

A self contained account of a class of models of tangled type theory

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In this document, we give a self contained account of a structure which will turn out to be a model of tangled type theory, and therefore a witness to the consistency of Quine's New Foundations. We will not discuss these theories until later in the narrative. All of our business will be conducted in the usual set theory ZFC, and in fact in not very much of it, because New Foundations is not a very strong theory.

We are trying out the numbered paragraph format which Zermelo uses in his 1908 papers.

1. The construction has parameters which we introduce.

λ is a limit ordinal. Elements of λ and a special object -1 will be our type indices (it simply doesn't matter what -1 is: any set that isn't an ordinal will do). The order on type indices is suggested by the choice of symbol for the additional type index: -1 is the minimum in the order on type indices and the order on type indices extends the usual order on λ (the natural order on ordinals $< \lambda$).

κ is an uncountable regular cardinal greater than λ . Sets of cardinality $< \kappa$ will be termed small and sets which are not small are called large.

μ is a strong limit cardinal $> \kappa$ of cofinality $\geq \kappa$.

These notations are fixed for the rest of the paper.

2. Motivational notes: we are letting parameters vary in size to support extensions of NF which are strong in various ways. If one were aiming

to put a cap on the consistency strength of NF (doing this precisely is not among the aims of this paper) note that $\lambda = \omega; \kappa = \omega_1; \mu = \beth_{\omega_1}$ works here. We believe that NF is even weaker than these values of the parameters suggest, but we are not at pains here to show this.

3. We first build a system of *supertypes* indexed by the type indices, which will seen to be a model of nonextensional tangled type theory (we will explain what this means presently).

We write the supertype indexed by a type index ι as τ_ι^* .

Supertype -1 (τ_{-1}^*) is unspecified at this point, except that it is a set of cardinality μ . We will describe it more precisely later, but its exact nature is unimportant at this stage. Any choice of μ and a set of cardinality μ to serve as τ_{-1}^* can be taken to determine a system of supertypes at this point.

For $\alpha \in \lambda$ (a type index other than -1), we define τ_α^* as

$$\mathcal{P}\left(\bigcup_{-1 \leq \iota < \alpha} \tau_\iota^* \cup \{\{\tau_\eta^* : -1 \leq \eta < \alpha\}\}\right) \setminus \mathcal{P}\left(\bigcup_{-1 \leq \iota < \alpha} \tau_\iota^*\right) :$$

an element of τ_α^* is a union of subsets of the τ_ι^* 's for $-1 \leq \iota < \alpha$ with the additional element $\{\tau_\eta^* : -1 \leq \eta < \lambda\}$ added.

We denote $\{\tau_\eta^* : -1 \leq \eta < \alpha\}$ by τ_α^+ .

4. The axiom of foundation in the underlying set theory ZFC ensures that the supertypes are disjoint. Notice that τ_α^+ has higher rank than any τ_ι^* for $-1 \leq \iota < \alpha$ and so τ_α^+ cannot be an element of any element of τ_ι^* for $-1 \leq \iota < \alpha$, and so τ_α^* (all of whose elements contain τ_α^+) is disjoint from every such τ_ι^* (every type of smaller index).

None of the sets τ_α^+ are elements of any τ_ι^* : no τ_α^+ can be an element of τ_{-1}^* because $\tau_{-1}^* \in \tau_\alpha^+$ for every α . For τ_α^+ to belong to τ_β^* ($\beta \neq -1$) we would need τ_β^+ to belong to each element of τ_α^+ , including τ_{-1}^* , to which we have just seen that no τ_α^+ can belong.

5. For each α, β with $-1 \leq \alpha < \beta < \lambda$, we define $x \in_{\alpha, \beta} y$ as holding iff $x \in \tau_\alpha^* \wedge y \in \tau_\beta^* \wedge x \in y$.

This structure is a model for nonextensional tangled type theory (TTT⁻) which we now describe briefly for motivation.

TTT^- is a theory with membership and equality as primitive relations and types indexed by the elements of λ (type indices other than -1).

