



# Module IN3031 / INM378

# Digital Signal Processing and Audio Programming

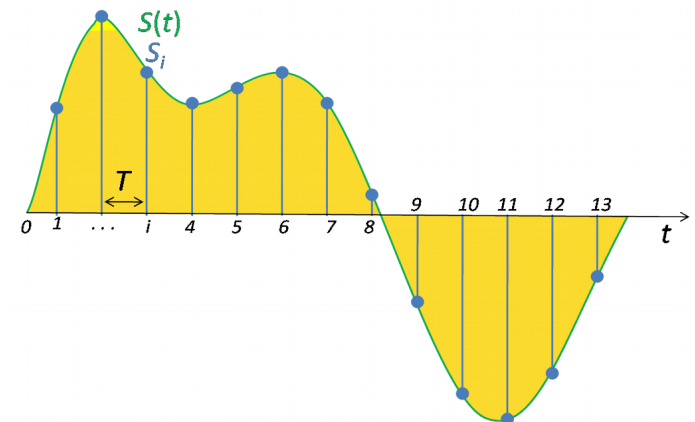
Johan Pauwels [j.pauwels@city.ac.uk](mailto:j.pauwels@city.ac.uk)  
based on slides by Tillman Weyde

# Who am I ?

- Not Tillman Weyde :-)
  - Lecturer of this module in previous years
  - Now on a sabbatical (focus on research)
- “Visiting Lecturer”
  - This semester (also for Big Data), no regular office hours
  - Otherwise a researcher in automatic music analysis and part-time lecturer at Queen Mary University of London

# What This Module Is About

- **Basics:**  
signals, sampling, frequency, spectrum
- **Theory:**  
correlation and convolution, Fourier transform
- **DSP system architectures:**  
streams, channels, filters
- **Data analysis:**  
audio and images, financial data
- **Game programming:**  
audio and music for games



# DSP Functions

Typical functions needed:

- Recording: sound, image, video, sensors
- Digital sound, image, and video effects
- Noise reduction, signal enhancement/recovery
- Data compression
- Signal analysis and retrieval:  
    sound, music, image, sensor, financial (...)
- Spatial audio: games, VR
- Video and 3D graphics (not part of this module)

# Learning Outcomes

## **Knowledge and understanding:**

- Appraise the principles and theories of signal processing.
- Critically evaluate how these principles and theories are used in computer software.
- Apply relevant knowledge in the creation of games and multimedia applications.

## **Skills**

- Design the integration of music and audio in an interactive software.
- Create the music or audio elements of an interactive software.
- Implement DSP functionality in Python
- Implement signal analysis in Python

# Course texts

## **Main texts (links to PDFs on Moodle):**

Dorran, David: *Digital Signal Processing Foundations*. DIT 2015

Smith, Steven: *Digital Signal Processing: a practical guide for engineers and scientists*. Newnes, 2003. Available PDFs

## **Other interesting texts**

Lyons, Richard G. *Understanding Digital Signal Processing*, 3/E. Pearson Education India, 2011. (similar to Smith)

Rocchesso, Davide: *Introduction to Sound Processing*. Florence, 2003, <http://profs.sci.univr.it/~rocchess/SP/>

Stevens, R. & Raybould, D.: *Game Audio Implementation: A Practical Guide Using the Unreal Engine*. 2011. (quite specific)

Marks, A. & Novak, J.: *Game Development Essentials: Game Audio Development*. 2008. (non-technical)

# Labs

Tuesday 20:00-20:50, room ELG06-07

## **Tools:**

Mainly:

Python (signal processing and analysis) on Google Colab

→ requires Google account (setup during labs)

FMOD (games engine w/ sound modules)

# Office Hours/Contact

For general discussions you can use the super-module on Moodle: <https://moodle.city.ac.uk/course/view.php?id=34993>  
Preferably post your questions on the Moodle message board.  
For more personal issues, contact me by email.



# Week 1: Signal Basics

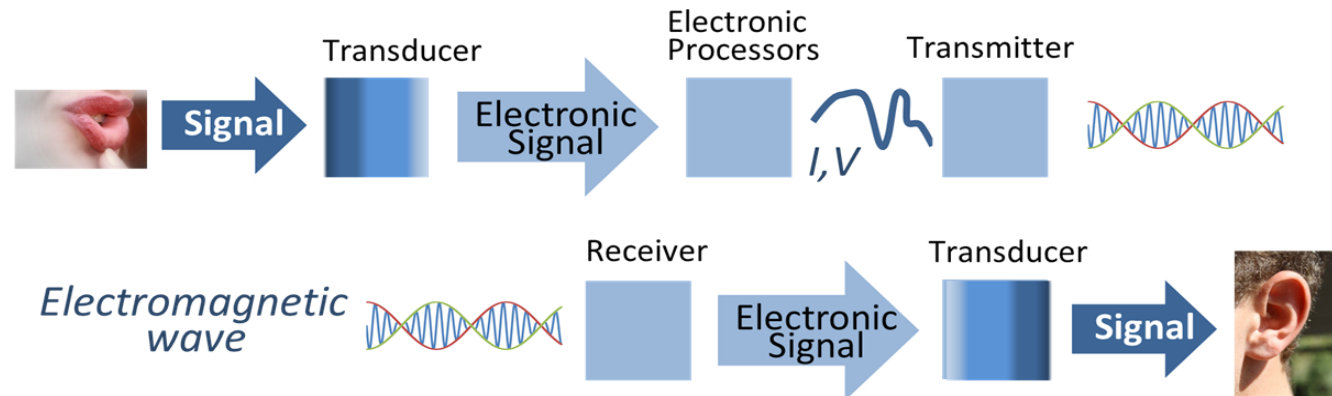
- **What is a Signal?**
  - From latin *signum* (sign): information sent through a medium, from humans or technical, natural or social processes
  - Typically represented as a uniform array or sequence of numbers, possibly higher-dimensional

# Signal Processing

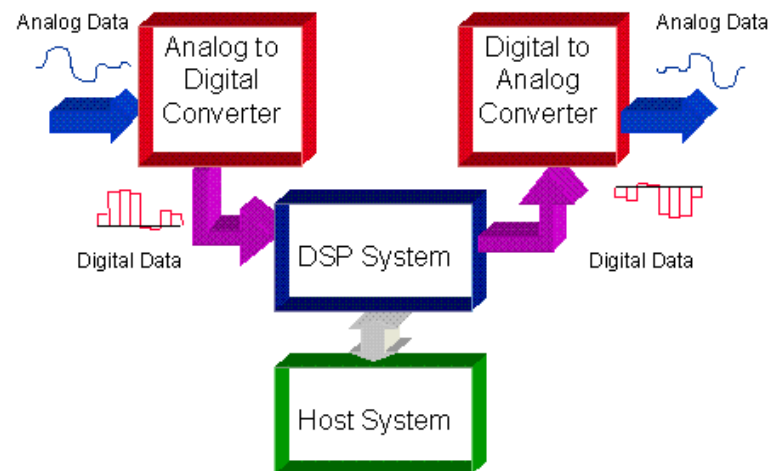
- What is Signal Processing?
  - Combines mathematics, physics and technology
  - Transfer, manipulation, analysis, and synthesis of information contained in signals
  - Signals are variable in time and space
    - › Sound
    - › Images
    - › Radio
    - › Sensors
    - › Financial data
    - › Text and symbols

# Signal Transfer (Radio)

- Analog  
(e.g. radio, TV, 1G mobiles)



- Digital  
(DAB, digital TV, 2G+ mobiles, computers, ... )





