



# HNDIT 2312- Principles of Software Engineering

Week 2: Software Process



# Topics covered

- Software process
- Process activities
- Process flow
- Software process models



# The software process

- A structured set of activities required to develop a software system.
- Many different software processes but all involve:
  - Specification – defining what the system should do;
  - Design and implementation – defining the organization of the system and implementing the system;
  - Validation – checking that it does what the customer wants;
  - Evolution – changing the system in response to changing customer needs.



# Plan-driven and agile processes

- Plan-driven processes are processes where all of the process activities are planned in advance and progress is measured against this plan.
- In agile processes, planning is incremental and it is easier to change the process to reflect changing customer requirements.
- In practice, most practical processes include elements of both plan-driven and agile approaches.

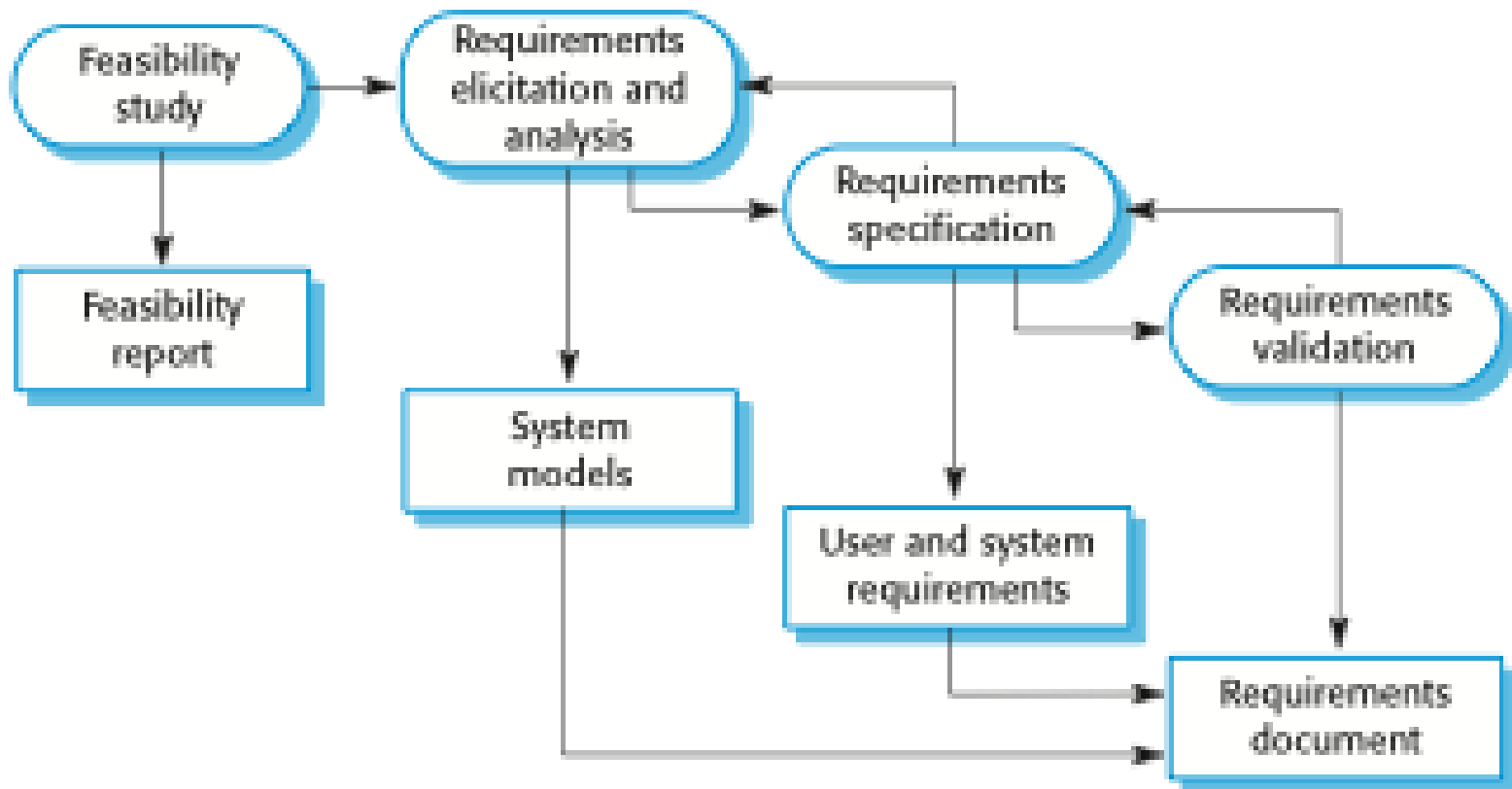


# Software specification

- The process of establishing what services are required and the constraints on the system's operation and development.
- Requirements engineering process
  - Feasibility study
    - Is it technically and financially feasible to build the system?
  - Requirements elicitation and analysis
    - What do the system stakeholders require or expect from the system?
  - Requirements specification
    - Defining the requirements in detail
  - Requirements validation
    - Checking the validity of the requirements