A formula $x^\alpha = y^\beta$ is meaningful iff $\alpha = \beta$. A formula $x^\alpha \in y^\beta$ is meaningful iff $\alpha < \beta$.

The sole axiom scheme asserts that for any formula $\phi(x^\alpha)$ in the language and $\beta > \alpha$ there is $\{x^\alpha : \phi(x^\alpha)\}^\beta$ such that

$$(\forall z^\alpha : z^\alpha \in \{x^\alpha : \phi(x^\alpha)\}^\beta \leftrightarrow \phi(z^\alpha).$$

This theory is satisfied by the system of supertypes if we interpret $x^\alpha \in y^\beta$ as $x^\alpha \in_{\alpha,\beta} y^\beta$.

The theory is nonextensional: there isn't a unique witness to serve as $\{x^\alpha : \phi(x^\alpha)\}^\beta$, though we can choose a canonical one, namely, the one whose intersection with any τ_ι with $\iota \neq \alpha$ is empty.

6. We further describe the theory TTT , in order to motivate the exertions we will go through in the rest of this paper.

TTT extends TTT^- with the additional axiom scheme of extensionality, the collection of all well formed sentences of the form

$$(\forall x^\beta y^\beta : (\forall z^\alpha : z^\alpha \in x^\beta \leftrightarrow z^\alpha \in y^\beta) \rightarrow x^\beta = y^\beta).$$

It is known that the consistency of TTT implies (in fact is equivalent to) the consistency of New Foundations. We will discuss this later.

7. In the system of supertypes, each element of type positive α has an extension over each type $\beta \in \alpha$, namely, its intersection with type β . These extensions can be mixed and matched freely: there are many type α objects with any given extension over type β (even in the case $\beta = 0, \alpha = 1$, as we can vary the intersection of the type α object with type -1).

In a model of TTT , each object of positive type is uniquely determined by each of its extensions individually. This means that one extension of any particular object determines the others. Our construction continues by exhibiting how this is done in our construction, starting with a presentation of more detail about type -1 .

8. We will refer to the elements of type -1 as *atoms*. They are not atoms in the sense of the metatheory (in fact, we will say something about their extensions as sets in a moment) but it is convenient to have a generic term for them (and in earlier constructions carried out in ZFA, analogous objects were atoms).
9. We now specify exactly what τ_{-1}^* is (in terms of the parameters κ and μ).

$$\tau_{-1}^* = \{(\nu, \beta, \gamma, \alpha) : \nu < \mu \wedge \beta \in \lambda \cup \{-1\} \wedge \gamma \in \lambda \setminus \{\beta\} \wedge \alpha < \kappa\}$$
10. For any suitable ν, β, γ we define $\Lambda_{\nu, \beta, \gamma}$ as $\{(\nu, \beta, \gamma, \alpha) \in \tau_{-1}^* : \alpha < \kappa\}$. We regard this notation as defined only if the resulting set is nonempty. Such sets are called *litters* and the set of litters is a partition of τ_{-1}^* into sets of cardinality κ .
We define $X_{\beta, \gamma}$ as $\{\Lambda_{\nu, \beta, \gamma} : \nu < \mu\}$. The use of this partition of the litters will be seen below.
11. A subset of τ_{-1}^* with small symmetric difference from a litter we call a *near-litter*. For any near-litter N we define N° as the uniquely determined litter L such that $|N \Delta L| < \kappa$. If M and N are litters, we write $M \sim N$ for $|M \Delta N| < \kappa$. This is an equivalence relation on near-litters.
12. Our intention is to construct τ_ι for each type index ι in such a way that $\tau_{-1} = \tau_{-1}^*$ (and we will henceforth abandon the latter notation, always writing τ_{-1}) and for each $\alpha \in \lambda$, $\tau_\alpha \subseteq \tau_\alpha^*$ and $|\tau_\alpha| = \mu$, and for each $-1 \leq \alpha < \beta < \lambda$ and $x \in \tau_\beta$, $x \cap \tau_\alpha^* \subseteq \tau_\alpha$. Further, for each $-1 < \gamma < \beta < \lambda$ we have for $x, y \in \tau_\beta$ that $x \cap \tau_\gamma = y \cap \tau_\gamma \rightarrow x = y$: we have extensionality (in the strong form required to interpret TTT) for the types indexed by ordinals. There are of course further conditions to be unfolded as we proceed.
13. Our strategy will be to fix an $\alpha \in \lambda$ and hypothesize that the sets τ_β have already been constructed for each $\beta < \alpha$ (satisfying these conditions [and others yet to be stated]), and then describe how τ_α is to be constructed [supposing at all points that earlier τ_β 's were constructed in the same way]. We suppose that we have already specified a well-ordering \leq_β with order type μ of each type τ_β with $-1 \leq \beta < \alpha$ (special conditions on the choice of these well-orderings will be given later).

