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Lecture Notes on

# Algorithmic Algebra

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Draft—August 23, 2015 and forever

# Preface

This lecture notes are produced as a part of the course *CS6842: Algorithmic Algebra* which was a course offered during August to November semester in 2013 and 2015 at the CSE Department of IIT Madras.

## Acknowledgements

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## Scribe status

Each lecture has a field called **status**. It tells which stage of the edit pipeline is the document currently. Each scribe will be set to choose to either Monday-Tuesday (MT) or Wednesday-Friday (WF) pair of lectures. There are four versions.

1. Alpha ( $\alpha$ ) (deadline  $X + 2$ ): Rough draft. The MT Scribe is expected to turn-in the rough notes by  $X+2$  (Thursday night 10pm) and WF scribe is expected to turn this in by Sunday night 10pm.
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CS6842 – Algorithmic Algebra

*Instructor:* Jayalal Sarma

*Scribe:* K Dinesh

*Date:* Aug 3, 2015

**Status:** Delta

LECTURE

**1**

## Introduction, Motivation and the Language

### 1.1 Overview of the course. Administrative, Academic policies

## 1.2 Introduction and Motivation

Main theme of this course is to use algebra to solve computational problems. Let us consider the following two problems :

**Plagiarism check** Given two  $C$  programs  $P_1$  and  $P_2$ , check if they are the same under renaming of variables.

**Molecule detection** Given two chemical molecules check if they have the same structure.

Consider the following simpler variant of plagiarism checking where the program submitted has just its variables renamed and all other portions of the program are the same. In this case, given the two versions of the program, we need to check if there is a way to rename the variables of one program to get the other one.

In the second case one could view the given molecules as graphs. The problem is again similar problem, where we want to see if there is way to rename the vertex labels of the graph so that under the relabelling both the graphs are the same.

Our aim in both cases is to check whether the two structures are same under renaming. We are interested in solving this problem on graphs.

**Definition 1.1** (Graph Isomorphism). *Two graphs  $X_1(V_1, E_1)$ ,  $X_2(V_2, E_2)$  are said to be isomorphic if there is a bijective map  $\sigma : V_1 \rightarrow V_2$  such that  $\forall (u, v) \in V_1 \times V_1$ ,*

$$(u, v) \in E_1 \iff (\sigma(u), \sigma(v)) \in E_2$$

**Problem 1.2.** *The graph isomorphism problem is the decision problem of checking if given two graphs  $X_1, X_2$  are isomorphic.*

We are also interested in the following special case of the above problem called graph automorphism problem.

**Definition 1.3** (Graph Automorphism). *For a graph  $X(V, E)$ , an automorphism of  $X$  is a renaming of the vertices of  $X$  given by a bijective map  $\sigma : V \rightarrow V$  such that  $\forall (u, v) \in V \times V$ ,*

$$(u, v) \in E \iff (\sigma(u), \sigma(v)) \in E$$

We are interested in the set of all bijections such that they are automorphisms of  $X$ . We denote this by  $Aut(X)$ .

**Definition 1.4.** *For a graph  $X$  on  $n$  vertices,  $Aut(X) = \{\sigma \mid \sigma : [n] \rightarrow [n], \sigma \text{ is an automorphism of } X\}$*

Note that an identity map which takes a vertex to itself always belongs to  $Aut(X)$  for all graphs  $X$ . Hence the question is : are there any bijections other than the identity map as automorphism of  $X$ .

**Problem 1.5** (Graph Automorphism Problem). *Given a graph  $X$  does  $Aut(X)$  has any element other than the identity element.*



One way to see bijections is via permutations. This is because, every bijection define a permutation and vice versa.

Let  $X$  be an  $n$  vertex graph. Denote  $S_n$  to be the set of all permutations on  $n$  elements. Hence  $Aut(X)$  can be defined as  $\{\sigma \mid \sigma \in S_n \text{ and } \sigma \text{ is an automorphism of } G\}$ .

We now show that the set  $Aut(X)$  has some nice properties. Given  $\sigma_1, \sigma_2 \in Aut(X)$ , we can compose two permutations as  $\sigma_1 \circ \sigma_2 = (\sigma_1(\sigma_2(1)), \sigma_1(\sigma_2(2)), \dots, \sigma_1(\sigma_2(n)))$ . This is same as applying  $\sigma_2$  on identity permutation and then applying  $\sigma_1$  to the result. We show that  $Aut(X)$  along with the composition operation  $\circ$  gives us many nice properties.

- If  $\sigma_1, \sigma_2 \in Aut(X)$ , then  $\sigma_1 \circ \sigma_2$  is also an automorphism of  $X$ . The reason is that for any  $(u, v) \in X \times X$ ,  $(u, v) \in E \iff (\sigma_2(u), \sigma_2(v)) \in E$  Now applying  $\sigma_1$  on the previous tuple, we get that  $(\sigma_2(u), \sigma_2(v)) \iff (\sigma_1 \circ \sigma_2(u), \sigma_1 \circ \sigma_2(v)) \in E$ . Hence  $(u, v) \in E \iff (\sigma_1 \circ \sigma_2(u), \sigma_1 \circ \sigma_2(v)) \in E$ . This tells that  $Aut(X)$  is *closed* under  $\circ$ .
- The composition operation is also *associative*.
- *Identity* permutation belongs to  $Aut(X)$  as observed before.
- Since we are considering bijections, it is natural to consider *inverse* for a permutation  $\sigma$  denoted  $\sigma^{-1}$  with the property that  $\sigma \circ \sigma^{-1}$  is identity permutation.

We gave a definition of inverse for an arbitrary permutation. But then a natural question is : given  $\sigma \in Aut(X)$ , is it true that  $\sigma^{-1}$  also belongs to  $Aut(X)$ . It turns out that it is true.

**Claim 1.6.** For the graph  $X(V, E)$   $\sigma \in Aut(X) \iff \sigma^{-1} \in Aut(X)$

*Proof.* Recall that  $\sigma \in Aut(G)$  iff  $\forall (u, v) \in V \times V$ ,  $(u, v) \in E \iff (\sigma(u), \sigma(v)) \in E$ . In particular, this must be true for  $(\sigma^{-1}(u), \sigma^{-1}(v))$  also. This means,

$$(\sigma^{-1}(u), \sigma^{-1}(v)) \in E \iff (\sigma(\sigma^{-1}(u)), \sigma(\sigma^{-1}(v))) \in E$$

By definition of  $\sigma^{-1}$  we get that  $(\sigma^{-1}(u), \sigma^{-1}(v)) \in E \iff (u, v) \in E$ . This shows that  $\sigma^{-1} \in Aut(X)$   $\square$

Objects which satisfy these kind of properties are called groups.

### 1.3 Overview of the course

There are two major themes.

- Algorithms for permutation groups.
- Algorithms for polynomials.

## Algebraic Approach to Primality Testing

In this lecture, we will see an algebraic approach to solving a fundamental problem in Number Theory.

### 2.1 Application to Number Theory

Following is an algorithmic question that we are interested.

**Problem 2.1.** *Given a number  $n$  in its binary representation, check if it is a prime or not in time  $O(\text{poly}(\log N))$ .*

**Note 2.2.** *The trivial algorithms that we can think of will depend on  $n$  and hence takes time exponential in its input representation.*

Consider the following property about prime number proved by Fermat which is of interest in this context.

**Theorem 2.3** (Fermat's Little Theorem). *If  $N$  is a prime, then  $\forall a, 1 \leq a \leq N - 1$ ,*

$$a^N = a \pmod{N}$$

*Proof.* Fix an  $a \in \{1, 2, \dots, N - 1\}$ . Now consider the sequence  $a, 2a, \dots, (N - 1)a$ . The question we ask is : can any two of the numbers in this sequence be the same modulo  $N$ . We claim that this cannot happen. We give a proof by contradiction : suppose that there are two distinct  $r, s$  with  $1 \leq r < s \leq N - 1$  and  $sa = ra \pmod{N}$ . Then clearly  $N|(s - r)a$  which means  $N|a$  or  $N|(s - r)$ . But both cannot happen as  $a, s - r$  are strictly smaller than  $N$ .

This gives that all the  $N$  numbers in our list modulo  $N$  are distinct. Hence all numbers from  $1, 2, \dots, N - 1$  appear in the list when we go modulo  $N$ . Taking product of the list and the list modulo  $N$ , we get

$$(N - 1)!a^{N-1} = (N - 1)! \pmod{N}$$

By cancelling  $(N - 1)!$ , we get that  $a^{N-1} = 1 \pmod{N}$ . □

This tells that the above condition is necessary for a number to be prime. If this test is also sufficient (i.e, if the converse of the above theorem is true), we have a test for checking primality of

a number. But it turns out that this is not true due to the existence of Carmichael numbers which are not prime numbers but satisfy the above test.

So one wants a necessary and sufficient condition which can be used for primality testing. For this, we need the notion of polynomials.

**Definition 2.4.** A polynomial  $p(x) = \sum_{i=0}^d a_i x^i$  with  $a_d \neq 0$  denotes a polynomial in one variable  $x$  of degree  $d$ . Here  $a_i$ s are called coefficients and each term excluding the coefficient is called a monomial. A polynomial is said to be identically zero, if all the coefficients are zero.

A polynomial time algorithm for this problem has been found in 2002 by Manindra Agarwal, Neeraj Kayal and Nitin Saxena (called as the AKS algorithm). In their result, they used the following polynomial characterisation for a prime number.

**Theorem 2.5** (Polynomial formulation (Agarwal-Biswas 1999)). Let  $N \geq 1$  be an integer. Define a polynomial  $p_N(z) = (1+z)^N - 1 - z^N$ . Then

$$p_N(z) \equiv 0 \pmod{N} \iff N \text{ is prime}$$

Hence checking if  $N$  is prime or not boils down to checking if  $p_N(z)$  is identically 0 or not except for the fact that the underlying operations are done modulo  $N$ .

Proof of the theorem is as follows.

*Proof.* Note that  $p_N(z) = \sum_{i=1}^{N-1} \binom{N}{i} z^i$  and  $\binom{N}{i} = \frac{N(N-1)\dots(N-i+1)}{1 \cdot 2 \dots i}$  with  $1 \leq i \leq N-1$ .

