files: numeric, character, binary
  - ❑ Program (both source and object forms)
- ❑ Files may be free form, e.g. text file or may be formatted rigidly
- ❑ **Contents defined by file's creator**
  - ❑ A file has a certain defined structure depending on its type
    - ❑ Consider **text file (characters organized onto lines), source file (sequence of functions), executable file (sequence of code sections)**

# File Attributes

- ❑ **Name** – only information kept in human-readable form
- ❑ **Identifier** – unique tag (number) identifies file within file system
- ❑ **Type** – needed for systems that support different types
- ❑ **Location** – pointer to file location on device
- ❑ **Size** – current file size
- ❑ **Protection** – controls who can do reading, writing, executing
- ❑ **Time, date, and user identification** – data for protection, security, and usage monitoring
- ❑ Information about files are kept in the directory structure, which is maintained on the disk
- ❑ Many variations, including extended file attributes such as file checksum
- ❑ Information kept in the directory structure: **typically a directory entry consists' of the file's name and its unique identifier**

# File Attributes

- ❑ **Name** – only information kept in human-readable form
  - ❑ **Identifier** – unique tag (number) identifies file within file system
  - ❑ **Type** – needed for systems that support different types
- ❑ **It may take more than a KB to record this information for each file**
  - ❑ **In a system with many files, the size of the directory itself may be megabytes**
- ❑ Information about files are kept in the directory structure, which is maintained on the disk
  - ❑ Many variations, including extended file attributes such as file checksum
  - ❑ Information kept in the directory structure: **typically a directory entry consists' of the file's name and its unique identifier**

# File info Window on Mac OS X



# File Operations

- File is an abstract data type
- OS provides necessary system calls
- These are the 6 basic operations → minimal set of required file operations
  - Basic Operations may form other functions, e.g. copy a file to another IO device
- Most of the file operation involves searching the directory

6. Truncate

## 6. Truncate

- **Open( $F_i$ )** – search the directory structure on disk for entry  $F_i$ , and move the content of entry to memory
- **Close ( $F_i$ )** – move the content of entry  $F_i$  in memory to directory structure on disk

# File Operations

- ❑ File is an abstract data type
- ❑ OS provides necessary system calls
- 1. **Create**: Two step process
  - 1. Check for available space
  - 2. An entry for the new file in the directory
- 2. **Write** – at **write pointer** location → input file name and information
- 3. **Read** – at **read pointer** location → input file name and memory address to write the block
- 4. Reposition within file - **seek**
- 5. **Delete**
- 6. **Truncate**
- ❑ **Open( $F_i$ )** – search the directory structure on disk for entry  $F_i$ , and move the content of entry to memory
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# Open Files

- ❑ Several pieces of data are needed to manage open files:
  - ❑ **Open-file table:** tracks open files
    - ❑ Two levels of internal tables
      - ❑ **Per-process Table:** process's use of the file → current file pointer, access rights
      - ❑ **System-wide Table:** process independent information → location of the file to the disk, access dates, file size. Each entry in per-process table points to a system-wide open-file table
- ❑ Information associated with the open file
  - ❑ **File pointer:** pointer to last read/write location, per process that has the file open
  - ❑ **File-open count:** counter of number of times a file is open – to allow removal of data from open-file table when last processes closes it
  - ❑ **Access rights:** per-process access mode information
  - ❑ **Disk location of the file:** cache of data access information

# Open File Locking

- ❑ **Provided by some operating systems and file systems**
  - ❑ Similar to reader-writer locks
  - ❑ **Shared lock** similar to reader lock – several processes can acquire concurrently
  - ❑ **Exclusive lock** similar to writer lock
  
- ❑ **Mandatory or advisory:**
  - ❑ **Mandatory** – access is denied depending on locks held and requested (Windows OS)
    - ❑ OS responsibility to ensure integrity
  - ❑ **Advisory** – processes can find status of locks and decide what to do (Linux OS)
    - ❑ Software programmer responsibility to ensure locking → acquire and release