14. For any near-litter N and $\gamma \neq -1$, we define N_γ as the unique element x of τ_γ with $x \cap \tau_{-1} = N$. We stipulate that there is one (for any N and for $\gamma < \alpha$). More generally, if $X \subseteq \tau_{-1}$, X_γ is the unique element x of τ_γ with $x \cap \tau_{-1} = X$, if there is one. We do provide that \emptyset_γ and $\{x\}_\gamma$ will exist for $x \in \tau_{-1}$, $\gamma < \alpha$.
15. We define for each element x of any τ_β the index $\iota_*(x)$ as the order type of the restriction of \leq_β to $\{y \in \tau_\beta : y <_\beta x\}$. Note that the domain of ι_* is the union of all the types!
16. We first indicate how extensionality is to be enforced.
17. We construct, for each pair of ordinals $\beta, \gamma < \alpha$ with $\beta \neq \gamma \neq -1$ (note that β can be -1), an injection $f_{\beta,\gamma}$ from τ_β into $X_{\beta,\gamma} = \{\Lambda_{\nu,\beta,\gamma} : \nu < \mu\}$ (whose definition does not actually depend on α : it will be the same at every stage).

$f_{\beta,\gamma}$ is an injection from τ_β into $X_{\beta,\gamma}$: note that the ranges of distinct $f_{\beta,\gamma}$'s are disjoint. When we define $f_{\beta,\gamma}(x)$, we presume that we have already defined it for $y <_\beta x$. We define $f_{\beta,\gamma}(x)$ as $L \cap \tau_{-1}$, where L is $<_\gamma$ -first such that $L \cap \tau_{-1} \in X_{\beta,\gamma}$ and for every $N \sim L \cap \tau_{-1}$, $\iota_*(N_\gamma) > \iota_*(x)$ [and if $\beta = -1$, $\iota_*(N_\gamma) > \iota_*(\{x\}_0)$ (NOTE: do I need this here?)], and for any $y <_\beta x$, $f_{\beta,\gamma}(y) \neq L \cap \tau_{-1}$. That this can be done relies on the fact that the order type of each \leq_β is μ .

18. Let $-1 < \beta \leq \alpha$.

Let τ_β^1 be the set of elements of τ_β^* satisfying $x \cap \tau_\gamma^* \subseteq \tau_\gamma$ for each $\gamma < \beta$.

Let τ_β^2 be the set of elements of τ_β^1 which are “weakly extensional” in a sense we now define.

An extension of an element x of τ_β^1 is a set $x \cap \tau_\gamma$ for $-1 \leq \gamma < \beta$ [we call this extension for a particular value of γ the γ -extension]. We say that an element x of τ_β^1 is *weakly extensional* iff it has an extension $x \cap \tau_\gamma$, called a distinguished extension, which has the property that if any extension of x is empty or if $x \cap \tau_{-1}$ is nonempty, $\gamma = -1$, and that for any $\delta \in \beta \setminus \{-1, \gamma\}$ we have

$$x \cap \tau_\delta = \{N_\delta : N^\circ \in f_{\gamma,\delta}“(x \cap \tau_\gamma) \}.$$

We pause to define a function implementing this. For any nonempty subset X of τ_γ , we define

$$A_\delta(X) = \{N_\delta : N^\circ \in f_{\gamma,\delta}(X)\}.$$

Note that this function A_δ can be taken to have the quite large domain

$$\bigcup_{\gamma \in \beta \setminus \{\delta, -1\}} \mathcal{P}(\tau_\gamma) \setminus \{\emptyset\},$$

since we can determine given a set in the domain what the appropriate value of γ is.

