EFFICIENT APPLICATION OF METAHEURISTIC ALGORITHMS FOR BALANCING HUMAN ROBOT COLLABORATIVE ASSEMBLY LINES

A PROJECT REPORT

Submitted in partial fulfillment for the award of the degree of

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in

Mechanical Engineering

by

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DECLARATION BY THE CANDIDATE

I hereby declare that the project report entitled "**EFFICIENT APPLICATION OF METAHEURISTIC ALGORITHMS FOR BALANCING HUMAN ROBOT COLLABORATIVE ASSEMBLY LINES**" submitted by me to Vellore Institute of Technology University, Vellore in partial fulfillment of the requirement for the award of the degree of **Bachelor of Technology** in **Mechanical Engineering** is a record of bonafide project work carried out by me under the supervision of **Dr. Ponnambalam SG.** I declare that this report represents my concepts written in my own words and where others' ideas or words have been included, I have adequately cited and referenced the original sources. I further declare that I have adhered to all principles of academic honesty and integrity and have not misrepresented or fabricated or falsified any idea/data/fact/source in my submission. I understand that any violation of the above will be cause for disciplinary action by the Institute and can also evoke penal action from the sources which have thus not been properly cited or from whom proper permission has not been taken when needed. Further I affirm that the contents of this report have not been submitted and will not be submitted either in part or in full, for the award of any other degree or diploma and the same is certified.

Place : Vellore   Signature of the Candidate(s)

Date: 26.04.2022

School of Mechanical Engineering

BONAFIDE CERTIFICATE

This is to certify that the project report entitled "**EFFICIENT APPLICATION OF METAHEURISTIC ALGORITHMS FOR BALANCING HUMAN ROBOT COLLABORATIVE ASSEMBLY LINES**" submitted by **Ranganathan S V (18BME0374) & Sridharan A P (18BME0510)** to Vellore Institute of Technology University, Vellore, in partial fulfillment of the requirement for the award of the degree of **Bachelor of Technology** in **Mechanical Engineering** is a record of bona fide work carried out by him/her under my guidance. The project fulfills the requirements as per the regulations of this institute and in my opinion meets the necessary standards for submission. The contents of this report have not been submitted and will not be submitted either in part or in full, for the award of any other degree or diploma and the same is certified.

Project Guide   **Head of the Department**

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ABSTRACT

With the advent of industry 5.0 practices, resilient production technologies are advancing progressively due to increasing emphasis in shifting towards sustainability and efficiency. Human-robot collaboration (HRC) production methods are specifically eyed upon for this purpose, due to the ideology of leveraging the flexibility, intellect, consistency and accuracy of robot and human combinations. Given the sophisticated possibilities of resource allocation with multiple optimization objectives when solving semi-automated robotic assembly line balancing (RALB) problems in large-scale industries, this paper presents a realistic study in solving energy-oriented line balancing problems with collaborative robots in a StAL (straight line assembly line), for a single product type. In addition to the previously considered studies in the area of HRC, this study newly addresses several task and workstation constraints with a sense of balanced consideration of robustness, ergonomics, safety and an evenly spread semi-automated environment, to minimize the cycle time and total line energy consumption. Four problem-suited algorithms identified, namely, Particle swarm optimization (PSO), Teaching-learning-based optimization (TLBO), Migrating birds optimization (MBO) and a recent Archimedes optimization algorithm (AOA) are implemented using modified discretization procedures for updation of search. The algorithms are hybridized with the scout phase from Artificial bee colony (ABC) algorithm, to blend the associated advantages in yielding better pareto fronts by periodically replacing any recurring solutions and thus increasing exploration and exploitation spaces. Moreover, new fine tuning methods are proposed for all the developed hybrid algorithms in order to minimize computational efforts. The Pareto optimal solutions of the developed MILP, the four hybrids and their standard algorithms are collectively compared and illustrated using various performance indicator plots, on 32 standard testing HRC datasets of varying sizes and workstations. The study indicated that the hybridized AOA algorithm, which was never used for solving ALBP, performed slightly better than others and needed significantly less computation. In addition, a case study is introduced to validate the performance of the developed line balancing methodology in an electronic chip manufacturing industry.

Keywords: *Assembly line balancing; Human–robot collaboration; Collaborative robots; Multi-objective optimization; hybridization; metaheuristics*

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LIST OF SYMBOLS AND ABBREVIATIONS

ABC   Artificial bee colony

AOA   Archimedes optimization algorithm

AI   Artificial Intelligence

COBOT   Collaborative robot

HRC   Human-Robot collaboration

HRCAL   Human robot collaborative assembly line

MBO   Migrating birds optimization

NDS   Non-dominated Sorting

PSO   Particle swarm optimization

RAL   Robotic assembly line

RALB   Robotic assembly line balancing

StAL   Straight line assembly line

TLBO   Teaching-learning based optimization

CHAPTER 1

INTRODUCTION AND LITERATURE REVIEW

The manufacturing industry is constantly driven by challenges in adapting to the rapid rise of new and innovative technologies. As the demand curve increases tremendously due to updation and induction of new utilities in every product line, the industries that manufacture, assemble and process such products find a dire need to be quick and efficient to keep up with the market. Among the various processes involved in a product supply chain, the assembly process involved is regarded as a separate module to be assessed when dealing with process optimization. The assembly process is the final step in the whole production process, after which a finished product is witnessed. This chapter is presented in an orderly fashion, with the current research areas in balancing assembly lines. It takes us through the common ideology of assembly line balancing, the current trends of automation involved in assembly lines. As this study is based on HRC or manual & automation resources utilization, an outline of the current human-robot collaboration practices are then given. Some of the popular metaheuristic algorithms that are being used currently in the HRC line balancing research are investigated for their variant improvements and suitability. Lastly, the gaps identified, the objectives and assumptions made in this study are discussed.

1.1 ASSEMBLY LINE BALANCING

Assembly line balancing (ALB) is a method of optimization in which the overall assembly workload is divided in such a manner that each task in the workload follows precedence relationships and their allocation to workstations and the available set of resources yield the least amount of lead time to complete the entire assembly process. One might consider it analogous to the traveling salesman problem for optimizing transportation problems. In any industry, the optimization of assembly lines can also start with identifying and balancing several sub-assembly processes in order to break down the study complexity or in automating a set of tasks under economic considerations. Hence, the goal of balancing assembly lines varies according to the problem environment. In considering the current approaches to solving ALB problems, the first category deals with maximization of throughput in the assembly line with a given amount of resources. Whereas, the second

category is contrary to the first and deals with minimization of cost of resources for a fixed throughput number [1]. Moreover, different layouts of assembly lines exist in real life such as two-sided assembly lines, circular lines, multi manned, parallel workstation types, U-shaped lines, straight line (most common), parallel U-line type, parallel adjacent U-line, parallel multi-manned, and so on, out of which many often deal with mixed-model assembly. For instance, the studies conducted by **Hamzadayi [2]** & **Tang et al. [3]** demonstrate the necessity of balancing a two-sided assembly line, due to the necessity of large assembly parts requiring work-handling in every direction and the orientation setup times are very large, which may prove infeasible. This shows that the best layout is mostly dependent on the type and count of products being assembled.

1.1.1 ROBOTIC ASSEMBLY LINE BALANCING

In recent years, assembly processes in industries have eloped from traditional assembly lines with manual labor task implementations to robotic process automation systems. With the introduction of AI and commercial automation technology, the simple justification to replace them with their manual counterparts is due to their accuracy, speed, flexibility and long-term cost benefits involved. The robotic assembly lines, therefore lead to high volume and stable production levels. From the knowledge gained during the industrial case study implementation done later in this paper, the initial cost of automation deployment is on terms such that the cost is recovered within 20% of the total project timeline. Hence, a vital part of robotic ALB also lies in automating existing manual processes by considering ROI and task feasibility. The general ALB problem has various objectives such as minimizing cycle time, cost, increasing safety, ergonomics etc.., with constraints such as task precedence relations, number of workstations, resources etc. As industrial robots and gantries are accurate in performing tasks, their task times are assumed to be deterministic while solving RALB problems [4]. Some other assumptions made by the researchers on solving them were: (1) The precedence relation of tasks are known and the task times depend completely on the type of robot chosen. (2) One workstation comprises only one robot and all robot models are readily available to use without any capacity and cost limitations. Furthermore, several approaches have been categorized as to balancing robotic lines. The RALB type-I aims at reducing the number of workstations by assigning best suited robots and tasks to workstations; RALB type-II aims at minimizing the cycle time

with a predefined number of workstations; RALB type-E aims at reducing both cycle time and workstations thus maximizing the assembly line efficiency; RALB type-F aims at finding feasible solutions for a predefined set of workstations and cycle times; RALB type-COST aims at monetary and economic aspects; RALB type-O involves other categories not listed above [5, 6].

1.1.2 HUMAN-ROBOT COLLABORATIVE ASSEMBLY LINE BALANCING

To combine the flexible decision making of manual labor with the accuracy of robots, the study of line balancing in several workspaces considers both humans and robots working hand in hand. With increase in complex part integrations and compactness of systems, modern workspaces require a fail-proof environment to maintain efficiency and repeatability. Thus, a collaborative line balancing results in a semi-automated assembly line where human, robot and human-robot (COBOT) resources are allocated among workstations and assigned with appropriate tasks. This method of allocation can induce a great extent of intelligent manufacturing capability and flexibility to assembly systems [6,7]. With the well known fact that employing robots reduces lead time and that employing manual labor accounts for assurance and safety, this paper considers the several constraints and measures pertaining to each individual task execution by collaborative resources. Berx et al. [8] suggests careful assessment of every individual task as a primary step before deciding on its implementation ability by either humans, robots or cobots. By also keeping in mind the cost of automation and margin of lead time reduction, the ranking of risks associated with every task and resource combinations can be documented, to further decide on alternative allocation criteria and help strike a perfect balance. The known possibilities of human-robot interactions in a workstation can be stated by considering the following scenarios: (1) If the human worker is present in the opposite lane to the robot, where both work on the same task; (2) If the human and robot are in a single workstation, but work in shifts and don't coexist; (3) If both human and robot are present in a single workstation but do not work simultaneously; (4) If both the resources work simultaneously/does parallel work. Setting aside the first two scenarios, scenario (4) is largely neglected by several prominent studies in this field [9, 10, 11]. This is due to safety considerations that parallel tasking of both humans and robots may overlap and cause disruption. However, it is

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worthwhile to point out that the extent of error possibility largely depends on the sensitivity of tasks in the workspace. Additionally, parallel working lessens the interaction between automation and humans, thus increasing the error occurrence possibility due to reduced manual moniterance.

1.2 MULTI-OBJECTIVE METAHEURISTIC ALGORITHMS

Metaheuristics provide excellent methodologies in solving optimization problems that involve a multitude of datasets. It remains an active area of research, where new updation mechanisms are being proposed based on natural observations. The optimization issues that have aroused the interest of such techniques range widely in complexity. It varies from continuous to discrete computations and provides the flexibility of including constraints within its mechanism. Because of their intricate nature, solving these challenges is not an easy process. Exact algorithms are usually non-polynomial in nature and, although delivering the best results, have prohibitive execution durations and/or processing requirements for big data sets. Metaheuristic algorithms are meant to find approximate/ optimal solutions in realistic execution times for NP-hard optimization problems and give a practical and elegant answer to many such situations [12]. In general, metaheuristics draws upon its motivation from nature-based interaction techniques such as the collective swarm behavior among animals or the daily interactions towards improvement or evolutionary techniques per say. A large portion of studies that solve ALB problems use these optimization mechanisms to allocate tasks and resources by considering large amounts of task-resource-constraint combinations as input data to such algorithms. The few new generation metaheuristic algorithms that have been considered for this study include PSO, TLBO, MBO and AOA as their embedded convergence mechanisms provide great flexibility in modifying or creating variants, in order to suit the specific problem that is being considered. For instance, the PSO algorithm and its variants that have been devised by Rao et al. [13] demonstrated an induction of a new phase/strategy that further improves the performance of the standard algorithm or simply covers a gap of possibilities between different existing metaheuristic algorithms. AOA is picked for study considerations solely due to its absence in discrete optimization applications, and this study demonstrates its performance and stance with other popularly used algorithms.

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1.3 LITERATURE REVIEW

Several different scenarios of prerequisite input conditions/constraints are considered for every ALB research problem, some of which include precedence constraints, complexity ranking and classification of tasks, operational and setup times, assumptions of resource availability or other constraints, all in the interests of the type of assembly line under study [7]. Research emphasis is still downside on data retrieval models that serve as a foundation to formulating line balancing problems. Predetermined motion time systems are widely employed as precedence data retrieval methods that aggregate elementary motion types involved in any products' assembly (gripping, lifting), by considering their individual weighted average operation times as well as ergonomic work conditions. Moreover, machine learning predictive models can be useful in deciding the "atleast near" precedence relation sequence, to aid as input data for algorithmic ALB [1]. With the advent of automation, robotic assembly lines were introduced and robotic line balancing studies created a new trend in the research area of RALB. The use of cobots in the assembly line showed better results and gained interest by many industries. As this area of research is quite new, only a few papers have been published since 2019. Many real life constraints such as tools assignment, tool space optimization, etc.., were not addressed in most of the papers and this could be the future in the study of RALB. Also only a handful of studies addressed the environmental issues (carbon footprint) by the robots [9]. The existing RALB lines are of different layouts, such as MAL(multi manned assembly line), PWAL(parallel workstation), PAL(parallel), UAL(U-shaped), StAL(straight line), 2SAL(two sided), PUL(parallel U-line), PAUL(parallel adjacent U-line), PMAL(parallel multi-manned). The concept of 4 M's are kept in mind while considering the several resources of an assembly line, Man, Machine, Material & Method. Several methods are used to arrive at a solution set, and some of them are exact optimization techniques, using heuristics, meta-heuristics, etc [4]. As the automation in the manufacturing department is increasing significantly, several robots are developed to carry out several tasks. However, not all tasks can be automated due to the lack of flexibility with available robotic technologies. Humans are flexible, adaptable according to market demands, and also have decision making skills with creativity.For the assignment of the cobots to different stations with balanced distribution of workload to both human workers and the robotic partners, MILP methods are widely used although it sets a major drawback in problem size limitations [6].

