#### **INM427 Neural Computing**

Artur S. d'Avila Garcez
a.garcez@city.ac.uk
http://www.staff.city.ac.uk/~aag/

## **Neural Computing**

- The term "neural network" refers to a family of algorithms inspired by models of the brain.
- Such models are employed in statistics, cognitive psychology and artificial intelligence.
- Some models seek to emulate the central nervous system: computational neuroscience.
- Others (deep networks) have become very popular recently in large-scale data analysis (data science), particularly in image, video, audio and language modelling.

#### Deep networks

Google brain simulator identifies cats on YouTube:

http://www.wired.co.uk/news/archive/2012-06/26/google-brain-recognises-cats

Facebook is working on deep learning neural networks to learn even more about your personal life

http://www.extremetech.com/computing/167179-facebook-is-working-on-deep-learning-neural-networks-to-learn-even-more-about-your-personal-life

Google and Microsoft using recurrent neural nets for speech recognition in mobile phones (LSTMs)

**DARPA's Explainable AI programme:** 

http://www.darpa.mil/program/explainable-artificial-intelligence

Brain-mind dichotomy in AI: numerical models of the brain or logical systems that manipulate symbols?

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#### **Contents**

- What is a Neural Network?
- History of Artificial Neural Networks
- The Perceptron and the Linear SeparabilityProblem (Minsky and Papert)
- The Multilayer Perceptron and the Backpropagation Learning Algorithm
- Network Training and Evaluation in practice (the Problem of Local Minima)
- Support Vector Machines

## Contents (cont.)

- Hebbian Learning, Self-Organising Maps
- Hopfield Networks, Boltzmann Machines
- Restricted Boltzmann Machines, Deep Learning, Contrastive Divergence
- Convolutional and Adversarial Networks, Autoencoders, Recurrent Networks
- Learning Representations, Neural-Symbolic Computing, Explainable AI and Transfer Learning

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#### The Team

Artur d'Avila Garcez, FBCS

Professor of Computer Science

Director of the Research Centre for Machine Learning

http://www.city.ac.uk/machine-learning

Chair, Data Science Institute (launching event: 2<sup>nd</sup> April 2019)

Abi Sowri (TA in Data Science)

Alex Galkin (TA in Data Science)

Simon Odense (PhD student, knowledge extraction from neural nets)

Benedikt Wagner (PhD student, explainable AI)

#### Lab-based Tutorials

Lectures: Fri, 1-3pm BG02

Labs: using Matlab and Matlab's Neural

Net toolkit

Lab 1: 3-4pm, ELG05 (surnames starting: W, S, H, P, L)

Lab 2: 3-4pm, C301 (surnames: J, B, T, G, D)

Lab 3: 4-5pm, C301 (surnames: M, R, C, A, K)

Lab 4: 4-5pm, ELG05 (surnames: E, Y, F, N, Other)

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#### **Assessment**

30% coursework (in groups of two): comparing two methods on a data set of your choice using Matlab

UCI Machine Learning repository:

http://archive.ics.uci.edu/ml/

(more for those interested in Python next week)

70% exam (in April/May)

Example exam and model answers will be provided so you know what to expect

#### Coursework

Coursework submission deadline: Fri, 29 March 2019, 5pm

Submit (all through Moodle): Matlab code and 6-page paper with critical comparison of two methods studied in class (e.g. MLP and SVM) (submit best trained models and test set so that test results can be reproduced)

More about the coursework including marking scheme on Moodle

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### Matlab training

Every Data Science student has free access to Matlab training leading to MathWorks certificates, including:

MATLAB Fundamentals
MATLAB Programming Techniques
MATLAB for Data Processing and Visualisation

You need to be registered to use Matlab first and then request access to the training.

Please raise a service request on <a href="www.city.ac.uk/itservicedesk">www.city.ac.uk/itservicedesk</a> to create a 'Student/Home use software request' for Matlab and ask to be registered on the Matlab online training

Any problems, please contact Paul Roberts P.Roberts-1@city.ac.uk

Check also the matlab primer file on Moodle, which is a quick start guide to Matlab for use in the lab tutorials

#### Schedule

Weeks 1 to 5, 1pm, BG02, Labs 3pm to 5pm Reading week (no lectures or labs) Weeks 7 to 10, 1pm, BG02, Labs 3pm to 5pm

Coursework submission: week 10

Week 11 or 12 Revision

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#### References

- ◆ S. Haykin, Neural Networks: A Comprehensive Foundation, 2<sup>nd</sup> Edition, Prentice Hall, 1999.
- Tricks of the trade:
   https://www.amazon.co.uk/Neural-Networks-Second-Computer-Theoretical/dp/364235288X
- A. d'Avila Garcez, K. Broda and D. Gabbay, Neural-Symbolic Learning Systems, Springer-Verlag, 2002.

#### Resources / further reading

Behavioral and Brain Sciences (BBS), Cambridge University Press

Neural Information Processing Systems (NeurIPS), MIT Press IEEE/INNS International Joint Conference on Neural Networks IJCNN

IEEE Transactions on Neural Networks and Learning Systems Neural Computation, MIT Press

International Conference on Learning Representations ICLR

Matlab primer: www.math.toronto.edu/mpugh/primer.pdf

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## Modus Operandi (1)

Please make every effort to attend lectures and tutorials. Ask questions in class or after the lectures and in the tutorials.