# Digital Signal Processing

- **Digital representations** of signals (in bits)
- (Specialised) digital **computers** for processing
- **Used everywhere** in tech, e.g.
  - telephony
  - television & radio
  - games
  - GPS, sensors, ...
- **It's all in your pocket:**





# Signals and Waves

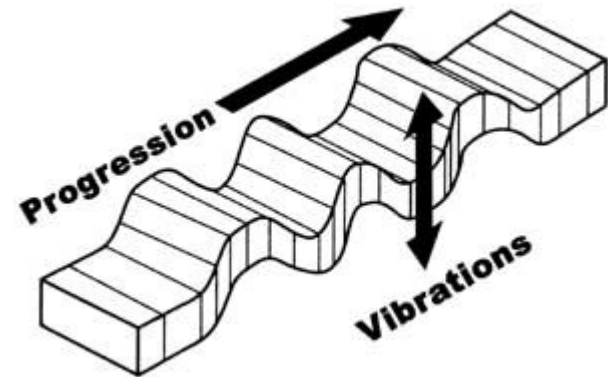
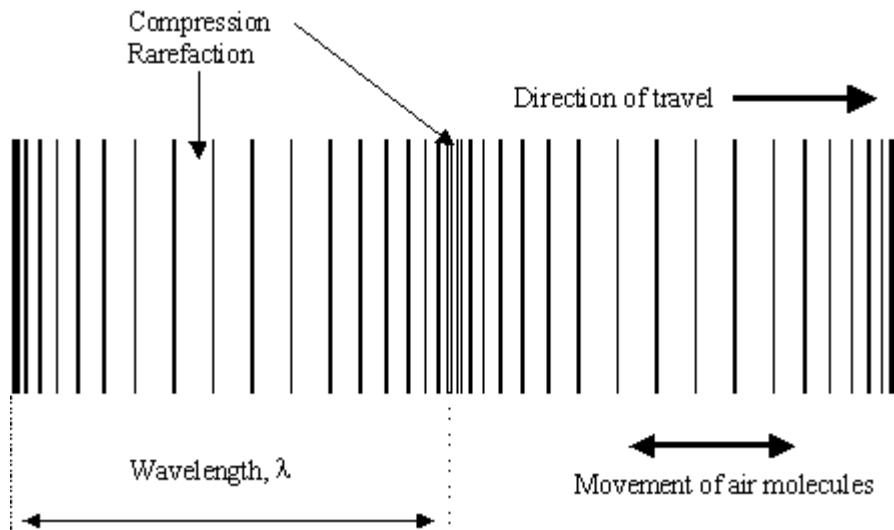
# Signals

- In technology, our signals are **numeric values recorded over time or space**, e.g.
  - air pressure/movement (sound)
  - brightness (image, video)
  - acceleration, rotation (motion)
  - social or financial data
- Signals are often **recorded oscillations (waves)**



# Physical Waves

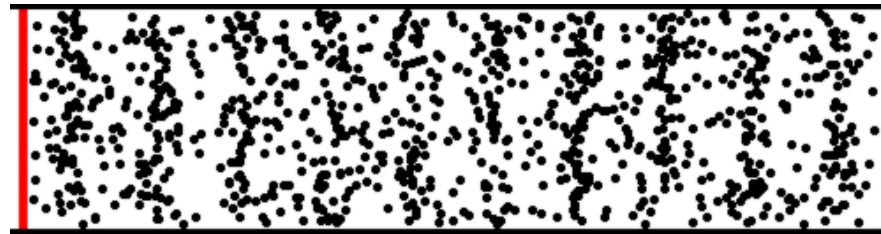
- Movement **travels** through a **medium** (e.g. air) and the medium returns to previous state (**oscillation**).
- Movement direction depends on physical situation (compressibility, environment).
  - **longitudinal**: movement on axis of travel (air)
  - **transversal**: orthogonal movement (e.g. water)





# Wave Animation

Animated figure of a longitudinal wave (e.g. sound).  
The wave travels, but the particles oscillate.





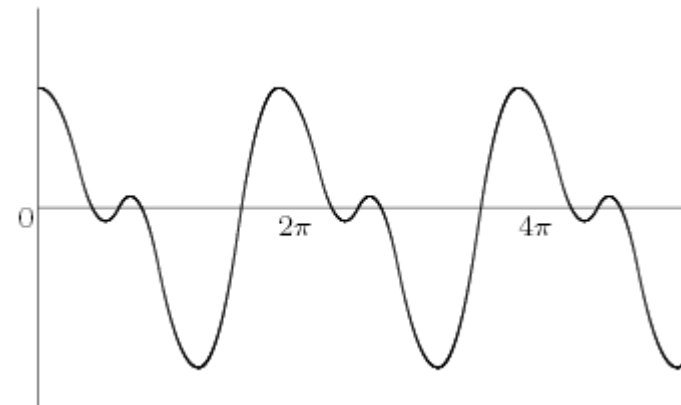
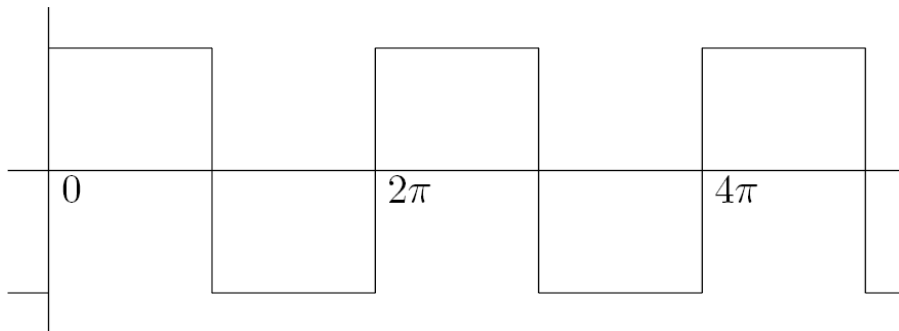
# Basic Wave Properties

- **Frequency** - speed of oscillations:  
faster oscillations mean
  - smaller structure in images
  - faster movement or change
  - higher pitch in sound
- **Amplitude** - strength of oscillations:  
stronger oscillations mean
  - wider movement, greater change
  - louder sound, brighter light

# Period and Frequency

**period  $p$ :** duration of a periodic signal's cycle

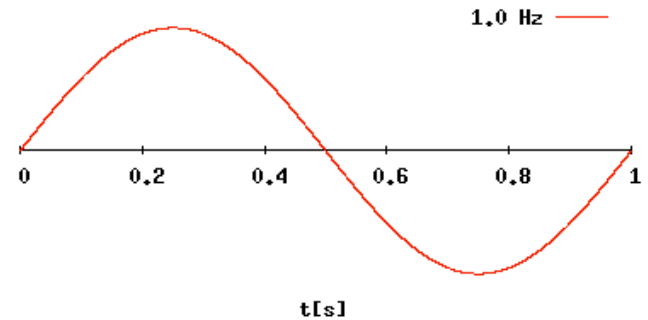
**frequency  $f$ :** number of cycles per time  $f = 1 / p$



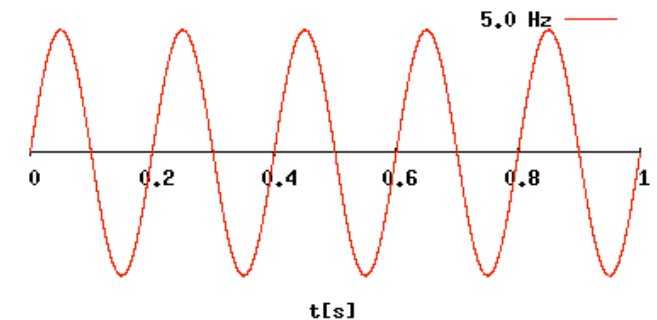
# Frequency

Number of **cycles** per time.

