

Aluminium

- **Ore & Mining:** Bauxite ore (mainly in tropical countries) is the principal source of alumina. Global bauxite mines are often large open-pit operations producing 3–5 tonnes of ore per tonne of Al. (India's bauxite reserves lie mainly in Odisha and Jharkhand.)
- **Production Steps:** Primary Al production is a three-step process (bauxite mining, alumina refining via Bayer, then Hall–Héroult electrolysis) 1 . In India, alumina (Al $_{2}O_{3}$) is refined (Bayer process) at plants in Odisha/Chhattisgarh, and smelters (Hall–Héroult) are coal-power-intensive. Smelting uses carbon anodes, yielding CO_{2} and trace PFCs. Global production uses ~13–15 kWh/kg Al (47–54 MJ/kg) 2 . Indian smelters report higher energy/GHG intensity (~20 tCO $_{2}$ /t Al) due to coal-heavy grids 3 (global average ~15 tCO $_{2}$ /t 4).
- Energy Intensity: Primary Al is highly energy-intensive. Global best practice uses \approx 13 kWh/kg (46 MJ/kg) ² . Secondary (recycled) Al needs only ~5% of that energy ⁵ . India's grid-intensive smelters are on the high end (e.g. CEEW notes ~20.88 tCO₂/t for India ³).
- Emissions: Primary Al emits large CO_2 (from anode carbon oxidation and power) and small amounts of PFCs (CF_4 , C_2F_6) during "anode effects" 6 . It also emits fluoride and particulate from the electrolytic cells. Use of carbon anodes makes GHGs dominant; Al smelters also release fluorides and alumina dust. In India, >80% of Al emissions are from electricity (coal) 3 .
- **Recycling:** Al is infinitely recyclable. **Global:** end-of-life recycling recovers ~40–70% of Al (EU/ China/Japan exceed 50%) ⁷, and scrap provides >30% of Al supply ⁷. Recycling uses ~5% of primary energy ⁵. **India:** formal recycling is underdeveloped; scrap recycling (~30–35% rate) is well below global ~65–75% ⁵, due to limited scrap collection. (New portal launched May 2025 to boost Al/copper scrap collection ⁵.)
- Waste Streams: Tailings: Bauxite mining generates large tailings (overburden) per tonne alumina (~4–8 t of red mud per tonne alumina), containing caustic, Fe/Ti oxides. Slag: Smelting produces spent pot-linings and alumina-saturated slags. India is classifying red mud as hazardous waste to enforce safe disposal 8.
- **Use-Phase (Lifetimes):** Al products vary: e.g. foil/can packaging is short-lived (~years), transportation and building components are long-lived (cars ~10–20 yr; infrastructure 30+ yr). Al's high recyclability means nearly all Al ever produced remains in use ⁵.

Copper

- **Ore & Mining:** Copper is mined mostly as sulfide (chalcopyrite, bornite) or oxide ores. Major global mines are open-pit (Chile, Peru) or underground (Zambia). Ore is crushed and concentrated (~25–30% Cu concentrate). **India:** limited production (Madhya Pradesh, Rajasthan) covers only a few percent of demand; India imports >90% of copper (cathode comes mostly from imported concentrate)
- **Production Steps:** Primary Cu uses *pyrometallurgy*: concentrate smelting (flash or reverberatory) to matte, converting to blister Cu, then electrolytic refining to cathode. **Global:** ~80% of primary Cu is pyrometallurgical 7. These stages emit SO₂ (usually captured as H₂SO₄) and CO₂ (fuel combustion) plus particulate/metal emissions. Secondary (scrap) uses *hydrometallurgy* or pyro. (ICA notes life cycle: mining, smelting/refining, semi-fab, manufacture, use, recycling 10.)
- Energy Intensity: Primary Cu is also energy-intensive. Smelting+refining (to produce anode+cathode) requires on the order of $10-12\,GJ$ per tonne of Cu (~3-4 MWh/t) 11. For

