

RANGARAJAN BALAGURU

Manager (IT Governance, Risk & Compliance)

Achievement-driven Professional targeting Senior-Level assignments in IT GRC Consulting, IT Audit, or Implementation Security Specialist with an organization of high reputation, preferably in UAE-Dubai or Saudi or Qatar or Abu Dhabi



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PROFILE SUMMARY

- A Certified **GRC Professional cum Auditor and ISO 27K LA & ITIL certified IT professional** with **more than 15 years** of rich & extensive experience in **IT Governance, Risk & Compliance; gained sound knowledge in IT security**
- **Expertise in planning the scope of audits** including preparing risk assessments, researching relevant technical information, and creating audit programs
- **Proficient in performing risk-based IT audits** that review security and controls of IT processes, applications, networks, databases and various operating systems
- Gained expertise in **ISO - ISMS/Cyber Security Frameworks, SOC1, SOC2 and in Data Protection & Privacy** - GDPR, DORA, ENISA, CCPA, Privacy by Design.
- Proficient in Information Security Standards and Frameworks, followed best practices for securing computer systems
- Possess a thorough understanding of business processes, auditing standards and related risk and control frameworks; developed and implemented risk-based audit strategy in accordance with the standards for the best practices of Internal Auditing, Guidelines and Compliance Monitoring
- Experienced in information security strategy, risk assessments, security architecture and governance, vulnerability assessment, penetration testing (Web and infrastructure), code reviews, malware analysis and forensics, threat management, and so on.
- Expertise in VA tools like Nessus, Acunetix, Nexpose and PT tools like OWASP, Kali Linux
- Spearheaded transformation of complex large projects & programs in Information Technology domain as per the best **ITIL practices**



CAREER TIMELINE



CORE COMPETENCIES

GRC Strategic Planning

IT Governance Roadmap

IT Risk Management

IT Audit Program (Internal)

Compliance Governance & Data Protection

Privacy - Privacy by Design, GPRA, DORA, ENSIA, CCPA

Banking Technology Management

Incident, Change & Problem Management

Solution Delivery

Vendor Management (TPRM)

Process Excellence



TECHNICAL SKILLS

- ITIL V3 Best Practices Implementation
- C, C++ - Programming
- VA tools - Nessus, Acunetix, Nexpose
- PT tools - OWASP, Kali Linux
- DOS and Windows power shell Scripting
- Visual Basic 6.0
- MS-Access, SQL 2008, 2012
- MS-Office & 365 Suite
- MS-Dos, Windows 98, 2000, XP, Vista, Win 7, Win 8 & 8.1, Windows 10, Server 2003, 2008 R2



EDUCATION

- 2008: MBA (Banking Technology) from Pondicherry University
- 2006: B.Sc. (Computers) from Tagore Arts College, Pondicherry University



SOFT SKILLS

- Communication
- Leadership
- Planning
- Decision Making
- Analytical

WORK EXPERIENCE

Since Oct'22, Intellect Design Arena Ltd. (India), Chennai as Manager for GRC

Key Result Areas:

- Defining and guiding **Information Security GRC Strategy**
- Enhancing the security profile of Intellect through external certifications and attestation engagements (**ISO 27001, ISO 27017, ISO 27018, ISO 22301, SOC 1 & 2**)
- Capitalizing on skills in Data protection and privacy - **GDPR, DORA, ENSIA, CCPA and Privacy by Design.**
- Informing and advising guidelines on the protection of personal data protection and public authorities
- Playing a key role in conducting Data Protection Impact Assessments (**DPIAs**), **RoPA, PrivacybyDesign assessment** on a timely basis
- Steering efforts for complete Risk assessment for the project
- **Overseeing the cyber security program governance** processes including cyber security risk reporting (recommending new report formats, reporting technologies and collaborating with team members to build-out reports/dashboards), and governance committee(s) and board updates
- Identifying and evaluating complex business/ technological risks, internal controls which mitigate risks, and related opportunities for internal control improvement
- Planning and completing testing of security processes to identify deviation from expected performance
- Ensuring each identified deviance is treated as an Information Security Risk
- Preparing the project or product, specify the security dashboard by covering data privacy by design, contract obligations, SAST and DAST scans