The major concern with automation is their purchasing costs [11], which indirectly correlates with the utilization efficiency and power consumption. Most industries ensure that the procurement costs are worth the investments on remunerative contract projects they deal with and also ensure the terms of ROI in the near term. As most portion of the literature only considers non-parallel working of both human and robot in a single workstation, the study conducted by Ya-jun Zhang et al. [14] facilitates a stochastic line balancing model where a portion of the tasks are allowed parallel work handling between the two resources. This can prove to be the bare minimum requirements that can be considered in balancing studies that might want the parallel working of humans and robots on the same component. The recent studies in HRC ALB areas focus on three allocation possibilities: (1) only workers are present in workstations; (2) either workers or robots are present in the same workstation; (3) both workers and robots are present in a workstation. For multi-objective optimization approaches for the same, either the idealistic objective value is fixed and the required resources are determined or alternatively, the optimal objective value is determined for the available amount of resources [5].

During task allocation between robots and humans, skill requirements for all tasks are assessed in order to assign robots with tasks pertaining to low skill requirements, high complexity, precision and repetitiveness; and assign human workers with ergonomic tasks of little complexity and high cognitive adaptability skills to adapt to an error-free operative environment and suppress the risk of automation [8,15]. Metaheuristics largely aid in quick computations of line balancing problems that consider several constraints and combinatorial possibilities in simultaneous task and resource allocation along the assembly lines [12]. Population based metaheuristic algorithms prove superior performances [13] and also a long chain of multiple line balancing implementations that have been carried out over the years [2, 3, 10, 16]. Similar to line balancing, studies have demonstrated several other optimization applications in various fields [17, 18, 19] which mainly used the PSO and TLBO variants. These studies introduce variants and modifications to the standard algorithms to better suit the specific problem environment or to improve the existing mechanisms [13,20]. The basic intention behind introduction of modifications by combining various other nature inspired meta-heuristic approaches are to: (1) Enhance exploration; (2) Converge to optima in an appropriate time to improve efficiency; (3) Avoid premature convergence towards local optima; (4) increase the overall logical suitability of the

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approach, based on the optimization problems. In finding optimal solutions in multi-objective optimization problems, the NSGA-II technique is the most widely used, with applications in novel HRC studies. For this reason, this study also utilizes a reduced computational form of NDS as given in the NSGA-II formulations [21,22].

1.4 GAPS IDENTIFIED

Since the study started on RALB, only 33 articles have been published to date since 1991(over 30 years). The research on this field was less and skyrocketed recently (2015-2019). In 1997, most of the researchers started using deterministic variable task times that were determined by the types of robots. This was due to the development in technology that resulted in the invention of many different robots that can perform all the tasks. Mathematical models were used to describe the relations between the different objectives and to handle the constraints effectively. As the size of the problem increased, meta-heuristics started dominating the other methods. Many meta-heuristics were developed to tackle this NP-hardness of the RALB problem. Introduction of Cobots(collaborative robots) to the assembly line created a new trend in the research area of RALB. This use of cobots in the assembly line showed better results and gained interest by many industries. As this area of research is quite new, only a few papers have been published since 2019.

Many real life constraints such as tools assignment, tool space optimization, etc.., were not addressed in most of the papers and this could be the future in the study of RALB. Almost all the research on RALB assumes a fully automated assembly line which requires huge investments. Not all the industries are capable of making such investments and still many of the industries slowly started to introduce robots in their assembly lines. So the study of human robot collaboration assembly lines could be a great research area as many industries opt for them as they are easy and require less investment.

The studies that included cobots in the assembly line mostly preferred only one robot in a workstation. Research study on multiple robots and humans working in parallel could be a great area of research. As humans started working alongside robots, study of ergonomics and real life constraints such as human fatigue, sequence dependent setup times, tool and

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accessory changing, part transportation between stations, etc.., can be included in the RALB problem as no one has ever done that previously due to its high complexity.

Most industries focus on only one objective simultaneously while designing assembly lines rather than many. Research on multi-objective optimizations could be more relevant to real life problems. As the introduction of multi-objectives created more complexity, many meta-heuristics were developed. From the recent studies, hybrid metaheuristics showed better results than meta heuristics. So study on hybrid metaheuristics on solving multiple objectives could create a way for finding better pareto optimal solution sets.

In-line with the growing interests and developments in human-robot collaborative assembly line balancing problems using metaheuristics, "standard testing datasets" of different task sizes for HRC-ALB aren't available for researchers who may use such reliable and ready-made datasets to test different algorithms.

Due to several intricate considerations in designing a Human-Robot collaborative assembly line, a broad range of publications in this little researched domain haven't "collectively" emphasized flexible and realistic constraints with respect to individual task abilities of humans and robots, collaborative work safety, economic production.

The very recently introduced AOA is implemented by us, in this study, to solve this Human-Robot ALB problem. This algorithm is very recent, has less development fatigue and importantly, has never been used for solving any line balancing problems before. To make things interesting, this algorithm performs slightly better than all algorithms considered by us for our problem, as seen later in chapter 3.

1.5 OBJECTIVE OF THE PROJECT

To develop the four mentioned metaheuristic algorithms and hybridize them effectively for balancing and scheduling of Human-robot collaborative assembly line operations. To also compare the performance improvement of hybridization vs standard models using performance indicators.

Considering the assembly line structure of StAL (straight line assembly line) where a single product is assembled with a known number of workstations, to use the developed hybridized metaheuristic algorithms to optimize multiple objectives of CYCLE TIME REDUCTION, REDUCING OVERALL LINE ENERGY CONSUMPTION, with an overall IMPROVEMENT IN ERGONOMIC, ECONOMIC & LAYOUT CONSTRAINTS.

To consider setup times, sequence dependent times and resource allocation constraints that haven't been considered to solve human-robot ALB problems so far.

To carry out a case-study in a semi-automated industrial assembly line, to improve the multiple line objectives by automating an existing manual sub-assembly and allocating an optimal operations strategy using the developed algorithms.

CHAPTER 2

METHODOLOGY AND EXPERIMENTAL WORK

In this section of the work carried out, an overview of the implemented meta heuristic algorithms and their respective modified discretization procedures are elaborated in simple steps, to solve the problem as given in the description below. To formulate the line balancing problem for this study, detailed procedures about the data generation & representation methodologies are explained and demonstrated. The hybridisation proposed in this study is (used to make the algorithms hybridize with other better performing algorithms and give better results) part is also embedded in this chapter.

2.1 PROBLEM DESCRIPTION

The problem is formulated through literature and realistic considerations of line ergonomics, safety and intellectual diversity which is supported by a case-study done in the electronics industry. As like any other HRC line balancing problem, this problem takes into

account three possibilities of assembly line work setting: tasks operated by a human alone, tasks operated by concerned robots alone, and tasks operated by both human worker and robot in collaboration (termed as "cobot" in our problem). As in any industry, all HRC resources (humans/individual robots and cobots) considered for this problem are strictly constrained based on their abilities/possibilities in carrying out each task. As shown in **table 1**, only the tasks assigned with '1' can be carried out by the respective resource.

Table 1. Task constraints for HRC resources

TASK CONSTRAINT	TASK 1	TASK 2	TASK 3	TASK 4	TASK 5
ROBOT 1	1	1	1	1	0
ROBOT 2	1	0	1	0	1
ROBOT 3	1	1	1	0	0
COBOT 1 (robot 1 + human)	1	1	1	1	1
COBOT 2 (robot 2 + human)	1	1	1	1	1
COBOT 3 (robot 3 + human)	1	1	1	1	1
HUMAN	0	1	0	1	1

After careful considerations from literature and case-study, and to not neglect any possibility and choice for readers, two scenarios in the HRC resource availability have been considered for this problem: 1) The primary consideration is such that, there is an infinite count of robots of required types and human workers available for allocation among the given number of workstations. 2) There is a known count of robots of each type and an infinite count of human workers.

Although the first scenario lacks economical considerations to an extent, it is followed by a resource allocation constraint for the given number of workstations. This constraint is introduced to develop an optimized allocation sequence for an evenly spread semi-automated assembly line. The constraint is such that no two consecutive workstations

shall have the same resource configurations. **Figure 1** shows an assembly line illustration where the work station constraint is considered. Each of the three possibilities depict a different possible combination of resource allocation, in all of which no two consecutive stations have the same type of resource.
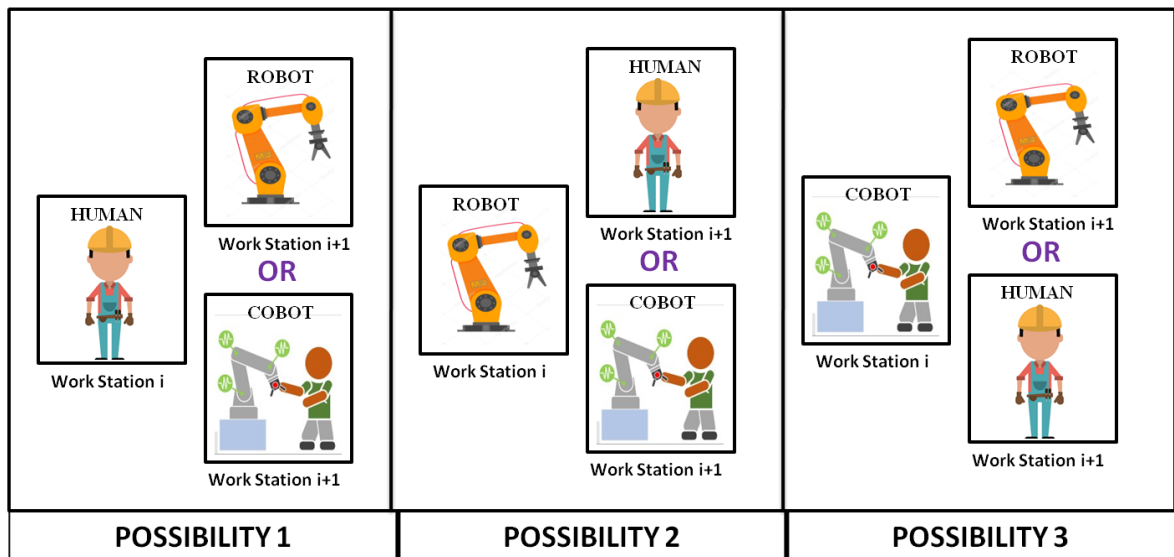
Figure 1. Illustration of proposed workstation constraint

Based on the problem considered by Li et al. **[10]**, the process alternatives sequence shall be used to execute the resource allocation constraint successfully. Regarding several task executions within a single workstation, it is to be noted that this problem considers only one type of resource allocation per workstation (either one human, one robot or one cobot) and neglects the parallel working of cobot elements, which would otherwise compromise robustness in the light of anomalies. The problem could, however, accommodate parallel working of cobot elements under management considerations. For this problem, data pertaining to prior known operational times, setup times and so forth are randomly calculated based on upper and lower bound values, as to account for task time fluctuations in the assembly lines and also to reduce fatigue in real-world HRC data generation.

Given below are the assumptions considered for this RALBP TYPE - II problem, based on the characteristics of the assembly line.

- The study considers only a straight line assembly line (StAL) where only one type of product is assembled.

- The number of assembly workstations is known prior.

- The skill set of all human workers are the same.

- Task possibility data and individual operation times of all types of robots and human workers in terms of upper and lower bounds are known prior.

- Sequence dependent times and setup times of all resources are known prior.

- Precedence relations of all tasks are known.

- Energy ratings of robots are known (can be considered for cost analysis along with labor charges of human workers)

- Robot maintenance is assumed to be done during non-production hours. In the event of any robot breakdown/down-time, the workstation(s) equipped with the failed robot(s) are simply removed to generate a new temporary emergency task allocation sequence with the humans.

2.2 DATA REPRESENTATION / GENERATION

In line with the basic data requirements in solving assembly line problems in HRC environment, some of the inputs that are considered are: 1) Total number of tasks; 2) Total workstation count; 3) Number of different robot models; 4) Task operation times for each robot models, individual human worker, and cobot; 5) Setup times and sequence dependent times for each robot model; 6) Precedence relation of all tasks; 7) Energy rating of every robot models.

Due to the lack of standardized/established datasets for HRC data models, a module [C++ code] is developed for the generation of data according to the methodology below, which also conforms to the norms of the HRC problem environment. To generate the above stated data for this problem, unique methodologies are proposed as follows:

- Number of different cobot models = Number of different robot models.

- A cobot model [type i] is equivalent to a robot [type i] working with a human worker.

- Only one type of human worker is assumed (all workers are equally skilled).

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- The lower bound (lb) and upper bound (ub) of operation time for each robot model is inputted by the user and is used for generating all the remaining data (operation time for cobot & human, setup and sequence dependent time of each type of robot).
- With the consideration of human worker flexibility, the setup time and sequence dependent time for human workers are neglected.

The bounds are generated using four parameters, a1, a2, a3 & a4 which values belong in the range [0,1]. **Table 2** shows the equations devised in calculation of the extreme boundary values. For generating the range for human workers, the average lower bound and upper bound of all the types of robots is used [lb_a = average of lower bounds of all types of robots & ub_a = average of upper bounds of all types of robots].