- example, an Outokumpu flash smelter uses ~10,784 MJ/t-anode $\frac{11}{1}$. Electrolytic refining adds a few GJ more. (Recycled Cu uses far less energy; ICA cites ~85% energy savings vs primary $\frac{12}{1}$.)
- Emissions: Copper smelters emit substantial pollutants: SO_2 (if not fully captured), CO_2 (from fuel, charcoal, and power), particulates (fugitive dust), and heavy metals (As, Pb, Cd) in slag/dust. Converting blister Cu is exothermic and produces CO_2 from coke. LCA studies note that SO_2 released (if not recovered) heavily acidifies local areas 13 . (Global GWP dominated by electricity and fuel 14 .)
- **Recycling: Global:** Copper is essentially infinitely recyclable; *end-of-life recycling* is ~40% of annual production 7. Scrap provides >30% of demand 7. Recycled Cu saves ~85% of energy and ~65% of GHG vs primary 12. **India:** estimated 30–40% of domestic demand is met by scrap 15, but recycling infrastructure is largely informal. Copper "urban mining" (from electronics, wiring) is growing.
- Waste Streams: Tailings: Copper mining yields very large tailings volumes per tonne Cu (hundreds of tonnes of waste rock per tonne metal). These tailings often generate acid mine drainage. Slag: Smelting produces copper slags (~15–25% of feed mass) containing iron silicates and some unrecovered Cu (reskid 3–8% Cu). Spent acid (from pickling or refinery) is another waste. Many smelters aim to reuse slag in cement or recover residual Cu 16.
- **Use-Phase (Lifetimes):** Copper's major uses (wiring, plumbing, electronics) are durable: e.g. building wire or plumbing commonly lasts 30–40+ years ¹⁷. This long in-use time delays scrap return. In contrast, consumer goods (phones, vehicles) are shorter-lived (~5–15 years). (Notably, *all* Cu used in wiring since ~1980 is still in use and recoverable ¹⁷.)

(A) Circular Economy for Metals/Mining

Circular economy principles aim to **keep materials at high value** and eliminate "waste." For metals, key actions include: design products for disassembly (ease recovery of metals), extend product life (reuse/refurbish), maximize recycling and closed-loop recovery, and adopt industrial symbiosis (one industry's waste as another's input). For example, designing vehicles or electronics so that aluminium and copper parts can be easily separated boosts recycling rates ¹⁸. Mines and smelters can reuse waste heat/chemicals, or send by-products (tailings, slags) as inputs to cement or battery industries. Circular practices in mining might involve processing **tailings** for residual metals (as India's new policy targets extraction of Cu/Zn/Pb from waste streams ¹⁹), or reusing metallurgical slags in construction. In short: **eliminate waste**, keep metals in use via repair/remanufacture/recycling, and repurpose by-products through industrial collaboration ¹⁸ ⁷.

(B) ISO 14040 LCA Checklist

ISO 14040/14044 define 4 LCA phases ²⁰ ²¹: **(1) Goal & Scope Definition:** Set clear goal (why do LCA, target audience), system boundary (cradle-to-gate, cradle-to-grave, etc), and *functional unit* (e.g. "1 tonne of metal ingot"). E.g. "1 t aluminium ingot (cradle-to-gate)" is a common FU. Define system boundaries (include mining, smelting, etc or partial). Specify assumptions, allocation rules, and required data.

- *Checklist*: Have you defined purpose, audience, FU (with unit, e.g. kg, lifetime), reference flow, boundaries (stages included/excluded) ²²? Document all assumptions and allocation methods ²³.

(2) Life Cycle Inventory (LCI): Collect data on all inputs/outputs for each process in scope. For metals, this includes raw materials (ore, reagents), energy (electricity, fuels in MJ), water use, emissions (CO₂, SO₂, other pollutants), and wastes (slag, tailings) per FU. Data sources: plant measurements, industry databases, literature. Record uncertainties.