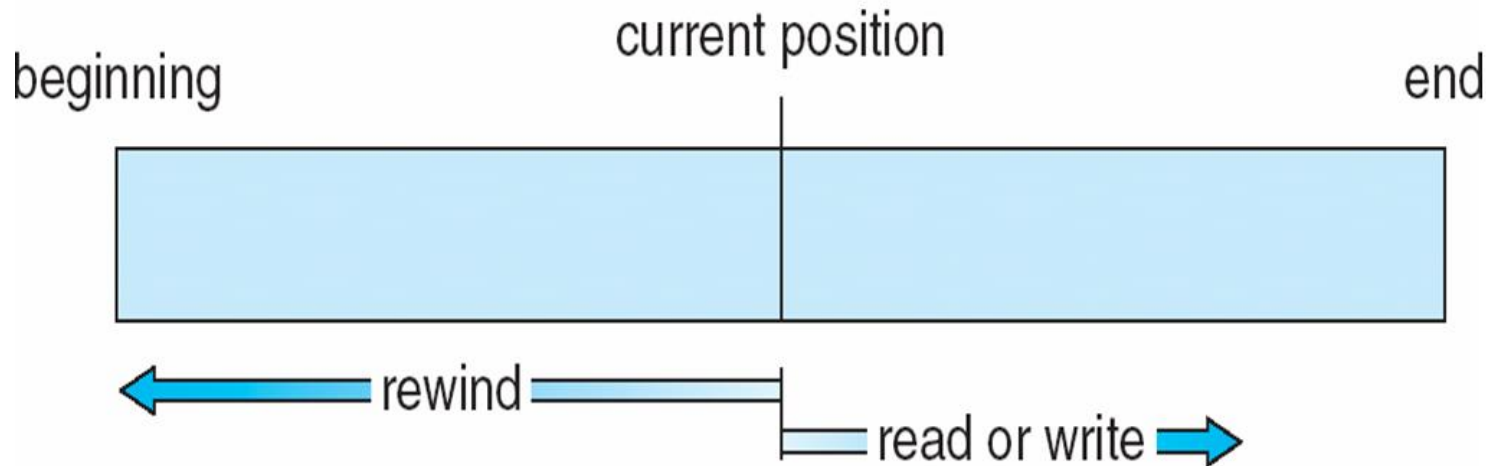
# File Types – Name, Extension

file type	usual extension	function
executable	exe, com, bin or none	ready-to-run machine- language program
object	obj, o	compiled, machine language, not linked
source code	c, cc, java, pas, asm, a	source code in various languages
batch	bat, sh	commands to the command interpreter
text	txt, doc	textual data, documents
word processor	wp, tex, rtf, doc	various word-processor formats
library	lib, a, so, dll	libraries of routines for programmers
print or view	ps, pdf, jpg	ASCII or binary file in a format for printing or viewing
archive	arc, zip, tar	related files grouped into one file, sometimes com- pressed, for archiving or storage
multimedia	mpeg, mov, rm, mp3, avi	binary file containing audio or A/V information

# File Structure

- ☐ **None - sequence of words, bytes**
- ☐ **Simple record structure**
  - ☐ Lines
  - ☐ Fixed length
  - ☐ Variable length
- ☐ **Complex Structures**
  - ☐ Formatted document
  - ☐ Relocatable load file
- ☐ **Can simulate last two with first method by inserting appropriate control characters**
- ☐ **Who decides:**
  - ☐ Operating system
  - ☐ Program

# Sequential-access File



- ❑ The logical record size, physical block size, and packing techniques determine how many logical records are in each physical block → may suffer internal fragmentation
  - ❑ The packing job can be done by OS or by application program
  - ❑ All basic I/O operations are done in terms of blocks.

# Access Methods

## □ Sequential Access

read next  
write next  
reset  
no read after last write  
(rewrite)

## □ Direct Access – file is fixed length **logical records** → **based on a disk model of a file**

read  $n$   
write  $n$   
position to  $n$   
    read next  
    write next  
rewrite  $n$

$n$  = relative block number

## □ Relative block numbers allow OS to decide where file should be placed → Allocation problem → will study next lecture

# Simulation of Sequential Access on Direct-access File<sup>15</sup>

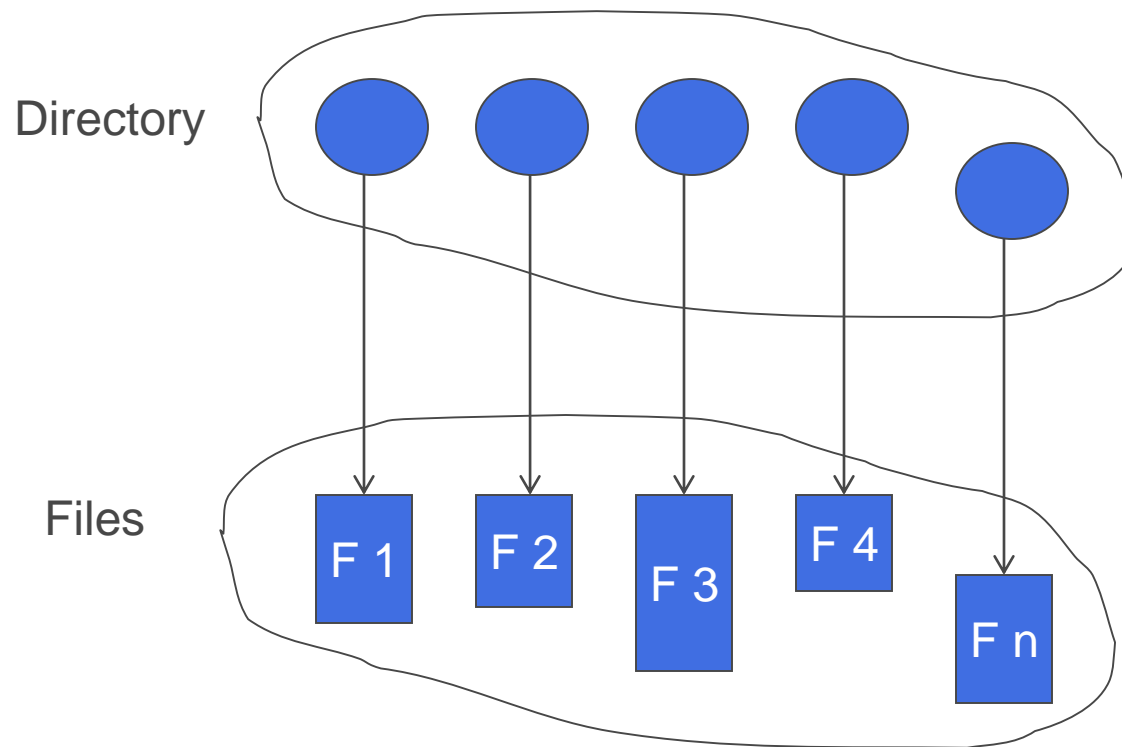
sequential access	implementation for direct access
<i>reset</i>	<i>cp = 0;</i>
<i>read next</i>	<i>read cp;</i> <i>cp = cp + 1;</i>
<i>write next</i>	<i>write cp;</i> <i>cp = cp + 1;</i>