Table 2. Proposed equations for data generation

DESCRIPTION	FORMULAE
Lower bound of operation time for robot [type i]	lb_i
Upper bound of operation time for robot [type i]	ub_i
Lower bound of setup time for robot [type i]	a1 * lb_i
Upper bound of setup time for robot [type i]	a1 * ub_i
Lower bound of sequence dependent time for robot [type i]	a2 * lb_i
Upper bound of sequence dependent time for robot [type i]	a2 * ub_i
Lower bound of operation time for cobot [type i]	lb_i - (a3 * (ub_i - lb_i))
Upper bound of operation time for cobot [type i]	ub_i - (a3 * (ub_i - lb_i))
Lower bound of operation time of human	lb_a + (a4 * (ub_a - lb_a))
Upper bound of operation time of human	ub_a + (a4 * (ub_a - lb_a))

As stated earlier, for the purpose of comparing the performances of the various hybridized algorithms with standardized HRC datasets developed in this paper, the manual entry of exact data pertaining to the assembly line resource's operation times, setup times and so forth are laborious. Instead, randomized data generation with reference to the upper and lower bound calculations given in **table 2** are considered. However, the manual entry of

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robot model/cobot specific data can be accounted for by modifying the algorithm accordingly.
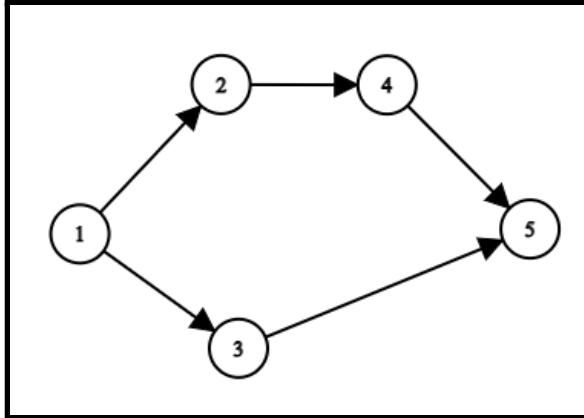
Figure 2. Precedence diagram for 5 tasks

Table 3. Precedence matrix tabulated from the task relationship diagram

PRECEDENCE MATRIX	*Task 1*	*Task 2*	*Task 3*	*Task 4*	*Task 5*
Task 1	0	1	1	0	0
Task 2	0	0	0	1	0
Task 3	0	0	0	0	1
Task 4	0	0	0	0	1
Task 5	0	0	0	0	0

A set of tabulations given below provide an example of data generated for a 5 task problem, with 3 different robot models. The precedence relations of tasks are illustrated in **figure 2** and whose numerical representation is shown as in **table 3** below. The parameters a1, a2, a3 & a4 are instantiated in the beginning and are taken as 0.25, 0.1, 0.5 & 0.3 respectively, in order to perform the necessary calculations. Upon manual entry of lower and upper bound time values for each of the 3 robot models as shown in **figure 3,** the algorithm is set to generate the operation times of each robot model, cobot pairs and the human worker, as shown in **table 4**. The null spaces in the table containing different operation times signify the impossibleness of task implementation by a particular resource for a given task. As

shown in **table 5** & **table 6,** the setup times and sequence dependent setup times for every resource type are displayed with the help of the range bound equations considered. Additionally, the energy rating tabulation is presented as shown in **table 7.**
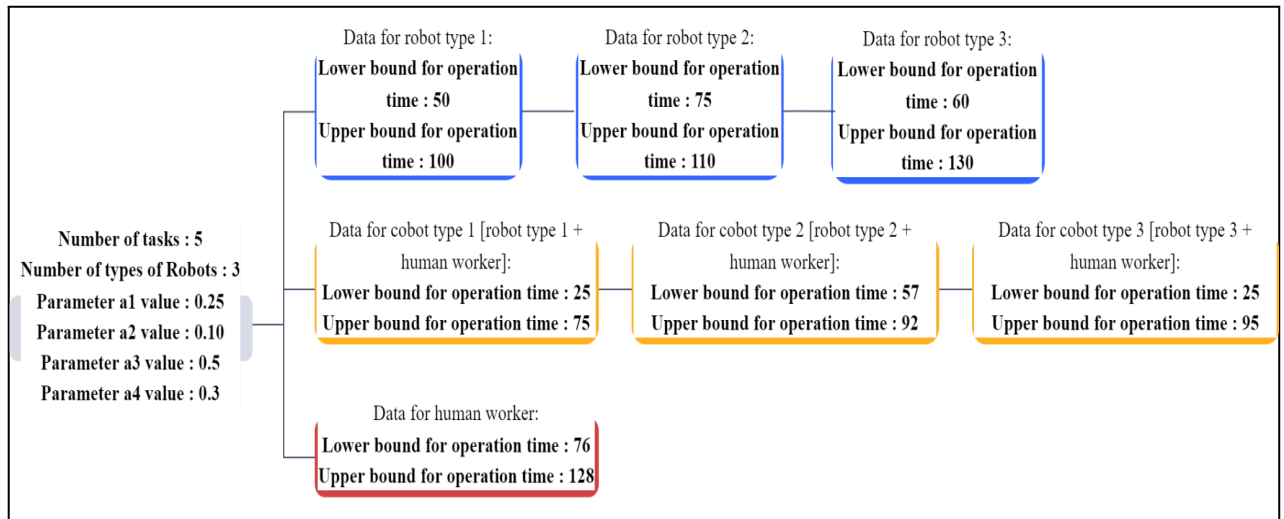
Figure 3. Illustration of example input values for data generation

Table 4. Task operation times of HRC resources (example data)

OPERATION TIME	*Task 1*	*Task 2*	*Task 3*	*Task 4*	*Task 5*
Robot 1	56	68	82	94	---
Robot 2	76	---	93	---	90
Robot 3	102	111	78	---	---
Cobot 1 (robot 1 + human)	48	70	71	48	74
Cobot 2 (robot 2 + human)	67	76	85	80	60
Cobot 3 (robot 3 + human)	74	62	68	26	29
Human	---	117	---	96	89

Table 5. Setup times of HRC resources (example data)

SETUP TIME	Task 1	Task 2	Task 3	Task 4	Task 5
Robot 1	24	23	13	19	---
Robot 2	18	---	---	21	21
Robot 3	22	24	29	---	---

Table 6. Sequence dependent times of HRC resources (example data)

SEQUENCE DEPENDENT TIME FOR ROBOT 'i' (i=1)	Task 1	Task 2	Task 3	Task 4	Task 5
Task 1	7	7	8	5	8
Task 2	8	8	7	6	7
Task 3	6	10	8	6	9
Task 4	5	10	5	10	10
Task 5	5	7	10	6	5

Table 7. Energy consumption values of HRC resources (example data)

ENERGY CONSUMPTION	Robot 1	Robot 2	Robot 3	Human
Units in [Wh / MIN]	0.2	0.18	0.25	0.1

2.3 ENCODING AND DECODING

Whilst there are a multitude of task sequence combinations, only a handful of them hold the optimal values of both the two objectives (cycle time & energy) considered in this study. The encoding procedure for this study is carried out based on task sequence vectors and process alternative vectors. Each task sequence vector represents the combinations of task numbers ordered randomly and which strictly follow precedence relations. The process alternative vector contains the resource allocation identity numbers [1-human/ 2-Robot of type i/ 3-Cobot of type i] for each workstation. For this study, the process-alternative vectors follow the workstation constraint mentioned in the problem description by not having the same identity number in any two consecutive vector digits. The generation of task sequences for a given number of sequence combinatorial population is such that the preceding task(s) of every task is stored in an adjacency list and is then used for generating the sequence using topological sorting **[16]**. The topological sort procedure is as follows:

ALGORITHM 1: Detailed Procedure for Generating Task Sequence

> *$n = 0$; number of tasks in generated sequence (initially no tasks are in the vector)*
> *N; total number of tasks that are to be allocated*
> *Create an empty vector - **task sequence vector;** holds the generated task sequence*
> *Create an **available set;** consists of tasks that has no precedence*
> ***While** ($n <= N$):*
> > *Pick a random task from the available set*
> > *Insert this task into the task sequence vector*
> > *Remove this task from the available set*
> > *Insert the tasks that had this removed task as its precedence*
> > *Increment n by 1*
>
> ***End While***

An example in **figure 4** shows the encoding of both the task sequence and the process alternative sequence, considering the workstation constraint mentioned earlier. To simultaneously account for the two objectives (cycle time & energy), it is necessary to effectively decode them from the above encoded vectors and calculate the respective operational times. For the calculation of cycle time after the allocation of a particular task sequence and process alternative among workstations, a consecutive method is carried out which accounts for both the mentioned encoding vectors. The procedure starts with the calculation of the initial cycle time (CTi), which is the least possible cycle time that can be

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yielded. This is done by adding the operation times of all tasks, which are done by a particular HRC resource that takes the shortest time in carrying out the respective individual tasks. While allocating tasks to all workstations, this CTi is incremented by 1 until we get a feasible allocation of all the tasks in the workstations. Therefore, as shown in **figure 8** tasks allocated in any individual workstation are such that their combined operational times is less than CTi at any point of time.
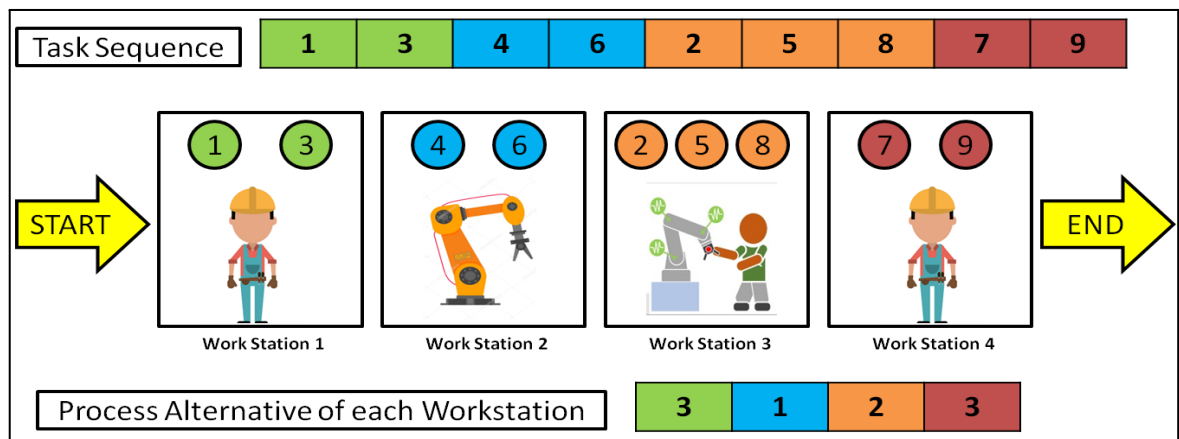
Figure 4. Encoding criteria for task and resource allocation
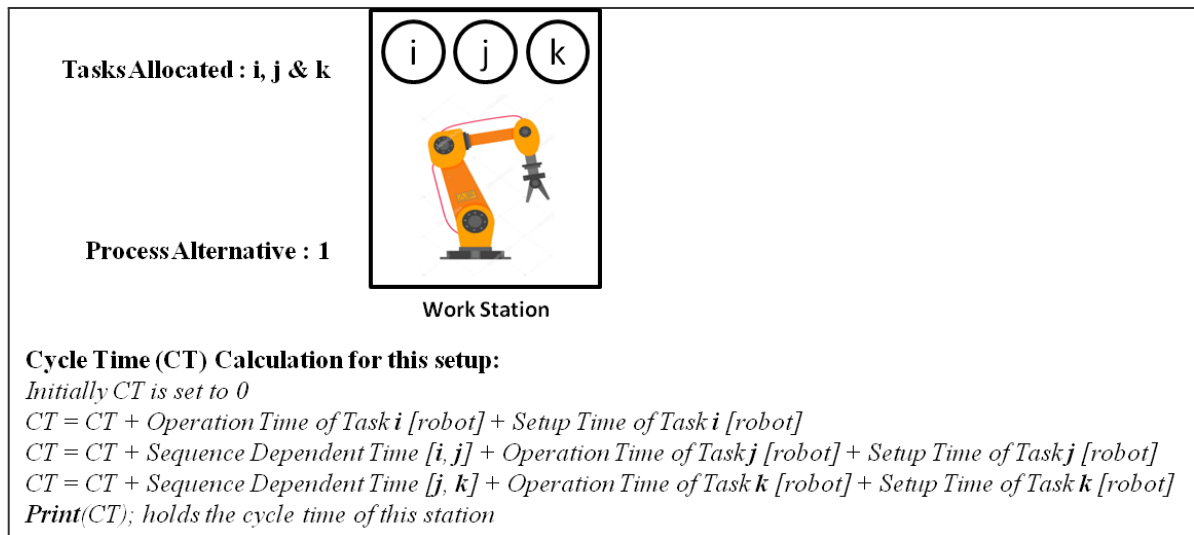
Figure 5. Cycle time calculation for robot allocation (process alternative = 1)
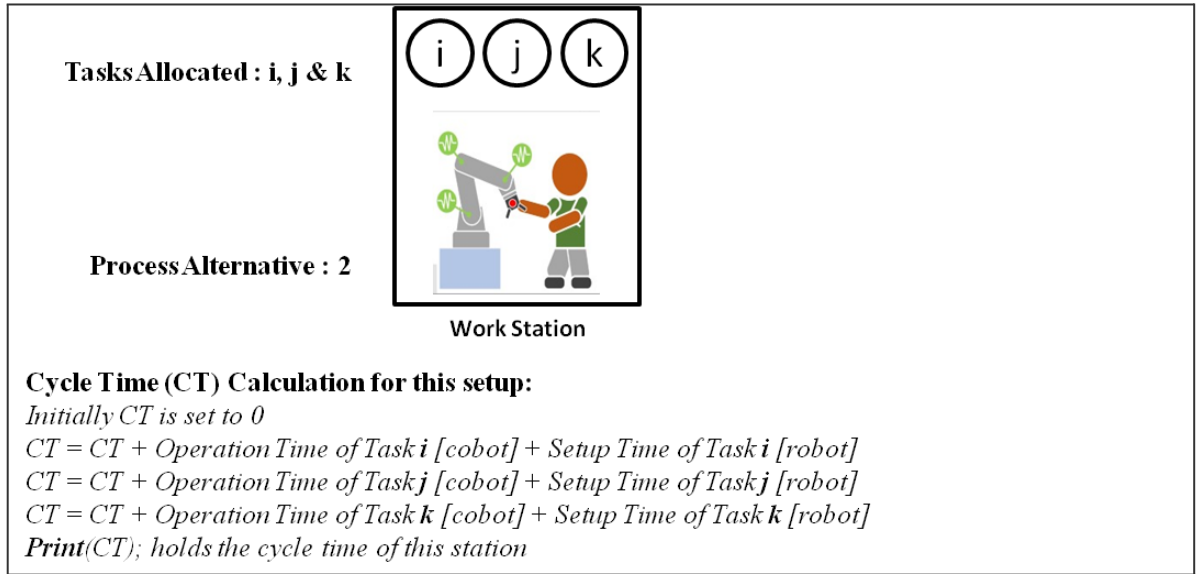
Figure 6. Cycle time calculation for cobot allocation (process alternative = 2)
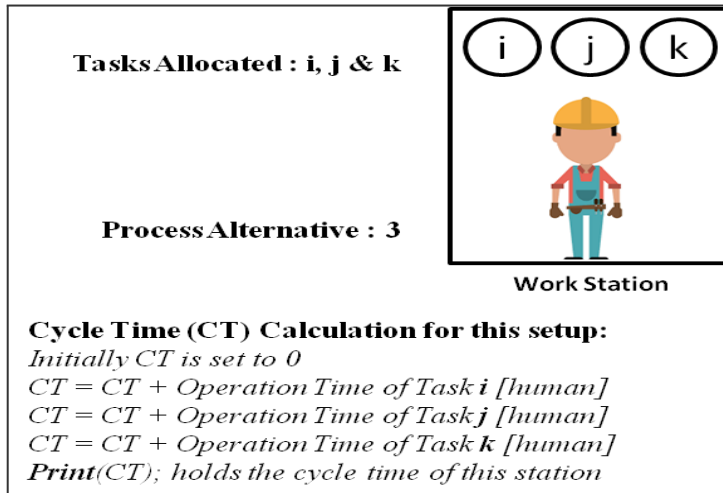
Figure 7. Cycle time calculation for human allocation (process alternative = 3)