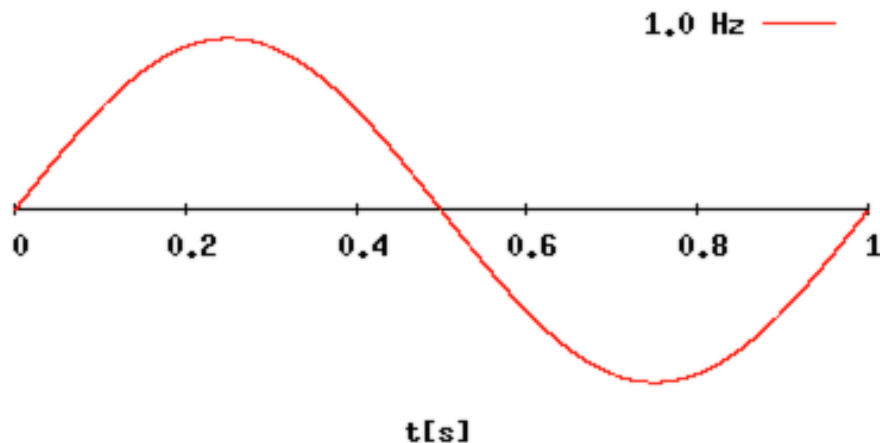
Measured in **Hertz** (Hz, 1/sec).



1 Hz equals 1 wave cycle per second

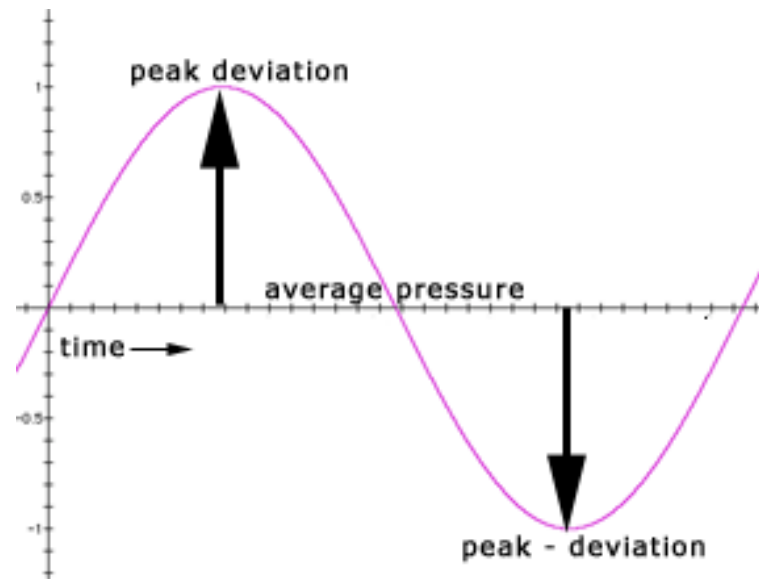


5 Hz equals five wave cycles per second



# Amplitude

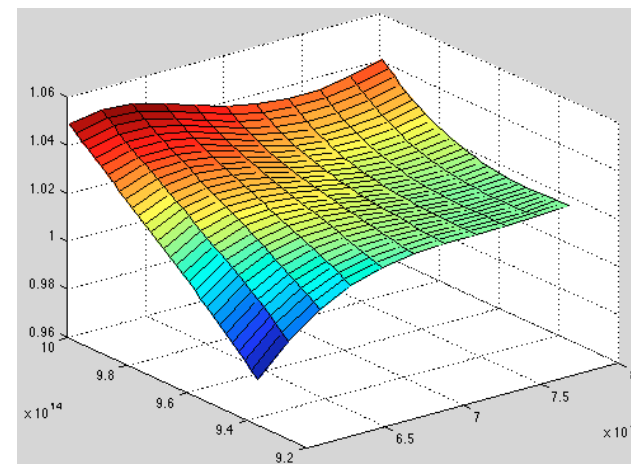
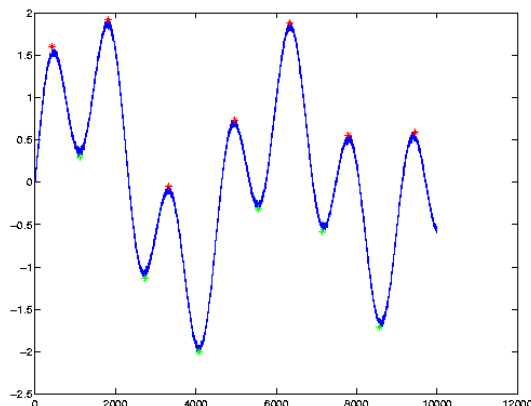
Amplitude: **scale** of values, often measures at crest and trough peaks, (e.g., for sound **maximal deviations** from normal air pressure)



# Signals: Mathematical Model

Signals are a **relevant quantity  $y$**  (air pressure, pixel value),  
**as a function** (typically)  
**of time:  $y = f(t)$**  (1-dimensional for audio)  
**or space:  $y = f(x,y)$**  (2-dimensional for images)

**Graphs** are useful, particularly for 1D signals:



# Signal Energy and Power

Two definitions:

- **Energy** of a time variant signal: defined as the sum of the squares of the signal values over all time points

$$\text{energy}(f) = \text{sum}_t(f(t)^2)$$

- **Power**: energy per time

$$\text{power}(f) = \text{energy}(f)/\text{time} = \text{sum}_t(f(t)^2)/\text{time} = \text{mean}(f(t)^2)$$

This matches physics for audio and electrical signals, not for images, values are already energies (of light).

# Decibels

- Signals typically have a **wide range** of values, from very large to very small
- dB is a **logarithmic** expression of **ratios**, especially **useful** for **very large and small** numbers and ratios
- **Definition:**  
 $a/b = x \text{ dB}$  means  $x = 10 \log_{10} (a/b)$
- In other words:  
**adding 10 dB** corresponds to **multiplying by factor 10**
- **Examples:**  
+3dB ~ \*2 (approximately)  
+20dB = \*100 (exactly)  
create more examples ...



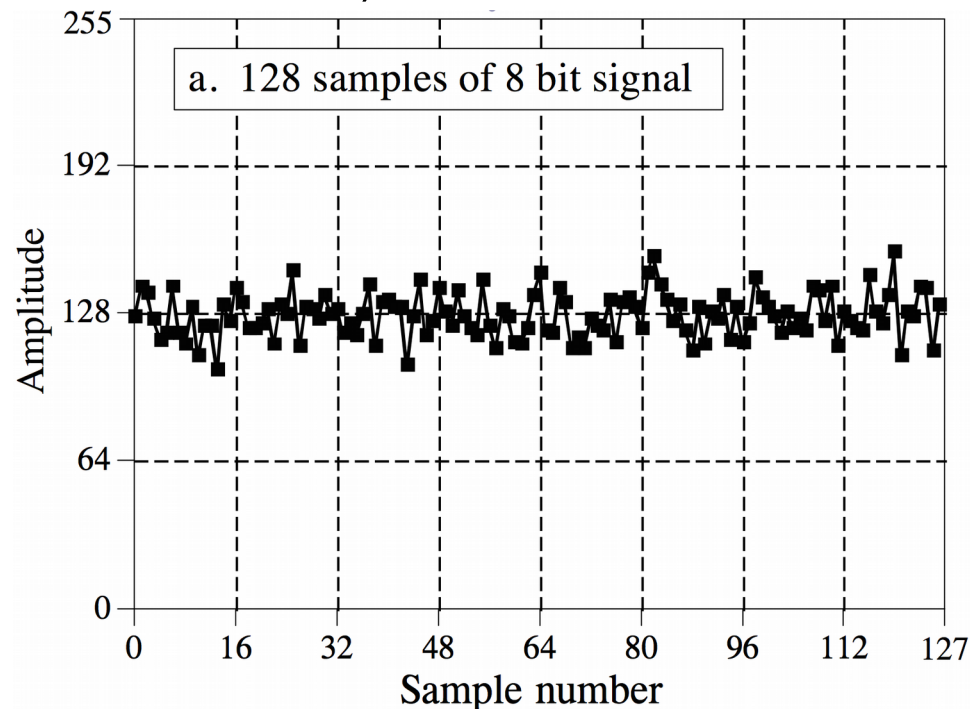
# Digital Signals: Sampling and Quantisation