Cp defines the current position

- ❑ Not all OS supports both sequential and direct access → Design decision.

# Directory Structure

- ❑ A collection of nodes containing information about all files



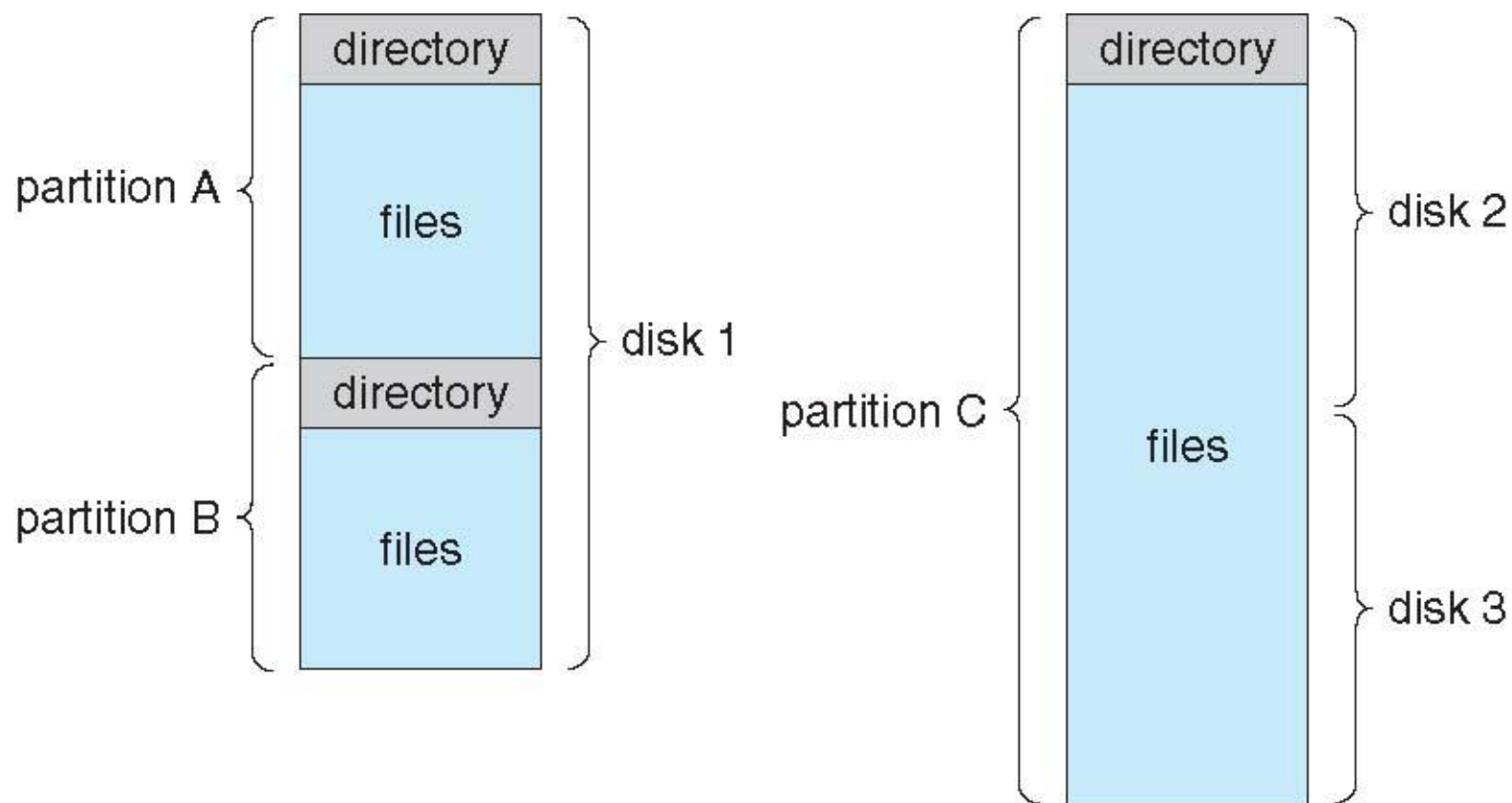
Both the directory structure and the files reside on disk