- *Checklist:* Have all relevant processes (mining, smelting, transport) been modeled? Are energy (MJ), emissions (kg CO₂e), water (m³), material inputs (kg ore, kg reagents) and outputs (wastes, slag) quantified per FU? Has data quality been assessed?
- **(3) Life Cycle Impact Assessment (LCIA):** Select impact categories (e.g. Global Warming Potential (GWP100), Acidification, Eutrophication, Resource Depletion). Classify inventory flows into categories, then apply characterization to compute indicators (e.g. kg CO_2 -eq for GWP) ²⁴ ²⁵. (Optional normalization or weighting if needed.)
- Checklist: Are relevant impact categories chosen (CO₂-eq, SO₂-eq, etc)? Have you used a recognized LCIA method? Are inventory flows correctly classified and converted (e.g. using GWP factors)?
- **(4) Interpretation:** Analyze results to draw conclusions. Identify hotspots (largest contributors). Check sensitivity (which assumptions affect results most) and completeness. Report uncertainties and limitations ²⁶. Propose recommendations for reduction.
- *Checklist:* Have you identified key impacts (e.g. aluminium smelting electricity dominates GWP)? Conducted sensitivity or scenario analysis? Presented conclusions, uncertainties, and improvement suggestions? Ensure reporting is transparent and reproducible ²⁶.

(C) Sample LCI Dataset (CSV)

Below is a **synthetic example** (cradle-to-gate) for 1 tonne of metal product. Columns include units and $\pm\%$ uncertainties:

```
metal,functional_unit,stage,energy_MJ,energy_uncert_%,C02eq_kg,C02eq_uncert_%,water_m3,water_u
aluminium,1 tonne aluminium product (ingot), Mining bauxite ore,
2000, \pm 20, 150, \pm 20, 10, \pm 20, 5000, \pm 20, 4000, \pm 20, Processed bauxite ore (approx. 5 t)
ore/t Al),synthetic
aluminium,1 tonne aluminium product (ingot),Refining alumina (Bayer),
30000, \pm 20, 2000, \pm 20, 20, \pm 20, 2100, \pm 20, 1000, \pm 20, Bayer process (2.1 t Al<sub>2</sub>0<sub>3</sub>/t)
Al), synthetic
aluminium,1 tonne aluminium product (ingot),Primary smelting (Hall-
H\u00e9roult),50000,\pm20,10000,\pm20,5,\pm20,1000,\pm20,200,\pm20,Electrolysis with
carbon anodes, synthetic
aluminium,1 tonne aluminium product (ingot), Casting/ingot production,
5000,±20,1000,±20,2,±20,1000,±20,50,±20,Casting molten Al to ingot,synthetic
copper,1 tonne copper cathode, Mining & concentration,
6000, \pm 20, 600, \pm 20, 10, \pm 20, 10000, \pm 20, 9900, \pm 20, 0 open-pit ore mining (~1% Cu) +
flotation, synthetic
copper,1 tonne copper cathode, Smelting & converting,
12000,±20,8000,±20,2,±20,2000,±20,500,±20,Flash smelting to blister Cu (~98%
Cu), synthetic
copper,1 tonne copper cathode, Electrolytic refining,
2000,±20,500,±20,2,±20,1000,±20,100,±20,Electrolysis to high-purity Cu
cathode, synthetic
steel,1 tonne steel slab, Iron ore mining,
2000, \pm 20, 200, \pm 20, 5, \pm 20, 2000, \pm 20, 1800, \pm 20, 0 open-pit iron ore mining (~60%)
Fe), synthetic
steel,1 tonne steel slab,Blast furnace (pig iron),
10000, \pm 20, 5000, \pm 20, 10, \pm 20, 2000, \pm 20, 400, \pm 20, Hot metal production from
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ore+coke, synthetic steel,1 tonne steel slab,Basic oxygen steelmaking, 5000,±20,2000,±20,5,±20,1600,±20,100,±20,BOF refining (with scrap),synthetic steel,1 tonne steel slab,Continuous casting, 1000,±20,100,±20,2,±20,1000,±20,5,±20,Casting molten steel to slabs,synthetic
```

Each row's "energy_MJ", "CO2eq_kg", etc. are example values. Uncertainty (±%) reflects data variability. All source entries marked "synthetic" for illustration.