We can then state that a distinguished extension $x \cap \tau_\gamma$ of x is characterized by the condition that for each δ not equal to γ or -1 , $x \cap \tau_\delta = A_\delta(x \cap \tau_\gamma)$, and if $\gamma \neq -1$, $x \cap \tau_{-1}$ is empty.

Note that this allows us immediately to determine all extensions of objects N_γ for N a near-litter or $\{x\}_\gamma$ for x an atom.

It is part of the hypotheses of the construction that $\tau_\beta \subseteq \tau_\beta^2$ for each ordinal β less than α : elements of types already constructed are weakly extensional.

19. We show that no x has more than one distinguished extension. If the distinguished extension of x is empty, all extensions of x are empty, and we note further that the -1 -extension is designated as the distinguished extension (of course all the extensions are the same set). Note further that if the distinguished extension of x is nonempty, and c is the element of this extension with minimal image under ι_* , then every element of every other extension will have image under ι_* exceeding $\iota_*(c)$, because of the way the f maps are constructed, establishing that there is only one distinguished extension.
20. That every element of a type in our system of types is weakly extensional will not enforce the extensionality condition we want. Let $-1 \leq \gamma < \beta \leq \alpha$, and let $x, y \in \tau_\beta^2$ with $x \cap \tau_\gamma = y \cap \tau_\gamma$.

If $x \cap \tau_\gamma = y \cap \tau_\gamma$ is the empty set, then $x = y$ is immediate, because all the extensions of both sets are empty. Note that if any extension of x or y is empty, all are, so we can suppose hereinafter that all extensions of x and y are nonempty.

If the distinguished extensions of x and y are both the δ -extension for some δ (which might or might not be γ), then again $x = y$ because we have a method of computation of all other extensions of x and y which will give $x \cap \tau_\epsilon = y \cap \tau_\epsilon$ for each appropriate ϵ .

If the distinguished extensions of x and y (supposed nonempty) are the δ -extension of x and the ϵ -extension of y , with $\delta \neq \epsilon$, then any z in the γ -extension of x must be of the form N_γ where N° is in the range of $f_{\delta,\gamma}$ and in the range of $f_{\epsilon,\gamma}$, and this is impossible, as the ranges of these maps are disjoint.

The possibility which cannot be excluded is that $x \cap \tau_\gamma = y \cap \tau_\gamma$ is the distinguished extension of one of x, y and not of the other.

21. Let $-1 < \beta \leq \alpha$. We are working on defining the collection τ_β^3 of *extensional* elements of τ_β^2 (so to begin with, an extensional element of τ_β^1 is weakly extensional).

The maps A_δ defined above are injective. The ranges of distinct maps A_δ are disjoint.

Thus, we can define $A^{-1}(x)$ for $x \in \bigcup_{\gamma \in \beta \setminus \{-1\}} \mathcal{P}(\tau_\gamma) \setminus \{\emptyset\}$ as the unique y such that $A_\delta(y) = x$ for some δ (δ of course being determined by x), if such a y exists. The map A^{-1} is of course partial: but for any x , if there is any such y there is only one.

If c is the element of x with minimal image under ι_* , the element d with minimal image under ι_* in any $A_\delta(x)$ will have $\iota_*(d) > \iota_*(c)$ because of the way the f maps are defined. This implies that for any x , if c is the element of x with minimal image under ι_* , and $A^{-1}(x)$ exists, then if d is the element of $A^{-1}(x)$ with minimal image under ι_* , we have $\iota_*(d) < \iota_*(c)$. This in turn implies that no set has infinitely many iterated images under A^{-1} .

We then define τ_β^3 as the collection of all elements x of τ_β^2 with the property that either the distinguished extension of x is empty or the collection of iterated images of the distinguished extension of x under A^{-1} (not including x) is of even cardinality. Note that since every other extension of x is an image of the distinguished extension under an A_δ , they all have odd numbers of iterated images under A^{-1} .