# The requirements engineering process



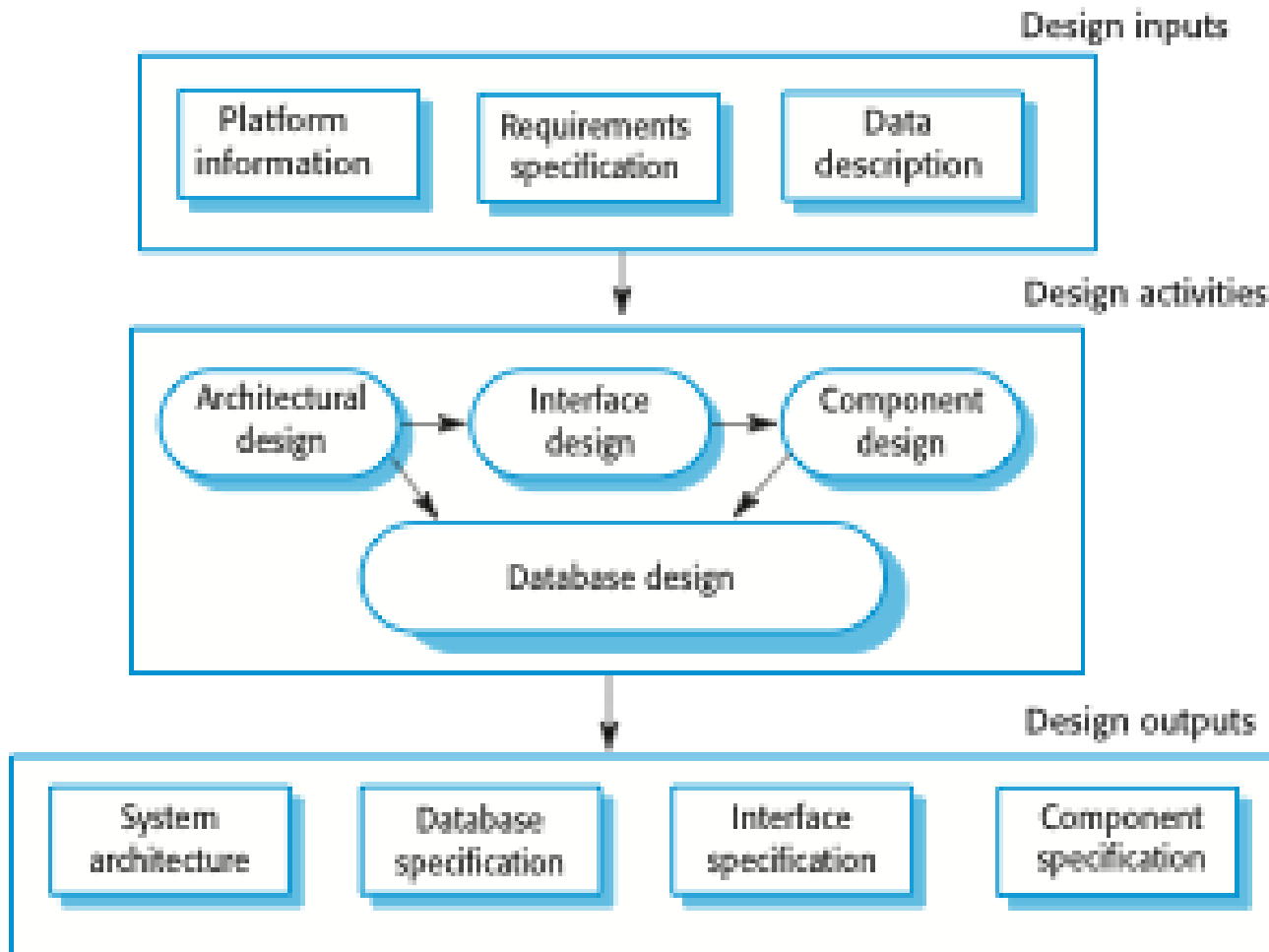


# Software design and implementation

- The process of converting the system specification into an executable system.
- Software design
  - Design a software structure that realises the specification;
- Implementation
  - Translate this structure into an executable program;
- The activities of design and implementation are closely related and may be inter-leaved.



# A general model of the design process





# Design activities

- *Architectural design*, where you identify the overall structure of the system, the principal components (sometimes called sub-systems or modules), their relationships and how they are distributed.
- *Interface design*, where you define the interfaces between system components.
- *Component design*, where you take each system component and design how it will operate.
- *Database design*, where you design the system data structures and how these are to be represented in a database.