# Sampling

- Digital signals are sequences of **samples** (values) **at discrete points** in time or space.  
(more details next week)





# Sine & Cosine Functions in Signal Processing and Data Analysis

**Sine/cosine** functions  $\sin(t)/\cos(t)$

- appear in **basic physical processes**
- in **audio** they are perceived as  
'*pure tones*' or '*simple tones*' (no 'overtones')
- can be used to **analyse** and **generate** signals



**Sine and cosine are the building blocks of harmonic signal theory.**

# Sine functions and simple harmonic motion

Simple oscillating system (mass ***m*** and a force growing by factor ***k*** with displacement ***x*** from *equilibrium point*), e.g. mass & spring, string under tension, electric LC circuit.

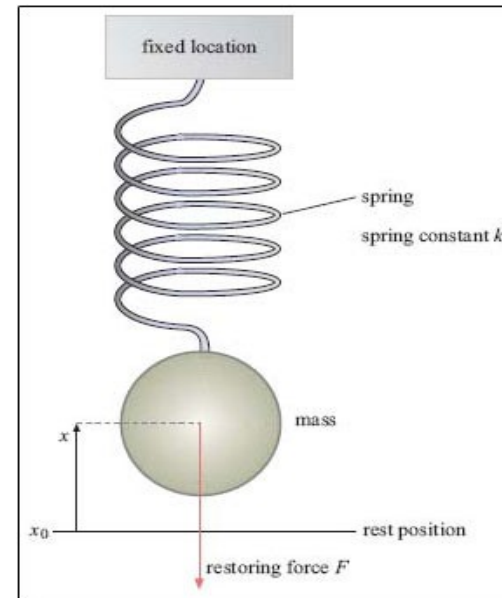
- Equation:  $x = c \sin(\sqrt{k/m}t + \phi)$

**$\phi$**  depends on the **start time**

- Frequency:  $f = \frac{1}{2\pi} \sqrt{\frac{k}{m}}$

$2\pi$  is **period of sine**

- System frequency ***f*** depends on ***k*** and ***m***

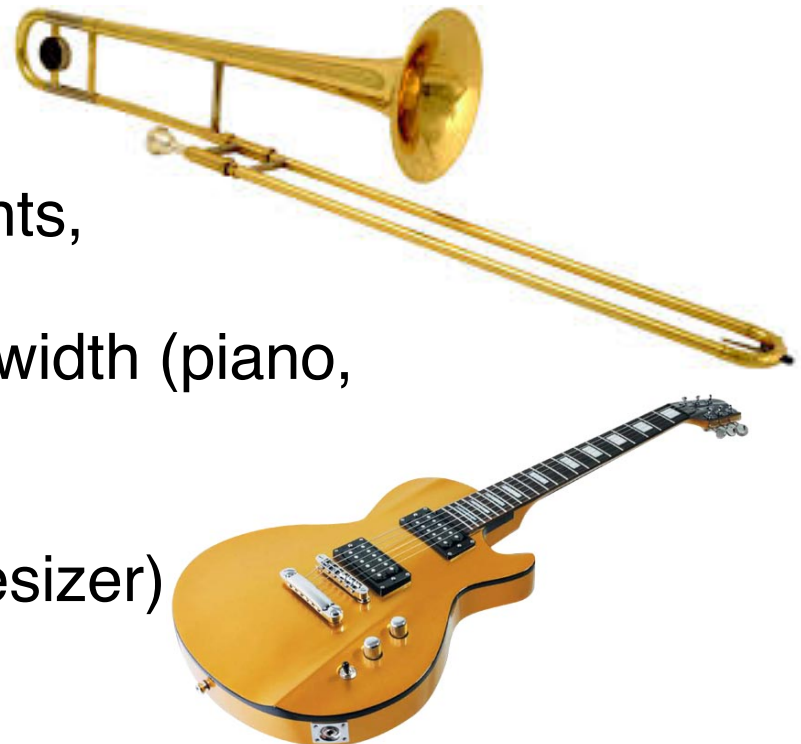




# Resonance

- Systems oscillate easily at natural frequency (simple harmonic motion)
- Used, in musical instruments, mechanical watches, etc
- Can be modified by
  - changing ***m***, e.g.
    - *air volume* (wind instruments, e.g. ***trombone***)
    - different string length and width (piano, ***guitar***, violin)
  - changing ***k***
    - electrical capacitor (synthesizer)
    - string tension (***guitar***, ...)

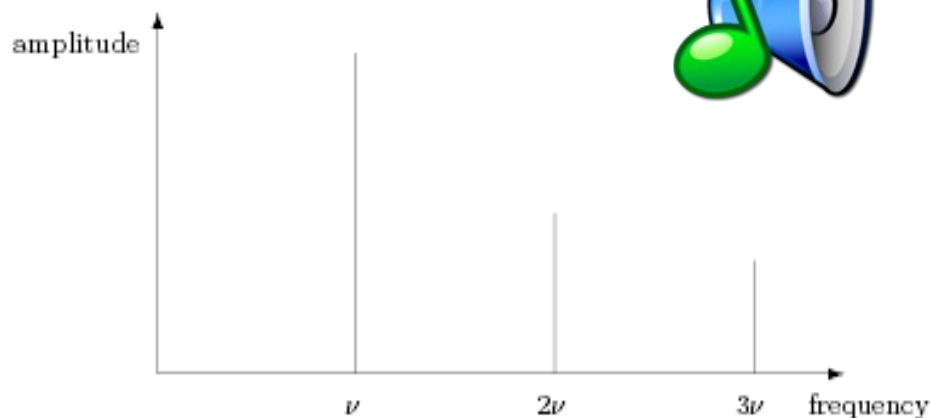
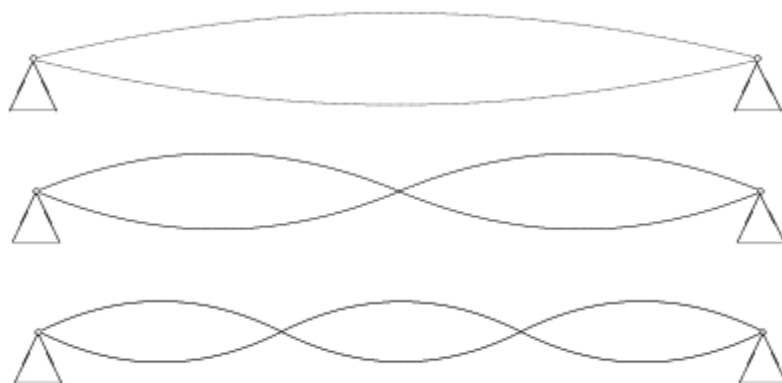
$$f = \frac{1}{2\pi} \sqrt{\frac{k}{m}}$$