If  $N$  is prime, then  $\binom{N}{i} = N \times k_i$  for some integer  $k_i$  as none of  $1, 2, \dots, i$  divides  $N$ . Hence  $\binom{N}{i} \pmod{N} = 0$  for every  $i$  and  $p_N(z) \equiv 0 \pmod{N}$  since the polynomial has all coefficients as zero.

If  $N$  is composite, we need to show that  $p_N(z) \not\equiv 0 \pmod{N}$  which means that there is at least one non-zero coefficient  $\binom{N}{i} \pmod{N}$ . Since  $N$  is composite, there exists a prime  $p$  such that  $p \mid N$ . Let  $p^k \mid N$  where  $k \geq 1$  is the largest exponent of  $p$  in the prime factorisation of  $n$ . Hence  $p^{k+1} \nmid N$ .

We first show that  $p_N(z)$  is a non zero polynomial by showing that the coefficient of  $z^i$  for  $i = p$ , which is  $\binom{N}{p}$ , is non zero modulo  $p^k$  showing<sup>2</sup>  $p_N(z)$  is not a zero polynomial modulo  $N$ . Let  $N = g \times p^k$ . In  $\binom{N}{p}$ ,  $p$  of the denominator divides  $N$ . Note that  $p$  cannot divide  $g$ , for if it does, then  $p^{k+1} \mid N$  which is not possible by choice of  $p$  and  $k$ .

$$\binom{N}{p} = \frac{g \times p^k (N-1) \dots (N-p+1)}{1 \cdot 2 \dots p} = \frac{g \times p^{k-1} (N-1) \dots (N-p+1)}{1 \cdot 2 \dots p-1} \quad (2.1)$$

Hence it must be that  $p^{k-1}$  divides  $\binom{N}{p}$ . This is because, there is no  $p$  left now in the denominator to divide  $N$ . Now  $p^k \nmid \binom{N}{p}$  because, none of the terms  $(N-1), \dots, (N-p+1)$  can have  $p$  as a factor since all these terms are obtained by subtracting at most  $p-1$  times from  $N$ . Hence  $\binom{N}{p} \not\equiv 0 \pmod{p^k}$ . This completes the proof.  $\square$

---

<sup>1</sup>In class we asked the following question of finding an  $a \in \{1, 2, \dots, N-1\}$  such that  $p_N(a) \not\equiv 0 \pmod{N}$ . This has a counter example. Consider the case where  $N$  is a Carmichael number. By definition, Carmichael numbers are composite numbers that satisfy Fermat's Little theorem. Hence if  $N$  is Carmichael,  $\forall a \in \{1, 2, \dots, N-1\}$ , we get  $a^N = a \pmod{N}$ . This gives that  $\forall a \in \{1, 2, \dots, N-1\}$

$$p_N(a) = ((a+1)^N - a^N - 1) \pmod{N} = ((a+1) - a - 1) \pmod{N}$$

which is zero modulo  $N$ .

<sup>2</sup>Note that if  $N \mid \binom{N}{i}$  then  $p^k \mid \binom{N}{i}$ . Taking contrapositive, we get that  $\binom{N}{i} \not\equiv 0 \pmod{p^k}$  implies  $\binom{N}{i} \not\equiv 0 \pmod{N}$

Note that Fermat's Little Theorem is a special case of Agarwal-Biswas formulation of primality testing.

**Claim 2.6.** *Fermat's Little Theorem is a special case of Agarwal-Biswas theorem.*

*Proof.* To prove Fermat's Little theorem, we need one direction of implication of Agarwal-Biswas theorem :

$$\text{"If } N \text{ is prime, then } (1 + z)^N = (1 + z^N) \pmod{N} \text{"}$$

Note that Fermat's Little theorem asks about  $a^N \pmod{N}$  for  $a \in \{1, 2, \dots, N-1\}$ . Since the implication talks about  $z^N \pmod{N}$  and  $(1 + z)^N \pmod{N}$ , this suggests an induction strategy on  $a$ .

Let  $N$  be prime. We check the base case : for  $a = 1$ , the  $1^N = 1 \pmod{N}$ . Hence the base case is true. By induction, suppose that  $a^N = a \pmod{N}$  for  $a \in \{1, 2, \dots, N-1\}$ . Now,

$$\begin{aligned} (a+1)^N &= (a^N + 1) \pmod{N} && [\text{By Agarwal-Biswas as } N \text{ is prime}] \\ &= (a+1) \pmod{N} && [\text{By inductive hypothesis}] \end{aligned}$$

This completes the inductive case. □

This shows that checking if the polynomial  $p_N(z)$  is a zero polynomial is an if and only if check for primality of  $N$ . There are two naive ways to do it. One is to evaluate it at all the numbers from 1 to  $N$  or to expand out the polynomial and see if it is identically zero. But both operations are costly.

So checking primality of a number now boils down to checking if a polynomial is identically zero or not. This is a fundamental problem of polynomial identity testing. We will be discussing about polynomial identity testing and AKS algorithm in our next theme.

Instructor: Jayalal Sarma

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## Algebraic Approach to finding Perfect Matchings in Graphs

### 3.1 Application to Graph algorithms

Consider the following problem of finding perfect matching.

**Definition 3.1** (Finding Perfect Matching). *Given a bipartite graph  $G(V_1, V_2, E)$ , we need to come up with an  $E' \subseteq E$  such that  $\forall u \in V_1 \cup V_2$ , there is exactly one edge incident to it in  $E'$ .*

We shall give a polynomial formulation for the problem. Given  $G(V_1, V_2, E)$  with vertex sets  $|V_1| = |V_2| = n$ . Define an  $n \times n$  matrix  $A$  where  $A(i, j) = 1$  if  $(i, j) \in E$  and is 0 otherwise for all  $(i, j) \in V_1 \times V_2$ . Recall the determinant of  $A$  given by

$$\det(A) = \sum_{\sigma \in S_n} \text{sign}(\sigma) \prod_{i=1}^n A_{i, \sigma(i)}$$

where

$$\text{sign}(\sigma) = \begin{cases} -1 & \text{if } \text{inv}(\sigma) \text{ is even} \\ 1 & \text{if } \text{inv}(\sigma) \text{ is odd} \end{cases}$$

and  $\text{inv}(\sigma)$  is defined as  $|\{(i, j) \mid i < j \text{ and } \sigma(i) > \sigma(j), 1 \leq i < j \leq n\}|$ . We denote  $f(x) \equiv 0$  to denote that polynomial  $f(x)$  is the zero polynomial.

**Lemma 3.2.** *For the matrix  $A$  as defined as before,  $\det(A) \neq 0 \Rightarrow G$  has a perfect matching*

*Proof.* Let  $\det(A) \neq 0$ . Hence there exists a  $\sigma \in S_n$  such that  $\prod_{i=1}^n A_{i, \sigma(i)} \neq 0$ . Hence the edge set  $E' = \{(i, \sigma(i)) \mid 1 \leq i \leq n\}$  exists in  $G$  and since  $\sigma(i) = \sigma(j)$  iff  $i = j$  for every  $i, j$ ,  $E'$  form a perfect matching.

□

Note that converse of this statement is not true. For example, consider the bipartite graph whose  $A$  matrix is  $A = \begin{bmatrix} 1 & 1 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$ . It can be verified that  $\det(A) = 0$  but the bipartite graph associated has a perfect matching.

Hence the next natural question, similar to our primality testing problem, is to ask for some kind of modification so that converse of previous lemma (lemma 3.2) is true. In the example considered, there were two perfect matchings in  $G$  having opposite sign due to which the determinant became 0. So the modification should ensure that perfect matchings of opposite signs does not cancel off in the determinant.

One way to achieve this is as follows. Define a matrix  $T$  as

$$T(i, j) = \begin{cases} x_{ij} & (i, j) \in E \\ 0 & \text{otherwise} \end{cases}$$

where  $(i, j) \in V_1 \times V_2$ . This matrix is called as the Tutte matrix. Now, if we consider determinant of this matrix, we can see that the monomials corresponding a  $\sigma \in S_n$  can be a product of at most  $n$  variables. Hence  $\det(T)$  is a polynomial in  $n^2$  variables with degree at most  $n$ .

Also in the expansion of determinant, we can observe that each term picks exactly one entry from every row and column meaning each of the entries picked is from a distinct row and column. Hence each of the them is a bijection and hence is a permutation on  $n$  elements. Also corresponding to any permutation on  $n$  elements, we can get a term. This gives us the following observation.

**Observation 3.3.** *Set of monomials in  $\det(T)$  is in one-one correspondence with set of all permutations on  $n$ .*

We now give polynomial formulation for the problem of checking perfect matching in a bipartite graph.

**Claim 3.4.** *For the matrix  $T$  as defined before,  $\det(T) \neq 0 \iff G$  has a perfect matching*

*Proof.* By  $\det(T) \equiv 0$ , we mean that the polynomial  $\det(T)$  has all coefficients as zero. ( $\Rightarrow$ ) Since  $\det(T) \neq 0$ , there exists a  $\sigma \in S_n$  such that the monomial corresponding is non-zero and by definition of determinant it must be expressed as product of some  $n$  set of variables  $\prod_i T(i, \sigma(i))$ . The variable indices gives a matching and since there are  $n$  variables this is a perfect matching.

( $\Leftarrow$ ) Suppose  $G$  has a perfect matching given by a  $M$ . Let  $\tau$  denote the permutation corresponding to  $M$ . We now need to show that  $\det(T) \neq 0$ . To prove this, it suffices to show that there is a substitution to  $\det(T)$  which evaluates to a non-zero value.