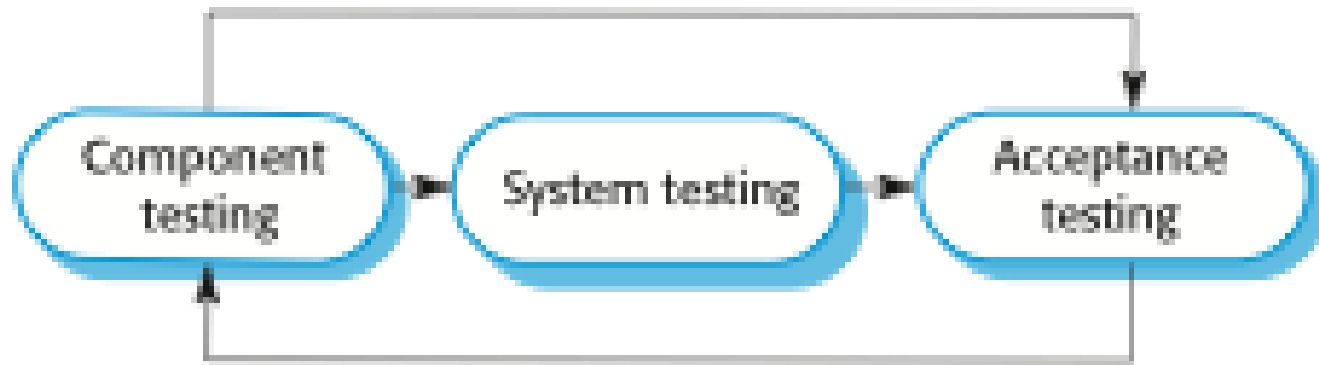


# Software validation

- Validation is intended to show that a system conforms to its specification and meets the requirements of the system customer.
- Involves checking and review processes and system testing.
- System testing involves executing the system with test cases that are derived from the specification of the real data to be processed by the system.



# Stages of testing



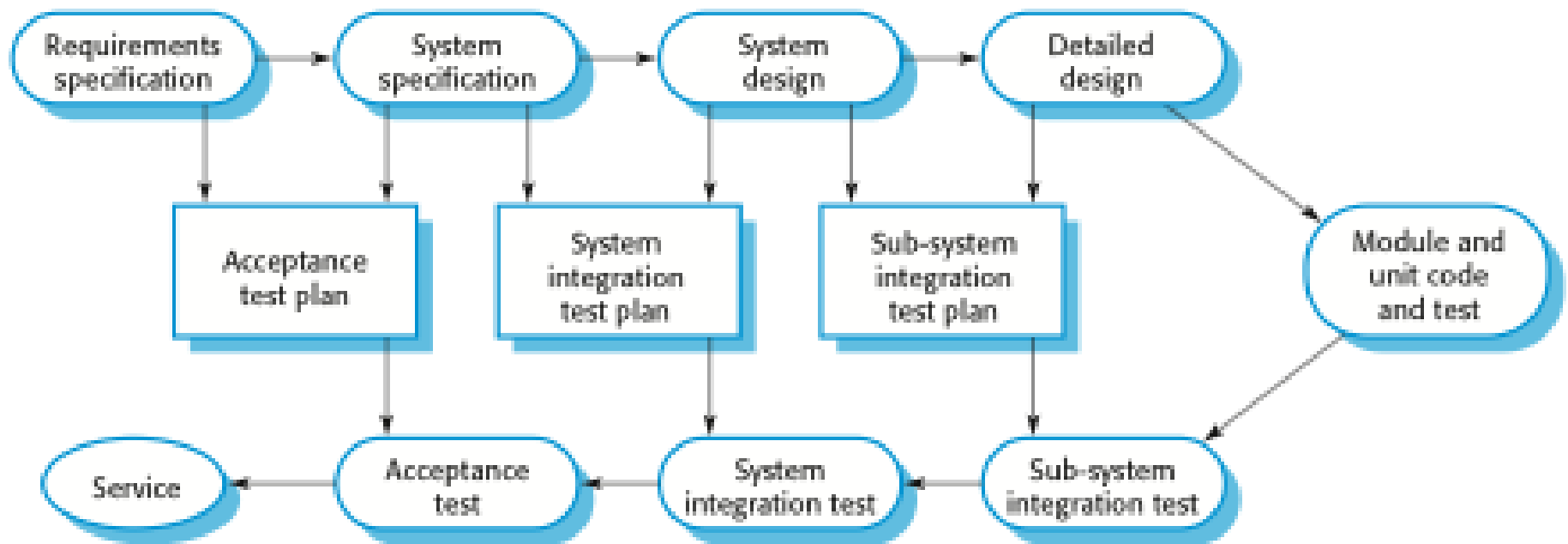


# Testing stages

- Development or component testing
  - Individual components are tested independently;
  - Components may be functions or objects or coherent groupings of these entities.
- System testing
  - Testing of the system as a whole. Testing of emergent properties is particularly important.
- Acceptance testing
  - Testing with customer data to check that the system meets the customer's needs.