# Complex Signals

- **real systems** oscillate at **more than one frequency**
- several frequencies are added with different intensities  
these are called **partials** (or **overtones** or harmonics)
- $s(t) = a_0 f_0(t) + a_1 f_1(t) + \dots + a_n f_n(t)$





# Harmonic and Inharmonic Signals

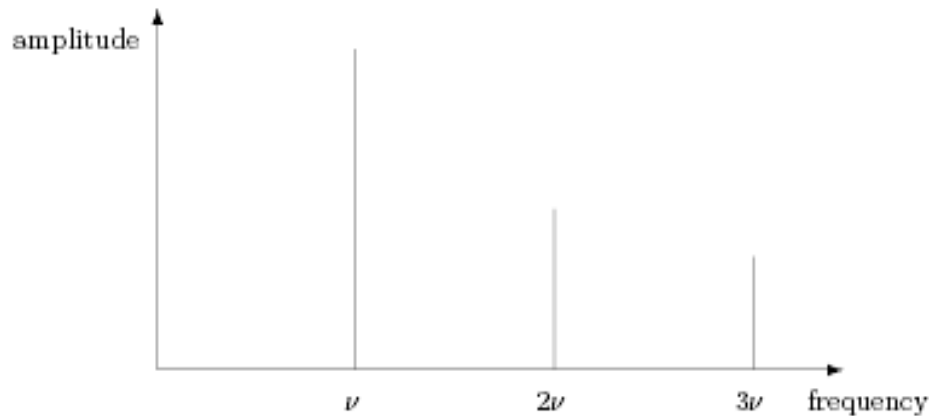
- **Harmonic** signals have **integer ratios** between **fundamental  $f_0$**  and the other **partials**
- Most **musical sounds** are (approximately) **harmonic**
- **Bells** have **typically inharmonic** sounds



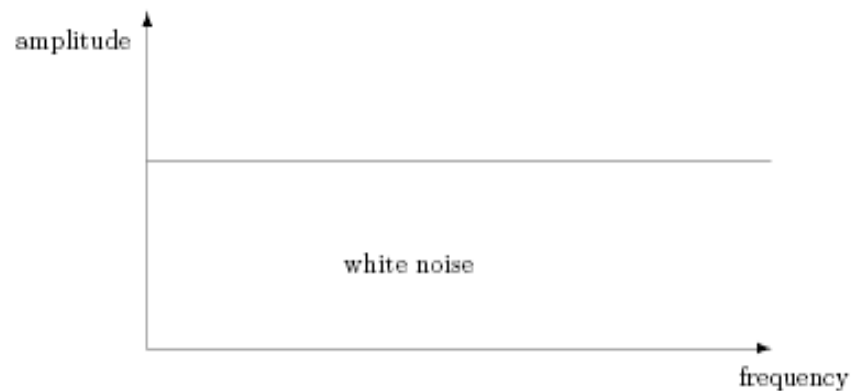
# Noise

- **Tones** contain **energy** at **discrete frequency points**
- **Noise** contains **energy** at **all frequencies**  
(e.g. analog radio not tuned to a station)

tone

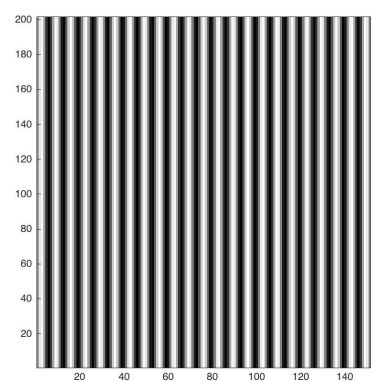
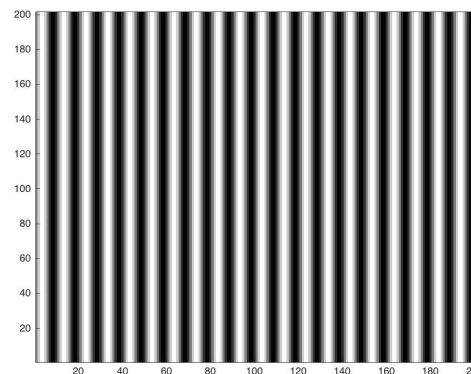
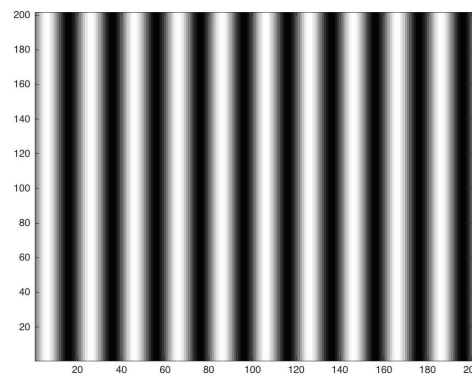
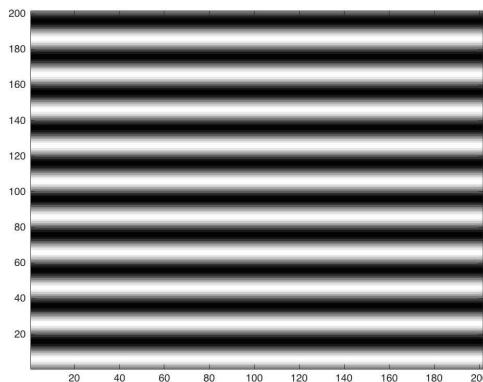
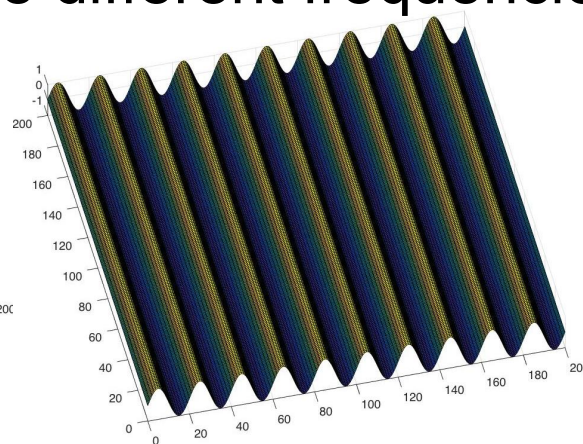
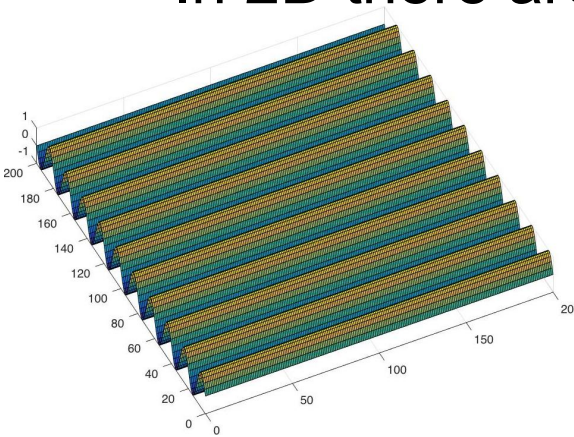