Consider the following assignment,  $\forall i, j$

$$a_{ij} = \begin{cases} 1 & \text{if } (i, j) \in M \\ 0 & \text{otherwise} \end{cases}$$

From the formula of determinant,

$$\begin{aligned} \det(T) &= \sum_{\sigma \in S_n} \text{sign}(\sigma) \prod_{i=1}^n T_{i, \sigma(i)} \\ &= \text{sign}(\tau) \prod_{i=1}^n A_{i, \tau(i)} + \sum_{\sigma \in S_n \setminus \{\tau\}} \text{sign}(\sigma) \prod_{i=1}^n T_{i, \sigma(i)} \end{aligned}$$

Now substituting  $x_{ij} = a_{ij}$ , we get that the first term evaluates to  $\text{sign}(\tau)$  since all the entries are 1. The second term evaluates to 0 since for all  $\sigma \neq \tau$ , there must be a  $j$  such that  $\sigma(j) \neq \tau(j)$ . Hence it must be that  $a_{j, \sigma(j)} = 0$  and hence the product corresponding to  $\sigma$  goes to 0.  $\square$

Hence to check if the bipartite graph  $G$  has a perfect matching or not, it suffices to check if the polynomial  $\det(T)$  is identically zero or not. Checking if a polynomial is identically zero or not is one of the fundamental question in this area.

Note that this problem becomes easy if the polynomial is given as sum of monomial form. In most of the cases, the polynomial will not be given this way. For example if we consider our problem, we are just given the  $T$  matrix and  $\det(T)$  is the required polynomial. Trying to expand  $\det(T)$  and simplifying will involve dealing with  $n!$  monomials which is not feasible.

Hence the computational question again boils down to checking if a polynomial is identically zero or not.

## Graphs, Groups and Generators

In this lecture we will pose three graph theoretic questions and find answers using approaches in algebra.

### 4.1 Graph Isomorphism, Automorphism and Rigidity

**Definition 4.1.** (*Graph Isomorphism.*) Let  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  be graphs. We say  $G_1 \cong G_2$  (read as  $G_1$  is isomorphic to  $G_2$ ) if there exists a bijection  $\sigma : V_1 \rightarrow V_2$  such that  $\forall (u, v) \in V_1 \times V_2$  we have

$$(u, v) \in E_1 \iff (\sigma(u), \sigma(v)) \in E_2$$

In other words, we say a graph  $G_1$  is isomorphic to  $G_2$  if there exists a relabeling of the vertices in  $G_1$  such that the the adjacency and non-adjacency relationships in  $G_2$  is preserved.

**Observation 4.2.** If  $|V_1| \neq |V_2|$ , then  $G_1$  is not isomorphic to  $G_2$ .

The graph isomorphism problem is stated as follows.

**Problem 4.3 (Graph Isomorphism Problem).** Given two graphs  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$ , test if  $G_1 \cong G_2$  or not.

A natural question to ask in this setting is that if there is an isomorphism from a graph  $G$  to itself.

Let  $[n] = \{1, 2, \dots, n\}$ . Let  $S_n$  denote the set of all permutations from the set  $[n]$  to  $[n]$ . Let  $G = (V, E)$  be a graph. An automorphism of  $G$  is a bijection  $\sigma : V \rightarrow V$  such that  $\sigma(G) = G$ . Let

$$\text{Aut}(G) = \{\sigma \mid \sigma \in S_n \text{ and } \sigma(G) = G\}$$

be the set of all automorphisms of  $G$ . Ideally, we would like to compute the set of automorphism of a graph to itself.

**Problem 4.4 (Graph Automorphism Problem).** Given a graph  $G$ , list the elements of  $\text{Aut}(G)$ .



The above problem can be expected to be solved in polynomial time only if the output expected is polynomial in length. This brings up the size of the  $Aut(G)$  into question. Unfortunately, if  $G$  is the complete graph on  $n$  vertices. Then  $|Aut(G)| = n!$ . Hence the above question is not well-formulated.

Since identity permutation is trivially an automorphism for any graph, the  $Aut(G)$  is always a non-empty subset of  $S_n$  where  $n$  is the number of vertices. Hence, one can ask a natural decision variant of the above problem, namely the graph rigidity problem.

Formally, the graph rigidity problem is stated as follows.

**Problem 4.5 (Graph Rigidity Problem).** *Given a graph  $G$ , test if  $Aut(G)$  is trivial. That is, whether  $Aut(G)$  contains only the identity permutation or not.*

More than the size, in the first lecture of this course, we have seen that  $Aut(G)$  forms a *subgroup* of  $S_n$ . To utilize this structure, we first refresh the definition of an abstract group. From now on, we will use the letter  $X$  to denote a graph and  $G$  to denote a group.

## 4.2 Groups and Generators

**Definition 4.6.** (Groups.) *A set  $G$  together with a binary operation  $*$  is said to be a group if the following four conditions are met*

- **Closure** :  $a, b \in G$ , the element  $a * b \in G$ .
- **Associative** : For any  $a, b, c \in G$ , we have  $(a * b) * c = a * (b * c)$ .
- **Existence of Identity** : For any  $a \in G$  there exists a unique element  $e \in G$  such that  $a * e = e * a = a$ .
- **Existence of Inverse** : For any  $a \in G$  there exists a unique element  $b \in G$  (denoted by  $a^{-1}$ ) such that  $a * b = b * a = e$ .

**Example 4.7.** •  $S_n$  forms a group under composition.

- $(\mathbb{Z}_5, +)$  is a group.

From now on, we will use the letter  $X$  to denote a graph and  $G$  to denote a group.

**Remark 4.8.** Let  $(G, *)$  be a group. Let  $H \subseteq G$  such that  $(H, *)$  also forms a group. We say  $H$  is a subgroup of  $G$  and denote by  $H \leq G$ .

**Exercise 4.9.** For any graph  $X$ , the set  $Aut(X)$  forms a group under the composition operation. That is,  $Aut(G) \leq M$  where  $M = (S_n, \circ)$  is the permutation group.

Let  $(G, *)$  be a group. Let  $H \subseteq G$  such that  $(H, *)$  also forms a group. We say  $H$  is a *subgroup* of  $G$  and denote by  $H \leq G$ . We showed in the first lecture of the course that, for any graph  $X$ , the set  $Aut(X)$  forms a group under the composition operation. That is,  $Aut(G) \leq M$  where  $M = (S_n, \circ)$  is the symmetric group.

Let  $(G, +)$  be a finite group and  $g \in G$  be an element. Let  $g^2 = g * g, g^3 = g * g * g$ . Similarly  $g^k = \underbrace{g * g * \dots * g}_{k \text{ times}}$ . Now consider the set  $H = \{g, g^2, g^3, \dots\}$ . Since  $(G, *)$  is a finite group there must exist a  $k$  such that  $g^k = g$  in  $H$ .

**Lemma 4.10.** Let  $(G, +)$  be a finite group and  $g \in G$  be an element. Let  $H = \{g, g^2, g^3, \dots\}$  be a set of elements. The unique identity  $e$  of  $G$  is in  $H$ .

*Proof.* Since  $(G, *)$  is a finite group there must exist a  $k$  such that  $g^k = g$  in  $H$ . By definition,  $g^k = g^{k-1} * g = g$ . As  $(G, *)$  is a group,  $g^{-1}$  exists in  $G$ . Therefore,

$$g^{k-1} * g * g^{-1} = g * g^{-1} = e$$

□

**Definition 4.11 (Generator).** Let  $(G, +)$  be a finite group and  $g \in G$  be an element. We say an element  $g \in G$  is a generator of the set  $H$  if for every element  $h \in H$  there exists a  $m$  such that  $h = g^m$ . (denoted by  $H = \langle g \rangle$ ).

**Observation 4.12.**  $H = \langle g \rangle$  is a subgroup of  $G$ . That is,  $H \leq G$ .

A quick example is that 1 is a generator for  $(\mathbb{Z}_5, +)$

**Definition 4.13.** An group  $(G, *)$  that can be generated by a single element is called a cyclic group. For instance,  $(\mathbb{Z}_5, +)$ .

Not every group is cyclic. For instance  $S_3$  is not cyclic.

1: JS says: reviewed this lecture's notes until here

**Definition 4.14.** (Generating set.) Let  $S \subseteq G$  be the set  $\{u_1, \dots, u_k\}$ .  $S$  is said to be generating if  $\langle S \rangle = G$ .

Having observed that  $Aut(X)$  could have potentially be of exponenetial size, it is natural to look for generating sets of small size.

Given a graph  $X = (V, E)$  where  $|V| = n$ , does  $Aut(X)$  have a generating set of size  $\text{poly}(n)$ ?

### 4.2.1 Lagrange's theorem

Let  $(G, *)$  be a group. Let  $H \leq G$ . For any  $g \in G$ , define the right coset of  $H$  in  $G$  to be

$$Hg = \{hg \mid h \in H\}$$

Let  $g_1, g_2 \in G$  and  $Hg_1, Hg_2$  be the corresponding right cosets. Are there elements that belong to more than one coset of  $H$  in  $G$ ? Is  $Hg_1 \cap Hg_2 \neq \phi$ ? If yes, then the set of right cosets of  $H$  in  $G$  form a partition of the ground set of  $G$ . In that case, how many such cosets are required to cover the entire set  $G$ ? Let us answer these two questions.

**Lemma 4.15.** Let  $g_1, g_2 \in G$  and  $Hg_1 = \{hg_1 \mid h \in H\}, Hg_2 = \{hg_2 \mid h \in H\}$ . Then

$$Hg_1 = Hg_2 \text{ or } Hg_1 \cap Hg_2 = \phi.$$

*Proof.* If  $g_1 = g_2$ , then by definition  $Hg_1 = Hg_2$ . Therefore let  $g_1 \neq g_2$ . We will prove : If  $Hg_1 \cap Hg_2 \neq \phi$  then  $Hg_1 = Hg_2$ . Let  $Hg_1 \cap Hg_2 \neq \phi, g \in Hg_1 \cap Hg_2$  we will show

(i)  $Hg_1 \subseteq Hg_2$  ; and

(ii)  $Hg_2 \subseteq Hg_1$ .