# Testing phases in a plan-driven software process



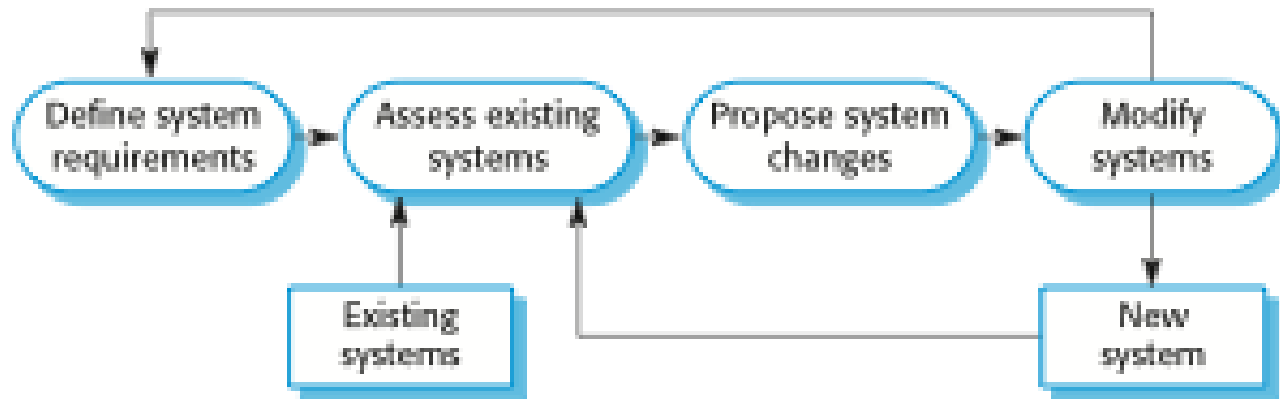


# Software evolution

- Software is inherently flexible and can change.
- As requirements change through changing business circumstances, the software that supports the business must also evolve and change.
- Although there has been a demarcation between development and evolution (maintenance) this is increasingly irrelevant as fewer and fewer systems are completely new.



# System evolution

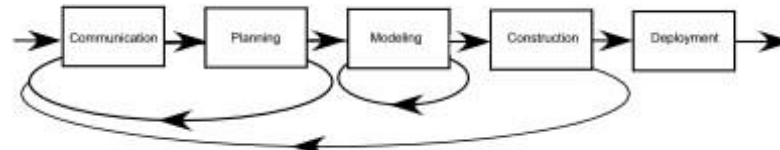




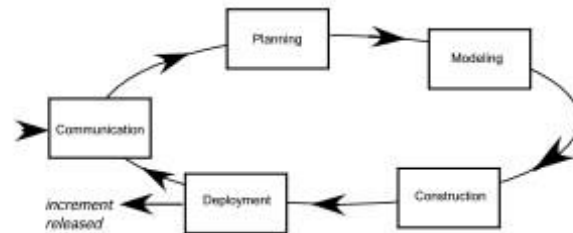
# Types of Process Flow



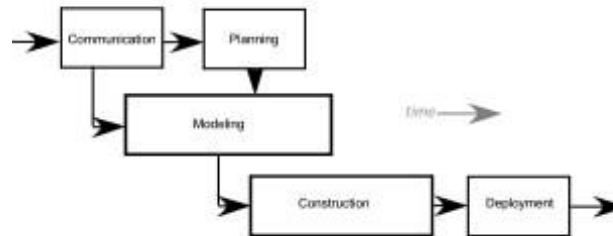
(a) linear process flow



(b) iterative process flow



(c) evolutionary process flow



(d) parallel process flow



# Software process models

- The waterfall model
  - Plan-driven model. Separate and distinct phases of specification and development.
- Incremental development(Evolutionary)
  - Specification, development and validation are interleaved. May be plan-driven or agile.
- Reuse-oriented software engineering
  - The system is assembled from existing components. May be plan-driven or agile