ALGORITHM 2: Detailed Consecutive Method Procedure for Calculating Cycle Time

CT; initial cycle time
st = 0; start index
For each workstation in order:
    ct_w = 0; cycle time of particular workstation
    pa_w; initialized from the process alternative vector
    While(ct_w < CT):
        If (pa_w is 1):
            For i of each type of robot:
                ct_i = 0; cycle time of particular robot type
                Check if the task at index 'st' in the task sequence vector can

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be done by the robot of type_i

    If *(the robot can't do the task)***:**

        Go for the next type of robot

    Else:

        Allocate this task to the workstation

        Increment st by 1

        Increment ct_i according to the task allocated

    End if

End for

After trying out all possible types of robots pick the best robot

ct_w = minimum of all (ct_i)

End if

If *(pa_w is 2)***:**

    For *i of each type of cobot***:**

        ct_i = 0; *cycle time of particular cobot type*

        Allocate this task to the workstation

        Increment st by 1

        Increment ct_i according to the task allocated

    End for

    After trying out all possible types of cobots pick the best cobot

    ct_w = minimum of all (ct_i)

End if

If *(pa_w is 3)***:**

    Allocate this task to the workstation

    Increment st by 1

    Increment ct_w according to the task allocated

End if

%Checking if all tasks are allocated%

If *(st < N [total number of tasks])***:**

    st = 0

    CT = CT + 1

End if

End while

End for

Using the above algorithm, the cycle time for each workstation is calculated and the final CT (obtained after running the algorithm) is considered as the cycle time of the given task sequence and process alternative vector. Parallelly, the energy consumption is calculated as part of the secondary objective by multiplying the energy rating of the process alternative with the cycle time of each workstation and is summed up, as shown in **figure 8.**
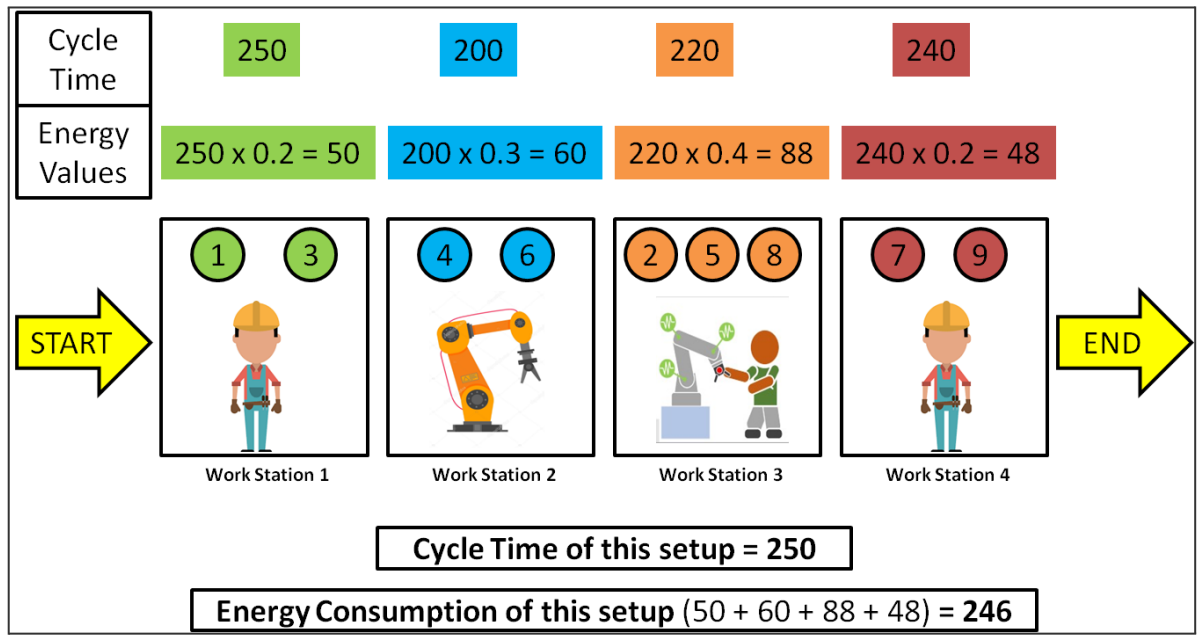
Figure 8. An example of cycle time & energy consumption values for the shown allocation

2.4 PROPOSED MULTI OBJECTIVE ALGORITHMS

In this study, four novel population-based algorithms are described for their working and later on, are presented with effective hybridization implementations that enhance their respective performances of exploration and exploitation in a balanced manner. The four proposed algorithms, namely PSO, TLBO, MBO and AOA, are particularly drawn upon for solving multi-objective energy-oriented semi-automated RALBP as they strike intuitively analogous to real world functioning of transitioning towards improvement/ optimization with numerous possibilities. PSO has extensively been used to solve geotechnical problems and the algorithm could be implemented by a vast number of variant approaches to suit many problem environments [18]; TLBO has a relatively unexplored research grounds in solving multi-objective HRC line balancing problems and contains less parameters to carry out computations. The MBO algorithm is easily understood, recently implemented for discrete variables and whose performance stands on par with novel algorithms [10]. AOA is the most recently introduced algorithm of all, that hasn't been used in discrete environments and assembly line balancing areas. It needs no separate fine-tuning methods and is found to perform better than most algorithms till date [23]. Moreover, all these algorithms extend

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their simplicity to be discretized and hybridized with other popular algorithm fragments, thus gaining marginal advantages.

During the transition towards finding the best solution (population member) as the search evolves in each iteration of the algorithm, the elite and fast non-dominated sorting (NDS) approach by Deb et al. [21, 22] is employed to embed an effective multi-objective approach in the updation and convergence of the search population. As compared to a single-objective approach where there exists only a single feasible solution, a multi-objective approach can have conflicting situations where more than one seemingly feasible solution can exist. Therefore, this study employs the use of a fast and elitist approach, where an external archive (EA) or a Pareto-optimal set holds the several feasible solutions. As shown in **figure 9,** the front 1 solutions lie on the first curve, near to the origin. These front 1 solutions obtained are referred to as the Pareto-optimal solutions, which are stored in the EA and compared to several other feasible front 1 solutions obtained from performing NDS, from each iteration. The EA is constantly updated by in turn determining the front 1 solutions from the existing front 1 solutions of each successive iteration. This can be seen in the external archive updation mechanism, under the pseudocodes of each algorithm mentioned below in **algorithm 4**, **Algorithm 5**, **Algorithm 6**, **Algorithm 7.**
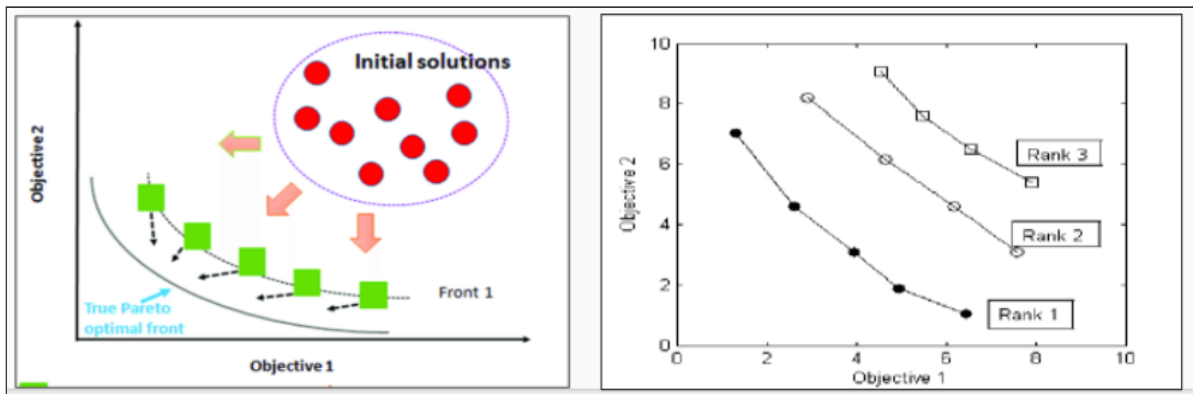
Figure 9. Position of front 1 solutions w.r.t both objectives

Till date, studies to tackle problems based on multi-objective RALB using the NDS approach [10, 11, 16], were done by calculation of all possible frontal solutions (front 1, front 2,...), leading to time complexities. After empirical trials in determining the best

solutions among the population after each iteration using the NDS approach, it was found that only the front 1 solutions are sufficient to be calculated and regarded as the Pareto-optimal set. Therefore, this study uses a modified approach to perform NDS by calculating the front 1 solutions alone, using a domination criteria as shown below in algorithm 3. The front 1 solution members from the newly generated population after each iteration are found by comparing the objective values of each individual population member separately with all other members of the population. Any individual population member is said to dominate the other if its cycle time and energy objectives are "better" than the compared rest. If any member dominates all other counterparts, then it is considered as a front 1 solution.

ALGORITHM 3: Pseudocode for NDS (Selecting one Best Solution)

Front1; stores the front 1 solutions
For i=1 to P (population size)
      N_i = 0; stores the number of solutions that is better than i'th solution in the
                  population data set
      For j=1 to P (population size)
            If(i == j):
                  Skip to next j (to avoid comparing the solution to itself)
            End if
            If(solution j DOMINATES solution i):
                  Increment N_i by 1
            End if
      End for
      If(N_i == 0):
            Add this solution (i'th solution) to the Front1
      End if
End for
print(Front1); prints the front 1 solutions(non dominated solutions) of the population
Sort(Front1); sort according to any one objective (cycle time or energy) in ascending order
Now the first and last solution in Front1 are the solutions with highest Crowding distance
Pick one solution from it randomly (considered to be the best solution in the population)

Moreover, all multi-objective studies use the concept of crowding distance, which sorts the front 1 solutions on a priority basis to select the best solution among them. This study, on the contrary, doesn't employ crowding distance but rather picks the best solution randomly

from the corner solutions of the front 1 curve. This assumption holds true as the corner solutions hold high weightage criteria in the crowding distance method. All the above modifications significantly affect the computation and convergence of each algorithm. The newly adopted NDS approach correlates with the reduction of computational efforts and the modified discretization procedures mentioned in section 8 mostly aid in improved updation methods, which in turn improves the convergence towards pareto optimal front.