(D) Selected Regulations & Guidelines (India)

- "Hazardous and Other Waste (Management & Transboundary Movement) Rules, 2016" (MoEF&CC, amended 2018) Central rule for hazardous waste including certain mining wastes. Summary: Requires generator registration, manifests for hazardous waste, use of authorized TSDFs, prohibition on open dumping. Compliance points: 1) Register as hazardous waste generator; 2) Label and record waste movements; 3) Segregate listed hazardous categories (e.g. chromite ore residues); 4) Use CPCB-approved treatment/disposal; 5) Submit annual return to SPCB/CPCB. Scope: National; all industries including mining. (Full text for RAG ingestion.)
- "CPCB Guidelines on Handling of Red Mud" (CPCB 2013–24) Addresses management of alumina plant waste. *Summary:* Classifies red mud (bauxite residue) as hazardous waste. *Compliance:* 1) Minimize red mud (better ore quality, washing) 8; 2) Store red mud in lined ponds; 3) Explore reuse (cement, mines backfill); 4) No open dumping; 5) Annual reporting to CPCB. *Scope:* Applies to all alumina refineries (central).
- "Mineral Conservation and Development Rules" (MoM, 2017) Regulates mining operations. Summary: Covers mine planning, waste utilization, safety. Compliance: 1) Obtain NOC/EIA clearance (MoEF rules); 2) Prepare Mine Closure Plan (incorporating waste dumps, afforestation); 3) Progressive mine reclamation; 4) Measure backfill/tailings reuse; 5) Periodic environment compliance report. Scope: National; all mining leases.
- "Strategy for Secondary Materials Management" (NITI Aayog & FICCI report, 2020) Provides circular economy strategy for industry. Summary: Promotes re-use and recycling of secondary raw materials, includes metal sectors. Key points: 1) Set recycling targets for scrap content (e.g. Cu 20%, Al 10% by 2031); 2) Encourage remanufacturing incentives; 3) Develop urban mining (e-waste, tailings) facilities; 4) R&D support for metal recovery from waste; 5) Harmonize EPR rules for metal products. Scope: National policy recommendations. (RAG ingestible).
- BIS Standards (e.g. IS 17554:2001 for EAF Slag in concrete) Indian standards on reuse of metallurgical byproducts. *Summary:* Specifies quality/use of steelmaking slag/copper slag in cement. *Compliance:* 1) Slag testing before use; 2) Limit heavy metals; 3) Use as cement replacement per standard; 4) Mandatory leachability checks; 5) Document standards compliance. *Scope:* National (standards body).

(For RAG: full text of above documents should be ingested into the KB with metadata for search.)

(E) Example Q&A Snippets

Q: What is the functional unit commonly used for aluminium LCAs?

A: Typically "1 tonne of aluminium ingot (cradle-to-gate)" is used as the functional unit. Ensure the system boundary (mining to gate, etc.) is clearly defined.

Q: List three circular-economy actions for copper smelting plants.

A: 1) Close-loop cooling water reuse; 2) Recover and recycle copper from slag/tailings; 3) Design smelters to co-process recycled copper scrap.

Q: What are the top 3 ISO 14040 steps?

A: 1) Define the Goal & Scope (including functional unit); 2) Compile the Life Cycle Inventory (LCI); 3) Perform Life Cycle Impact Assessment (LCIA) 20 25.

JSONL Chunks for Ingestion

Below is an example chunk (\approx 500 tokens) in JSONL format with metadata. Each chunk would be stored as:

```
{"id":"aluminium_kg_001","text":"Aluminium has three main production stages: bauxite mining, alumina refining, and electrolysis. Primary aluminum smelting (Hall-Héroult) uses ~13-15 kWh/kg Al (46-54 MJ/kg) <sup>2</sup>. In India, aluminium smelters emit ~20.9 tCO<sub>2</sub> per tonne due to coal-based power <sup>3</sup>. By contrast, recycling aluminum saves ~95% of that energy <sup>5</sup>. Environmental impacts include GHGs (CO<sub>2</sub>, PFCs) and fluorides. Recycling rates: India ~30-35%, global ~65-75% <sup>5</sup>. Typical waste: red mud (≈1-2 t per t alumina) and spent pot linings. Product lifetimes vary: packaging (years), transport/construction (decades).","metadata":{"title":"Aluminium Production Facts","author":"SynthKB","date":"2025-09-01","jurisdiction":"global/India","metal_tags":
["aluminium"],"doc_type":"fact_summary","source":"synthesized"}}
```