noise



# Sine Waves in 2D

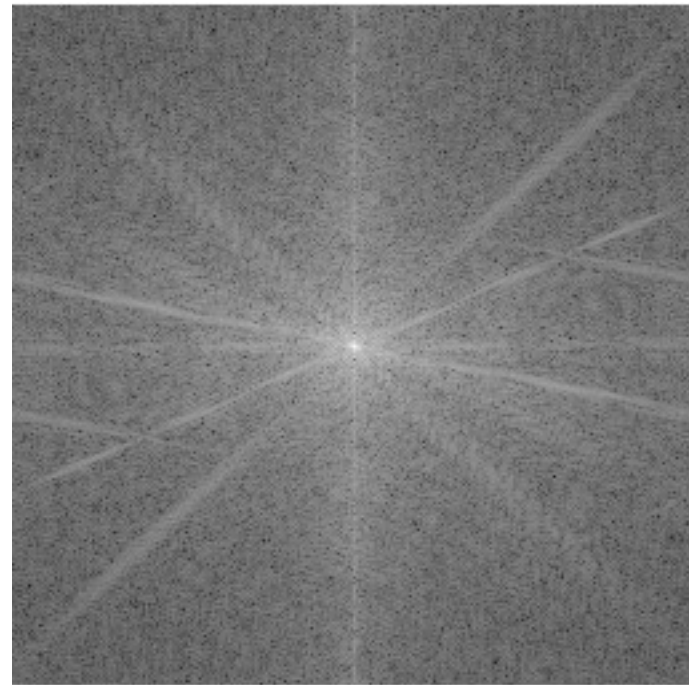
- In 2D there are different frequencies in **both dimensions**





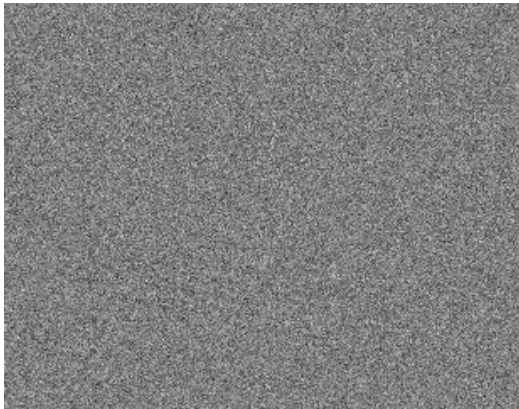
# Sine Waves in 2-D

- We can relate whole **images** to **mixtures of sine waves**, but it's not as straightforward (more in later weeks)



# Noise in 2D

- In **2D** there is also **noise**



noise



image

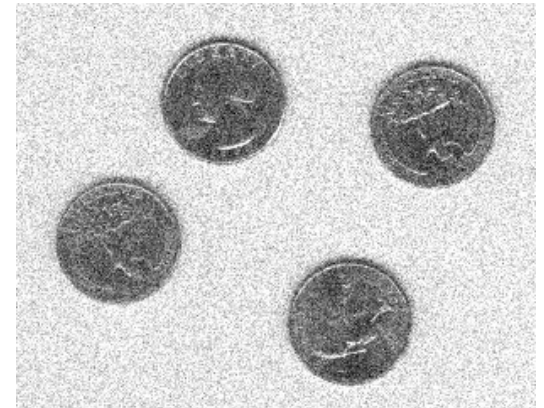


image with noise

- **Photos** taken in **low light** often contain noise



# Frequencies in Audio and Music



# Audio Frequencies Perceived by Humans

- Range approximately 20Hz – 20,000 Hz
- Frequencies **perceived logarithmically** (Weber's law)  
1 **octave** up corresponds to 2 x frequency
- **Sequential discrimination** accuracy **up to 3Hz**  
(i.e. tones with that frequency difference are  
perceived as being different when heard one after  
the other)

# Frequencies in Music

- In music frequencies are organised as **pitches**, which correspond to one fundamental frequency each.
- In all cultures a frequency **ratio** of **2:1** (an **octave**) has a special role, these tones are perceived to be highly related
- Western music:
  - **octave** divided into 12 **semitones**
  - a **semitone** has a **ratio** of **12<sup>th</sup> root of 2**  
(in equal temperament, there are other variants)
  - reference note is the '**middle A**' at 440Hz



# Frequencies in MIDI

- In MIDI (Musical Instrument Digital Interface) all notes have a number.
- 'middle A' has number 69,
- Freq of MIDI number X calculated as  

$$440 * 2^{([x-69]/12)}$$

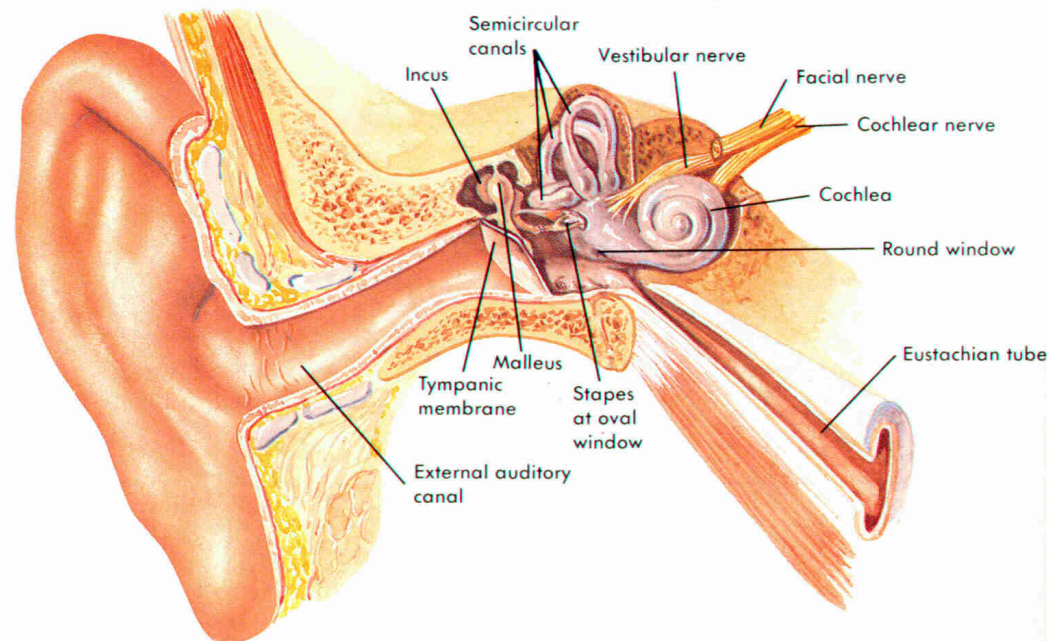


Frequency	Keyboard	Note name	MIDI number
4186.0		C8	108
3951.1		B7	107
3729.3		A7	106
3322.4		G7	104
2960.0		F7	102
2637.0		E7	100
2489.0		D7	99
2217.5		C7	97
1975.5		B6	95
1864.7		A6	94
1661.2		G6	92
1480.0		F6	90
1318.5		E6	88
1244.5		D6	87
1174.7		C6	85
1108.7		B5	83
987.77		A5	82
932.33		G5	80
880.00		F5	78
830.61		E5	75
783.99		D5	73
739.99		C5	72
698.46		B4	71
659.26		<b>A4</b>	<b>70</b>
622.25		G4	68
587.33		F4	66
554.37		E4	64
523.25		D4	63
493.88		<b>C4</b>	<b>61</b>
466.16		B3	59
440.0		A3	58
415.30		G3	56
392.00		F3	54
369.99		E3	51
349.23		D3	49
329.63		C3	48
293.67		B2	47
277.18		A2	46
246.94		G2	44
220.00		F2	42
207.65		E2	40
196.00		D2	39
174.61		C2	37
164.81		B1	35
155.56		A1	34
146.83		G1	32
138.59		F1	30
130.81		E1	29
123.47		D1	27
116.54		C1	25
110.00		B0	23
103.83		A0	22
97.999			
92.499			
87.307			
82.407			
77.782			
73.416			
69.296			
65.406			
61.735			
58.270			
55.000			
51.913			
48.999			
46.249			
43.654			
41.203			
38.891			
36.708			
34.648			
32.703			
30.868			
29.135			
27.500			