Since  $g \in Hg_1$  we know that there exists a  $h_1 \in H$  such that  $g = h_1g_1$ . Similarly  $g \in Hg_2$  suggests that there exists a  $h_2 \in H$  such that  $g = h_2g_2$ .

$$h_1g_1 = h_2g_2 = g$$

As  $(H, *)$  is a group by itself,  $h_1^{-1}$  and  $h_2^{-1}$  exists.

$$g_1 = h_1^{-1}h_2g_2 \quad (4.2)$$

$$g_2 = h_2^{-1}h_1g_1 \quad (4.3)$$

(i)  $Hg_1 \subseteq Hg_2$

Let  $g' \in Hg_1$ . This implies there exists a  $h' \in H$  such that  $g' = h'g_1$ . Therefore,

$$\begin{aligned} g' &= h'g_1 \\ g' &= h'(h_1^{-1}h_2g_2) \quad [\text{By equation (4.2)}] \end{aligned}$$

By closure property in  $(H, *)$ , we have  $h'' = h'h_1^{-1}h_2 \in H$ . Therefore  $g' = h''g_2$ ,  $g' \in Hg_2$ .

(ii)  $Hg_2 \subseteq Hg_1$

Let  $g' \in Hg_2$ . This implies there exists a  $h' \in H$  such that  $g' = h'g_2$ . Therefore,

$$\begin{aligned} g' &= h'g_2 \\ g' &= h'(h_2^{-1}h_1g_1) \quad [\text{By equation (4.3)}] \end{aligned}$$

By closure property in  $(H, *)$ , we have  $h'' = h'h_2^{-1}h_1 \in H$ . Therefore  $g' = h''g_1$ ,  $g' \in Hg_1$ .

□

**Lemma 4.16.** For every  $g \in G$ ,  $|Hg| = |H|$ .

*Proof.* By construction, for every element in  $H$  there exists an element in  $Hg$ . So  $|Hg| \leq |H|$ .

Let us show :  $|H| \leq |Hg|$ . Suppose not,  $|Hg| < |H|$ . Then there exists  $h_1, h_2 \in H, h_1 \neq h_2$  such that  $h_1g = h_2g$ . Since  $(G, *)$  is a group,  $g^{-1}$  exists. We have  $h_1gg^{-1} = h_2gg^{-1}$  which implies  $h_1 = h_2$ , a contradiction. □

**Theorem 4.17.** (Lagrange's theorem.) Let  $(G, *)$  be a group and  $H \leq G$ . Then  $|H|$  divides  $|G|$ .

*Proof.* Direct consequence of Lemmas 4.15 and 4.16 □

**Observation 4.18.** Let  $H = \langle g \rangle$  and  $H' = \langle H, g' \rangle$  where  $g' \in G \setminus H$ . Then  $H \leq H' \leq G$ . We have  $g \in H' \setminus H$ , therefore  $|H'| > |H|$ .  $H' \leq H$ . By Theorem 4.17,  $|H'| \geq 2|H|$ . This shows that every group has a generating set of size  $\log |G|$ .

**Remark 4.19.** We know that  $\text{Aut}(X) \leq S_n$  for any graph  $X = (V, E)$ . By Observation 4.18  $\text{Aut}(X)$  has a generating set of size  $\log |S_n| = \log(n!) \in \mathcal{O}(n \log n)$  by Stirling's approximation.

## Orbit Stabilizer Lemma

In this lecture we will understand and prove the Orbit Stabilizer Lemma, while defining the various terms associated with it.

**Definition 5.1.** (*Order of a Group.*) Order of a group  $G$  is number of elements in the group, that is  $|G|$

**Definition 5.2.** (*Right coset*) Let  $H \leq G$  and  $g \in G$ . The right coset of  $H$  in  $G$  is defined as

$$Hg = \{hg \mid h \in H\}$$

**Definition 5.3.** (*Left coset*) Let  $H \leq G$  and  $g \in G$ . The left cosets of  $H$  in  $G$  is defined as

$$gH = \{gh \mid h \in H\}$$

**Note 5.4.** In general, it is not necessary that the left and right cosets are the same.

**Definition 5.5.** (*Normal Subgroup*) Let  $H \leq G$ . We say  $H$  is a Normal Subgroup of  $G$  if

$$\forall g \in G, Hg = gH$$

Let  $H$  be a Normal SubGroup of  $G$ . Divide  $G$  into co-sets of  $H$  and take one element from each of these as a representative of the set.

**Claim 5.6.** These elements have a group structure among them.

This will be proved in later classes.

### 5.1 Group Action and Orbits

Let  $G$  be a SubGroup of  $S_n$ . Let  $\alpha \in [n]$  and  $g \in G$ .

**Notation 5.7.**  $\alpha^g$  is the image of  $\alpha$  under the permutation  $g$ .

Orbit of an element  $\alpha$  in  $G$  is the set of elements it gets mapped to under permutations in  $G$ . More formally,

**Definition 5.8.** (*Orbit of  $\alpha$  in  $G$* ) The orbit of  $\alpha$  in  $G$  is defined as

$$\alpha^G = \{\alpha^g \mid g \in G\}$$

Having defined orbits it is natural to partition  $G$  into equi

### 5.1.1 An Equivalence Relation

Consider the following relation,

$$\alpha \sim \beta \leftrightarrow \exists g \in G, \alpha^g = \beta$$

**Claim 5.9.** *The relation*

$$\alpha \sim \beta \leftrightarrow \exists g \in G, \alpha^g = \beta$$

*is an equivalence relation.*

**Proof. Reflexive:**  $e \in G$ , where  $e$  is the identity element. Hence,  $\alpha \sim \alpha$

**Symmetric:** Let  $\alpha \sim \beta$ . Thus  $\exists g \in G, \alpha^g = \beta$ . Hence,  $\alpha = \beta^{g^{-1}}$ . Thus,  $\beta \sim \alpha$

**Transitive:** Let  $\alpha \sim \beta, \beta \sim \gamma$ . By definition,  $\exists g_1, g_2 \alpha^{g_1} = \beta, \beta^{g_2} = \gamma$ . By composition of permutations,  $(\alpha^{g_1})^{g_2} = \gamma$ . Hence,  $\alpha \sim \gamma$ .  $\square$

Are there permutations that map  $\alpha$  to  $\alpha$ ? i.e. Are there permutations that stabilize  $\alpha$ ?

**Definition 5.10.** (Stabilizer of  $\alpha$ .) *The stabilizer of  $\alpha$  in  $G$  is*

$$G_\alpha = \{g \mid \alpha^g = \alpha\}$$

**Observation 5.11.**  $G_\alpha$  is a Subgroup of  $G$ .

### 5.1.2 Orbit-Stabilizer Lemma

**Theorem 5.12.** *Let  $G \leq S_n$ . Then for any  $\alpha \in [n]$ ,*

$$|\alpha^G| * |G_\alpha| = |G|$$

**Proof.** Since  $G_\alpha$  forms a Subgroup of  $G$ , by Lagrange's Theorem,

$$\frac{|G|}{|G_\alpha|} = \text{number of distinct right cosets of } G_\alpha \text{ in } G.$$

In Lemma 5.13 we show that There exists a bijection from  $\alpha^G$  to cosets of  $G_\alpha$  in  $G$ . Therefore, number of distinct right cosets of  $G_\alpha = |\alpha^G|$ .  $\square$

**Lemma 5.13.** *There exists a bijection  $\eta$  from  $\alpha^G$  to cosets of  $G_\alpha$  in  $G$ .*

**Proof.** The idea is that the set of permutations that send  $\alpha$  to  $\beta$ , form a coset of permutations that send  $\alpha$  to  $\alpha$ .

Let  $\beta \in \alpha^G$  and  $h \in G, \alpha^h = \beta$ .

Consider  $\{g \in G \mid \beta = \alpha^g\}$  We have to show that this is a Co-set

$$= \{g \in G \mid \alpha^h = \alpha^g\}$$

$$= \{g \in G \mid \alpha^{gh^{-1}} = \alpha\}$$

Thus  $gh^{-1} \in G_\alpha$

$$= \{g \in G \mid gh^{-1} \in G_\alpha\}$$

$$= \{g \in G \mid g \in G_\alpha h\}$$

**Exercise 5.14.** *Complete the above proof by showing a bijection between  $\beta$ 's and the cosets of  $G_\alpha$ .*  $\square$

## 5.2 Graph Automorphism and Graph Isomorphism

We will now define and analyse various problems related to GI.

**PROBLEM : GRAPH ISOMORPHISM [GI]**

**Input :** A graph  $X_1 = (V_1, E_1)$  and  $X_2 = (V_2, E_2)$

**Output :** Decide if  $X_1 \cong X_2$  or not.

**PROBLEM : GRAPH AUTOMORPHISM [GA]**

**Input :** A graph  $X = (V, E)$

**Output :** A Generating Set for  $Aut(X)$ .

**PROBLEM : GRAPH RIGIDITY [GR]**

**Input :** A graph  $X = (V, E)$

**Output :** Decide if  $Aut(X)$  is trivial or not.

**PROBLEM : NUMBER OF ISOMORPHISMS [# GI]**

**Input :** A graph  $X_1 = (V_1, E_1), X_2 = (V_2, E_2)$

**Output :** The number of Isomorphisms from  $X_1$  to  $X_2$ .

**PROBLEM : NUMBER OF AUTOMORPHISMS [# GA]**

**Input :** A graph  $X = (V, E)$

**Output :**  $|Aut(X)|$ .

**PROBLEM : COMPUTING ISOMORPHISM [ISO]**

**Input :** A graph  $X_1 = (V_1, E_1), X_2 = (V_2, E_2)$

**Output :** An adjacency preserving bijection from  $V_1$  to  $V_2$ .

**PROBLEM : COMPUTING AUTOMORPHISM [AUT]**

**Input :** A graph  $X = (V, E)$

**Output :** A non-trivial element of  $Aut(X)$ .

## 5.3 Informal notion of Reductions

Given two problems  $A, B$ , we say that  $A \leq B$  ( $A$  reduces to  $B$ ), if given a polytime algorithm for  $B$ , we can give out a polytime algorithm for  $A$ .

In the next lecture we will talk about the relation among the above defined problems.

## A Closer Look at Graph Isomorphism and Automorphism

### 6.1 Another related Problem

Here the vertex set is divided into  $c$  color classes by the function

$$\Psi : V(X) \rightarrow [c],$$

where  $i \in [c]$  denotes a color and  
the  $i^{th}$  color class is  $\Psi^{-1}(i)$ .