There is a discussion board on Moodle; post questions (and answers) there. The teaching team will monitor the board and respond when appropriate.

Email me only if absolutely necessary, e.g. if it can't wait till the next lecture.

Lecture notes and lab exercises will be made available on Moodle before the lectures. Read the materials before the lectures and labs. The best way to prepare for the exam is to engage during term and keep up with the material!

#### Modus Operandi (2)

Sample solutions of the exercises will be made available only later...

Discuss your coursework choices after the class and at the tutorials with the teaching team.

Show me your progress on the coursework and I'll seek to give you formative feedback (but do this well before the deadline).

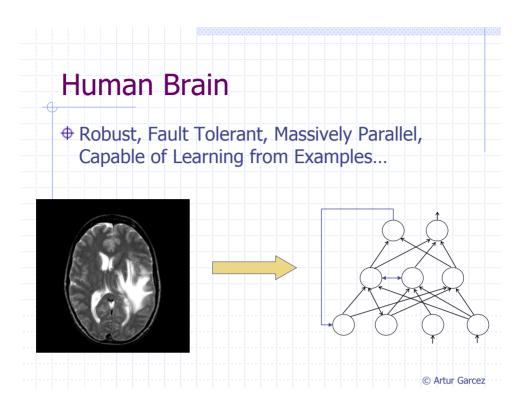
An example coursework (6-page paper) and exam will be made available and at a revision lecture we will go through the example exam so that you know what is expected of you in terms of assessment for full marks!

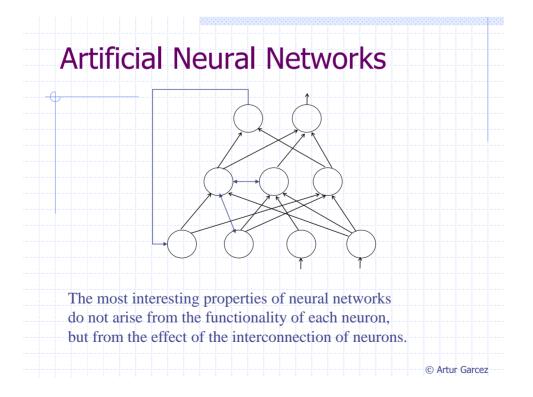
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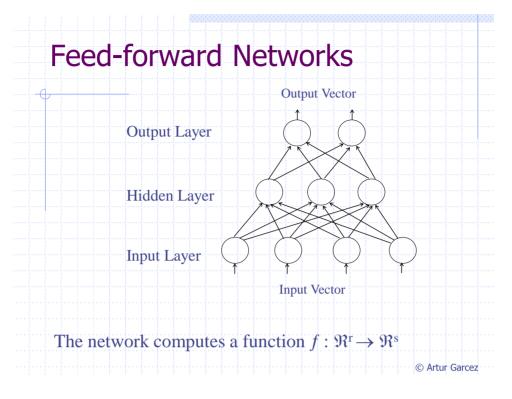
#### What is a Neural Network?

A massively parallel distributed processor made up of simple processing units, which has a natural propensity for storing experiential knowledge and making it available for use. It resembles the brain in two respects:

- Knowledge is acquired from the environment through a learning process
- Inter-neuron connection strength, known as synaptic weights, are used to store the acquired knowledge.







## Learning from Examples in Neural Networks

The network computes a function

$$f: \Re^{\mathrm{r}} \to \Re^{\mathrm{s}}$$

A set of examples (input vectors and their respective *target output vectors*) defines a new function

$$g: \Re^r \to \Re^s$$

We want to change the function f computed by the network - by changing its weights  $(W_{ij})$  - according to the set of examples, in order to approximate g.

## **Applications**

- Pattern Recognition (e.g.: face/image recognition)
- Classification (e.g.: fault diagnosis, DNA analysis)
- Associative Memory (e.g.: image compression)
- Clustering (e.g.: credit analysis, fraud prevention)
- with applications in Aerospace, Finance, Medical, Security, Transport, etc.

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## Example: DARPA Challenge

http://en.wikipedia.org/wiki/DARPA\_Grand\_Challenge DARPA Urban challenge

Self-driving cars: Uber AI Labs, Tesla X, Google Waimo, self-driving taxis in Singapore...