Now observe that in the case where two distinct elements x, y of τ_β^2 have the same γ -extension for a suitable γ , described above, the common

extension of x and y is the distinguished extension of one of them (wlog x) and not the distinguished extension of the other, and so the image under A_γ of the distinguished extension of y . This means that one of x and y is extensional, and the other is not, by considering the parities of the cardinalities of the sets of iterated images of the respective distinguished extensions under A^{-1} .

We further state that $\tau_\beta \subseteq \tau_\beta^3$ for $-1 < \beta < \alpha$ as a hypothesis of the construction: all sets in types already constructed are extensional.

22. We are going to attempt a different approach here. Rather than defining the extensions we will include in our model as those symmetric under a class of permutations, we will attempt to directly describe codes for the construction of these extensions (clearly a closely related approach, but we think it may have formal advantages). A symmetry requirement will appear!
23. A typed near-litter is an element N_γ of τ_γ where N is a near-litter. A typed atom is an element $\{x\}_\gamma$ of τ_γ where $x \in \tau_{-1}$.

A *support element* is a pair (x, A) where A is a finite subset of type indices with x either an atom (type -1) [in which case we call it an atomic support element and $\min(A) = -1$] or a typed near-litter (of some type > -1 and $x \in \tau_{\min(A)}$ [in which case we call it a near-litter support element].

A β -*support* is a small set of support elements (x, A) each of which has $\max(A) = \beta$, with the technical property that if it contains distinct (x, A) and (y, A) [with the same second component; not all elements of a support need have the same second component], and x and y are both typed near-litters, they have disjoint -1 -extensions.

For any β -support S and $\gamma < \beta$, we define S_γ as

$$\{(x, A) : \max(A) = \gamma \wedge (x, A \cup \{\beta\}) \in S\}.$$

24. We attempt the recursive definition of a code for an element of our structure.

The executive summary of what a code for an element of τ_β is, if $\beta = -1$, a code for $x \in \tau_{-1}$ is x . If $\beta > -1$, a code for an element x of τ_β is

a pair (S, Σ) , where S is an α -support and Σ is a set whose elements code precisely the elements of the distinguished extension $x \cap \tau_\gamma$ of x .

Notice that at this point we know how to unfold the element of the system of supertypes which is intended to be represented by any purported code. There are additional conditions on an acceptable code, which we will state below. The reason that we can make this claim is that (on the inductive hypothesis that it is true for γ and that we have access to the f maps) we can determine every element of every extension of x – using the inductive hypothesis to cover the distinguished extension, then the fact that the set coded is intended to be weakly extensional to determine all the other extensions. Note that the code for any element of the structure with nonempty extension over τ_{-1} is a support paired with the actual extension, so we can extract the intended extension without paying attention to the support.

We impose additional restrictions on the situation described above. If $(b, B) \in \pi_1(z) \in \Sigma$, we already obviously require that $\mathbf{max}(B) = \gamma$. We further require that B is a not necessarily proper superset of

$$S_\gamma = \{(x, A) : \mathbf{max}(A) = \gamma \wedge (x, A \cup \{\beta\}) \in S\}$$

Finally, the set Σ must be invariant under the action of “substitutions” σ acting on atomic support elements and fixing their second components and in addition fixing S (speaking somewhat loosely here). It remains to explain what we mean by this.

A β -substitution is a permutation σ of atoms (if $\beta = -1$) or if $\beta > 0$ a permutation σ of atomic β -support elements (x, A) with the following properties:

- (a) $\pi_2(\sigma((x, A))) = A$ for any atomic support element (x, A) This very succinctly expresses the fact that a substitution σ independently permutes, for each fixed A with minimum -1 , the set

$$\{(x, A) : x \in \tau_{-1}\}.$$

- (b) For any (N_γ, A) , the set $\{\pi_1(\sigma((x, A \cup \{-1\}))) : x \in N\}$ is a near-litter. We define the action of σ on (N_γ, A) as producing

$$\sigma[(N_\gamma, A)] = (\{\pi_1(\sigma((x, A \cup \{-1\}))) : x \in N\}_{\mathbf{min}(A)}, A)$$

Of course the action of σ on an atomic support element coincides with the result of applying σ as a function.