**Colored Graph Isomorphism [CGI]:** Given two  $C$ -colored Graphs  $(X_1, \Psi_1)$  and  $(X_2, \Psi_2)$

Output 1

if  $\exists \sigma : V(X_1) \rightarrow V(X_2)$  such that  $\forall (u, v) \in V(X_1) \times V(X_2), (u, v) \in E(X_1)$  if and only if  $(\sigma(u), \sigma(v)) \in E(X_2)$   
and  $\forall u \in V(X_1), \Psi_1(u) = \Psi_2(\sigma(u))$ .

### 6.2 Relations Among the Problems

#### 6.2.1 GI $\leq$ CGI

Set  $c = 1$ , and color all the vertices with the same color.

#### 6.2.2 CGI $\leq$ GI

Given  $(X_1, \Psi_1)$  and  $(X_2, \Psi_2)$ .

[Gadget] :  $\forall u \in V(X_1)$  such that  $u \in \Psi_1^{-1}(i)$ :

1. Add  $ni$  extra vertices to  $X_1$ .
2. Add edges from each of the extra vertices to  $u$  to get the graph  $X'_1$ .

Do the same for  $(X_2, \Psi_2)$  to get  $X'_2$ .

Now run  $GI$  on  $X'_1, X'_2$ .

**Correctness :**

**Forward Direction:**

Let  $(X_1, \Psi_1)$  and  $(X_2, \Psi_2) \in CGI$ . To show that  $X'_1 \cong X'_2$ .

Hence  $\exists \sigma : V(X_1) \rightarrow V(X_2)$  such that  $\forall (u, v) \in V(X_1) \times V(X_2), (u, v) \in E(X_1)$  if and only if  $(\sigma(u), \sigma(v)) \in E(X_2)$  and  $\forall u \in V(X_1), \Psi_1(u) = \Psi_2(\sigma(u))$ . Additionally map the extra vertices added correspondingly. Thus  $X'_1 \cong X'_2$ .

**Backward Direction:**

Let  $X'_1 \cong X'_2$ . To show that  $(X_1, \Psi_1) \cong (X_2, \Psi_2)$ .

$X'_1 \cong X'_2$ , hence  $\exists \sigma : V(X'_1) \rightarrow V(X'_2), \forall (u, v) \in E(X'_1), (\sigma(u), \sigma(v)) \in E(X'_2)$ .

If possible let there exist  $u \in v(X_1), u \in \Psi^{-1}(i)$  such that  $\sigma(u) \notin V(X_2)$ . That is,  $u$  is mapped to one of the extra vertices. But  $u \in X'_1$  and  $\deg(u) \geq ni$ , whereas degree of any extra vertex is 1. Hence, we have a contradiction.

Now, if possible let  $u \notin \Psi_2^{-1}(i)$ . Hence  $\sigma(u) \in \Psi_2^{-1}(j)$  and  $j \neq i$ . Note that  $ni + n > \deg(u) \geq ni \Rightarrow n(i + 1) > \deg(u) \geq ni$ .

And,  $\sigma(u) \in \Psi_2^{-1}(j) \Rightarrow n(j + 1) > \deg(u) \geq nj$ . Since both of these can not be true simultaneously, we have a contradiction.

Hence,  $\sigma(u) \in V(X_2), \sigma(u) \in \Psi_2^{-1}(i)$ . Thus,  $(X_1, \Psi_1) \cong (X_2, \Psi_2)$ .

**Time Complexity :** We have made only one query to GI. Hence, the reduction is polytime.

**Hence Proved.**

### 6.2.3 Computing Isomorphism $\leq GI$

Given  $X_1, X_2$  Output a permutation that morphs  $X_1$  to  $x_2$ . The Reduction is as follows:

1. Check if  $X_1 \cong X_2$ . If NO, end.
2. For each vertex  $i \in V_1$  Color  $i$  with color  $C_i$ .
  - Color  $j \in V_2 - [\text{Already Colored Vertices}]$  with  $C_i$ , temporarily.
  - Query to CGI.
  - Repeat on  $j$  until you get a yes answer. Fix color of  $j$  as  $C_i$ .

Output the permutation.

Here each vertex is colored with a different color, and hence we have a permutation.

Also, if the graphs are isomorphic, then there will exist a  $j \in V_2$ , such that we get a yes answer.

**Time Complexity:** We make at most  $O(n^2)$  queries to CGI which in turn makes a single query to GI.

Thus the reduction is polytime.

### 6.2.4 GI $\leq$ GA

Take  $X = X_1 \cup X_2$ .

Let  $S$  be the generating set of  $Aut(G)$ .

**Claim 6.1.**  $X_1 \cong X_2$  if and only if  $\exists \sigma \in S$  such that  $\sigma$  maps at least one vertex in  $X_1$  to a vertex in  $X_2$ .

For the time being assume that the two graphs are connected graphs.

**Forward Direction** Assume that  $X_1 \cong X_2$ .

$\exists \tau$  which is an isomorphism between  $X_1, X_2$ .  $\tau \in Aut(X)$ . Hence, there is a  $\sigma$  that maps a vertex



in  $X_1$  to a vertex in  $X_2$ .

**Backward Direction :**  $\exists \sigma$  that maps  $u \in X_1$  to  $\sigma(u) \in X_2$ . Let  $v \in X_1$  be such that  $\sigma(u) \in X_1$ . Since  $X_1$  is connected  $u, v$  are connected. But  $\sigma(u) \in X_2, \sigma(v) \in X_1$  are not connected. Hence, we have a contradiction. Thus,  $\sigma$  maps all vertices in  $X_1$  to  $X_2$ . Thus  $X_1 \cong X_2$ .

In case the two are not connected, add an extra vertex to both the graphs that is adjacent to all the vertices in the corresponding graphs. Since, the new vertices have degree  $n$ , they can be mapped only to each other.

**Hence Proved.**

## Reduction of $\mathcal{GA}$ to $\mathcal{GI}$

### 7.1 Recap and Lecture overview

Let us denote by  $\text{COLOR} - \mathcal{GI}$  the problem of finding whether there is a coloring preserving isomorphism. In the previous few lectures we showed that  $\text{COLOR} - \mathcal{GI} \leq \mathcal{GI}$ . We also showed that  $\mathcal{GI} \leq \mathcal{GA}$ . We also showed how to compute an isomorphism map between two graphs ( $\text{COMPUTE-ISO}$ ) if we can check if two graphs are isomorphic. Recall that  $\text{Aut}(X)$  is the group of all automorphisms of a given graph  $X$  and  $\mathcal{GA}$  is the problem of obtaining a generator for  $\text{Aut}(X)$ . In this lecture, we show that  $\mathcal{GA} \leq \mathcal{GI}$ . That is, given a subroutine to solve  $\mathcal{GI}$ , we show how we can compute a generator set of  $\text{Aut}(X)$  for an input graph  $X$ . Along with  $\mathcal{GI} \leq \mathcal{GA}$  proved in the earlier class, this shows that the graph isomorphism and graph automorphism problems are equivalent in terms of hardness.

The main idea is that there is a unique way to express an element in  $G$  using Tower of subgroups of  $G$ .

### 7.2 Solving Graph Automorphism using Graph Isomorphism

#### 7.2.1 Tower of Subgroups of Group

**Definition 7.1** (Tower of Subgroups of  $G$ ). Let  $k \in \mathbb{N}$ . For a group  $G$ , the  $k$  subgroups of  $G$  namely  $G^{(1)}, G^{(2)}, \dots, G^{(k)} = \{id\}$  is said to form a tower of subgroups of  $G$  if

$$G = G^{(0)} \geq G^{(1)} \geq \dots, G^{(k)} = \{id\}$$

The above concept is defined for an arbitrary group, but we will use this specifically for understanding about Tower of subgroups of  $\text{Aut}(X)$ , for a given graph  $X$ .

Note that in the sequence,  $G^{(i)}$  is a subgroup of  $G^{(i-1)}$  for  $1 \leq i \leq k$ . Hence there is a coset structure that  $G^{(i)}$  generates (or induces) in  $G^{(i-1)}$  and we seek to exploit this structure to get a  $O(n \log n)$  sized generating set of  $\text{Aut}(X)$  efficiently, given a procedure for solving  $\mathcal{GI}$ .

**Definition 7.2.** For a group  $G$  and a subgroup  $H$  of  $G$  denote  $H : G$  to denote the set of cosets of  $H$  in  $G$  and the number of cosets in  $H : G$ , denoted,  $[H : G]$  is called as the index of  $H$  in  $G$ .



FIGURE 7.1: Tower of groups of  $G$  for two levels with cosets in the first level fixed as  $u_1, u_2, \dots, u_{\ell_1}$  and second level fixed as  $w_1, w_2, \dots, w_{\ell_2}$ .

For example, for  $1 \leq i \leq k$ ,  $[G^{(i+1)} : G^{(i)}]$  denotes the number of cosets of induced in  $G^{(i)}$  by  $G^{(i+1)}$ . Denote this number by  $\ell_i$ . Note that by Lagrange's theorem  $\ell_i = \frac{|G^{(i)}|}{|G^{(i+1)}|}$ . Hence  $\prod_i \ell_i = |G^{(0)}| = |G|$ .

Recall the notion of a *coset representative* of a coset, with respect a given group and a subgroup of the given group. For example, in the figure 7.1, consider the subgroup  $G^{(1)}$  of  $G$ . Here we choose  $u_1$  as the coset representative of the coset  $G^{(1)}u_1$ .

Note that any arbitrarily chosen element of that coset  $G^{(1)}u_1$  can be made its representative due to the following reason. For any two elements in the same coset, say  $g$  and  $g'$  we have  $G^{(1)}g = G^{(1)}g'$ , by the definition of the coset (since it generates the same coset)<sup>3</sup>. Hence, there is nothing special about  $u_1, g, g'$  and any elements of the coset can be chosen as its representative.

### 7.2.2 Unique representation of a group in terms of coset representatives

Given this setup, we are now ready to give a unique way of representing group elements once we fix a tower of subgroups for the group and a coset representatives at each level.