Back in 1995... No hands across America:

Car trip from east coast to west coast

2849 miles of which 2797 miles (98.2%) were driven with *no hands* by the RALPH\_computer program (the human *driver* handled the throttle and brake)

RALPH (Rapidly Adapting Lateral Position Handler) uses video images and a multi-layer perceptron with backpropagation as learning algorithm to keep the vehicle on the road

#### History of Neural Nets

- 1940s
  - McCulloch and Pitts neuron (biological motivation)
- ♦ 1950s
  - Hebbian learning (first learning algorithm by Donald Hebb)
  - Frank Rosenblatt's Perceptron (neural net for pattern recognition)
- ♦ 1960s
  - Widrow-Hoff learning rule (similar to perceptron's)
     First application: used in signal processing, e.g.
     echo cancellation in phone lines

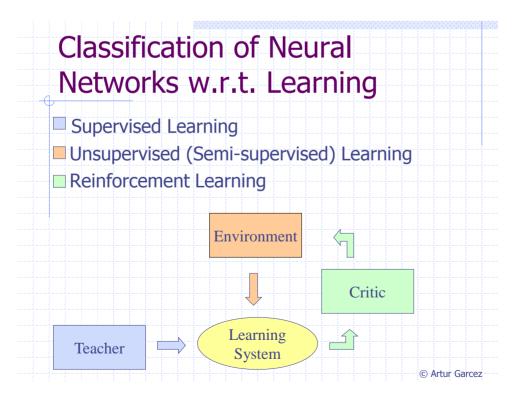
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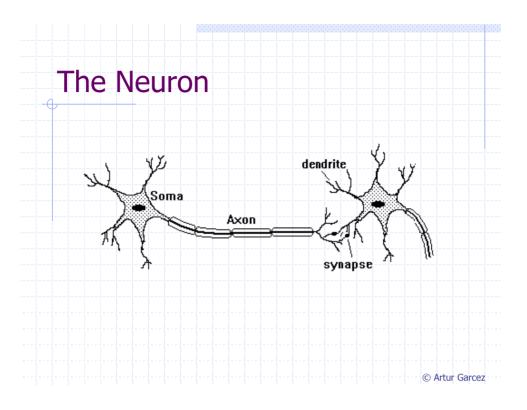
## History of Neural Nets (cont.)

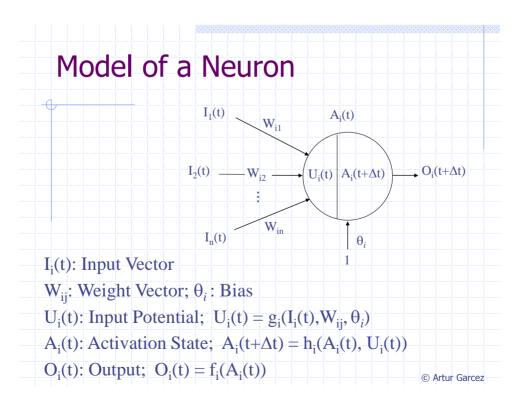
- 1969
  - Minsky and Papert's critique of perceptron (shows perceptron's linear separability problem, and practically halts all NN research for twenty years)
- 1987
  - Backpropagation algorithm (overcomes the limitations of perceptron)
- ♦ 1990s
  - Powerful computers
  - Statistical foundations of neural nets established

### **Neural Networks today**

- ◆ 2000s
  - A standard tool to solve many practical problems
  - Logical foundations of neural nets established
  - Biological motivation stronger than ever (fMRI)
  - New insights into Consciousness
  - ◆ 2010s
    - Big Data and Deep networks: fast algorithms to train large nets (state-of-the-art speech and image recognition and language translation)
    - Social computing, human-like computation (see HCOMP conference series)
    - Human Brain Projects (US and EU)

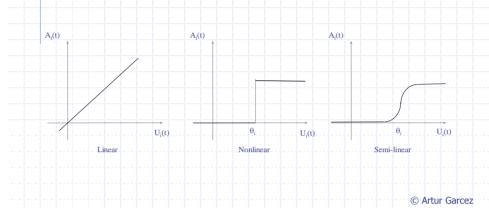


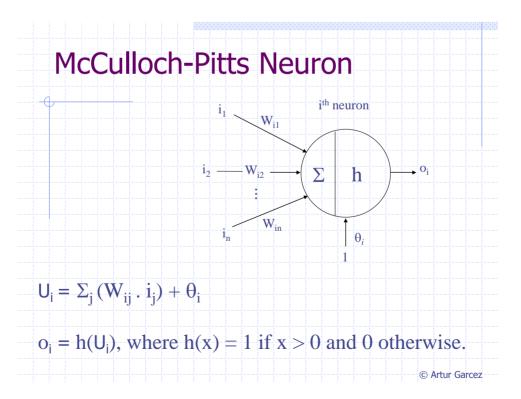




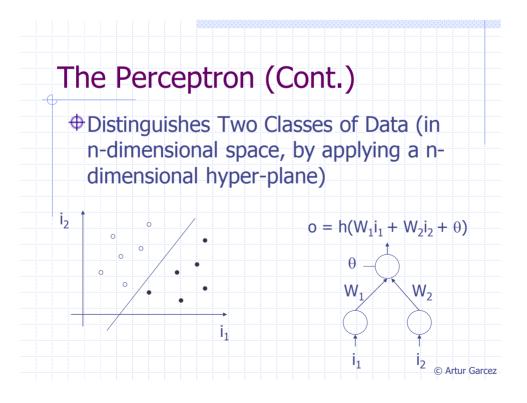
## Types of Activation Function h(x)

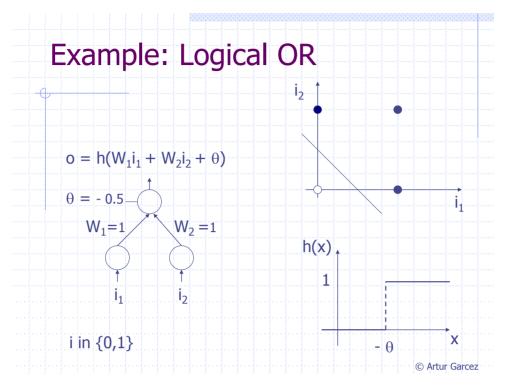
Linear, Non-Linear (step function), Semi-Linear (sigmoid function), etc.