- (c) For any support T , we define $\sigma[T]$ as $\{\sigma[t] : t \in T\}$: we already know how to compute the action of σ on any element of a support.
- (d) If (S, Σ) is a β -code, and σ is a β -substitution, we define $\sigma[(S, \Sigma)]$ as $(\sigma[S], \{\sigma_\gamma[c] : c \in \Sigma\})$, where the elements of Σ are γ -codes and σ_γ is the γ -substitution satisfying

$$\sigma_\gamma((x, A)) = (\pi_1(\sigma(x, A \cup \{\beta\})), A),$$

unless $\gamma = -1$, in which case $\sigma_{-1}(x) = \pi_1(\sigma(x, \{\beta, -1\}))$.

- (e) Suppose that (S, Σ) β -codes $x \in \tau_\beta$ and σ is an arbitrary β -substitution; then $\sigma[(S, \Sigma)]$ codes $\sigma[x]$ (definition of this notation; the actions of substitutions on elements of the structure are what we call “allowable permutations” in other presentations).

We have a coherence relation with the f maps restricting which permutations can be regarded as substitutions: $\sigma[f_{\gamma,\delta}(x)]$ ($\gamma, \delta < \beta$), if defined, must satisfy “for some N , $\sigma[f_{\gamma,\delta}(x)_\delta] = N_\delta$ and $N^\circ = f_{\gamma,\delta}(\sigma[x])$ ”.

Note that this implies that for any subset x of τ_β ,

$$A_\delta(\{\sigma[x] : x \in X\}) = \{\sigma[y] : y \in A_\delta(X)\} :$$

the action of a substitution on the distinguished extension of an element of the structure is precisely parallel to its action on all other extensions of the structure element. Further, this implies that the parity of the set of iterated images under A_{-1} of a subset of τ_β is preserved by the action of the substitution (so the action of the substitution preserves extensionality).

The so far unstated condition which makes a code acceptable is that (S, Σ) is a code for an element of the type structure we are defining iff each element of Σ is such a code, and each substitution σ such that $\sigma[s] = s$ for each element of S also has $\sigma[(S, \Sigma)] = (S, \Sigma)$. This exactly expresses the symmetry criterion for elements of our types τ_β .

Note that if (S, Σ) is an acceptable code, so is $\sigma[(S, \Sigma)]$: this is because it is straightforward to see that (where elements of Σ are γ -codes) the

code $(\sigma[S], \{\sigma_\gamma[c] : c \in \Sigma\})$ is fixed by any substitution whose action fixes $\sigma[S]$.

We assume as a hypothesis of the construction that each element of a type already constructed has an acceptable code and moreover has a designated acceptable code (hereinafter where we say “code” we mean “acceptable code”). We further suppose that the image under ι_* of the element with designated code (S, Σ) is not less than the image under ι_* of each first projection of an element of S in its proper type; further, the image of a typed atom or near-litter in any type under ι_* is the same as the image of any other typed atom or near-litter with the same -1 -extension, and any near litter N_δ has $\iota_*(N_\delta^\circ) \leq \iota_*(N_\delta)$ and for any $x \in N$, $\iota_*(\{x\}_\delta) > \iota_*(N_\delta^\circ)$. Further, $\iota_*(x) \leq \iota_*(y)$ iff $\iota_*(\{x\}_\delta) \leq \iota_*(\{y\}_\delta)$ for $x, y \in \tau_{-1}$.

25. We describe the selection of designated codes in more detail.

We choose a preliminary designated code for each codable element of the structure.