# The Human Ear

**outer ear** (ear flap and canal)

**middle ear:** eardrum (Tympanic membrane), hammer (Malleus), anvil (Incus), and stirrup (Stapes) transmit vibrations to the inner ear



HEARING

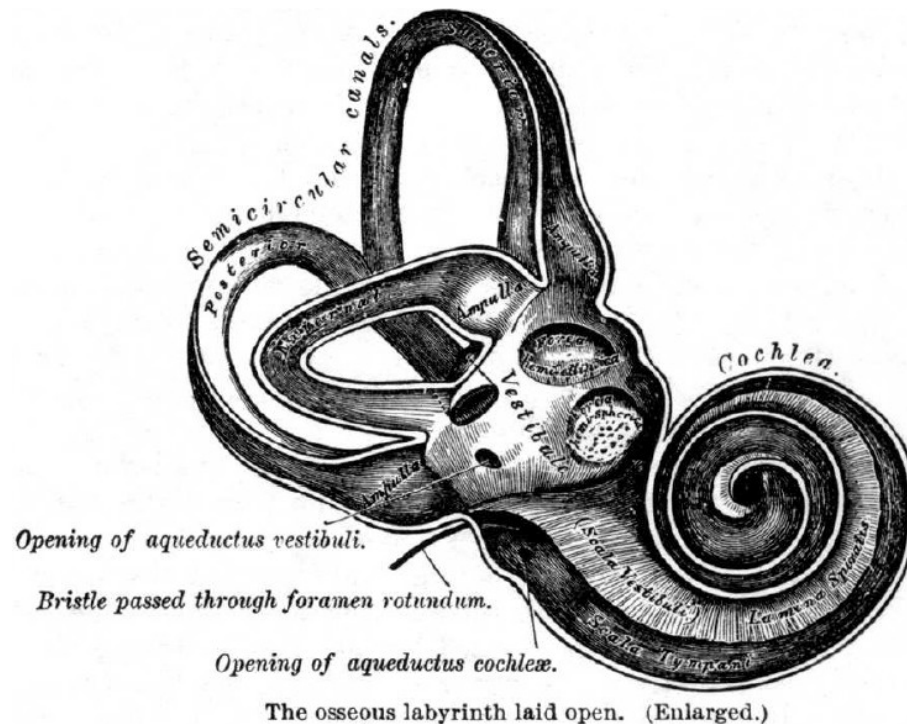
GROSS ANATOMY OF THE EAR—FRONTAL SECTION





# The Inner Ear

- the **vestibule** (middle)
- the semicircular canals (back, sense of balance)
- the **cochlea** (front, connected to the auditory nerve)