# Disk Structure

- ❑ Disk can be subdivided into **partitions**
- ❑ Disks or partitions can be **RAID** protected against failure
- ❑ Disk or partition can be used **raw** – without a file system, or **formatted** with a file system
- ❑ Partitions also known as **minidisks, slices**
- ❑ Entity containing file system known as a **volume**
- ❑ Each volume containing file system also tracks that file system's info in **device directory** or **volume table of contents**
- ❑ As well as **general-purpose file systems** there are many **special-purpose file systems**, frequently all within the same operating system or computer

# A Typical File-system Organization



# Operations Performed on Directory

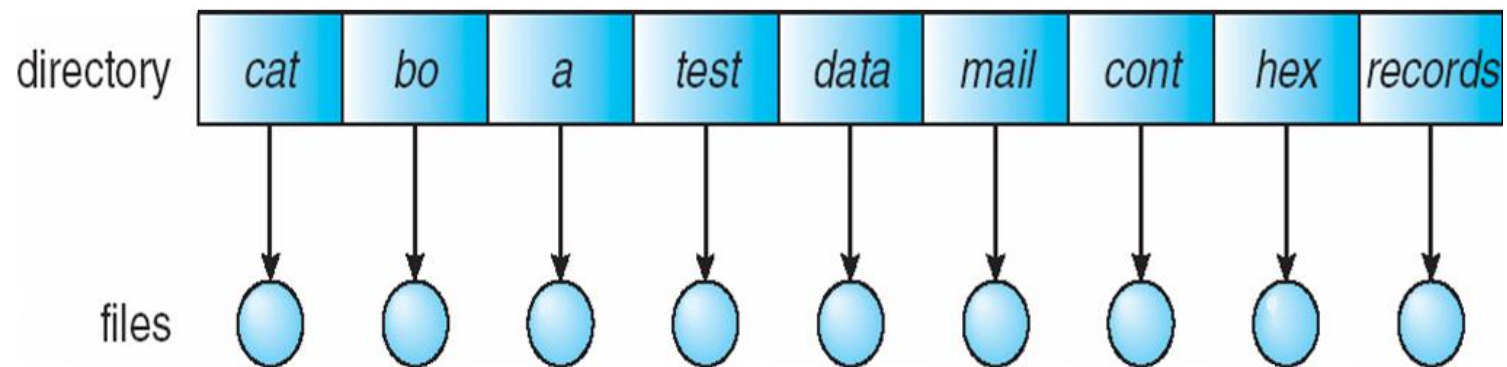
- ☐ **A directory may be viewed as a symbol table that translates file names into their directory entries**
- ☐ **Operations to be performed on a directory**
  - ☐ **Search for a file**
  - ☐ **Create a file**
  - ☐ **Delete a file**
  - ☐ **List a directory**
  - ☐ **Rename a file**
  - ☐ **Traverse the file system**

# Organize the Directory (Logically) to Obtain

- ❑ **Efficiency – locating a file quickly**
- ❑ **Naming – convenient to users**
  - ❑ Two users can have same name for different files
  - ❑ The same file can have several different names
- ❑ **Grouping – logical grouping of files by properties, (e.g., all Java programs, all games, ...)**