Consider an element  $g \in G^{(i-1)}$  for some  $i \geq 1$  and  $G^{(i+1)}$  be the subgroup in the next level in the tower of subgroups. Since the cosets of  $G^{(i+1)}$  partitions  $G^{(i)}$  it must be that  $g$  must lie in exactly one coset.

**Observation 7.3.** *Consider the groups  $G^{(i)}$  and  $G^{(i-1)}$  for some  $i \geq 1$ . Let  $g \in G^{(i-1)}$  lie in the coset whose representative is  $u_1$ . Then there exists a unique  $h_i \in G^{(i)}$  such that*

$$g = h_i u_1$$

<sup>3</sup>One way to show this is as follows : let  $g, g' \in G^{(1)}u_1$  where  $u_1$  is a coset representative. Hence  $g = h_1 u_1$ ,  $g' = h_2 u_1$  for  $h_1, h_2 \in G^{(1)}$ . For any  $w \in G^{(1)}g$ ,  $w = hg$  for some  $h \in G^{(1)}$ . Using the fact that  $g = h_1 u_1$ , we get  $w = h h_1 u_1$ . Hence  $w \in G^{(1)}u_1$ . Hence  $G^{(1)}g \subseteq G^{(1)}u_1$ . A similar argument shows that  $G^{(1)}u_1 \subseteq G^{(1)}g$ . This also shows that  $G^{(1)}u_1 = G^{(1)}g$ . Hence the cosets formed by  $g$  and  $g'$  are the same as the original coset.

*Proof.* By definition,  $g \in G^{(i)}u_1 \Rightarrow \exists h_i \in G^{(i)}$  such that  $g = h_i.u_1$ . Such a  $h_i$  is unique since if there is another  $h'_i \in G^{(i)}$  such that  $h_i u_1 = h'_i u_1$ , then  $h_i = g.u_1^{-1} = h'_i$ .  $\square$

This gives us a way to uniquely represent the elements of  $G$ .

**Theorem 7.4.** *Suppose we fix the tower of subgroups of  $G$  denoted  $G = G^{(0)} \geq G^{(1)} \geq \dots, G^{(k)} = \{id\}$  as well as the coset representatives for  $G^{(i+1)} : G^{(i)}$  for all  $0 \leq i \leq k-1$  in the tower of subgroups. Then, each element of the group  $G$  can be represented as a unique product of coset representatives.*

*Proof.* Let  $g \in G = G^{(0)}$ . Let  $\ell_1$  be the number of cosets in  $G^{(1)} : G^{(0)}$ . Let  $u_1, u_2, \dots, u_{\ell_1}$  be the coset representatives. By the above observation 7.3 (setting  $i = 1$ ), we know that there exists a unique  $h_1 \in G^{(1)}$  such that  $g = h_1 u_1$ . Now consider the cosets  $G^{(2)} : G^{(1)}$ . Since they partition  $G^{(1)}$ , we ask, in which coset does  $h_1$  belong to? By the application of the same claim to  $h_1$  and the pair of groups  $(G_1, G_2)$  we get a unique  $h_2$  such that  $h_1 = h_2 u_2$ , where  $u_2$  is the coset in which  $h_1$  belongs. Hence  $g$  can be expressed as  $h_2 u_2 u_1$ .

Continuing in this way, as we go down the tower of subgroups while fixing the coset representations, in each stage we get a unique  $h_i$  and  $u_i$  whose product gives  $h_{i-1}$  and we end up with a unique representation for the element  $g$ . Note that this representation is unique since at each stage the  $h_i$  were found in a unique way, and the coset representatives are fixed.  $\square$

Note that this also gives us a way to solve  $\#\mathcal{GA}$ . If we can count the number of coset representatives at each level (which is  $\ell_i$  by our notation), then  $\prod_i \ell_i = |G|$ .

### 7.2.3 Finding a generating set for $Aut(X)$

To find a generating set for  $Aut(X)$  it suffices to find a tower of sub-groups of  $Aut(X)$  and the coset representatives at each level.

Applying Theorem 7.4 for  $Aut(X)$ , we can represent each element of  $Aut(X)$  uniquely as a product (which in our case is composition operation) of a sequence of coset representatives once we define a suitable tower of subgroups for  $Aut(X)$  and compute the coset representatives efficiently. Hence it suffices to output these representatives to obtain a generating set.

We define the tower of subgroups in such a way that computing the coset representatives becomes efficient (using  $\mathcal{GI}$ ). Denote  $G^{(0)}$  as  $Aut(X)$ . The subgroups are defined as, for  $0 \leq i \leq n-1$ ,

$$G^{(i+1)} := \{g \in G^{(i)} \mid i^g = i\}$$

That is,  $G^{(i)}$  is the sub-group of automorphisms which maps all the nodes of the graph in the range  $\{1, \dots, i\}$  to themselves.

We can check that  $G^{(i)}$  is indeed a group since the composition of two permutations which maps all the nodes of the graph in the range  $\{1, \dots, i\}$  to themselves also does the same. Moreover, the identity permutation is the identity for this sub-group too, and the inverse permutation is defined in the standard way and satisfy the property of inverse element of a group. Hence, this is indeed a subgroup. By the definition of  $G^{(i)}$  it can be verified that the subgroups defined indeed forms a tower of subgroups of  $Aut(X)$ .

Now the task is reduced to finding the coset representatives of  $G^{(i+1)}$  in  $G^{(i)}$ .

**Claim 7.5.** *Let the number of cosets generated by  $G^{(i+1)}$  in  $G^{(i)}$  be  $\ell_i$ . Then*

$$\ell_i \leq n - i$$

*Proof.* Consider  $g \in G^{(i)}$ . Note that  $g$  maps the element  $i + 1$  to an element in the range  $\{i + 1, \dots, n\}$ . This is because the other elements are already fixed. Let  $k = (i + 1)^g$  be the element to which  $i + 1$  is mapped and  $r$  be the element such that  $r^g = i + 1$ . Then consider the permutation  $g'$  which retains other mappings from  $g$  but changes the above two mappings to  $(i + 1)^{g'} = i + 1$  and  $r^{g'} = k$ . Note that  $g'$  is also an automorphism since  $r$  being mapped to  $i + 1$  implies that if  $r$  is mapped to the image of  $i$  the automorphism would still be preserved (adjacency and non-adjacency is preserved). Also note that  $g'$  maps  $\{1, \dots, i + 1\}$  and hence is in  $G^{i+1}$ . This means that whatever is the number of automorphisms in  $G^i$  cannot exceed the number of automorphisms in  $G^{i+1}$  multiplied by  $n - i$  since the  $i + 1$  can potentially map to only  $n - i$  elements.  $\square$

This claim shows that at each level the number of representatives that we need to output is at most  $n - i$  and hence the size of generating set collected over all levels is at most  $\sum_{i=1}^n (n - i) = O(n^2)$ . The algorithm for finding the coset representatives is the following. We explain how to get the representatives for a level  $i$ .

Look for automorphisms in  $G^{(i)}$  which map all of  $\{1, \dots, i\}$  to themselves and map  $i + 1$  to each element in the set  $\{i + 1, \dots, n\}$ , one by one and ask the question: is there an automorphism which preserves these mappings. This gives us the cosets since the only freedom in the coset representatives is in where  $(i + 1)$  maps to.

We answer this using  $COLOR - GI$  problem. Since we already have a solution to  $GI$ , as demonstrated in the previous lectures, we can use this to also solve  $COLOR - GI$ . Now, this can be done by using  $COLOR - GI$  in the following way.

1. Make two copies of the input graph  $X$  and call it  $X_1$  and  $X_2$ .
2. Colour the vertex  $v_k \in \{v_1, \dots, v_i\}$  by with the color  $k$  for both the graphs  $X_1$  and  $X_2$ .
3. Colour the vertex  $v_{i+1}$  in  $X_1$  and  $X_2$  with the same colour  $i + 1$  and use a new colour  $i + 2$  and colour the rest of the vertices in both the graphs with the colour  $i + 2$ .

In this way, we can cast the problem as a  $COLOR - GI$  and solve it using  $GI$ . Each time we get an answer as yes, we then use the reduction  $COLOR - GI \leq GI$  to find the actual permutation. This permutation is one of the coset representatives and hence in this manner we get each coset representative. Note that for each search for coset representative we make only polynomially many calls to  $GI$  and the number of such representatives is upper bounded by  $n^2$  and hence our reduction is polynomial time and computes a generating set of  $Aut(X)$  in polynomial time, given that we can solve  $GI$  in polynomial time.

Note that this procedure can be modified not only to compute an automorphism, but can also be used to compute  $|Aut(X)|$ . The reason is that the  $\ell_i$  which corresponds to number of coset representatives in  $G^{(i+1)} : G^{(i)}$  can be exactly computed in our setting. Our final task is to obtain a permutation in  $G^{(i)}$  that sends  $i + 1$  to  $\{i + 1, \dots, n\}$ . The set of permutations which satisfy this is nothing but the orbit of  $i + 1$  in  $G^{(i)}$ . Hence by Orbit stabilizer theorem,  $|G^{(i)}| = \left| (i + 1)^{G^{(i)}} \right| \dots |G^{(i+1)}|$ . This gives that  $\left| (i + 1)^{G^{(i)}} \right| = \frac{|G^{(i)}|}{|G^{(i+1)}|} = \ell_i$ . This tells that to obtain  $\ell_i$  it suffices to estimate the size of the orbit of  $i + 1$ .

The generating set that we obtained by fixing a tower of subgroups and coset representatives have many nice properties. We will see more about them in subsequent lectures.

**Definition 7.6** (Strong Generating Set). *A generating set for  $\text{Aut}(X)$  obtained in the manner above making use of coset representatives and tower of sub-groups is known as a strong generating set.*

## Some Group-theoretic problems in Permutation Groups

### 8.1 Recap

In the previous lecture we showed that  $\mathcal{GA} \leq \mathcal{GI}$ . We also defined certain generic group theoretic concepts like *tower of sub-groups*, *coset representatives* and the notion of *strong generating sets*. Also note that the reduction  $\#\mathcal{GA} \leq \mathcal{GI}$  can be done using the set of reductions  $\#\mathcal{GA} \leq \mathcal{GA} \leq \mathcal{GI}$  where the first reduction follows from the fact that the generating set of  $\text{Aut}(X)$  was in fact a strong generating set and hence each element of  $G$  could be obtained uniquely by composing elements of the generating set. This would imply that the size of the automorphism group is  $\prod_i \ell_i$  where  $\ell_i$  is

the number of cosets in the  $i^{\text{th}}$  level of the tower of subgroups of  $G$ .