A support S is said to be *strong* iff

- (a) for every $(N_\delta, A) \in S$, N is a litter, and
- (b) for every atomic support element (x, A) in S there is

$$(N, A \setminus \{-1\}) \in S$$

with $x \in N$, and

- (c) for every support element of the form $(f_{\delta, \epsilon}(x)_\epsilon, A) \in S$ for which δ is dominated by every element of A except ϵ we also have for each (y, C) in the preliminary designated support of x that

$$(y, (B \setminus \{\epsilon\}) \cup C) \in S.$$

It should be evident that any support can be modified to one satisfying the first condition by replacing each element (N_δ, A) with (N_δ°, A) and the $(x, A \cup \{-1\})$ such that $x \in N \Delta N^\circ$: modifying the first component of a code in this way will preserve acceptability of the code, because any substitution whose action preserves the modified code also preserves the original code.

A code thus modified can be extended to a strong support satisfying the other two conditions simply by enforcing these closure conditions through ω steps. The designated support of each object is obtained by extending the first projection of the preliminary designated support to the smallest strong support including it as a subset.

We refer to support elements of the form $(f_{\delta,\epsilon}(x)_\epsilon, A)$ for which δ is dominated by every element of A except ϵ as *inflexible* support elements [because the coherence conditions restrict how substitutions can act on them], and refer to all other near-litter support elements as *flexible* support elements.

26. We then complete the construction of τ_α by defining it as the collection of codable elements of τ_α^3 , and choosing a well-ordering $<_\alpha$ of τ_α satisfying the stated conditions.
27. Specific elements of τ_α 's whose existence was postulated above need to be shown to be codable. \emptyset_γ is coded by (\emptyset, \emptyset) . If x is an atom, $\{x\}_\gamma$ is coded by $(\{(x, \{\gamma, -1\})\}, \{x\})$. If N is a near-litter, N_γ is coded by $(\{(N_\gamma, \{\gamma\}), N\})$.
28. We describe the construction of the well-orderings $<_\iota$ in detail.

We construct an order on the typed atoms and near-litters in τ_0 alone, starting by specifying an arbitrary well-ordering of all litters. At each of μ stages, we take the next litter L in the arbitrary order, add L_0 to $<_0$, followed by $\{x\}_0$ for each $x \in L$, followed by N_0 for each N such that N° appears earlier in the order and $\{y\}_0$ appears earlier in the order for each $y \in N \Delta N^\circ$. Notice that $<_\mu$ objects are added at each stage, and that every typed near-litter will eventually be added because the cofinality of μ is at least κ .

The order $<_{-1}$ is induced by the order on typed atoms in τ_0 just described. In $<_0$ (whose full construction is included in the general construction below) the typed singletons and near-litters are placed in the even positions in μ in the order just described.

We describe how to construct all $<_\alpha$ for $\alpha \geq 0$. We collect the extensional type α sets which are codable and designate a code for each one (axiom of choice) and convert the included support to a strong support as described above. We place the typed atoms and near-litters in even

positions in $<_\alpha$ in the same positions at which the typed atoms and near-litters with the same -1 -extensions are placed in $<_0$ (we described this above). We provide ourselves with an arbitrary well-ordering of the other sets in type α of order type μ . At each step, we go to the first unfilled position and choose the first set in the arbitrary ordering from τ_α whose designated strong support does not include any support element whose first element [typed atoms standing in for atoms here] is at a later position in the well-ordering of the type to which it belongs and place it there. Every code is eventually placed, so the entire well ordering is filled (any given item will eventually be placeable because of the cofinality of μ being at least κ and the fact that supports are small).

29. All that is needed to ensure that this works is the assurance that there are no more than μ codes, which ensures that there are exactly μ elements of each type.

30. Note that a β -code is determined by a support S and a set of orbits in the γ -codes (for some $\gamma < \beta$) over substitutions whose action fixes S_γ . There are μ supports: this is a consequence of the fact that there are μ near-litters, which is in turn a consequence of the fact that μ is of cofinality at least κ .

We claim that there are $< \mu$ orbits in the γ -codes under substitutions whose action fixes S_γ . Note that if we can show this, there are $< \mu$ sets of such orbits because μ is strong limit (for each choice of γ and so for all choices of γ because the number of choices for γ is $< \lambda < \kappa < \mu$), and so $\leq \mu$ β -codes and $\leq \mu$ elements of τ_β (there are obviously at least μ elements of τ_β), so the claim would establish $|\tau_\beta| = \mu$).