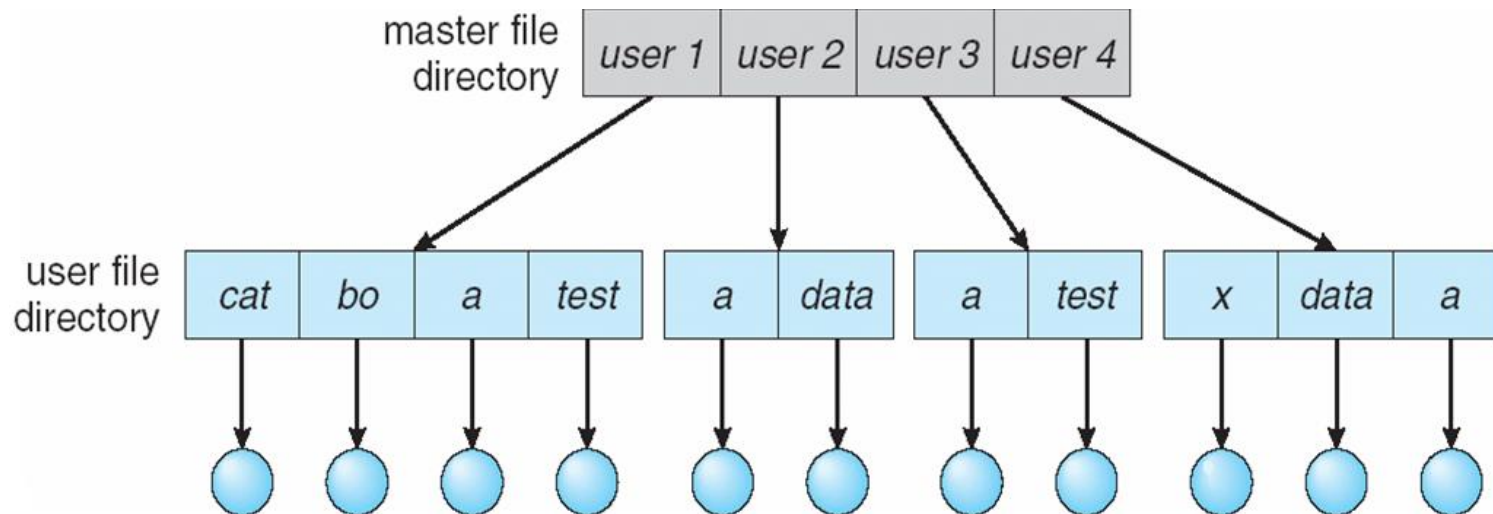
# Single-Level Directory

- ❑ A single directory for all users



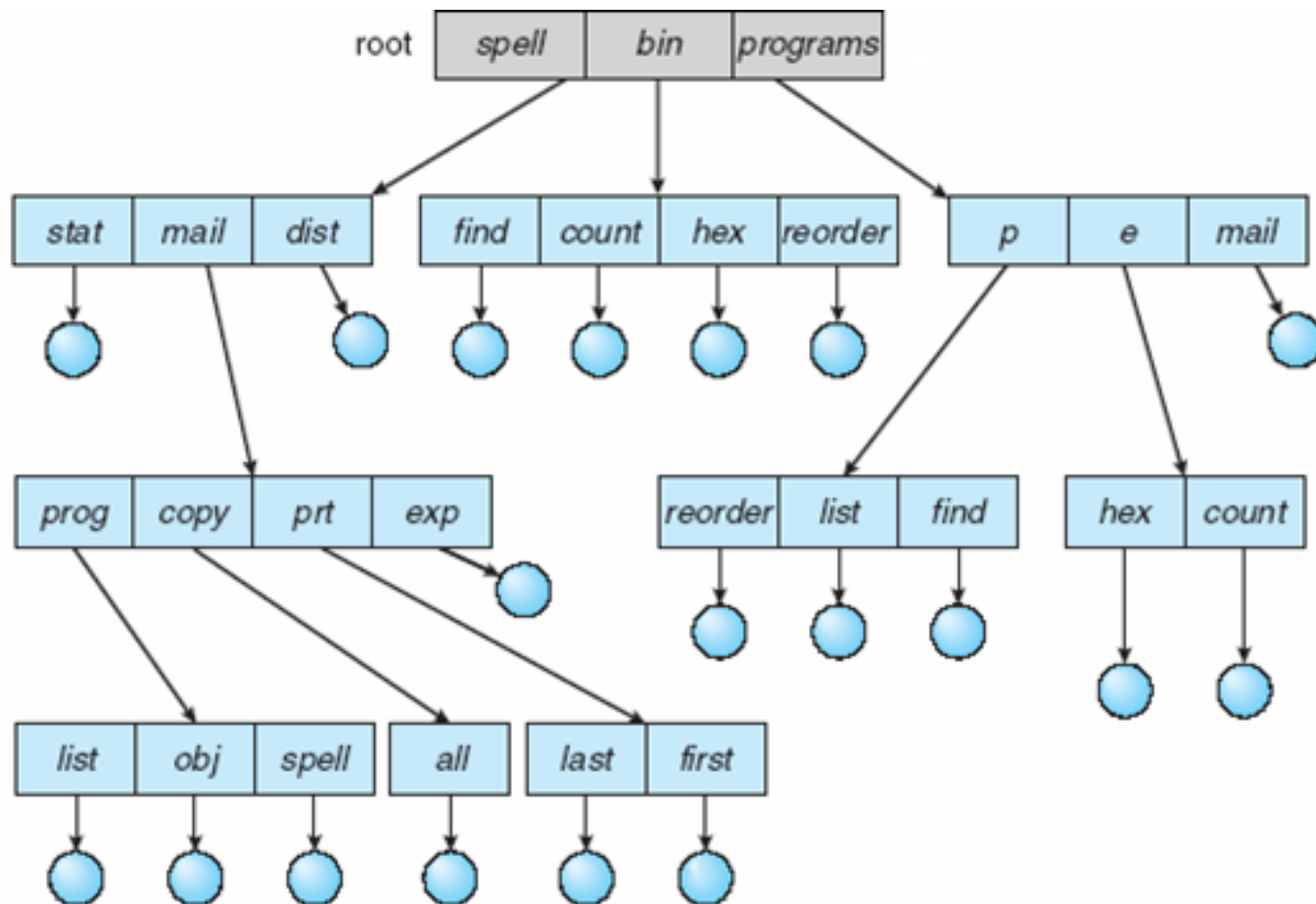
# Two-Level Directory

## ❑ Separate directory for each user



- ❑ Path name
- ❑ Can have the same file name for different user
- ❑ Efficient searching
- ❑ No grouping capability