2.4.1 DISCRETE PARTICLE SWARM OPTIMIZATION

Particle swarm optimization algorithm (PSO) is a population-based meta-heuristic algorithm, which is inspired by swarm intelligence techniques and proposed by the ideology based on the herd mentality of animal societies (self organizing and division of labor), to collectively explore the best habitat amongst their population, considering several objectives and cautions. In PSO, a collection of particles together search the solution space by sharing the best information they find. Each particle plans its next move by considering its individual finest discovery as well as the collective best achievement. There are two stoichiometric coefficient based equations, namely Velocity update and position update [16]. Both the position and velocity update equations define the relation between the current and successive position and velocity of each particle, respectively. The positional vector equation is given by:

$$X_i^{t+1} = X_i^t + V_i^{t+1} \tag{1}$$

Where, X_i^{t+1} denotes the position of any particle in the $(t+1)^{th}$ iteration, which is the immediate position after X_i^t (position of the member in $(t)^{th}$ iteration). The V_i^{t+1} denotes the velocity of the successive iteration, wherein the velocity update equation is:

$$V_i^{t+1} = (c1 * V_i^t) + (c2 * (P_{best,i} - X_i^t)) + (c3 * (G_{best} - X_i^t)) \tag{2}$$

From the randomly initialized swarm of task sequences that respects precedence relations, each swarm is decoded through workstation allocation procedures mentioned earlier. These decoded task sequences and process alternative sequences are calculated of their objectives and are then plotted to undergo NDS, in order to find the front 1. In every consecutive

iteration, the best solution of each individual particle (called P_{best}) is updated as the positions of each particle gets updated as shown in **equation (1).** These positional updates are driven by the velocity update equation as shown in **equation (2),** which contains G_{best} & $P_{best,i}$ as parameters. The G_{best} is the best solution achieved by the entire swarm of particles at each iteration, and is determined by randomly picking one corner solution of the front 1 found using NDS as explained earlier. Therefore, the behavior of convergence is such that the positions and hence the P_{best} of individual particles are driven in the direction of the updated G_{best} in every iteration. The solutions in the external archive set at the end of all iterations are considered to be the pareto optimal solutions. **Algorithm 4** shows the pseudocode steps in which the discrete PSO is implemented for the considered problem, with the aforementioned modifications.

ALGORITHM 4: Pseudocode for PSO

*Initialize **T;** termination criteria (number of iterations)*

*Initialize **P;** population size (swarm has P particles)*

*Initialize a random **population** (task sequences and process alternatives)*

*Initialize **velocity vector** for the population*

*Initialize **p_best** same as the population*

Using the decoding method, find cycle time and energy values for the population

***CT;** holds the cycle time of each particle in the population*

***E;** holds energy consumption of each particle in the population*

***EA;** external archive to store the pareto optimal front obtained*

Using fast non-dominated sorting find front 1 solutions for the current population

Add all these solutions to the EA

*From the found front 1 pick two corner points and assign one as **g_best** randomly*

*Initialize the coefficients (**c1, c2 & c3**)*

***For** t=1 to T (termination criteria)*

    ***For** i=1 to P (population size)*

        %UPDATE VELOCITY%

        ***Vi_t;** velocity vector of i'th particle in current iteration*

        ***Vi_t+1;** velocity vector of i'th particle for next iteration*

        ***p_best_i;** personal best task sequence for i'th population*

g_best; *best task sequence of the whole population*

Vi_t+1 = (c1 * Vi_t) + (c2 * (p_best_i – Xi_t)) + (c3 * (g_best – Xi_t))

%UPDATE TASK SEQUENCE%

Xi_t; *task sequence vector of i'th particle in current iteration*

Xi_t+1; *task sequence vector of i'th particle for next iteration*

Xi_t+1 = Xi_t + Vi_t

%UPDATE PROCESS ALTERNATIVE%

Yi_t; *process alternative vector of i'th particle in current iteration*

Yi_t+1; *process alternative vector of i'th particle for next iteration*

p_best_pa_i; *process alternatives of i'th particle corresponding to p_best*

g_best_pa_i; *process alternative corresponding to g_best*

Yi_t+1 = Yi_t + p_best_pa_i + g_best_pa_i

%REPAIR UPDATED TASK SEQUENCE AND PROCESS ALTERNATIVE%

Modify Xi_t+1 so that the new sequence is discrete & follows precedence

[*(explained in section 2.4.8)*](#)

Modify Yi_t+1 such that the constraints are followed

%UPDATE p_best%

Using the decoding method update cycle time and energy consumption for the i'th particle using Xi_t+1 and Yi_t+1

CT_i; *updated cycle time value for the i'th particle*

E_i; *updated energy consumption value for the i'th particle*

If*(new CT_i and E_i dominate old p_best_i):*

Update p_best_i

End if

End for

%UPDATE EXTERNAL ARCHIVE%

Using fast non-dominated sorting find front 1 for the current population

Add all these solutions to the EA

%UPDATE g_best%

From the found front 1 pick two corner points and assign one as g_best randomly

End for

%FINDING PARETO OPTIMAL FRONT%

Use fast non-dominated sorting for all the solutions in the external archive

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Remove all solutions from EA that is not in front 1

***print**(EA); EA now holds the pareto optimal front solutions*

2.4.2 IMPROVED DISCRETIZATION PROCEDURE FOR PSO

From the existing discretization approaches that have been used by **Rshid et al. [16]** for the various PSO operators, this study uses a simpler version of the same to discretize the developed algorithms throughout this paper. The pseudocodes of the simple and improved discretization procedures are given below for convenient reference for the reader.

2.4.2.1 ADDITION OPERATOR 1 (Task Sequence + Velocity Vector)

V; velocity vector
X; task sequence vector
Y; vector to hold the answer after carrying out the operation
***For** i in range(1, Number of tasks):*
      ***If**(V[i] == X[i] or V[i] == 0):*
           Y[i] = X[i]
      ***Else if**(V[i] != 0):*
           Y[i] = V[i]
      Else:
           Y[i] = 0
      End if
End for

2.4.2.2 ADDITION OPERATOR 2 (Velocity Vector 1 + Velocity Vector 2)

V1; velocity vector 1
V2; velocity vector 2
Y; vector to hold the answer after carrying out the operation
***For** i in range(1, Number of tasks):*
      ***If**(V1[i] != 0 and V2[i] !=0):*
           Y[i] = V2[i]
      Else:
           Y[i] = V1[i]
      End if
End for

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2.4.2.3 SUBTRACTION OPERATOR 1 (Task Sequence 1 - Task Sequence 2)

X1; task sequence 1
X2; task sequence 2
Y; vector to hold the answer after carrying out the operation
For *i in range(1, Number of tasks):*
    If*(X1[i] == X2[i]):*
        Y[i] = X1[i]
    Else:
        Y[i] = X2[i]
    End if
End for

2.4.2.4 MULTIPLICATION OPERATOR (Co-efficient * Sequence Vector)

X; task sequence 1
C; co-efficient (c1 / c2 / c3)
Y; vector to hold the answer after carrying out the operation
For *i in range(1, Number of tasks):*
    R; *generate random number between (0 to 1)*
    If*(R < C):*
        Y[i] = X1[i]
    Else:
        Y[i] = 0
    End if
End for

2.4.3 DISCRETE TEACHING LEARNING BASED OPTIMIZATION

The Teaching Learning Based Optimization (TLBO) is a population-based meta-heuristic algorithm, which is inspired and proposed by the influence of a teacher as well as interactions among students on the output of learners in a class. It has two phases, namely the Teaching phase and Learning phase. In the teaching phase, learners obtain knowledge from their teacher. The teacher in this algorithm is the best population member in terms of both objectives and is used to bring learners (the remaining population) up to its level [13]. The teaching phase expression is given by:

$$X_i^{t+1} = X_i^t + (r * (X_{mean} - (T_f * X_{best}))) \tag{3}$$

Where, X_i^{t+1} denotes the sequence of any member in the $(t+1)^{th}$ iteration, which is the immediate sequence after X_i^t (sequence of the member in $(t)^{th}$ iteration). T_f is termed the teaching factor (1 or 2) and X_{mean} is the mean sequence of all population members of any iteration, whose method is explained in the upcoming sections. The learning phase expression is given by:

$$X_i^{t+1} = X_i^{t+1} + (r * (X_i^t (+ \text{ or } -) (T_p * X_p^t))) \tag{4}$$

Where, X_p denotes the partner population member picked randomly in order to undergo the learning phase and T_p is the partner factor.

Algorithm 5 shows the equations undergone by the population for both the phases. From the randomly initialized population of task sequences and process alternative sequences that satisfies precedence relations and constraints, each task sequence is decoded through workstation allocation procedures mentioned earlier. These decoded task sequences and process alternatives are calculated of their objectives and are then plotted to undergo NDS, in order to find front 1. In every iteration, each population member first undergoes the teaching phase as shown in **equation (3)**, where the X_{best} is the teacher randomly picked from one corner solution of the front 1 found using NDS as explained earlier. The teachers phase updates each member in a partially induced manner determined by T_f & r, and considers the collective position of every member determined by X_{mean}. The same population member then undergoes a learning phase as shown in **equation (4)**, where it is compared with a randomly picked different partner solution and updated partially according to T_p & r. Therefore, the methodology is such that each population learns from the best member, while communicating with each other to explore amongst them. They are ultimately driven in the direction of X_{best} in several iterations, after which the solutions in the external archive set at the end of all iterations are considered to be the pareto optimal solutions. **Algorithm 5** shows the pseudocode steps in which the discrete TLBO is implemented for the considered problem, with the aforementioned modifications.

Algorithm 5: Pseudocode for TLBO

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*Initialize **T;** termination criteria (number of iterations)*

*Initialize **P;** population size (class has P students)*

*Initialize a random **population** (task sequences and process alternatives)*

Using the decoding method find cycle time and energy values for the population

***CT;** holds the cycle time of each student in the population*

***E;** holds energy consumption of each student in the population*

***EA;** external archive to store the pareto optimal front obtained*

Using fast non-dominated sorting find front 1 solutions for the current population

Add all these solutions to the EA

*Initialize the coefficients (**Tf, Tp & r**)*

***For** t=1 to T (termination criteria)*

> *%CALCULATING MEAN OF THE POPULATION%*
>
> ***X_mean;** holds mean of the population*
>
> *Calculate X_mean using the method explained in **section 2.4.4.1***
>
> ***For** i=1 to P (population size)*
>
>> *%TEACHING PHASE%*
>>
>> ***X_best;** teacher for the class (holds the best solution of current population)*
>>
>> *Using fast non-dominated sorting find front 1 for the current population*
>>
>> *From the front 1 pick the two corner points and assign one point as X_best*
>>
>> ***Xi_t;** task sequence vector of i'th student in current iteration*
>>
>> ***Xi_t+1;** holds tak sequence vector of i'th student for next iteration*
>>
>> ***Xi_t+1 = Xi_t + (r * (X_mean - (Tf * X_best)))***
>>
>> *%LEARNING PHASE%*
>>
>> *Generate a random number between [1 - population size]*
>>
>> *Pick that solution as partner solution for the current student*
>>
>> ***Xp_t;** partner solution (task sequence vector of p'th particle)*
>>
>> ***If**(Xp_t better than Xi_t):*
>>
>>> ***Xi_t+1 = Xi_t+1 + (r * (Xi_t - (Tp * Xp_t)))***
>>
>> ***Else:***
>>
>>> ***Xi_t+1 = Xi_t+1 + (r * (Xi_t + (Tp * Xp_t)))***
>>
>> ***End if***
>>
>> *%UPDATE PROCESS ALTERNATIVE%*
>>
>> ***Yi_t;** process alternative vector of i'th student in current iteration*
>>
>> ***Yi_t+1;** holds process alternative vector of i'th student for next iteration*
>>
>> ***X_best_pa;** process alternative corresponding to X_best*
>>
>> ***Yi_t+1 = Yi_t + X_best_pa***
>>
>> *%REPAIR UPDATED TASK SEQUENCE AND PROCESS ALTERNATIVE%*
>>
>> *Modify Xi_t+1 such that the new sequence follows the precedence relation **(explained in section 2.4.8)***
>>
>> *Modify Yi_t+1 such that the constraints are followed*
>>
>> *%GREEDY SELECTION%*
>>
>> *Keep track of the cycle time and energy consumption values of the i'th student before undertaking teaching and learning phase as Ct_i_old*

and E_i_old

Using the decoding method calculate new cycle time and energy
consumption values for the i'th student using Xi_t+1 and Yi_t+1

CT_i_old; *cycle time value of i'th student*

E_i_old; *energy consumption value of i'th student*

CT_i_new; *new cycle time value for the i'th student*

E_i_new; *new energy consumption value for the i'th student*

If*(CT_i_new & E_i_new better than CT_i_old & E_i_old):*
Update i'th student as Xi_t+1 & Yi_t+1

Else:
Don't update i'th student

End if

End for

%UPDATE EXTERNAL ARCHIVE%

Using fast non-dominated sorting find front 1 for the current population

Add all these solutions to the EA

End for

%FINDING PARETO OPTIMAL FRONT%

Use fast non-dominated sorting for all the solutions in the external archive

Remove all solutions from EA that is not in front 1

print*(EA); EA now holds the pareto optimal front solutions*

2.4.4 IMPROVED DISCRETIZATION PROCEDURE FOR TLBO

Following the similar simple and improved discretization procedures used for PSO operators as shown in **section 2.4.2**, a modified discretization approach specifically suited to discretize the TLBO operators is carried out as shown in the following pseudocodes.