An orbit in the γ -codes over substitutions fixing S_γ is determined by an orbit in the γ -supports over such substitutions, a $\delta < \gamma$, and a set of orbits in the δ -codes under substitutions fixing T_δ for a fixed T in the orbit in γ -supports. We can suppose inductively that there are $< \mu$ choices for the last set of orbits (since $\delta < \gamma$; we will check the base case below).

Now we consider the number of orbits of a γ -support under the action of permutations fixing a given support. The number of orbits of a γ support will be less than or equal to the number of orbits of a well-ordering

of a γ -support: we consider the orbits over such well-orderings. Each item in the well-ordered γ support belongs to a class in which there are $< \mu$ orbits under the action of substitutions fixing any given support: an atomic support element has $< \kappa$ orbits (orbits for singletons and near-litters in the (small) support and the complement of their union), a near litter support element which is not an image under a relevant f map has $< \kappa$ orbits for the same reason, and a near-litter support element which is an image under a relevant $f_{\delta, \epsilon}$ has orbits correlated with the $< \mu$ [by ind hyp since $\epsilon < \gamma$] orbits of the ϵ -code for its preimage. Now to choose an orbit for an entire well-ordering, we have at each step a number of choices equal to the number of orbits for the next item under permutations which fix the union of the original support we were working with and the supports of all previously chosen items in the well-ordering (all restricted to relevant types, important for the inductive hypothesis to remain applicable), thus, by inductive hypothesis, $< \mu$ choices at each of $< \kappa$ stages, so $< \mu$ orbits for the entire well-ordering. [This is similar in spirit to the approach taken in the previous paper, but much more abstract and thereby more succinct].

The orbits in the action of a substitution on 0-codes can be described directly. Any support in a 0-code can be modified to consist of a collection of tagged atoms and near-litters not containing any of the atoms, so the orbit is simply determined by how many atoms there are and how many near-litters there are, giving $< \kappa$ orbits (because supports are small). The set of atoms which is the second component of the code will be a union of orbits in the atoms under the action of a permutation fixing the support elements, which are just the individual elements of the support and the complement of their union, so there are $< 2^\kappa \ll \mu$ such sets, and $< \mu$ orbits in the 0-codes. Similar considerations apply to counting orbits in the sets with nonempty -1 -extensions in each type. This handles the basis of the induction.

We have established that there are $< \mu$ codes and so that τ_β is of cardinality μ for each $\beta \leq \alpha$.

We are being very terse here, this can be laid out more expansively we are sure.

31. At this point, the description of the structure is complete and its existence has been established. We have not yet shown that it supports

an interpretation of TTT.

32. Our criterion for acceptability of codes enforces a high degree of symmetry, assuming that substitutions act fairly freely on our structure. We state a theorem about this.

A β -*partial substitution* is an injective map σ from β -support items to β -support items with domain and range the same, satisfying $\pi_2(\sigma(x, A)) = A$, satisfying for each litter L and each A with minimum -1 that the set $\{(x, A) : x \in L \wedge (x, A) \in \text{dom}(\sigma)\}$ is small, and satisfying that each (N_δ, A) in the domain of σ has x is not an image under $f_{\gamma, \delta}$ for any γ dominated by all elements of A except δ (near-litter support elements satisfying this condition are said to be *flexible*; ones that do not are *inflexible*).

We say that an atomic support element (x, A) is an *exception* of a substitution σ iff it satisfies the following condition: let L be the litter containing x ; either

$$\pi_1(\sigma(x, A)) \notin \pi_1(\sigma[(L, A \setminus \{-1\})])^\circ$$

or

$$\pi_1(\sigma^{-1}(x, A)) \notin \pi_1(\sigma^{-1}[(L, A \setminus \{-1\})])^\circ.$$

The Freedom of Action theorem asserts that for each partial substitution there is a substitution which extends it and has no exceptions other than elements of its domain.