# Tree-Structured Directories



# Tree-Structured Directories (Cont.)

- ❑ **Efficient searching**

- ❑ **Grouping Capability**

- ❑ **Current directory (working directory)**

  - ❑ `cd /spell/mail/prog`

  - ❑ `type list`



# Tree-Structured Directories (Cont)

- ❑ **Absolute or relative path name**
- ❑ **Creating a new file is done in current directory**
- ❑ **Delete a file**

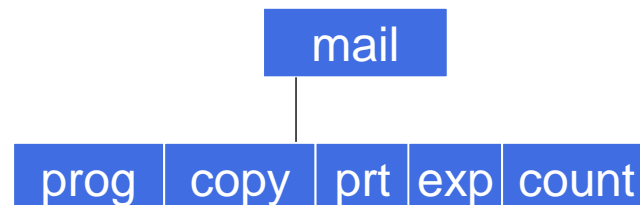
`rm <file-name>`

- ❑ **Creating a new subdirectory is done in current directory**

`mkdir <dir-name>`

**Example: if in current directory `/mail`**

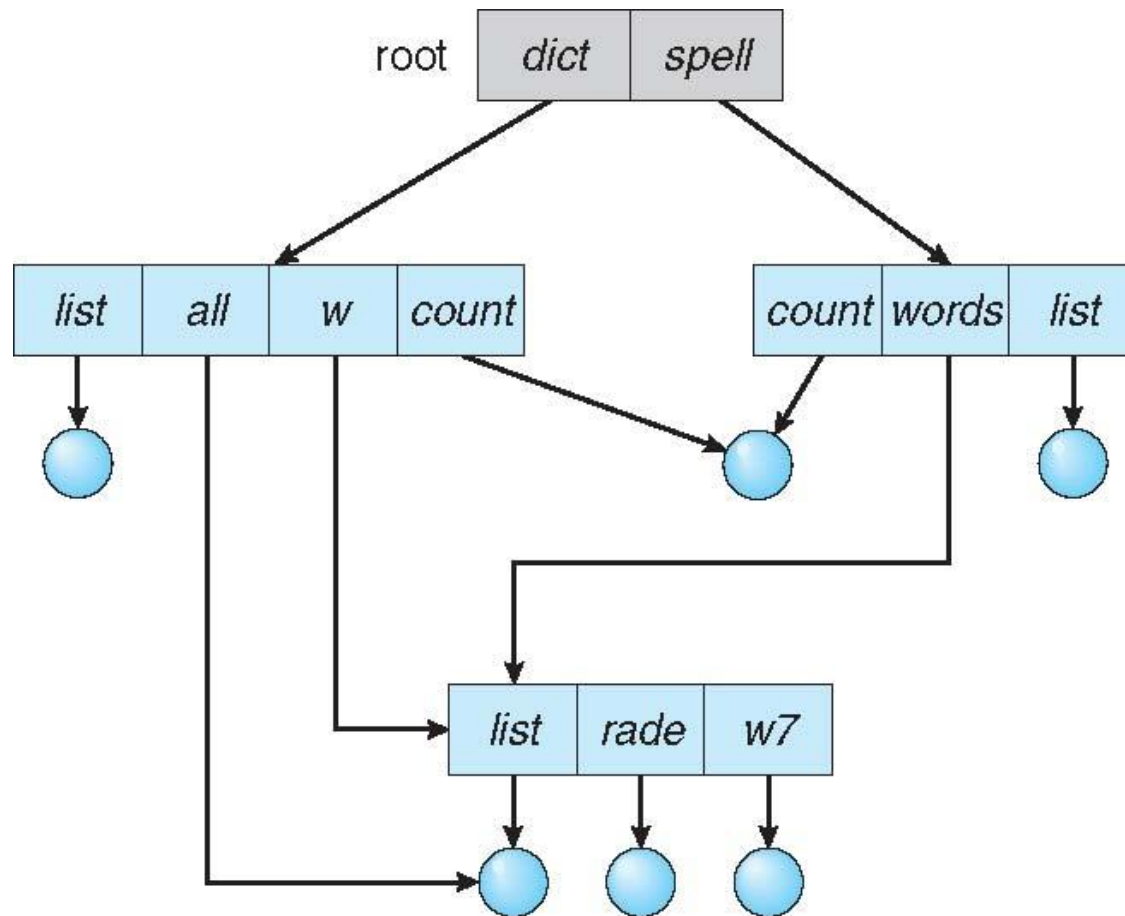
`mkdir count`



Deleting “mail”  $\Rightarrow$  deleting the entire subtree rooted by “mail”

# Acyclic-Graph Directories

- ❑ Have shared subdirectories and files



# Acyclic-Graph Directories (Cont.)

- ❑ Two different names (aliasing)