2.4.4.1 MEAN CALCULATION (Mean of All Task Sequences in the Population)

Y; vector to hold the answer after carrying out the operation

For *i in range(1, Number of tasks):*

avg = 0; variable to calculate average

Xj; *task sequence[j'th member of the population]*

For *j in range(1, population size):*
avg = avg + Xj[i]

End for

avg = avg / number of tasks

If*(avg is already present in Y):*

Do:
R; *generate random number between [1 to number of tasks]*

While*(R is already present in Y)*

        Y[i] = R

   Else:

        Y[i] = avg

   End if

End for

2.4.4.2 SUBTRACTION OPERATOR 2 (Task Sequence 1 - (Co-efficient * Task Sequence 2))

X1; *task sequence 1*
X2; *task sequence 2*
C; *co-efficient (Tf / Tp/ r)*
Y; *vector to hold the answer after carrying out the operation*
For *i in range(1, Number of tasks):*

   If*(X1[i] == X2[i]):*

        Y[i] = 0

   Else:

        R; *generate random number between (0 to 1)*

        If*(R < C):*

            Y[i] = X2[i]

        Else:

            Y[i] = X1[i]

        End if

   End if

End for

2.4.4.3 ADDITION OPERATOR 3 (Task Sequence1 + (Co-efficient * Task Sequence2))

X1; *task sequence 1*
X2; *task sequence 2*
C; *co-efficient (Tf / Tp/ r)*
Y; *vector to hold the answer after carrying out the operation*
For *i in range(1, Number of tasks):*

   If*(X1[i] == X2[i]):*

        Y[i] = X1[i]

   Else:

        R; *generate random number between (0 to 1)*

        If*(R < C):*

            Y[i] = X2[i]

Else:

$$Y[i] = 0$$

End if

End if

End for

2.4.5 DISCRETE MIGRATING BIRDS OPTIMIZATION

Migrating Birds Optimization algorithm derives its analogy from the flocking of birds in a V-shaped manner during their collective flight. The analogy is such that the V-shape is the whole population wherein the tip of the V is assumed to contain the leader (or) the best solution. This algorithm undergoes three phases, Leader improvement, Block improvement and Leader updation phases [10]. **Algorithm 6** shows the different phases undergone by the population. From the randomly initialized population of task sequences and process alternative sequences that satisfies precedence relations and constraints, each task sequence and process alternative is decoded through workstation allocation procedures mentioned earlier. These decoded task sequences and process alternatives are calculated of their objectives and are then plotted to undergo NDS, in order to find front 1. The randomly picked corner solution from the front 1 curve serves as the leader of the V shape.

In the leader improvement phase, the initial leader improves itself by randomly generating 'k' number of neighbor solutions or task sequences cum alternatives and compares itself amongst them using the same NDS procedure. The best solution amongst the 'k+1' solutions is assigned the leader. Next, for the block improvement phase, each of the remaining population members improve themselves by randomly generating 'k-x' neighbor solutions or task sequences cum alternatives each, amongst which they are compared for their individual best solutions and updated using the NDS approach. Lastly, the leader is updated by comparing all the newly updated population members using NDS. This procedure is repeated until the termination criteria of the algorithm is met. MBO has the advantage of huge exploration capacity due to its widespread generation of intermediate neighborhood solutions, amongst which comparisons take place for the best solution. Thus, the tip of the V changes as soon as a new leader is discovered and after the termination criteria is met, all the leader solutions in the external archive are considered to be the pareto

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optimal solutions set. The pseudocode of the algorithm below shows the specific steps in the phases involved.

ALGORITHM 6: Pseudocode for MBO

*Initialize **T**; termination criteria (number of iterations)*
*Initialize **P**; population size (population has P birds)*
*Initialize a random **population** (task sequences and process alternatives)*
Using the decoding method find cycle time and energy values for the population
***CT**; holds the cycle time of each student in the population*
***E**; holds energy consumption of each student in the population*
***EA**; external archive to store the pareto optimal front obtained*
Using fast non-dominated sorting find front 1 solutions for the current population
Add all these solutions to the EA
*Initialize the coefficients (**k & x**)*
***For** t=1 to T (termination criteria)*
    %LEADER IMPROVEMENT/REPLACEMENT PHASE%
    ***X_best**; task sequence vector of the leader(holds the best solution of the population)*
    Using fast non-dominated sorting find front 1 for the current population
    From the found front 1 pick the two corner points and assign one point as X_best
    Generate k neighbor solution for this leader as:
    Obtain (k/3) neighbor solutions of this leader by changing task sequence
    Obtain (k/3) neighbor solutions of this leader by changing process alternative
    Obtain (k/3) neighbor solutions of this leader by changing them both
    (explained in section 2.4.6)
    From these obtained k neighbor solutions, UPDATE the leader(X_best) with the
        solution which has best cycle time and Energy values
    %BLOCK IMPROVEMENT PHASE%
    ***For** the remaining birds (left and right side of the flock):*
    ***For** i=2 to P (population size)*
        ***X_it**; task sequence vector of i'th bird*
        Generate (k-x) neighbor solution for this i'th bird as:
        Obtain ((k-x)/3) neighbor solutions of this bird by changing task sequence
        Obtain ((k-x)/3) neighbor solutions of this bird by changing process
            alternative
        Obtain ((k-x)/3) neighbor solutions of this bird by changing them both
        (explained in section 2.4.6)
        From these obtained (k-x) neighbor solutions, UPDATE the i'th bird(X_i)
            with the solution which has best cycle time and Energy values
    End for
    %UPDATE EXTERNAL ARCHIVE%
    Using fast non-dominated sorting find front 1 for the current population
    Add all these solutions to the EA

End for
%FINDING PARETO OPTIMAL FRONT%
Use fast non-dominated sorting for all the solutions in the external archive
Remove all solutions from EA that is not in front 1
print(EA); *EA now holds the pareto optimal front solutions*

2.4.6 IMPROVED DISCRETIZATION PROCEDURE FOR MBO

Following the similar simple and improved discretization procedures used for the operators of various algorithms considered previously, a modified discretization approach specifically suited to discretize the MBO operators is carried out as shown in the following pseudocodes.

2.4.6.1 NEIGHBORHOOD SOLUTION GENERATION 1 (By Changing Task Sequence Vector)

X; *task sequence vector*
Y; *vector to hold the answer after carrying out the operation*
R; *generate a random number between [1 to number of tasks]*
For *i in range (1, R):*
      Y[i] = X[i]
End for
For *i in range (R+1, number of tasks):*
      Do:
            q; generate random number between [1 to number of tasks]
      While(*q is already present in Y*)
      Y[i] = q
End for
Repair this task sequence Y *(explained in section 2.4.8)*

2.4.6.2 NEIGHBORHOOD SOLUTION GENERATION 2 (By Changing Process Alternative Sequence Vector)

To change and find neighbor solutions of a particular solution, swapping and single point mutation is performed such that the constraints are satisfied.

- Swapping - randomly pick two indices from the sequence and swap their values such that the constraints are satisfied.

- Single point mutation - randomly pick an index and change its value randomly such

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that the constraints are satisfied.

For example for a 4 station problem,

Before changing - 1 3 1 2
After performing swap operation - 1 3 2 1 (last two positions are swapped)
After performing single point mutation - 2 3 2 1 (1st position is mutated)

2.4.7 DISCRETE ARCHIMEDES OPTIMIZATION ALGORITHM

The AOA or Archimedes optimization algorithm works on the basis of Archimedes principle, where buoyant force is exerted on objects partially immersed in water, to maintain their equilibrium. Assuming multiple objects immersed in the same fluid, where the objects are analogous to each population member and the fluid media is the search space, each object (population member) tries to reach equilibrium (optimized state). Each population member or task sequence cum process alternative has three values associated with it: Density, Volume and Acceleration [23]. The three values are updated for each task sequence and process alternatives until the termination criteria is met as shown in **Algorithm 7.** The best solution among the population is found initially using the NDS approach and is the one with best density, volume and acceleration associated with it. First, for each remaining population, density and volume are updated as the iteration starts using the respective updation equations shown in **equation (5)** & **equation (6)**. The algorithm contains two parameters T_f & d, being the transfer factor and density decreasing factor respectively, which increase gradually from 0 to 1 as they get updated in every iteration as shown in their respective updation equations **equation (7)** & **equation (8)**. The acceleration value followed by the task sequence cum process alternative of each population is then conditionally updated depending on the values of T_f and d as depicted in the below algorithm. These factors are based on the current and total iteration numbers, t and t_{max} respectively and play a vital role in bringing the whole population towards equilibrium, such that the algorithm has equal exploitation capability (when T_f <= 0.5, acceleration updation follows other random population (X_r) as shown in **equation (9)**) and exploitation capacity (when T_f > 0.5, acceleration updation follows current best solution (X_{best}) as shown in **equation (10)**). The updated acceleration values are normalized as given in **equation (11)** after which the population/sequence is updated using **equation (12) (& equation (13)**.

$$Den_i^{t+1} = Den_i^t + r * (Den_{best} - Den_i^t) \tag{5}$$

$$Vol_i^{t+1} = Vol_i^t + r * (Vol_{best} - Vol_i^t) \tag{6}$$

$$T_f^t = exp\,(\,(\,t - t_{max}\,)\,/\,t_{max}\,) \tag{7}$$

$$d^t = exp\,(\,(\,t_{max} - t\,)\,/\,t_{max}\,)\,) - (\,t\,/\,t_{max}\,) \tag{8}$$

$$Acc_i = (\,(Den_r + Vol_r * Acc_r)\,/\,(Den_i * Vol_i)\qquad (IF\ T_f <= 0.5) \tag{9}$$

$$Acc_i = (\,(Den_{best} + Vol_{best} * Acc_{best})\,/\,(Den_i * Vol_i)\qquad (IF\ T_f > 0.5) \tag{10}$$

$$Acc_i(norm) = (\,0.9 * (\,Acc_i - Acc_{min}\,)\,/\,(\,Acc_{max} - Acc_{min}\,)\,) + 0.1 \tag{11}$$

$$X_i^{t+1} = X_i^t + (\,d * Acc_i^{t+1} * (\,X_r^t - X_i^t\,)\,)\qquad (IF\ T_f <= 0.5) \tag{12}$$

$$X_i^{t+1} = X_i^t + (\,F * d * Acc_i^{t+1} * (\,X_{best} - X_i^t\,)\,)\qquad (IF\ T_f > 0.5) \tag{13}$$

For the specific purpose of solving and optimizing the HRC line balancing problem and its considerations in this study, the sequence updation procedures for the AOA algorithm are modified as opposed to the standard procedures introduced by **Fatma et al [23]**. This is done so by removing the various randomization parameters that would otherwise contribute to excess computation. However, empirical trials were carried out to find the optimal task sequence before considering removing user-defined parameters. This gives an advantage to the AOA algorithm, that it requires no manual parameter fine tuning and the only parameters T_f and d are auto updated as the iterations progress. As discussed later in **chapter 3,** the relative performance of the hybridized AOA algorithm overrides the hybrids of the remaining algorithms. It also yields a large front while undergoing NDS, indicating that the algorithm does splendidly on the exploration end as well.

Moreover, as the density, volume and acceleration are all continuous variables, the aforementioned equations are simply applied for those variables. For updating the task

sequence, the addition, subtraction and multiplication operators are the same as those given for the discretization procedures of TLBO **(section 2.4.4).**

ALGORITHM 7: Pseudocode for AOA

*Initialize **T;** termination criteria (number of iterations)*
*Initialize **P;** population size (population has P objects)*
Initialize a random population (task sequences and process alternatives)
Using the decoding method find cycle time and energy values for the population
***CT;** holds the cycle time of each student in the population*
***E;** holds energy consumption of each student in the population*
Initialize density, volume and acceleration for the population randomly
***D;** holds density value of each object in the population (ranges between 0 to 1)*
***V;** holds volume value of each object in the population (ranges between 0 to 1)*
***A;** holds acceleration value of each object in the population (ranges between 0 to 1)*
***TF;** transfer operator*
***d;** density decreasing factor*
***EA;** external archive to store the pareto optimal front obtained*
Using fast non-dominated sorting find front 1 solutions for the current population
Add all these solutions to the EA
***For** t=1 to T (termination criteria)*
      ***For** i=1 to P (population size)*
           %UPDATE DENSITY & VOLUME%
           ***X_best;** best solution in the population*
           Using fast non-dominated sorting find front 1 for the current population
           From the front 1 pick the two corner points and assign one point as X_best
           ***D_best;** holds density value corresponding to the X_best*
           ***V_best;** holds acceleration value corresponding to the X_best*
           ***Di_t;** density of i'th object in current iteration*
           ***Vi_t;** volume of i'th object in current iteration*
           ***Di_t+1;** holds density of i'th object for next iteration*
           ***Vi_t+1;** holds volume of i'th object for next iteration*
           ***r;** random number generated between (0 - 1)*
           Di_t+1 = Di_t + (r * (D_best - Di_t))
           Vi_t+1 = Vi_t + (r * (V_best - Vi_t))
           %UPDATE TRANSFER FACTOR AND DENSITY DECREASING FACTOR%
           TF = exp((t - T) / T)
           d = exp((T - t) / T) - (t / T)
           %UPDATE ACCELERATION%
           ***Ai_t;** acceleration of i'th object in the current population*
           ***Ai_t+1;** holds acceleration of i'th object for next iteration*
           ***If**(TF <= 0.5):*
                 Generate a random number r between (1 to population size)

Ar_t; acceleration of r'th object in the current iteration
Dr_t; density of r'th object in the current iteration
Vr_t; volume of r'th object in the current iteration
Ai_t+1 = ((Dr_t + (Vr_t * Ar_t)) / (Di_t+1 * Vi_t+1))

Else:

A_best; holds acceleration value corresponding to the X_best
Ai_t+1 = ((D_best + (V_best * A_best)) / (Di_t+1 * Vi_t+1))

End if

%NORMALIZE ACCELERATION%
*Initialize **u = 0.9***
*Initialize **v = 0.1***
***A_min;** minimum acceleration value in the population*
***A_max;** maximum acceleration value in the population*
Ai_t+1(normalized) = (u * (Ai_t+1 - A_min) / (A_max - A_min))) + v
%UPDATE TASK SEQUENCE%
***Xi_t;** task sequence vector of i'th object in current iteration*
***Xi_t+1;** holds task sequence vector of i'th object for next iteration*
***If**(TF <= 0.5):*

Xi_t+1 = Xi_t + (d * Ai_t+1 * (Xr_t - Xi_t))

Else:

*Generate a random number **F** (either -1 or +1)*
Xi_t+1 = Xi_t + (F * d * Ai_t+1 * (X_best - Xi_t))

End if

%UPDATE PROCESS ALTERNATIVE%
***Yi_t;** process alternative vector of i'th student in current iteration*
***Yi_t+1;** holds process alternative vector of i'th student for next iteration*
***X_best_pa;** process alternative corresponding to X_best*
Yi_t+1 = Yi_t + X_best_pa
%REPAIR UPDATED TASK SEQUENCE AND PROCESS ALTERNATIVE%
Modify Xi_t+1 such that the new sequence follows the precedence relation
[(explained in section 2.4.8)](explained in section 2.4.8)
Modify Yi_t+1 such that the constraints are followed

End for

%UPDATE EXTERNAL ARCHIVE%
Using fast non-dominated sorting find front 1 for the current population
Add all these solutions to the EA

End for

%FINDING PARETO OPTIMAL FRONT%
Use fast non-dominated sorting for all the solutions in the external archive
Remove all solutions from EA that is not in front 1
***print**(EA); EA now holds the pareto optimal front solutions*

2.4.8 REPAIR FUNCTION FOR DISCRETIZATION PROCEDURES

In all the algorithms developed in this study, the updated task sequences cum process alternatives obtained after undergoing the proposed discretization methods in every iteration, might not follow the precedence relations. Sometimes, the task numbers might be repeated or some tasks might get missed out due to repetition of tasks. Inorder to repair these sequences, a repair function is proposed in this study and is implemented parallelly with the developed algorithms to make sure the ambiguities in yielding the desired task sequences are neglected and the updated population members always follow the precedence relation. An example of the differences in the ambiguous and the repaired task sequence is shown in **figure 10.** The methodology of the repair function is given as by the pseudo code below.
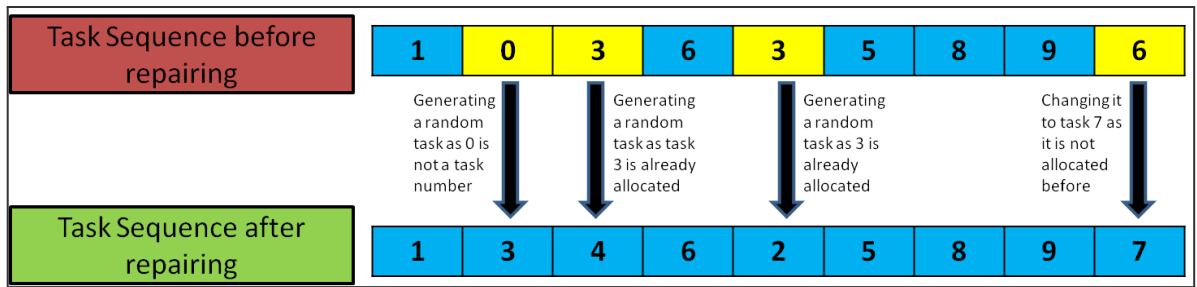
Figure 10. Working Illustration of the proposed repair function

ALGORITHM 8: Pseudocode for Repair Function:

X; task sequence vector to be repaired
Y; a vector to hold repaired task sequence
***Available;** a vector that has available tasks that can be allocated (follows precedence)*
Insert tasks that have no precedence tasks into available vector
***n = 0;** keep track of index in vector X*
***i = 0;** keep track of index in vector Y*
Do:
 If(n >= N[total number of tasks]):
  Select a task randomly from the Available vector
  T; randomly selected task from available vector
  Insert T into Y[i]
  Add tasks that have T as its precedence in Available vector
  Remove T from the Available vector
  Increment i by 1
 Else if(X[n] in Available):

> *Insert X[n] into Y[i]*
> *Add tasks that have X[n] as its precedence in Available vector*
> *Remove X[n] from the Available vector*
> *Increment n by 1*
> *Increment i by 1*
>
> ***Else:***
> *Increment n by 1*
> ***End if***
>
> ***While****(i < N [total number of tasks])*
> ***Print****(Y); vector Y contains repaired task sequence (follows precedence relation)*

2.5 HYBRIDISATION OF PROPOSED MULTI-OBJECTIVE ALGORITHMS

The domain of metaheuristic approaches are esteemed in dealing with a variety of complex and discrete optimization problems, especially when the decoding entities are substantially large and parameterized (NP-hard) [12]. In order to continuously improve such a domain, hybridization procedures are among ways to combine the efficacy of phases involved in different popular metaheuristic algorithms. Apart from the competing and superior optimization mechanisms present in the four algorithms that are considered, this study proposes to hybridize and improve the individual performances of the four standard algorithms by embedding in them the Scout phase from the novel ABC algorithm.

In each iteration, the scout phase of the ABC keeps track of the individual population members or task cum process alternative sequences that are not improved/updated to a new objective value over the iterations [24]. **Algorithm 9** shows the sequential steps carried out, where a limit value is manually set as the allowed number of iterations for which the objective values (cycle time & energy consumption) of any population remains unchanged. Therefore, if the objective values of any population member remain unchanged over the iteration limit value that was set manually, this phase aids in the removal of that particular population member and replaces it with a random different population.

This mechanism of remote tracking, removal and replacement of members with repeating objective values prevent the algorithm to avoid being trapped in local optima zones and enables the population updation to converge better towards the narrowed set of pareto optimal solutions as the iterations progress. Thus, the result of this hybridization is the

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enhancement of the overall capacity to rapidly explore a bigger search space while increasing the chances of better exploitation (due to possible changes in recurring best solution). Moreover, the removed solution(s) may be the pareto optimal solution. For this purpose, they are stored/memorized separately in the EA using the elitist approach and are then compared at the algorithm termination point.

In **chapter 3**, the comparative performance indications of the proposed hybridized algorithms and their standard equivalents are pointed out and discussed, to give a better perspective for the reader on the importance of hybridization approaches in general. The pseudocode for the considered Scout phase from the ABC algorithm is given below.

ALGORITHM 9: Pseudocode for Scout Phase of ABC Algorithm(Hybridisation)

Counter; *a vector that keep tracks of no. of times a solution in the population is unchanged*
For *i=1 to P (population size)*
　　Counter_i = 0; *Counter for all the solutions in the population is set to 0 initially*
End for
Limit; *a value set by user*
For *t=1 to T (number of iterations)*
　　For *i=1 to P (population size)*
　　　　CTi_old; *holds cycle time value of i'th solution before updation*
　　　　Ei_old; *holds energy value of i'th solution before updation*
　　　　…
　　　　Run updation procedure using any algorithm
　　　　…
　　　　CTi_new; *holds cycle time value of i'th solution after updation*
　　　　Ei_new; *holds energy value of i'th solution after updation*
　　　　If(CTi_old & Ei_old SAME AS CTi_new & Ei_new):
　　　　　　Increment Counter_i by 1
　　　　End if
　　　　If(Counter_i >= Limit):
　　　　　　Remove present i'th solution from the population
　　　　　　Add a new randomly generated solution in that position
　　　　　　Set Counter_i to 0
　　　　End if
　　End for
End for

CHAPTER 3

RESULTS AND DISCUSSION

This chapter displays and discusses the results obtained by statistically comparing the hybridized algorithms with their standard models using five novel performance indications that depict the all-round characteristics of any metaheuristic algorithm. Further, the proposed simple fine tuning methodologies for each of the developed algorithms are given and their results as to the best fine-tuning criteria are discussed and displayed. To give the reader a better understanding of Robotic and human-robot assembly lines, the two configurations are compared and plotted. Lastly, the whole study is supported by a case-study implementation in an electronic industry.

3.1 PERFORMANCE INDICATORS

Every new and improved metaheuristic model that is developed in various studies undergo performance comparisons using several indicators. The specific choice of indicators chosen depends on the developed algorithms and their comparison criteria. During the algorithmic generation of solutions in any iteration, the comparison of single-objective solutions involves merely a single expression whereas the comparison of multi-objective solutions involves a two-way conflicting approach [10]. This leads to the necessity of comparing each solution in the consecutive iterations of their multi-objective domination criteria as explained in **section 2.4** and parallely the performances of algorithms in yielding the desired solutions. For this study, four quantitative performance indicators are used, some of which are adopted from **Rashid et al. [16]** to conduct the comparative studies:

1. ***Non-dominated solutions count*** (N), which denotes the number of non-dominated solutions found by the algorithm in the Pareto optimal set after the last iteration is complete. A higher value of (N) denotes better algorithmic performance.

2. ***Global error ratio*** (E_G), which denotes the percentage of the non-dominated solution count (N) to the global non-dominated solution count (Pareto optimal set obtained from combining the individual pareto optimal sets of all algorithms under comparison). This ratio metric takes into consideration the relative non-dominated performance of any algorithm w.r.t the remaining algorithms. Hence, a lower value

of (E_G) has an indirect relative correlation with (N) and signifies good performance of the algorithm.

3. ***Convergence metric*** (CM), which gives a measure of the extent of convergence towards the global Pareto optimal set. Here, the global Pareto optimal set is obtained from combining the individual pareto optimal sets of all algorithms under comparison. It is mathematically determined by calculating the sum of euclidean distances (d_i) between the nearest end iteration values of non-dominated solutions and the global Pareto optimal set values and wholly dividing by N, as shown by **equation (14)**. Hence, a lower value of CM depicts better algorithmic performance.

$$CM = \frac{\sum\limits_{i=1}^{N} d_i}{N} \qquad (14)$$

4. ***Spacing metric*** (SM), which gives a measure of the relative distance between each solution in the end iteration values of the obtained non-dominated solutions, whose calculation is shown in **equation (15)** below. $\bar{d}$ denotes the average value of all relative distance (d_i). Hence, a lower spacing metric proves better performance wise.

$$SM = \sqrt{\frac{1}{N}\sum\limits_{i=1}^{N}(d_i - \bar{d})^2} \qquad (15)$$

5. ***Maximum spread*** (S_{max}), which measures the spread of the end iteration values from the non-dominated solutions obtained by any algorithm. As shown in **equation (16)**, the differences in the maximum and minimum values for the two objectives are considered at a time. A larger value of spread denoted better algorithmic performance.

$$S_{max} = \sqrt{\sum\limits_{i=1}^{2}(min\, f_i - max\, f_i)^2} \qquad (16)$$

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3.2 EXPERIMENTAL SETTINGS

Table 8. Standard testing dataset premise used for comparison of algorithms

DATA SET no.	No. of Tasks	No. of stations	DATA SET no.	No. of Tasks	No. of stations
1	25	3	17	89	8
2	25	4	18	89	12
3	25	6	19	89	16
4	25	9	20	89	21
5	35	4	21	111	9
6	35	5	22	111	13
7	35	7	23	111	17
8	35	12	24	111	22
9	53	5	25	148	10
10	53	7	26	148	14
11	53	10	27	148	21
12	53	14	28	148	29
13	70	7	29	297	19
14	70	10	30	297	29
15	70	14	31	297	38
16	70	19	32	297	50

With reference to the data generation methodology considered in **section 2.2**, it was mentioned that due to a lack of standardized HRC testing datasets, this study considered the proposal of 32 dataset formulations, which is generated with randomized values of task sequences and process alternatives for given precedence relations, constraints, work

handling time values of given resources, task and workstation numbers. These 32 standard HRC testing datasets as shown in **table 8,** are categorized based on the sizes of tasks and workstations for decoding purposes. Of the 32 testing sets, tasks are categorized on the basis of their sizes: small (<50); medium (<100); large (>100). The suitability of all the developed hybridized algorithms and their standard models are later compared based on the task size categories. Moreover, the considerations for population size and number of iterations were empirically determined and were set to 30 each. All the algorithms and data models were coded in C++ on the Visual Studio Code 2015. The experimentations and comparative studies were conducted on a single computer with i7 processor and 16 GB RAM.

3.3 FINE TUNING OF PARAMETERS

Every metaheuristic algorithm has certain parameters embedded in their updation equations, which aids to bias the equation in converging every solution towards an optimal value with different considerations. To aid in reducing the complexity of fine tuning of the algorithmic parameters, this study proposes a new range methodology to determine the best range for all the parameters involved in the four algorithms considered, based on task sizes. The different parameters involved can be seen from **equation (1)** to **equation (13)**. Depending on the influence of parameters in the update equations, the different parametric value ranges for all four algorithms are given as shown in **table 9**.

Table 9. Proposed parameter fine tuning based on ranges

ALGORITHM	RANGES
PSO (**c1**-inertia weight **c2**-acceleration coefficient of P_best **c3**-acceleration coefficient of G_best)	• **Range 1 :** $c1 \in (0, 0.5)$, $c2+c3 <= 1.5$ • **Range 2 :** $c1 \in (0.5, 1)$, $c2+c3 <= 1.5$ • **Range 3 :** $c2 \in (0, 0.5)$, $c1+c3 <= 1.5$ • **Range 4 :** $c2 \in (0.5, 1)$, $c1+c3 <= 1.5$ • **Range 5 :** $c3 \in (0, 0.5)$, $c1+c2 <= 1.5$ • **Range 6 :** $c3 \in (0.5, 1)$, $c1+c2 <= 1.5$

TLBO (**r**-mean impact coefficient **Tf**-Teaching coefficient **Tp**-Learning coefficient)	• **Range 1 :** $r \in (0, 0.5)$, Tf+Tp <= 1.5 • **Range 2 :** $r \in (0.5, 1)$, Tf+Tp <= 1.5 • **Range 3 :** $Tf \in (0, 0.5)$, r+Tp <= 1.5 • **Range 4 :** $Tf \in (0.5, 1)$, r+Tp <= 1.5 • **Range 5 :** $Tp \in (0, 0.5)$, r+Tf <= 1.5 • **Range 6 :** $Tp \in (0.5, 1)$, r+Tf <= 1.5
MBO (**K** - number of neighborhood solutions of current leader **X** - represents any number less than K)	• **Range 1 :** K=2, X=1 • **Range 2 :** K=3, X=1 • **Range 3 :** K=5, X=2 • **Range 4 :** K=5, X=3 • **Range 5 :** K=7, X=3 • **Range 6 :** K=10, X=5
AOA	No fine tuning needed as parameters are neglected for the developed & improved AOA.