Our aim is to cast the graph theoretic problems in group theoretic terms and solve the problem using the machinery of group theory. Towards this aim, we abstract the various problems and questions which were encountered while doing the previous reductions and give a set of four related group-theoretic problems.

### 8.2 Some Computational Questions in Group theory

Following are two natural question to ask.

**Problem 8.1** (Problem 1 (Order Computation)). *Given a group  $G$  via its generating set, can we compute the order of the group, that is, the number of elements in the group.*

**Problem 8.2** (Problem 2 (Membership Testing)). *Given an element  $g \in G$  and an  $H \leq G$  expressed via a generating set  $S$  (i.e.  $\langle S \rangle = H$ ), test if  $g \in H$  or not.*

Note that problem 2 can be solved using problem 1. This is because we could just add  $g$  to the generating set  $S$  of  $H$  and use problem 1 to obtain the sizes of the groups generated by  $S$  and  $S \cup \{g\}$ . If the sizes are unequal we conclude that  $g$  was not in  $H$ . This is because if  $g$  were in  $H$ , then the generating set  $S$  could have generated  $g$  as well and adding it to  $S$  could not have resulted in any new elements.

In last lecture, we also wanted to count the number of permutations in  $G^{(i)}$  which first  $i$  elements identically and maps the  $i + 1^{\text{th}}$  element to any of the  $n - i$  elements. We saw that this is actually a question of obtaining the size of orbit. This motivates the following question.

**Problem 8.3** (Problem 3 (Orbit Computation)). *Given a group  $G \leq S_n$  (via its generating set  $S$ ), compute the orbits of the action of  $G$  on  $[n]$ .*

So far we were interested in groups which are subgroups of  $S_n$ . But how do we answer the same questions for general abstract groups? We show a different way of viewing an abstract group can be looked at as a subgroup of a permutation group.

**Claim 8.4.** *An group  $G$  acts on itself.*

*Proof.* Consider an element  $g \in G$ . Consider any arbitrary ordering of the elements in  $G$ . Then the multiplication of  $G$  by  $g$ ,  $G.g$  sends the elements of  $G$  to a permutation of themselves<sup>4</sup>. Hence, with each element  $g \in G$ , we can associate a permutation of the elements of  $G$  in the following way: if  $G = \{g_1, g_2, \dots, g_k\}$  then for a  $g_i \in G$ , corresponding  $\sigma_i \in S_k$  is defined as the one which satisfy  $(g_i g_1, g_i g_2, \dots, g_i g_n) = (g_{\sigma_i(1)}, g_{\sigma_i(2)}, \dots, g_{\sigma_i(k)})$

Collect all the permutations associated with the group elements and call it  $H$ . Note that the resulting set of permutations is forms a group since multiplication in original group translates to composition in the new group<sup>5</sup> and the identity element in the original group is associated with the identity permutation and so on.

Hence if the order of the group is  $k$ , then the resulting group of permutations is a sub-group of  $S_k$ . This defines the notion of a group acting on itself.  $\square$

**Note 8.5.** *Hence from now on, we will assume that  $G$  acts on an arbitrary set  $\Omega$  which we can think  $[n]$ .*

There is another motivation for studying this problem. We know, due to the orbit stabilizer lemma that for any  $\alpha \in G$ ,

$$|\alpha^G| \cdot |G_\alpha| = |G|$$

This gives us a recursive strategy to solve problem 1 (Order computation). Suppose we can estimate  $|\alpha^G|$  which is the size of the orbit, then to compute  $|G|$ , we are left with the smaller recursive subproblem of estimating the size of  $|G_\alpha|$ .

## 8.3 Set Stabilizer Problem

We know that  $Aut(X)$  acts on  $[n]$ . This can also be visualized as  $Aut(X)$  acting on the set of edges  $E(X)$ . This is because the automorphisms map edges to edges and non-edges to non-edges. Hence, any edge of the graph gets mapped to another edge. Hence we can think of  $Aut(X)$  as acting on the set of edges.

Extending this idea, we can also think of  $S_n$  which is a super-group of  $Aut(X)$  as acting on the set of all potential edges given by  $K = \{\{i, j\} | i, j \in [n] \text{ and } i \leq j\}$ . Note that both these

<sup>4</sup>The reason is that the resulting operation acts bijectively on the elements. That is if  $g_1 \neq g_2$  then  $gg_1 \neq gg_2$ . Also for any  $h = g_i x$ , there is a unique solution  $x = hg_i^{-1}$  in  $G$

<sup>5</sup> The statement amounts to showing that for any  $g_1, g_2 \in G$  with  $\sigma_1, \sigma_2 \in H$  as the corresponding elements defined by the map,  $g_1 g_2 \in G \iff \sigma_1 \circ \sigma_2 \in H$ . This is because,

$$\begin{aligned} g_1 g_2 (g_1, g_2, \dots, g_k) &= (g_1 g_{\sigma_2(1)}, g_1 g_{\sigma_2(2)}, \dots, g_1 g_{\sigma_2(k)}) \\ &= (g_{\sigma_1(\sigma_2(1))}, g_{\sigma_1(\sigma_2(2))}, \dots, g_{\sigma_1(\sigma_2(k))}) \end{aligned}$$



actions are different in the following sense : permutations in  $S_n$  need not map edges to edges and non-edges to non-edges and hence edge set is not preserved in the action, while permutations in  $Aut(X)$  even though maps different vertices differently, preserves the edge set. In other words, the action of  $Aut(X)$  on the set  $E(X)$  does not changes  $E(X)$  or it *fixes*  $E(X)$ . This leads us to the fourth problem called the *Set Stabilizer problem*

**Definition 8.6** (Set Stabilizer of  $\Sigma$ ). *Given a  $G$  and a subset  $\Sigma \subseteq \Omega$ ,*

$$\text{SETSTAB}(\Sigma) = \{g \in G \mid \Sigma^g = \Sigma\}$$

where  $\Sigma^g = \{\alpha^g \mid \alpha \in \Sigma\}$

Given a group  $G \leq S_n$  which acts on a set  $\Omega$ , we define the Set Stabilizer of  $\Sigma \subseteq \Omega$  as the set of all permutations in  $G$  which map elements of  $\Sigma$  to elements of  $\Sigma$  and all non elements of  $\Sigma$  to non-elements of  $\Sigma$ . Note that  $\text{SETSTAB}(\Sigma)$  is a sub-group of  $G$ . In the case of automorphism groups, the mapping is  $G = S_n$ ,  $\Omega = K$ ,  $\Sigma = E(X)$  and  $\text{SETSTAB}(\Sigma) = \text{Aut}(X)$ .

Having set up all the notation, let us state the computational question we seek to answer.

**Problem 8.7** (Problem 4 (Set Stabilizer Problem)). *Given a group  $G$  via its generating set  $S$ , a set  $\Omega$  on which it acts and a subset  $\Sigma \subseteq \Omega$ , output a generating set for the group  $\text{SETSTAB}(\Sigma)$ .*

By our previous discussion on  $Aut(X)$  fixing the edges of  $X$ , we can conclude that  $Aut(X)$  is the stabilizer of  $E(X)$ . Hence the problem  $\mathcal{GA}$  reduces to the problem  $\text{SETSTAB}$ .

## 8.4 Solution to Problem 3 (Orbit Computation)

For completeness's sake, let us restate the question.

**Orbit Computation** : Given a group  $G \leq S_n$  (via its generating set  $S$ ), compute the orbits of the action of  $G$  on  $[n]$ .

We can see that the orbits of the action of  $G$  on  $[n]$  partition the set into different subsets. Hence, we would want to compute the partitions. We cast this problem as a graph theoretic problem.

Let  $\Omega = [n]$ . We construct a directed graph  $X$  with  $V(X) = \Omega$  and  $E(X) = \{(\alpha, \beta) \mid \exists g \in G, \beta = \alpha^g\} \subseteq V(X) \times V(X)$ . We can have the edge  $(\alpha, \beta) \in E(X)$  labelled by  $g \in G$  if  $\alpha^g = \beta$ . To check if two elements  $\alpha, \beta$  lie in the same partition, it suffices to check if there is a directed path from  $\alpha$  to  $\beta$  (or from  $\beta$  to  $\alpha$ ). Hence the connected components of the graph corresponds to the orbits. In the next lecture, we will give another algorithm for solving this problem.

## Set Stabilizers and Point Stabilisers

### 9.1 Recap and Exercise

Using the same notation as in the previous section of the notes without re-defining

In the previous class, we were looking at ways to solve  $\text{AUT}(\mathcal{X})$ .

We looked at 4 different problems in this context.

Consider *Problem 3* of finding the orbit. We are interested in computing the size of the orbit of  $\alpha \in G$ .

