



# Functional Analysis Applied to PDEs (2024-1)

Google Classroom: kmk6w62

Telegram: <https://t.me/+b0M71NAzRMI2MDQx>

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## Homework 5

Instructions: Solve the following exercises, justifying your answers carefully. Upload your written answers in  $\text{\LaTeX}$  using the Google Classroom platform no later than **Monday, October 23**.

Exercises:

1. (5 points) Let  $E, F$  be Banach spaces, let  $A, K \in \mathcal{L}(E, F)$  such that  $K$  is compact, and let  $C \geq 0$  such that  $\|Ax\| \leq C\|Kx\|$  for all  $x \in E$ . Lets prove that  $A$  is compact.

Let  $(x_n)_{n \in \mathbb{N}_0}$  be a bounded sequece on  $E$ . It follows from compactnes of  $K$  that there is a subsequence  $(x_{n_k})_{k \in \mathbb{N}_0}$  of  $(x_n)_{n \in \mathbb{N}_0}$  such that  $(K(x_{n_k}))_{k \in \mathbb{N}_0}$  is convergent, and is therefore a Cauchy sequence. To keep notation simple we suppose  $(K(x_n))_{n \in \mathbb{N}_0}$  is convergent. Observe that

$$\|A(x_n - x_m)\| \leq C \|K(x_n - x_m)\|.$$

Therefore  $(A(x_n))_{n \in \mathbb{N}_0}$  is a Cauchy sequence and therefore converges since  $F$  is a Banach space. Therefore  $A$  is compact.

2. (5 points) Let  $E$  be a Hilbert space and let  $K \in \mathcal{L}(E)$  be compact. Denoting  $A := I - K$ , define  $E_n := \mathcal{N}(A^n)$  for  $n \in \mathbb{N}$ . Lets prove that

$$E_1 \subseteq E_2 \subseteq E_3 \subseteq \dots$$

and that there exists  $n_0$  such that for every  $m \in \mathbb{N}$  it holds that  $E_{n_0} = E_{n_0+m}$ .

First suppose  $x \in E_k$  for some  $k \in \mathbb{N}$ . Then  $A^{n+1}x = A(A^n x) = A(0) = 0$ . Therefore  $E_n \subseteq E_{n+1}$  for all  $n \in \mathbb{N}$ .

Suppose by contradiction that for every  $n \in \mathbb{N}$  there is a  $m \in \mathbb{N}$  such that  $E_n \subsetneq E_{n+m}$ . Therefore we can construct a subsequence

$$E_{n_1} \subsetneq E_{n_2} \subsetneq E_{n_3} \subsetneq \dots$$

Observe that the sets  $E_n = (A^n)^{-1} \{0\}$  are closed. We also have that  $(I - K) E_n \subseteq E_{n-1}$ . Infact if  $y \in (I - K) E_n$  then there is  $x \in E_n$  such that  $(I - K)(x) = y$ . Therefore  $(I - K)^{n-1}(y) = (I - K)^{n-1}(I - K)(x) = (I - K)^n(x) = 0$ . Then  $y \in E_{n-1}$ . We have the hypothesis required in (lemma 7.1) on the notes that allows us to conclude that  $K$  is not compact, a contradiction.