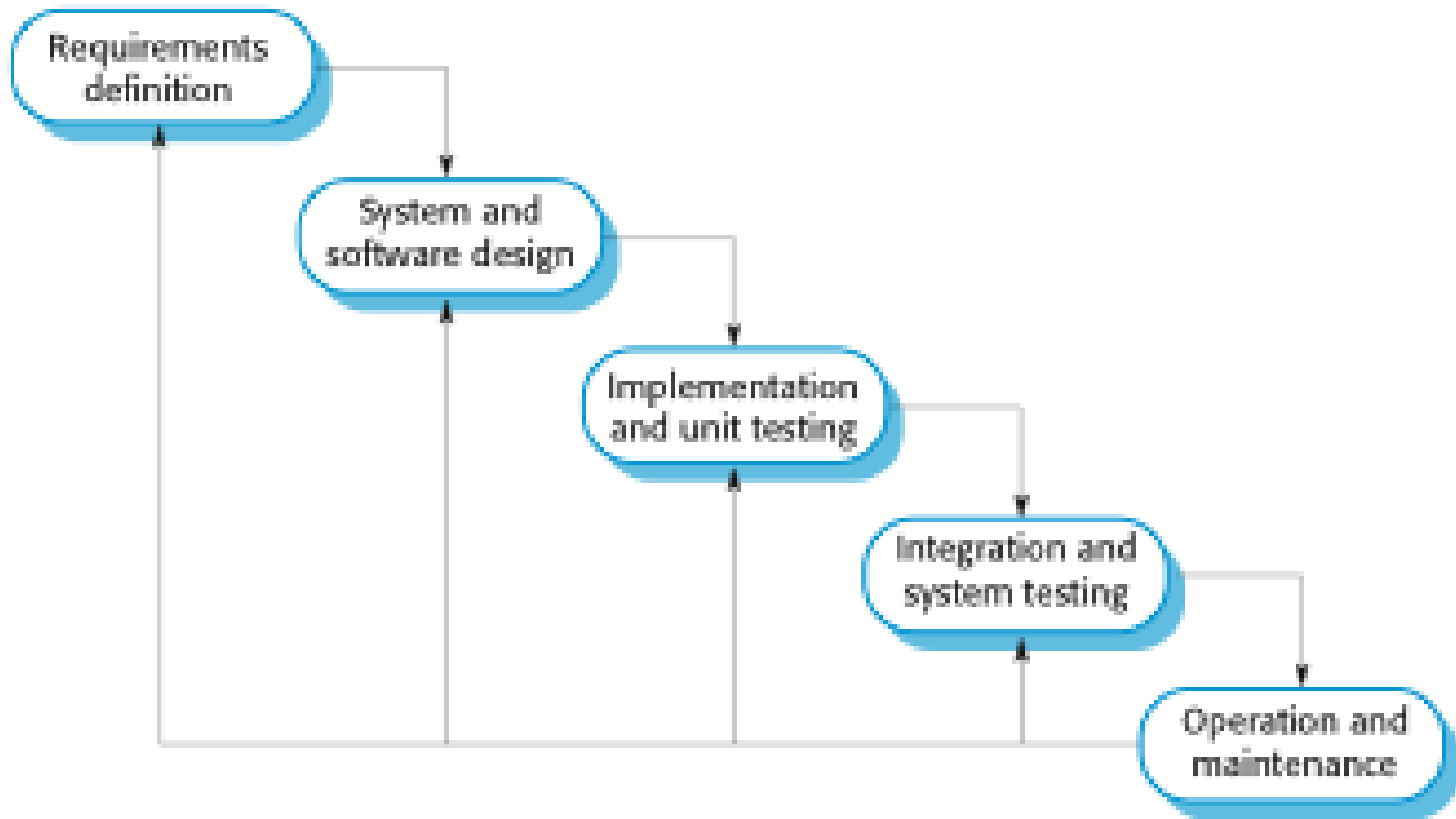


# The waterfall model

- There are separate identified phases in the waterfall model:
  - Requirements analysis and definition
  - System and software design
  - Implementation and unit testing
  - Integration and system testing
  - Operation and maintenance