#### 9.1.1 Algorithm 1 to compute orbit

The following is an algorithm to calculate the orbit of  $\alpha$

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#### Algorithm 1 Algorithm for Orbit

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```

1: procedure UPDATE ORBIT
2:    $\Delta = \{\alpha\}$ 
3:   repeat
4:      $\Delta^1 = \Delta$ 
5:     for  $g \in S$  and  $\delta \in \Delta$  do
6:        $\Delta = \Delta^1 \cup \{\delta^g\}$ 
7:   until  $\Delta^1 \neq \Delta$ 

```

---

It is left as an exercise to the reader to do the following :

1. Prove that Algorithm 1 eventually terminates
2. Prove that Algorithm 1 terminates with  $\Delta = \text{Orbit}(\alpha)$
3. Calculate the running time of Algorithm 1

### 9.1.2 Algorithm 2 to compute orbit

The problem can be solved by a graph-theoretic approach.

Consider the following definition of a directed graph  $X$ .  $V(X)$ , the vertex set of the graph  $G$  is equal to the set  $\Omega$ .  $E(X) = \{(\alpha, \beta) \mid \exists g \in S \text{ such that } \alpha^g = \beta\}$

*Key Observation:* If there is a path in  $X$  from  $\alpha$  to  $\gamma$  then  $\gamma$  is in the orbit of  $\alpha$ . Finding the orbit of  $\alpha$  is equivalent to computing the reflexive transitive closure of the graph  $X$ .

It is left as an exercise to the reader to complete the details of the above algorithm including rigorous proof of correctness.

## 9.2 Set Stabilisers Problem

### 9.2.1 Problem Definition

Given group  $G \leq S_n$  and a set  $\Sigma \subseteq \Omega$ , where  $G$  acts on  $\Omega$  and  $|\Omega| = n$ , we are interested in computing the generating set of the following group :

$$\mathcal{SETSTAB}(\Sigma) = \{g \in G \mid \Sigma^g = \Sigma\} \text{ where} \quad (9.4)$$

$$\Sigma^g = \{\alpha^g \mid \alpha \in \Sigma\} \quad (9.5)$$

*It was argued previously that  $\mathcal{SETSTAB}(\Sigma)$  is a group*

Solving the set stabiliser problem gives an algorithm for obtaining  $\mathcal{AUT}(X)$ . But before looking at that, we first consider a variant of the above problem called **Point Stabiliser Problem**

## 9.3 Point Stabiliser Problem

$$\mathcal{POINTSTAB}(\Sigma) = \{g \in G \mid \forall \alpha \in \Sigma, \alpha^g = \alpha\} \quad (9.6)$$

$\mathcal{POINTSTAB}$  is *easier* to solve than  $\mathcal{SETSTAB}$ . The POINTSTABILISER problem is to obtain a generating set for the group  $\mathcal{POINTSTAB}(\Sigma)$  (It is left as an exercise to prove that  $\mathcal{POINTSTAB}(\Sigma)$  is indeed a group - similar to  $\mathcal{SETSTAB}(\Sigma)$ )

### 9.3.1 Point Stabilisers in Earlier Context

In the reduction from  $\mathcal{GA}$  to  $\mathcal{GI}$ , we defined a tower of sub-groups where

$$G^{(i)} = \{g \in G \mid \forall 1 \leq j \leq i, j^g = j\} \quad (9.7)$$

Observe that each tower here is a point-wise stabiliser of  $\{1, 2, \dots, i\}$ . For each  $G^{(i)}$ , we have  $\Omega = \{1, 2, \dots, n\}$  and  $\Sigma = \{1, 2, \dots, i\}$

### 9.3.2 Problem Definition

We want to solve POINTSTABILISER problem, and we have seen above that it's equivalent to obtaining the generating set of the sub group  $G^{(i)}$

**Input :** A set of right coset representatives (denoted by  $R$ ) of a sub group  $H$  in  $G$ .

**Output :** Output a generating set of  $H$

### 9.3.3 Schreier's Lemma

Let  $S$  be the generating set of  $G$  and  $R$  be the set of coset representatives of  $H$ .

$$S' = \{r_1 g r_2^{-1} \mid r_1, r_2 \in R, g \in S\} \quad (9.8)$$

$$S' \cap H \text{ forms a generating set for } H \quad (9.9)$$

*Proof.*

**Remark 9.1.** Note that  $R$  contains the identity.

**Proposition 9.2.**  $\exists k \geq 0, G \subseteq \bigcup_{t=1}^k RS^t$

Consider the set  $RS$

$$RS = \{rs \mid r \in R, s \in S\} \quad (9.10)$$

**Observation 9.3.**

$$RS \subseteq S'R \quad (9.11)$$

*Proof.* Each element in  $RS$  can be written as  $(rsr_1^{-1})r_1$  □

Continuing the proof of Schreier's lemma,

Let  $\langle S' \rangle$  denotes the group generated by  $S'$

$S'R \subseteq \langle S' \rangle R$  (by definition)

From Observation 9.3, we have

$$RS \subseteq \langle S' \rangle R$$

Therefore,

$$RSS \subseteq \langle S' \rangle RS$$

From Observation 9.3 again, we have

$$RSS \subseteq \langle S' \rangle R$$

Repeating this process inductively, we have

$$\forall t \geq 0, RS^t \subseteq \langle S' \rangle R$$

**Observation 9.4.**  $G \subseteq \bigcup_{i=1}^k RS^i$

*Proof.*  $G$  is generated by  $S$ .

Hence  $\exists k$  such that

$$G \subseteq \bigcup_{i=1}^k S^i$$

In the future sections, we discuss bounds on the value of  $k$

From Remark 7.1, we have

$$G \subseteq \bigcup_{i=1}^k RS^i$$

□

**Proposition 9.5.**  $H \subseteq \langle S' \rangle$

*Proof.* From Observation 9.3 and Observation 9.4

$$G \subseteq \langle S' \rangle R$$

If  $\langle S' \rangle$  does not contain  $H$ ,  $\langle S' \rangle R$  cannot cover  $G$

Hence proved by contradiction

□

Therefore,  $S' \cap H$  generates  $H$ .

□

## Point stabiliser algorithms continued

### 10.1 Bounds on length of generating sequence

In this section, we take a small detour to understand the length of the generating sequence of element  $k$  of any  $g \in G$  given the generating set  $S$  of  $G$ .

**Proposition 10.1.**  $k \leq |G|$

*Proof.* We prove the statement by contradiction.

Let the smallest length of the generating sequence of some element  $g_1 \in G$  be  $|G| + m$ ,  $m > 0$ .

$$g_1 = \prod_{i=1}^{|G|+m} a_i \quad (10.12)$$

Consider partial products of the sequence above :

$$S_l = \prod_{i=1}^l a_i \quad (10.13)$$

There are  $|G| + m$  partial products. There are only  $G$  possible values for  $S_i$ . By Pigeon Hole Principle, we have the following :

$$\exists l_1, l_2 \quad l_1 < l_2 \quad S_{l_1} = S_{l_2} \quad (10.14)$$

This means, we can remove the elements of the generating sequence between  $l_1$  and  $l_2$ , which will generate the same element  $g_1$  (From Equation 10.14)

Hence we get a shorter generating sequence for  $g_1$  which contradicts the original claim. Therefore, by contradiction, we get that  $k \leq |G|$   $\square$

A natural follow question is whether this bound is tight.

**Proposition 10.2.** *There are groups  $G$  with generating sets  $S$  where there are elements in the group which have generating sequences as large as  $|G|$*

*Proof.* To claim the above, we give an example of  $G$ ,  $S$  and  $g \in G$  such that  $g$  has a generating sequence of length  $O(|G|)$

$$G \subseteq S_n$$

Let  $p_1, p_2, \dots, p_m$  be the first  $m$  distinct prime numbers such that  $n = p_1 p_2 \dots p_m$

Consider  $a \in S_n$

$$a = (1, 2, \dots, p_1)(p_1 + 1, p_1 + 2, \dots, p_1 + p_2) \dots (\dots) \quad (10.15)$$

$a$  is the product of disjoint cycles of length  $p_i$ .

Let  $G$  be the group generated by  $\{a\}$ .

Clearly, if  $a^M = \text{id}$ , we can say  $M = \prod_{i=1}^n p_i$

The element  $a^{M-1}$  cannot have a shorter representation than as the product of  $a$   $M - 1$  times. From prime number theorem (some rearrangement of the statement),

$$m \geq \Omega\left(\frac{p_m}{\log p_m}\right) \quad (10.16)$$

$$n = \sum_{i=1}^m p_i \leq 1 + 2 + 3 \dots + p_m \leq p_m^2 \quad (10.17)$$

$$p_m \geq \sqrt{n} \quad (10.18)$$

Hence,

$$m \geq \Omega\left(\frac{\sqrt{n}}{\log \sqrt{n}}\right) \quad (10.19)$$

$$n \geq 2^m - 1 \text{ (Primes after 2 are greater than 2)} \quad (10.20)$$

$$n \geq 2^{\frac{c\sqrt{n}}{\log n}} - 1 \quad (10.21)$$

$$(10.22)$$

Clearly in this example, we have an element that cannot be expressed as an explicit product of polynomial length.

**Remark 10.3.** *The above does not rule out the existence of a polynomial length expression or computation for the element.*

*For example,  $a^{M-1}$  can be expressed in terms of  $a$  through repeated squaring.*

□

We now return to the algorithm to compute the generators of a sub group. Using Schreir's lemma, we concluded that it's sufficient to compute the set  $S' \cap H$ .

Before getting into the algorithm for the same, let's first look at the size of the set that is generated.

By the definition of the set  $S'$ , we can only say

$$|S'| \leq |S||R|^2 \quad (10.23)$$

If we have to implement this recursively, we see that each level we are incurring a  $|R|^2$  term. Hence

$$|S'| \leq |S|l_1^2 \cdot l_2^2 \dots \quad (10.24)$$

We need to carefully control the size of the generating set  $S'$  at each level.

### 10.1.1 REDUCE algorithm

Consider  $\pi, \psi \in S'$  such that

$$1^\pi = 1^\psi = k$$

**Proposal :** Replace  $\{\pi, \psi\}$  with  $\{\pi, \pi^{-1}\psi\}$ .

We can observe the following :

1. We can still obtain all the elements because  $a^\psi$  can be obtained by  $(a^\pi)^{\pi^{-1}\psi}$   
Observe that we also do not add any new elements in the process as well. Hence by this change, the sub group that is generated remains the same.
2. Doing the above process of replacement for every pair of elements that map 1 to the same element, we end up with elements that all map 1 to different elements (except those that fix 1).
3.  $\pi^{-1}\psi$  fixes 1. Hence all the newly added elements fix 1
4. We can repeat the same procedure for  $2, 3, \dots n$

**Claim 10.4.** *At the end of the above procedure, for every pair  $(i, j)$ , we have exactly one  $\pi$  such that  $i^\pi = j$ .*

The proof of the above claim is left as an exercise to the reader.

**Corollary 10.5.** *We have a bound on the size of  $S'$*

$$|S'| \leq n^2 \tag{10.25}$$