- ❑ If *dict* deletes *list*  $\Rightarrow$  dangling pointer

## Solutions:

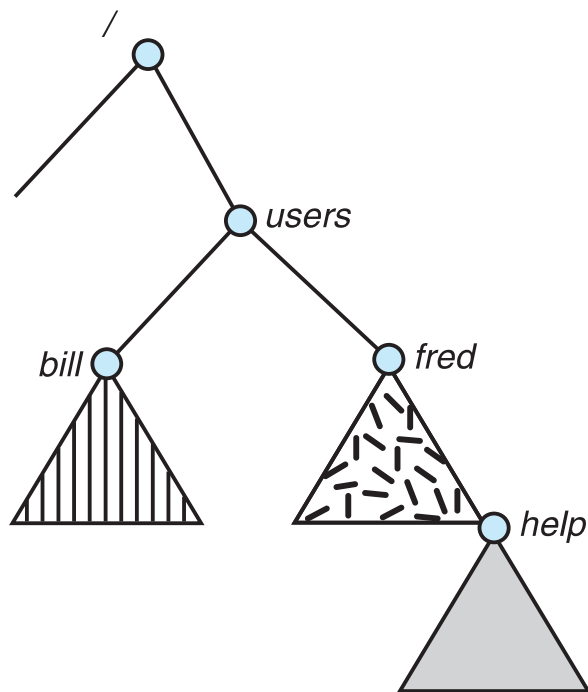
- ❑ Backpointers, so we can delete all pointers  
Variable size records a problem
- ❑ Backpointers using a daisy chain organization
- ❑ Entry-hold-count solution

- ❑ New directory entry type

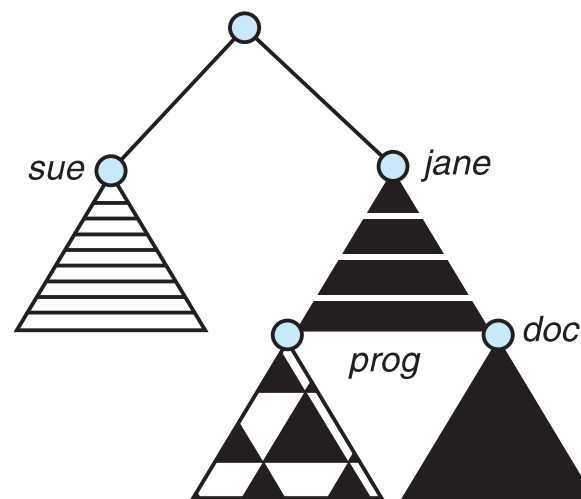
- ❑ **Link** – another name (pointer) to an existing file
- ❑ **Resolve the link** – follow pointer to locate the file

# File System Mounting

- ❑ A file system must be **mounted** before it can be accessed
- ❑ A unmounted file system (i.e., Fig. 11-11(b)) is mounted at a **mount point**

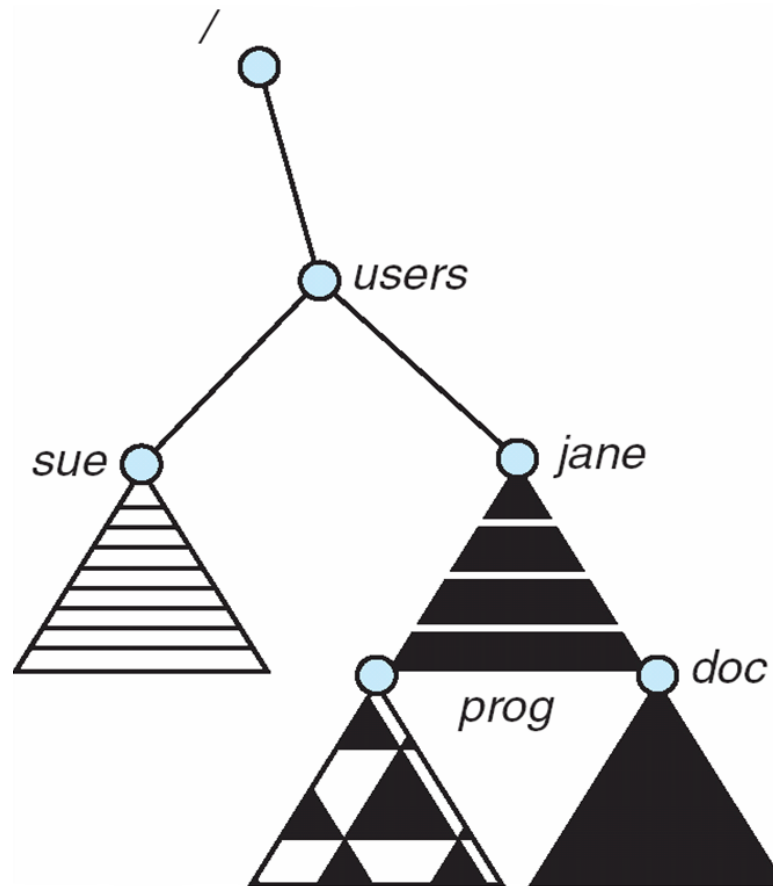


(a)



(b)