# The waterfall model



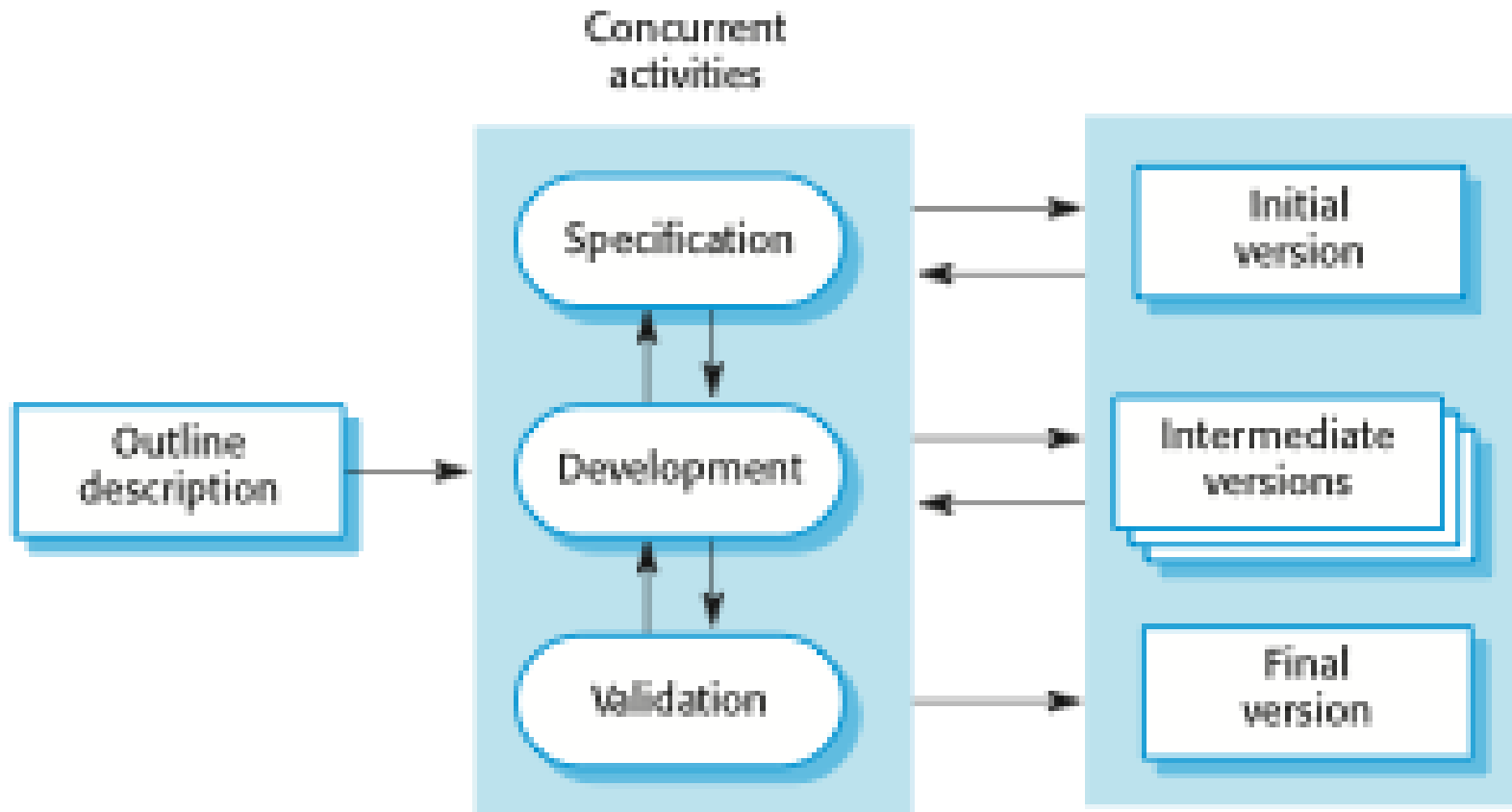


# The waterfall model

- The main drawback of the waterfall model is the difficulty of accommodating change after the process is underway.
- Inflexible partitioning of the project into distinct stages makes it difficult to respond to changing customer requirements.
  - Therefore, this model is only appropriate when the requirements are well-understood and changes will be fairly limited during the design process.
  - Few business systems have stable requirements.



# Incremental development(Evolutionary)



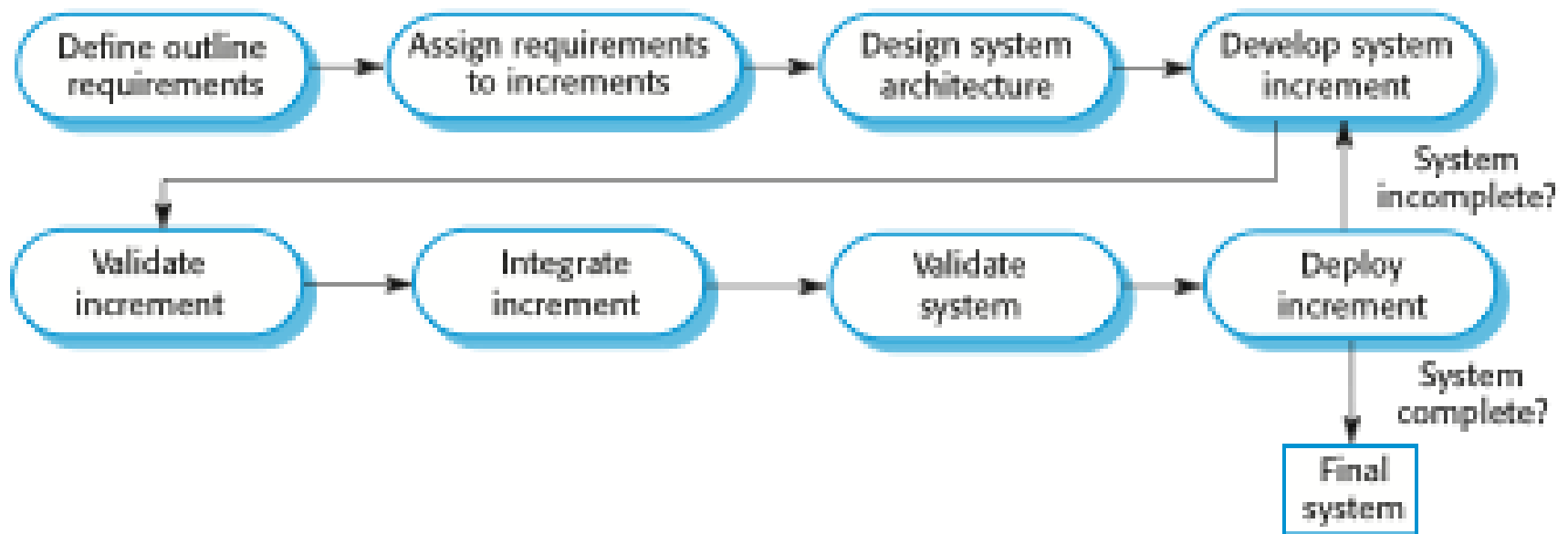


# Incremental delivery

- Rather than deliver the system as a single delivery, the development and delivery is broken down into increments with each increment delivering part of the required functionality.
- User requirements are prioritised and the highest priority requirements are included in early increments.
- Once the development of an increment is started, the requirements are frozen though requirements for later increments can continue to evolve.



# Incremental delivery





# Incremental delivery advantages

- Customer value can be delivered with each increment so system functionality is available earlier.
- Early increments act as a prototype to help elicit requirements for later increments.
- Lower risk of overall project failure.
- The highest priority system services tend to receive the most testing.



# Incremental delivery problems

- Most systems require a set of basic facilities that are used by different parts of the system.
  - As requirements are not defined in detail until an increment is to be implemented, it can be hard to identify common facilities that are needed by all increments.
- The essence of iterative processes is that the specification is developed in conjunction with the software.