## 





## Learning Algorithm (Perceptron)

- 1. Initialise the weights randomly;
- 2. For each example (i,t) do:

$$\Delta \mathbf{W} = \eta (t - o(i)) i$$

Until t = o(i) for all examples.

i = input vector

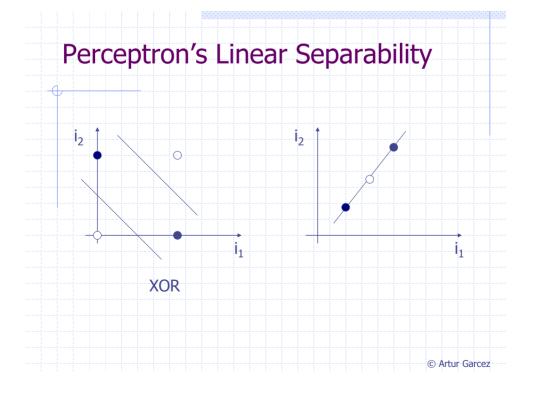
o = network's output

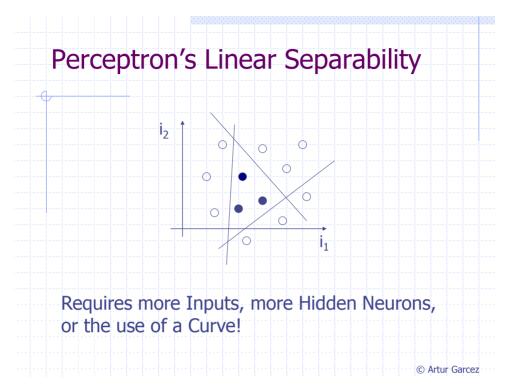
 $t = target output (t \in \{0,1\})$ 

 $\eta \in \Re^+$  is called the Learning Rate

Note: The algorithm can be proven to terminate whenever the set of examples is linearly separable.

	mplete the table below training epochs are sufficient				$i = (1, i_1, i_2)$ $W = (\theta, W_1, W_2)$	
W	i	t	0	ΔW		
(-2,-2,0)	(1,0,0)	0	0	(0,0,0)		
(-2,-2,0)	(1,0,1)	1	0	(1,0,1)		
(-1,-2,1)	(1,1,0)	1	0	(1,1,0)		
(0,-1,1)	(1,1,1)	1	0	?		
(1,0,2)	(1,0,0)	0		?		
(0,0,2)	(1,0,1)	1	?	?		
(0,0,2)	(1,1,0)	1	?	?		
(1,1,2)	(1,1,1)	1	?	?		
(1,1,2)	(1,0,0)	0	?	?		
(0,1,2)	(1,0,1)	1	?	?		
(0,1,2)	(1,1,0)	1	?	?		
(0,1,2)	(1,1,1)	1	?	?		
(0,1,2)	(1,0,0)	0	?	?		
(0,1,2)	(1,0,1)	1	?	?		
(0,1,2)	(1,1,0)	1	?	?		
(0,1,2)	(1,1,1)	1	?	?		





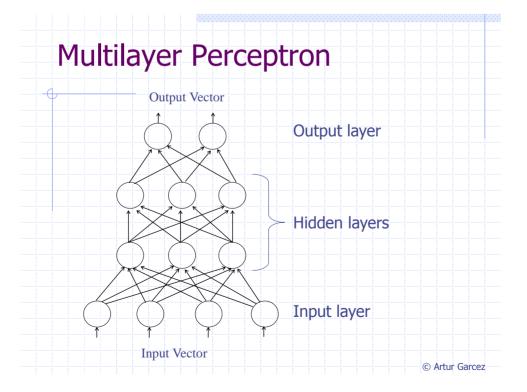
#### **Notes**

- There are two AI paradigms: symbolic and connectionist. Symbolic AI tries to model the (processes of the) mind by manipulating symbols. Connectionist AI tries to model (properties of) the brain using artificial neural networks. Hybrid Systems combine and relate the two.
- Input neurons normally use h(x) = x as activation function, i.e. they simply propagate the input.
- $\Delta$ **W** indicates the *variation* applied to the weight vector **W** such that New\_**W** = Old\_**W** +  $\Delta$ **W**.
- The art of defining the learning rate: normally  $\eta < 1.0$ ;  $\eta = 0.001$  (slow and safer),  $\eta = 0.9$  (fast and risky).