# Mount Point



# Protection

## ☐ File owner/creator should be able to control:

- ☐ what can be done
- ☐ by whom

## ☐ Types of access

- ☐ Read
- ☐ Write
- ☐ Execute
- ☐ Append
- ☐ Delete
- ☐ List

# Access Lists and Groups

❑ Mode of access: read, write, execute

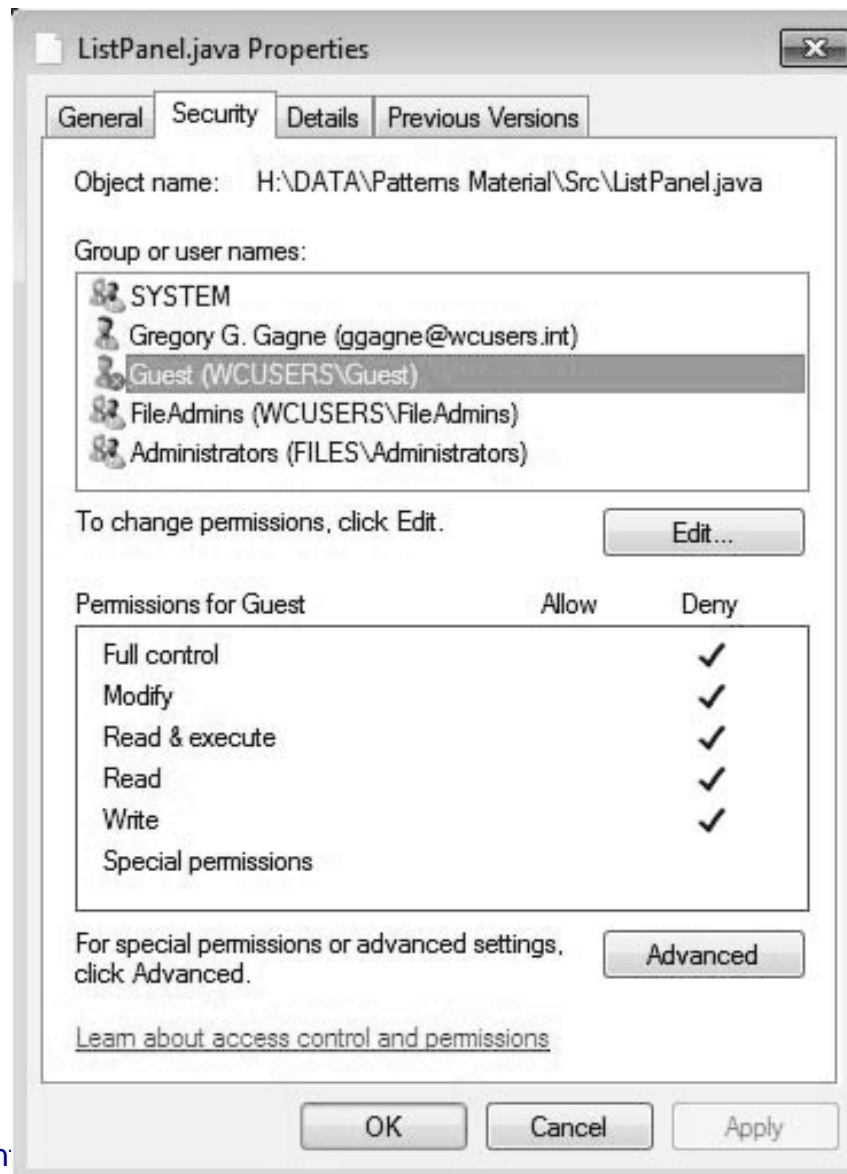
❑ Three classes of users on Unix / Linux

			RWX
a) owner access	7	⇒	1 1 1
			RWX
b) group access	6	⇒	1 1 0
			RWX
c) public access	1	⇒	0 0 1

❑ Ask manager to create a group (unique name), say G, and add some users to the group.

❑ For a particular file (say *game*) or subdirectory, define an appropriate access.

# Windows 7 Access-Control List Management





# A Sample UNIX Directory Listing

-rw-rw-r--	1 pbg	staff	31200	Sep 3 08:30	intro.ps
drwx-----	5 pbg	staff	512	Jul 8 09:33	private/
drwxrwxr-x	2 pbg	staff	512	Jul 8 09:35	doc/
drwxrwx---	2 pbg	student	512	Aug 3 14:13	student-proj/
-rw-r--r--	1 pbg	staff	9423	Feb 24 2003	program.c
-rwxr-xr-x	1 pbg	staff	20471	Feb 24 2003	program
drwx--x--x	4 pbg	faculty	512	Jul 31 10:31	lib/
drwx-----	3 pbg	staff	1024	Aug 29 06:52	mail/
drwxrwxrwx	3 pbg	staff	512	Jul 8 09:35	test/

# Summary

- ❑ The major task of OS is to map the logical file concept onto physical storage device
- ❑ 6 basic operations on a file and some other optional and helpful operations
- ❑ Directory structure and various operations
- ❑ OS provides file system mounting
- ❑ File protection and access rights

# References

Part of the contents of this lecture has been adapted from the book Abraham Silberschatz, Peter B. Galvin, Greg Gagne: "Operating System Concept ", Publisher : Wiley; 9 edition (December 17, 2012), ISBN-13: 978-1118063330

Slides also contain lecture materials from John Kubiawicz (Berkeley), John Ousterhout (Stanford), Nalini (UCI), Rainer (UCI), and others

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attention**