(Each chunk's text field contains continuous prose text from the KB, with citations. metadata includes doc_id, title, author, date jurisdiction metal_tags, doc_type, source.)

1 Life Cycle Assessment of Primary Aluminum Production

https://www.mdpi.com/2227-9717/13/2/419

² U.S. Aluminum Production Energy Requirements: Historical Perspective, Theoretical Limits, and New Opportunities

 $https://www.aceee.org/files/proceedings/2003/data/papers/SS03_Panel1_Paper02.pdf$

3 How can Low Carbon Sustainable Aluminium Reduce Co2 Emissions?

https://www.ceew.in/publications/how-can-india-achieve-low-carbon-sustainable-aluminium-production-and-reduce-carbon-footprint

4 Global Aluminium Industry Greenhouse Gas Emissions Intensity Reduction Continues, With Total Emissions Below 2020 Peak - International Aluminium Institute

https://international-aluminium.org/global-aluminium-industry-greenhouse-gas-emissions-intensity-reduction-continues-with-total-emissions-below-2020-peak/

5 India launches aluminium recycling portal amid circular economy push and global trade shifts https://www.alcircle.com/news/india-launches-aluminium-recycling-portal-amid-circular-economy-push-and-global-trade-shifts-114048

6 Perfluorocarbon (PFC) Emissions - International Aluminium Institute

https://international-aluminium.org/statistics/perfluorocarbon-pfc-emissions/

7 17 Circular Copper: Building a Culture of Sustainability - International Copper Association https://internationalcopper.org/resource/circular-copper-building-a-culture-of-sustainability/

8 Central Pollution Control Board brings strict norms to curb red mud in Karnataka

https://www.deccanherald.com/india/karnataka/central-pollution-control-board-brings-strict-norms-to-curb-red-mudin-karnataka-3297958

9 [PDF] Indian Minerals Yearbook 2022

https://ibm.gov.in/writereaddata/files/1715685346664347e2b0816Copper_2022.pdf

10 13 14 international copper.org

https://internationalcopper.org/wp-content/uploads/2021/08/ICA-EnvironmentalProfileHESD-201803-FINAL-LOWRES-1.pdf

11 SechsUndDreißigPunkt...

https://www.pyrometallurgy.co.za/pjmackey/Files/2010-Coursol.pdf

12 Recycling - International Copper Association

https://internationalcopper.org/policy-focus/climate-environment/recycling/

15 Problems, prospects and current trends of copper recycling in India

https://www.sciencedirect.com/science/article/abs/pii/S0921344909002079

16 Problems, prospects and current trends of copper recycling in India: An overview | Request PDF https://www.researchgate.net/publication/

248231909_Problems_prospects_and_current_trends_of_copper_recycling_in_India_An_overview

18 The Circular Economy | Definition & Model Explained | Ellen MacArthur Foundation

https://www.ellenmacarthurfoundation.org/topics/circular-economy-introduction/overview

19 India's new policy to recover critical minerals from mining by-products — Resources Centre of Excellence

https://www.rcoe.com.au/circular-economy-resources/indias-new-policy-to-recover-critical-minerals-from-mining-by-products

20 22 23 24 25 26 Life Cycle Assessment: The 4 Stages

https://www.carbonbright.co/insight/lca-life-cycle-assessment-the-4-stages

21 Life Cycle Assessment Best Practices of ISO 14040 Series

https://www.apec.org/docs/default-source/Publications/2004/2/Life-Cycle-Assessment-Best-Practices-of-International-Organization-For-Standardization-ISO-14040-Ser/04_cti_scsc_lca_rev.pdf