# There are many different types of neural network!

- Multilayer Feedforward Nets (classification)
- Hopfield Networks (associative memory)
- Radial Basis Function Nets (density estimation)
- Support Vector Machines (pattern recognition)
- Self Organising Maps (clustering)
- Deep Belief Networks (image/audio processing)
- Deep Boltzmann Machines (distribution estimation)
- Recurrent Neural Networks (sequence learning)

Different networks to solve different problems, but all with the same ingredients: simple neurons, distributed learning, parallel computation.



## Multilayer Perceptrons are Universal Approximators

Theorem [Cybenko, 1989]: Let h: $\Re \to \Re$  be a continuous and sigmoid function, i.e.  $\lim_{x\to\infty} h(x)=0$  and  $\lim_{x\to\infty} h(x)=1$ . Then, finite sums of the form:

$$g(x) = \Sigma_j \alpha_j h(W_j x + \theta_j), x \in I^n$$

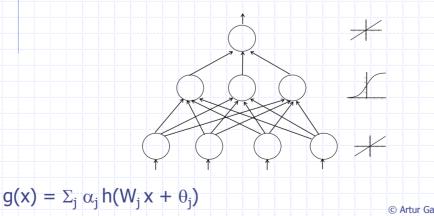
with parameters  $\alpha_j$ ,  $\theta_j \in \Re$  and  $W_j \in \Re^n$ , are such that  $|g(x) - f(x)| < \epsilon$  for any continuous function f(x).

Neural Networks with as few as a single hidden layer with sigmoid activation function can approximate virtually any function of interest.

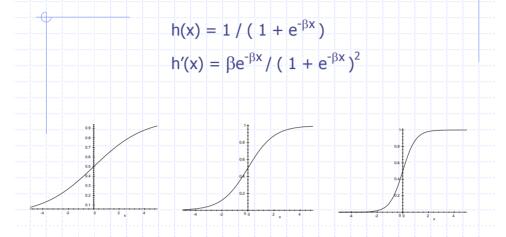
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## Learning in Multilayer Perceptrons

 $\Phi$  We need to find  $\alpha_j$ ,  $\theta_j$  and  $W_j$  such that g(x) approximates f(x)



#### Semi-Linear Activation Function



 $\beta = 1$ 

 $\beta = 2$ 

## Backpropagation

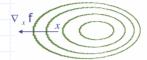
 $\beta = 1/2$ 

- A computationally efficient method for training multilayer perceptrons.
- The neural learning algorithm most successfully applied in industry.
- It computes an estimate of the gradient of an error function (E<sub>w</sub>) w.r.t. a set of weights W

$$\nabla \mathsf{E}_{\mathbf{W}} = \underbrace{\partial \mathsf{E}_{\mathbf{W}}}_{11}, \underbrace{\partial \mathsf{E}_{\mathbf{W}}}_{12}, \dots, \underbrace{\partial \mathsf{E}_{\mathbf{W}}}_{ij}$$

#### **Gradient Descent**

The gradient of E<sub>W</sub> is the vector pointing in the direction of the fastest growth of E<sub>W</sub>, perpendicular to contour lines.

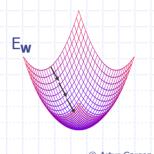


The iterative application of changes

$$\Delta W = -\eta \cdot \nabla E_{\mathbf{W}}$$

to W tries to minimise

$$E_{\mathbf{W}} = \frac{1}{2} \Sigma_{i} (o_{i} - t_{i})^{2}$$

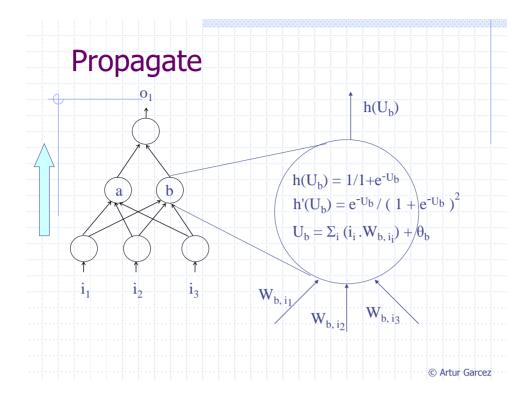


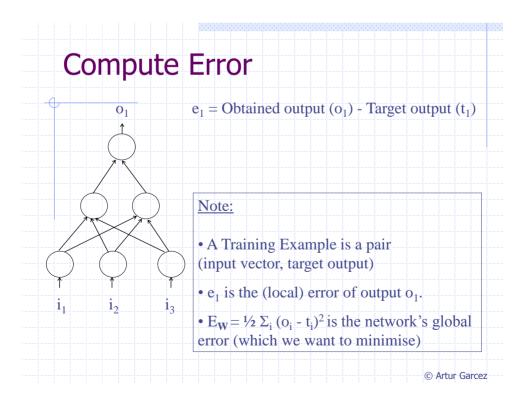
## Backpropagation Learning Algorithm

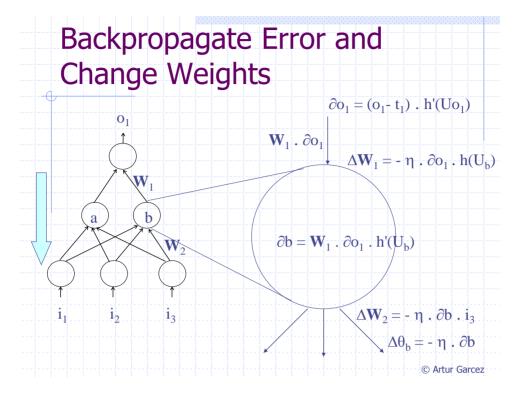
- 1. Propagate
- 2. Compute Error
- 3. Back-propagate Error and **Change Weights**