# Incremental Development Types

- Type 1: Exploratory Development
  - Spiral Model
- Type 2: Throwaway Prototyping
  - Rapid Prototyping

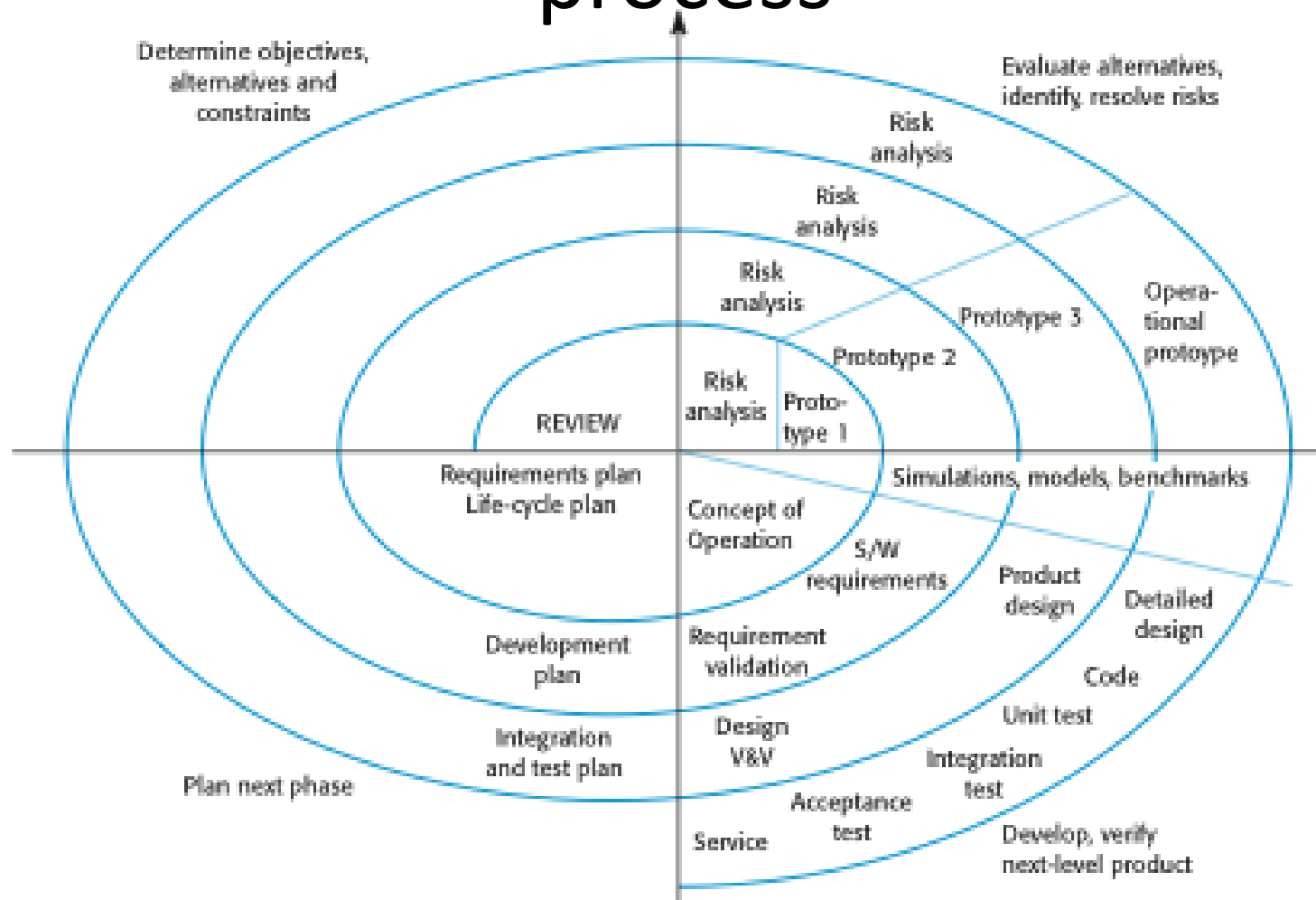


# Boehm's spiral model

- Process is represented as a spiral rather than as a sequence of activities with backtracking.
- Each loop in the spiral represents a phase in the process.
- No fixed phases such as specification or design - loops in the spiral are chosen depending on what is required.
- Risks are explicitly assessed and resolved throughout the process.



# Boehm's spiral model of the software process





# Spiral model sectors

- Objective setting
  - Specific objectives for the phase are identified.
- Risk assessment and reduction
  - Risks are assessed and activities put in place to reduce the key risks.
- Development and validation
  - A development model for the system is chosen which can be any of the generic models.
- Planning
  - The project is reviewed and the next phase of the spiral is planned.



# Spiral model usage

- Spiral model has been very influential in helping people think about iteration in software processes and introducing the risk-driven approach to development.
- In practice, however, the model is rarely used as published for practical software development.



# Software prototyping

- A prototype is an initial version of a system used to demonstrate concepts and try out design options.
- A prototype can be used in:
  - The requirements engineering process to help with requirements elicitation and validation;
  - In design processes to explore options and develop a UI design;
  - In the testing process to run back-to-back tests