PREVIOUS EXPERIENCE

Aug'21 – Oct'22, Equitas Small Finance Bank, (India), Chennai as Assistant Vice President for IT Governance

Key Result Areas:

- Directed the Develop and manage an information security risk management program including development, evaluation, and adherence to multiple areas of practice
- Established formal risk analysis and self-assessment program for various information services, systems, processes and recognized industry standards
- Identified, assessed, managed, and tracked remediation of risks related to **IT infrastructure, applications, platforms and suppliers** and drive explicit requirements and timelines in all environments
- Engaged in Contract monitoring and vendor risk assessments, implement a governance framework, develop policies, standards and procedures to comply with organizational, regulatory and industry requirements
- Integrated security and policy control into **SDLC**, Infrastructure (server, network, storage) and ensure that any changes in systems/applications followed the ITIL process
- Coordinated and facilitated internal and external audits; following up on audit issues responses, action plans & remediation
- Designed and **implemented security & internal controls**, procedures and standards, process structure, especially regarding logging of issues and the operational risk mitigation controls

Jul'08 - Aug'21, Lakshmi Vilas Bank (Merged with DBS bank (India), Chennai

Growth Path:

2008 – 2011 as Assistant Manager

2011 – 2018 as Deputy Manager

Since 2018 as Senior Manager - IT Assurance

Key Result Areas:

- **Assessed and implemented Information Security (IS) Governance** best practices & recommendations
- Performed security risk assessment/analysis and recommended mitigation measures through appropriate controls, both in projects and for existing assets; reviewed new security policies and drafted security procedures and work instructions
- Responded to Internal (within Bank) and External Audit's observation and achieved audit observations closure by ensuring timely follow-up, escalations and responses based on the IT architecture and its Information Security policy & procedure of the bank; It covers various advisory, issued by **RBI Advisory, Csirt, RBeIT, NPCI and other regulatory**
- Prepared Risk Acceptance Formats (RAF) for exceptions to Information Security Policy which is to be approved by Information Security Risk Management Committee
- Established & steered strategic program to manage organization-wide information assets for confidentiality, integrity, availability, authenticity and non-repudiation
- Assessed risk and applied results to the prioritization of audit projects; developed audit programs that tested the level of risk which could be addressed by redefining controls within the assigned business area
- Developed and implemented Key Risk Indicators (KRIs) for IT, in consultation with Operational Risk Management and Information Security Group teams for reporting to Internal Capital Adequacy Assessment Process (ICAAP)
- Followed the Problem Management process as per the design; and conducted trending of incidents and events for own services and raised problem tickets as needed
- Delivered improvements plans and actions to reduce the number of major incidents and contributed to cross-service provider root cause analysis and problem resolution
- Ensured compliance to RBI directives by preparing and submitting quarterly and annual returns relating to (a) BCP, DR and VAPT (b) Major failures of Critical systems, and (c) BCP readiness

Highlight:

- Acted as a Key Player in planning and monitoring of IT actionable steps for SWIFT Customer Security Program (CSP) – Annually

**TRAINING/CERTIFICATION**

- Pursuing Governance of Enterprise IT (CGEIT) from ISACA and Certified Secure Software Lifecycle Professional (CSSLP - from The International Information System Security Certification Consortium, or (ISC)²
- OCEG, USA Governance Risk Compliance - Professional cum Auditor certified.
- Information Security Management Systems Lead Auditor IT Security – CQI and IRCA Certified ISO/IEC 27001:2013 certificated
- Certificate Examination in IT Security - Indian Institution of Banking and Finance certificated
- ITIL V3 Capability Module – Release Control Validation (RCV) certificated
- ITIL V3 Capability Module – Operational Support and Analysis (OSA) certificated
- ITIL V3 Foundation certification
- Financial Markets – A Beginners' Module, NSE's Certifications in Financial Market [NCFM]
- Derivatives Market (Dealers) Module, NSE's Certifications in Financial Market [NCFM]
- Securities Market (Basic) Module, NSE's Certifications in Financial Market [NCFM]

PERSONAL DETAILS

Date of Birth: 18th May 1986

Languages: English & Tamil

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