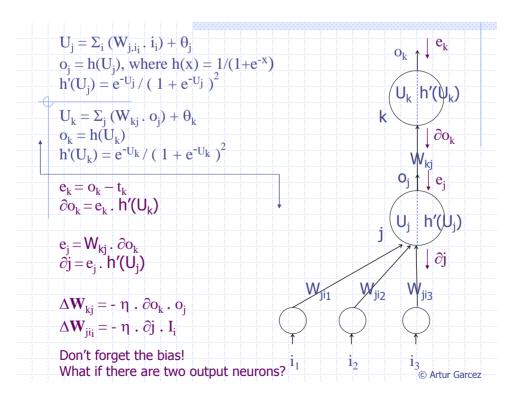
**Output Vector** 3 Input Vector

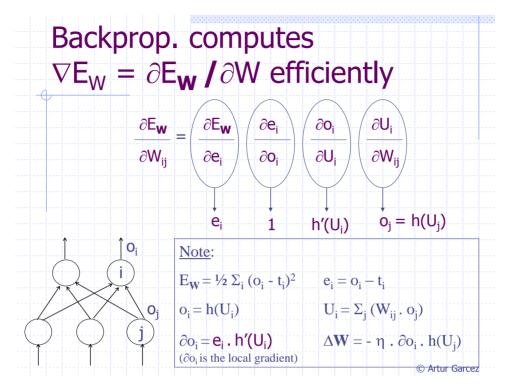
Target Vector

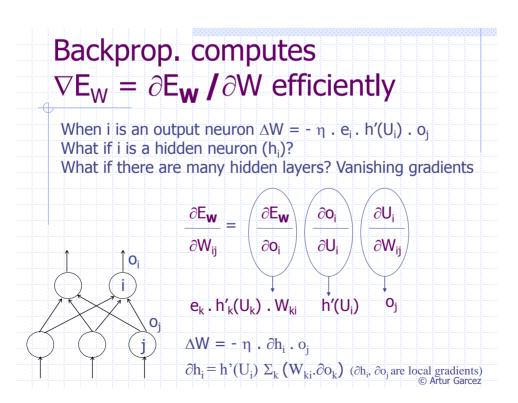












### **Backpropagation Algorithm**

For each example  $(\mathbf{i} = i_1, ..., i_n; \mathbf{t} = t_1, ..., t_n)$  in the training set, do:

For each neuron n in the network in ascending topological order, do: Compute  $o_n = h(U(\mathbf{i}))$  and  $d_n = h'(U(\mathbf{i}))$ 

For each neuron n in the network in descending topological order, do:

If n is an output neuron o<sub>k</sub> then:

$$\partial o_k = e_k$$
 .  $d_k$  , where  $e_k = o_k$  -  $t_k$ 

$$\Delta W_{ki}$$
 = -  $\eta$  .  $\partial o_k$  .  $o_i$ 

If n is a hidden neuron h<sub>i</sub> then:

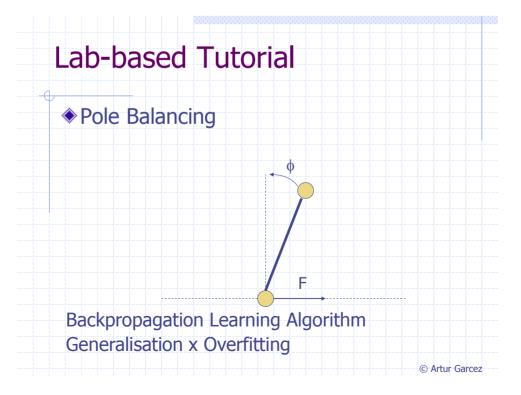
$$\partial h_{i}=d_{i}$$
 .  $\Sigma_{k}\left(W_{ki}\right.\partial o_{k})$ 

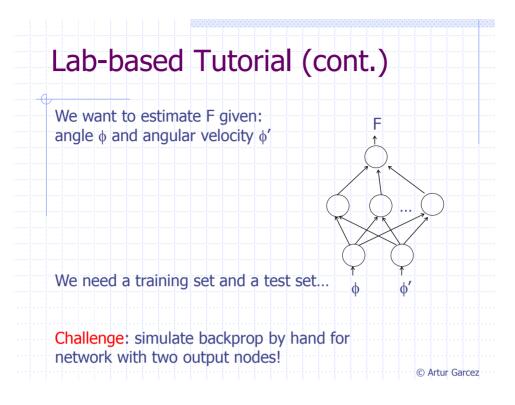
$$\Delta W_{ij} = -\eta \cdot \partial h_i \cdot o_j$$

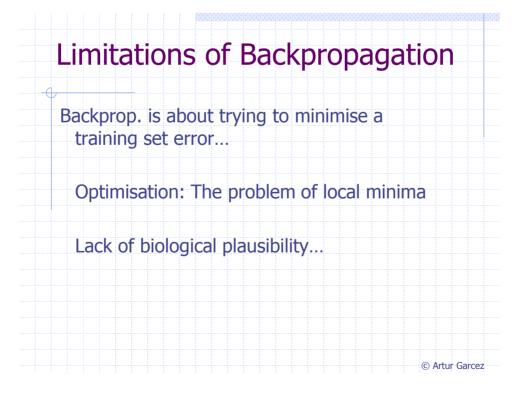
Notes: Each pass through the training set is called an epoch.