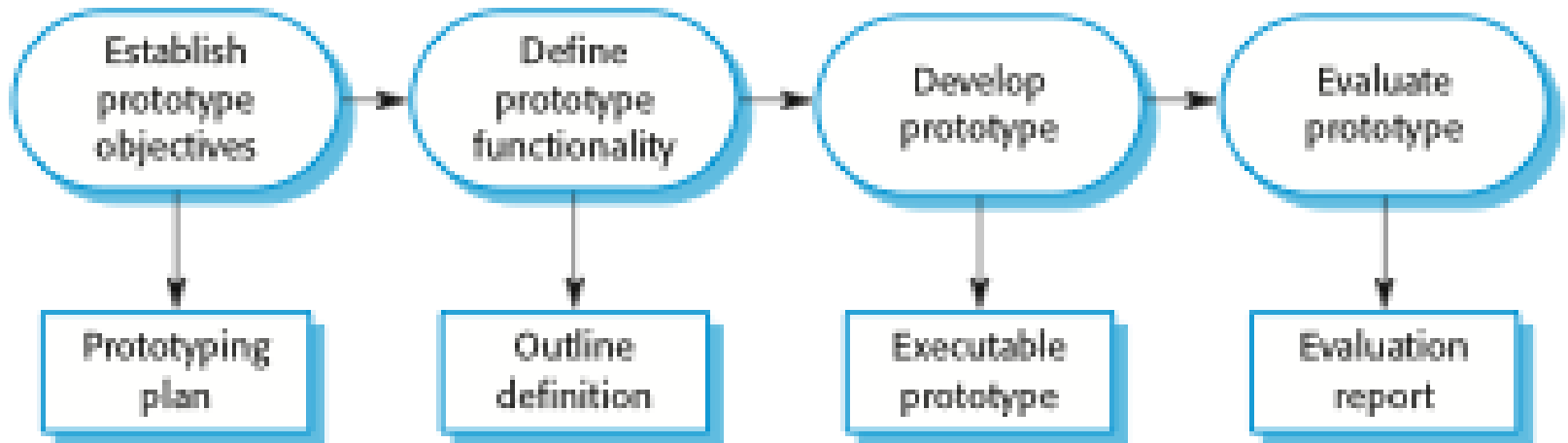


# Benefits of prototyping

- Improved system usability.
- A closer match to users' real needs.
- Improved design quality.
- Improved maintainability.
- Reduced development effort.



# The process of prototype development





# Prototype development

- May be based on rapid prototyping languages or tools
- May involve leaving out functionality
  - Prototype should focus on areas of the product that are not well-understood;
  - Error checking and recovery may not be included in the prototype;
  - Focus on functional rather than non-functional requirements such as reliability and security



# Throw-away prototypes

- Prototypes should be discarded after development as they are not a good basis for a production system:
  - It may be impossible to tune the system to meet non-functional requirements;
  - Prototypes are normally undocumented;
  - The prototype structure is usually degraded through rapid change;
  - The prototype probably will not meet normal organisational quality standards.

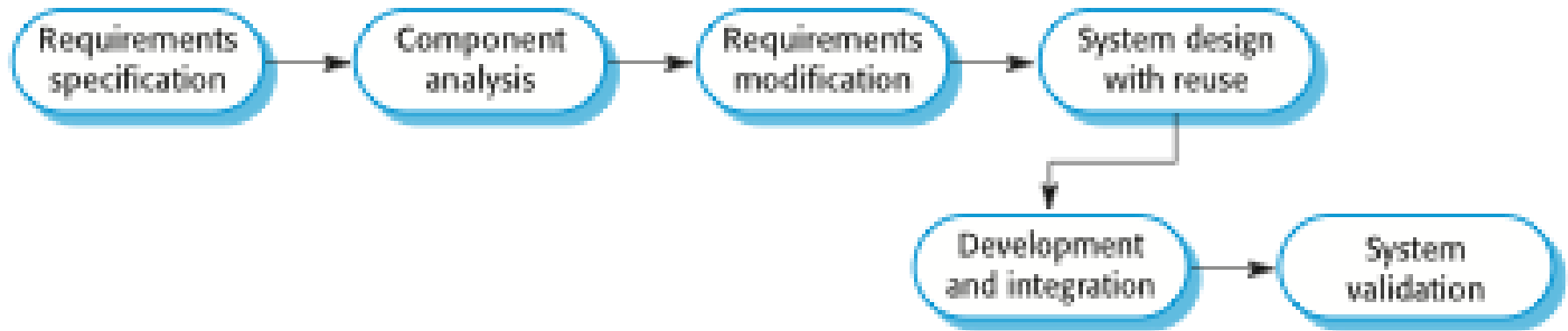


# Reuse-oriented software engineering

- Based on systematic reuse where systems are integrated from existing components or COTS (Commercial-off-the-shelf) systems.
- Process stages
  - Component analysis;
  - Requirements modification;
  - System design with reuse;
  - Development and integration.
- Reuse is now the standard approach for building many types of business system



# Reuse-oriented software engineering





# Types of software component

- Web services that are developed according to service standards and which are available for remote invocation.
- Collections of objects that are developed as a package to be integrated with a component framework such as .NET or J2EE.
- Stand-alone software systems (COTS) that are configured for use in a particular environment



# Key points

- Requirements engineering is the process of developing a software specification.
- Design and implementation processes are concerned with transforming a requirements specification into an executable software system.
- Software validation is the process of checking that the system conforms to its specification and that it meets the real needs of the users of the system.
- Software evolution takes place when you change existing software systems to meet new requirements. The software must evolve to remain useful.



# Key points

- Software processes are the activities involved in producing a software system. Software process models are abstract representations of these processes.
- General process models describe the organization of software processes. Examples of these general models include the 'waterfall' model, incremental development, and reuse-oriented development.