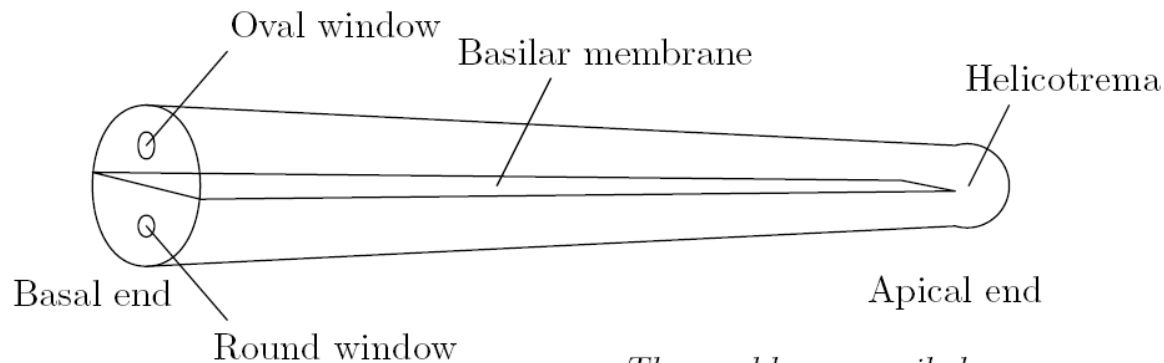


# The Cochlea

Unrolled length ~3cm

Vibrations **enter oval window** transmitted by the stapes

Wave **transmission** on basilar membrane **varies by freq**

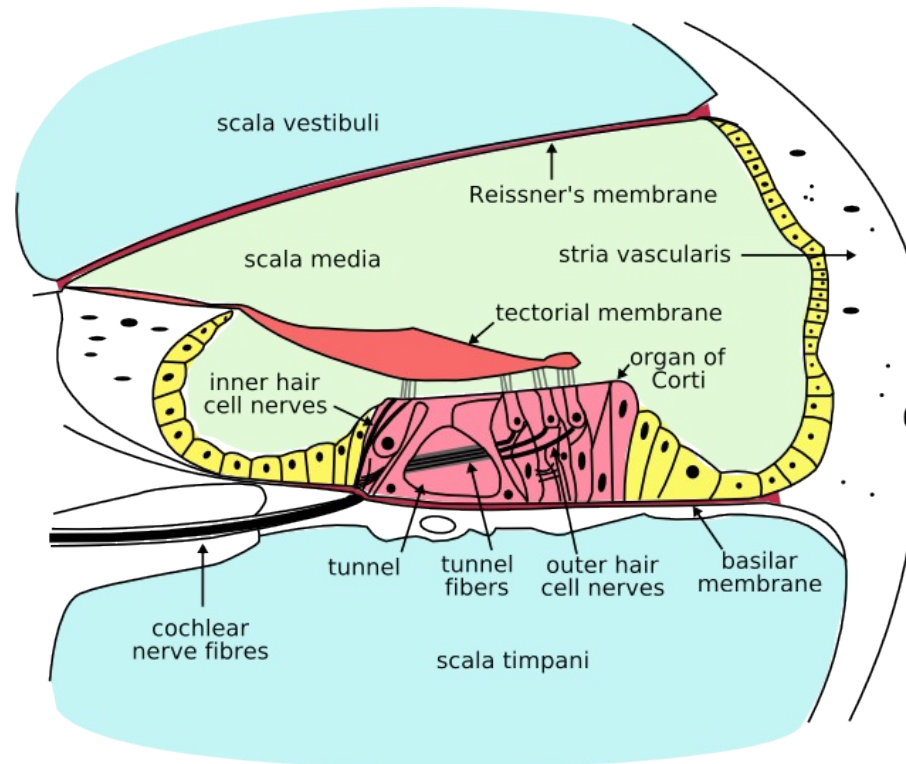


*The cochlea, uncoiled*



# Basilar Membrane

**Hair cells** on basilar membrane transform (mechanical) vibrations into (electro-chemical) nerve signals.



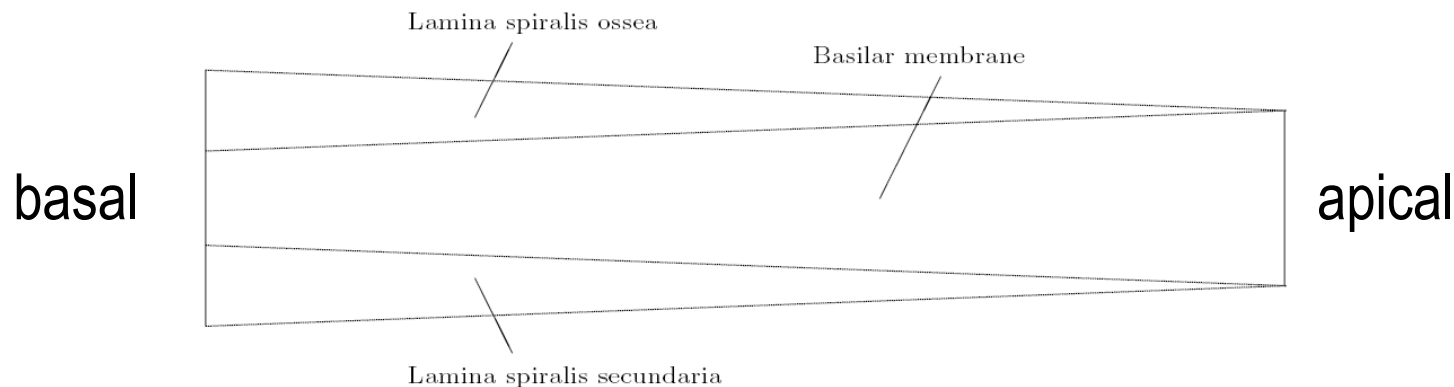


# Frequency Analysis in the Cochlea

Basilar membrane widens from basal (input) to apical end

Resonance for higher frequencies at lower (basal) positions

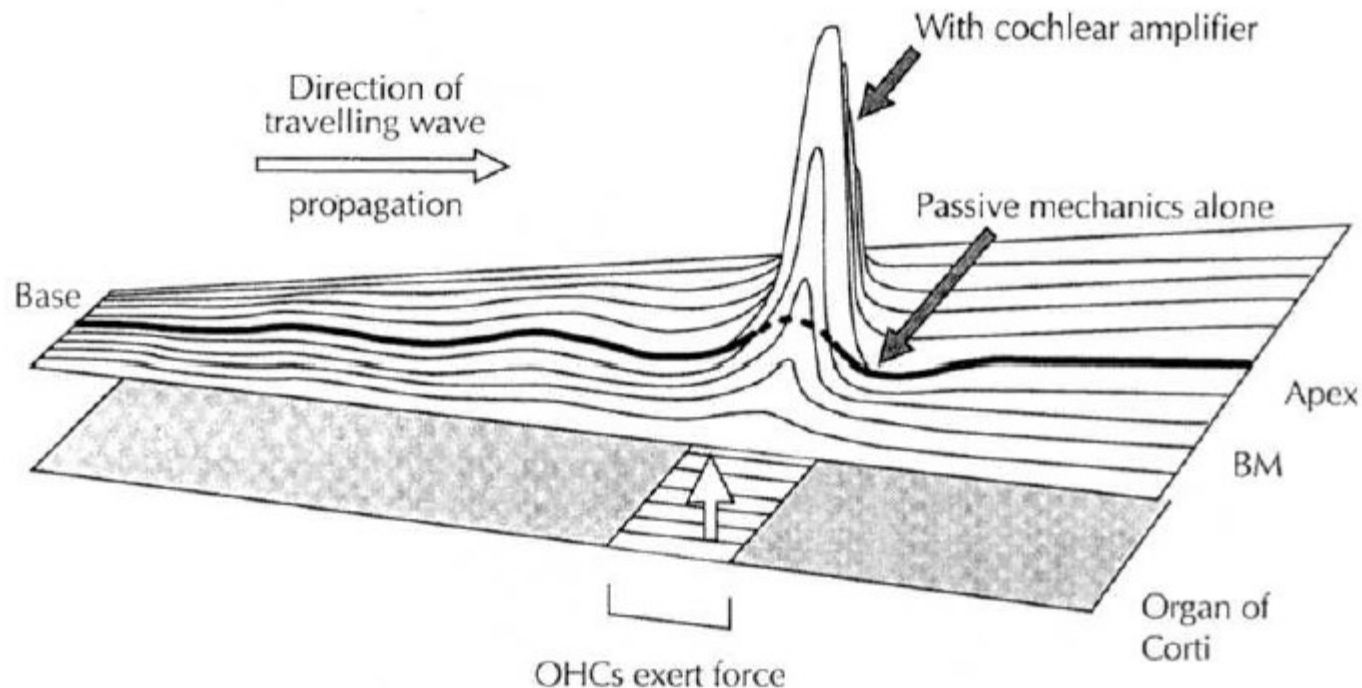
Different hair cells 'tuned' to different frequencies





# Frequency analysis in the ear

**Active sharpening** of frequency perception by top-down mechanisms (cochlear amplifier).



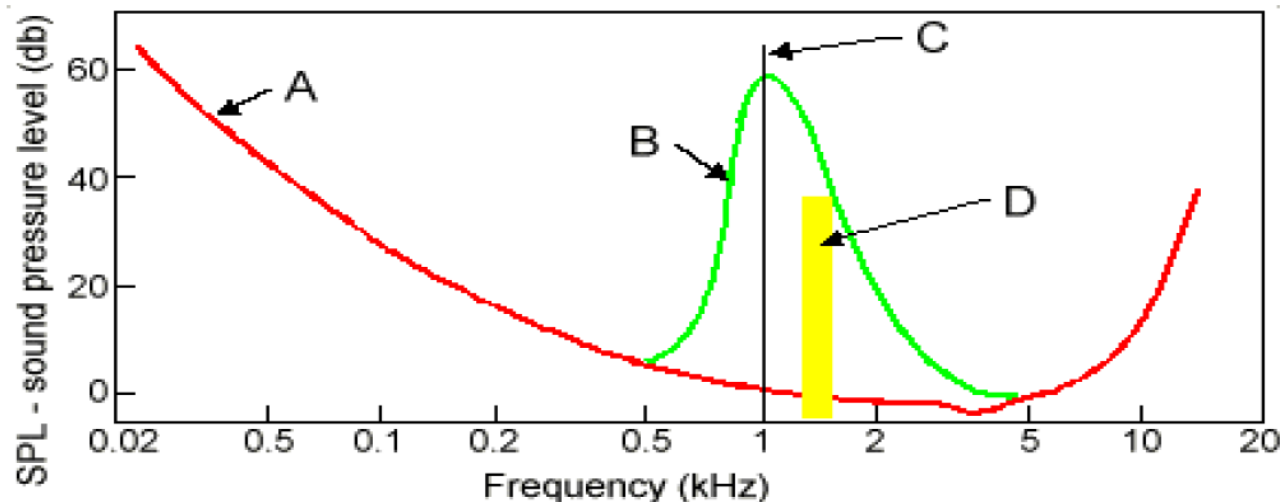
# Masking

Sounds close in frequency and time mask weaker sounds.

Used in lossy compression (MP3, WMA, OggVorbis)

A: normal audible threshold; B: threshold changed by tone C

D: Masked tone



# READING

Physics of waves:

<http://www.physicsclassroom.com/Class/sound/soundtoc.html>

Lesson 1 to 5 with tests.

Doran, basics of DSP:

[https://arrow.dit.ie/cgi/viewcontent.cgi?article=1013&context=engsch\\_elecon](https://arrow.dit.ie/cgi/viewcontent.cgi?article=1013&context=engsch_elecon)

Read pages 2-9 and do the following quiz:

<http://eleceng.dit.ie/dorran/moodle/mod/quiz/view.php?id=146>

**Next week:  
Sampling  
and Reconstruction  
Signal Correlation**