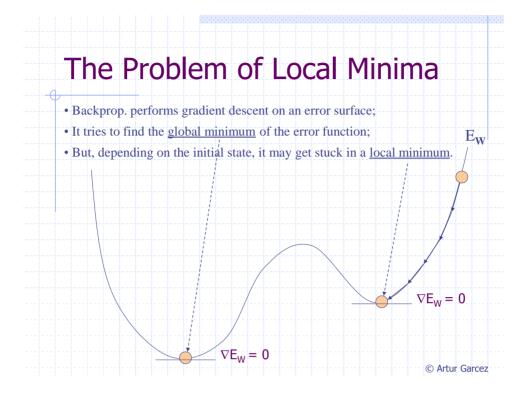
Typically, Backprop. takes several epochs to converge.

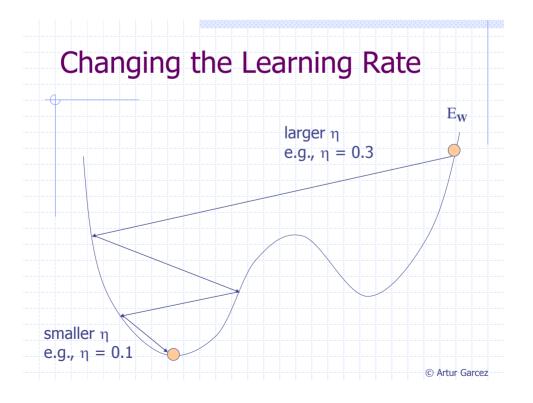
The algorithm can be extended easily to networks having more than a single hidden layer. Try it!

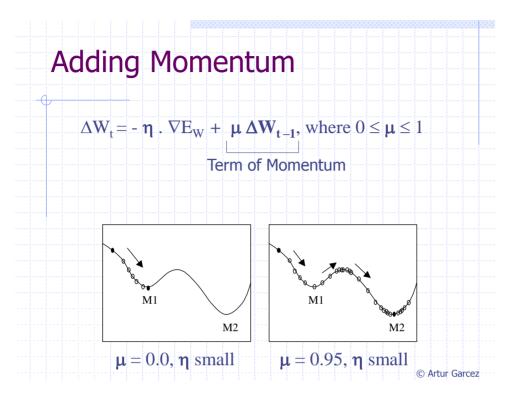


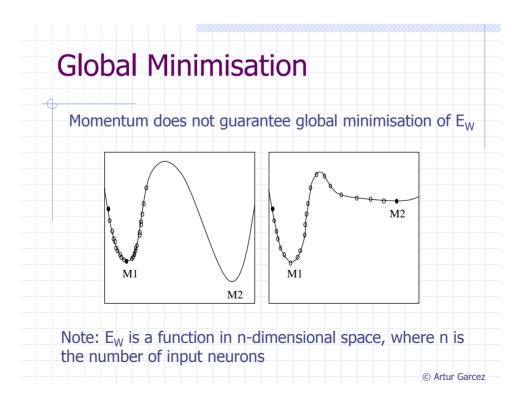






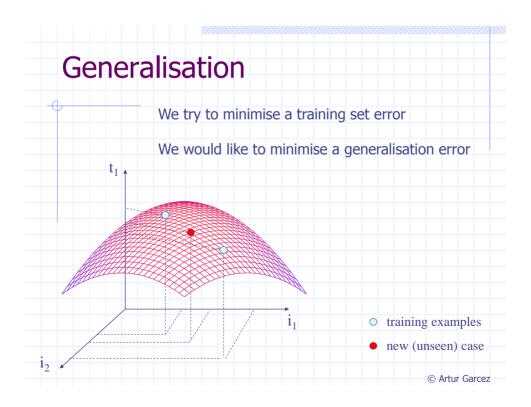






#### Generalisation

- We expect g (our approximation of f) to produce reasonable results for examples not seen during training, i.e. we expect the learning algorithm to generalise to unseen cases.
- The most likely hypothesis (g) is the simplest one that is consistent with all observations (Ockham's razor).



#### **Estimating Generalisation Error**

- Take out a subset of the set of examples (the test set) randomly for comparative evaluation
- The test set is never seen by the networks during training.
- Partition the remaining set of examples into a training set and a validation set.
- The validation set error is an estimate of the generalisation error.
- The validation set can be used for model selection or to control for overfitting.

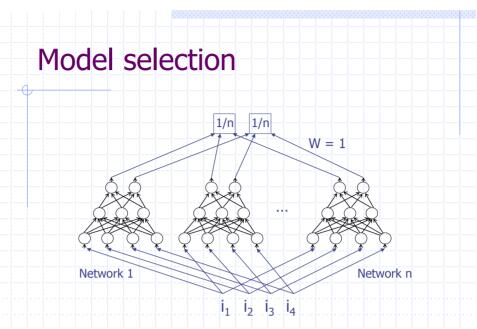
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#### n-fold Cross-Validation

- $\oplus$  Divide the set of examples E into n subsets  $E_1, E_2, ..., E_n$
- For each E<sub>i</sub> (1 ≤ i ≤ n), train a network with E
   E<sub>i</sub> and test it with E<sub>i</sub>
- Calculate the averaged training set and validation error

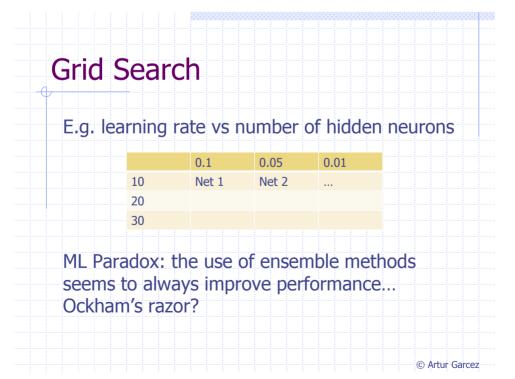


Note: Leaving m out = (|E| / m)-fold cross validation.



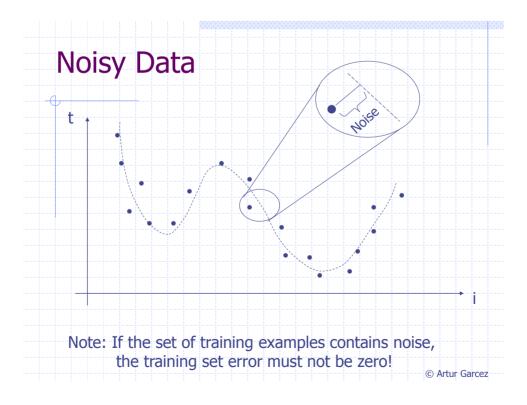
Note: n-fold cross-validation on m different neural models (with different numbers of hidden neurons) can also be used to select the best model.

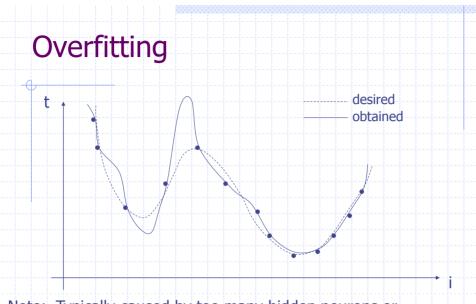
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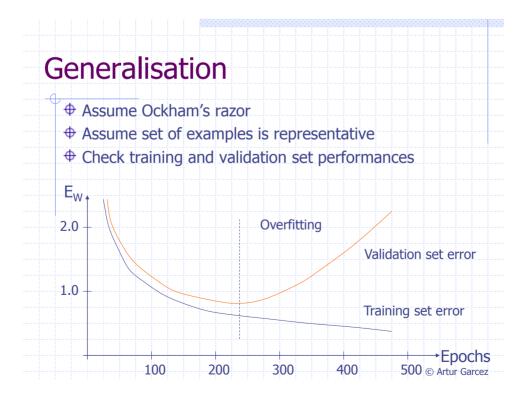
### Bootstrapping

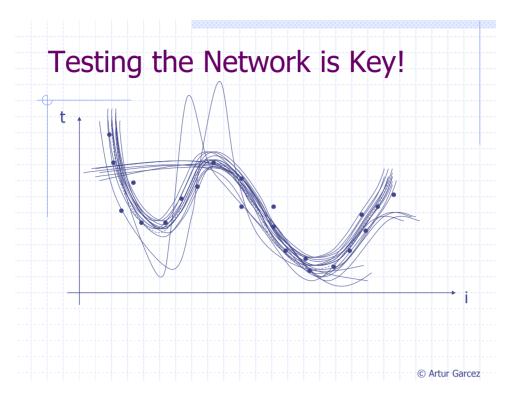
- ◆Create k (pseudo) sets of examples
   E<sub>1</sub>, E<sub>2</sub>, ..., E<sub>k</sub> by randomly selecting |E|
   elements (with replacement) from E
- $\Phi$  For each  $E_i$  (1  $\leq$  i  $\leq$  k), train a network with  $E-E_i$  and test it with  $E_i$
- Calculate the averaged training and test set error





Note: Typically caused by too many hidden neurons or not enough training examples, overfitting results in good training set performance and poor test set performance.





## Learning and Generalisation

Backprop. is about trying to minimise a training set error...

Problem of local minima: add momentum, vary learning rate

...but learning is about trying to minimise a generalisation error

Estimate using test set error, cross-validation, bootstrapping (Note: generalisation error approaches training set error when the number of training examples grows)

In addition, in the presence of noisy training examples or to check for overfitting, testing the network is key!