Using the performance indicators listed in **section 3.1**, the performance of each algorithm for all its associated six ranges are compared for the three categories of task sizes given in **section 3.2**. This way, the best parametric range is decided for each algorithm based on the size of tasks involved as shown in **table 10**.

Table 10. Conclusive result of fine tuning of parameters

DATA SIZE	PSO	TLBO	MBO	AOA
SMALL	$c_1 = [0 - 0.5]$ $c_2 + c_3 <= 1.5$	$Tf = [0 - 0.5]$ $r + Tp <= 1.5$	K = 5 X = 3	-------
MEDIUM	$c_3 = [0.5 - 1]$ $c_1 + c_2 <= 1.5$	$Tp = [0.5 - 1]$ $r + Tf <= 1.5$	K = 3 X = 1	-------
LARGE	$c_2 = [0.5 - 1]$ $c_1 + c_3 <= 1.5$	$Tf = [0 - 0.5]$ $r + Tp <= 1.5$	K = 5 X = 2	-------

3.4 COMPARATIVE RESULTS USING STATISTICAL ANALYSIS

The comparative studies presented in this paper primarily focus on comparing the hybridized algorithm against their standard models. For the purpose of deciding the suitability among the four algorithms for line balancing studies, comparisons have been extended such that the hybridized and standard models are compared within themselves as well as in a collective fashion. For instance, **figure 11** shows the comparison plots of both standard & hybridized versions of PSO, TLBO, MBO and AOA using the performance indicator 1 (N) (on y-axis) for the 32 developed standard testing HRC datasets (on x-axis). In this chapter, only the first three performance indicators, i.e., N, E_G & CM are considered sufficient as they hold high priority in determining overall algorithmic performances. It can be observed that AOA shows higher peaks indicating a greater performance compared to the rest. With reference to **section 3.1**, similar plots are generated for the various performance indicators as shown in **figure 12** and **figure 13**. The AOA algorithm manifests a better performance with a lower error ratio and convergence metric. The reader could however compare any relative algorithmic performances based on the different task sizes by simply navigating through the abscissa of the plots.
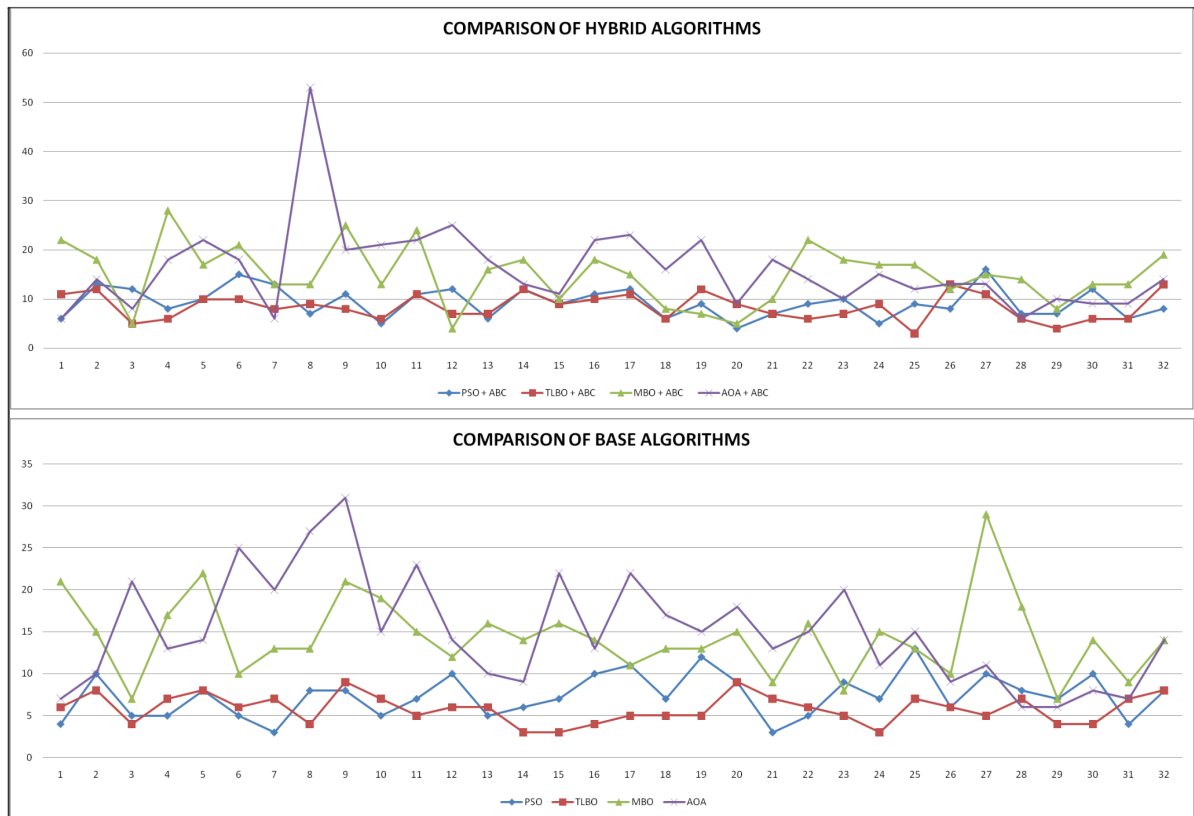
Figure 11. Line plot of Performance Indicator 1 (N)

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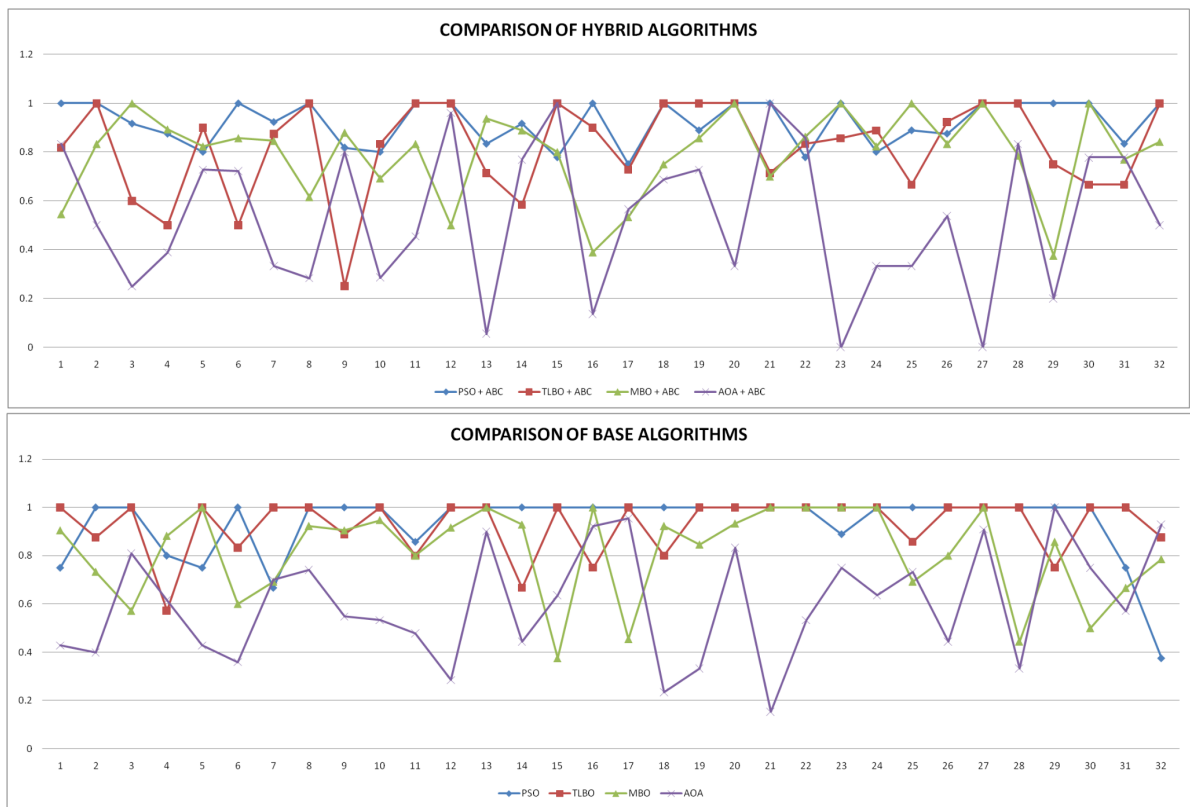
Figure 12. Line plot of Performance Indicator 2 (E$_G$)
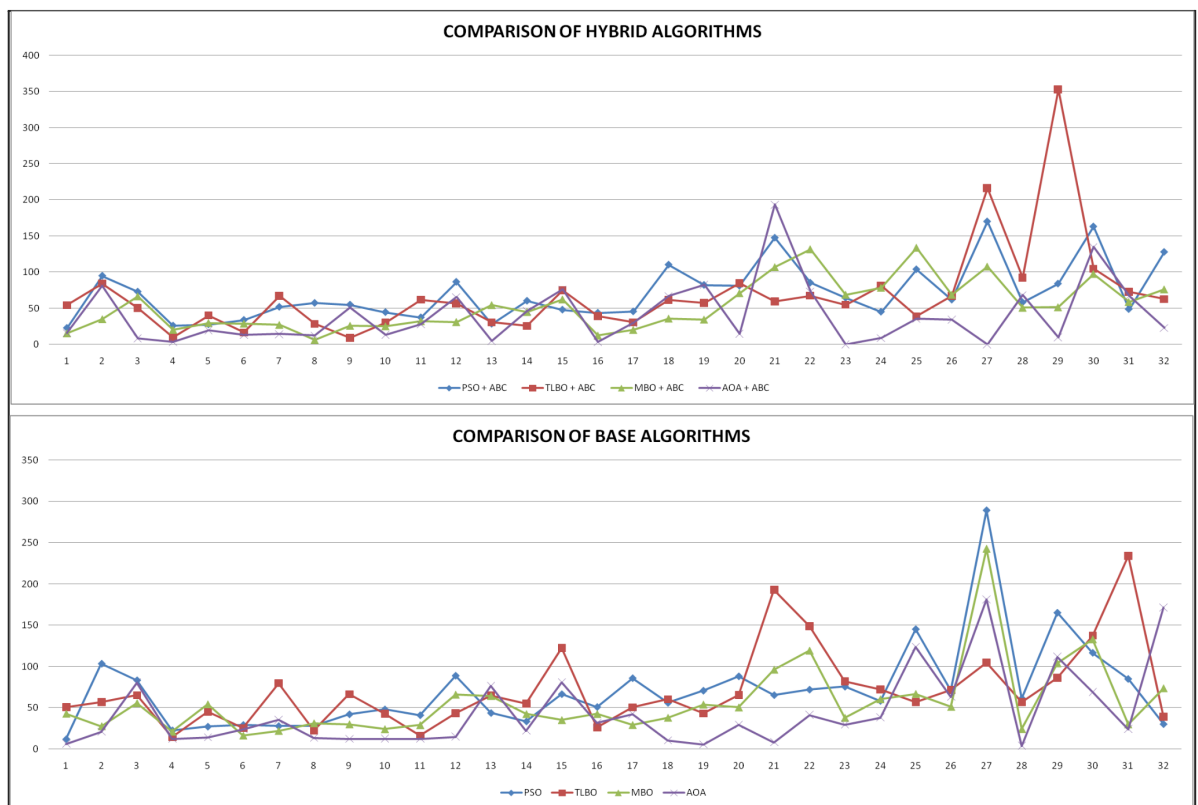
Figure 13. Line plot of Performance Indicator 3 (CM)

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Additionally, statistical tests have been conducted to determine the level of significance between the developed hybridized algorithms and standard models. For this purpose, this study opted to conduct the Friedman Testing procedure for both standard and hybridized algorithms after undergoing the relevant fine tuning criterions. In this study, the test is mainly used to find out if there lies significance in the performance indicators between all 32 standard testing HRC datasets. **Table 11** shows the results obtained from the Friedman tests for the standard algorithms. A p-value less than 0.05 indicates significance between the compared metrics. Therefore, for non-dominated solutions count (N) and Global error ratio (E_G), the p-values shown are <0.05, indicating significance between all the testing datasets seen earlier. However, the third indicator being the convergence metric (CM), failed to show significance, indicating that the extent of convergence for all the standard algorithms remains at the same level. A similar set of results are obtained for the hybridized algorithms shown in **table 12**. These results are cordial to the line plots shown from **figure 11** to **figure 13**, where there lies significance in the plots that are more scattered.

Table 11. Friedman Test results for standard algorithms

PERFORMANCE INDICATOR	Number of data sets (n)	Chi-square value ($\chi 2$)	Degrees of freedom (df)	p- value (significance indicator)
Non dominated solutions count (N)	32	61.434	3	< 0.00001
Global error ration (E_G)	32	29.025	3	0.00028
Convergence metric (CM)	32	23.888	3	0.287

Table 12. Friedman Test results for hybrid algorithms

PERFORMANCE INDICATOR	Number of data sets (n)	Chi-square value ($\chi 2$)	Degrees of freedom (df)	p- value (significance indicator)
Non dominated solutions count (N)	32	39.722	3	< 0.00001
Global error ration (E_G)	32	18.35	3	0.00037
Convergence metric (CM)	32	18.488	3	0.343

Given the existence of significance for two of the prior performance indicators (N) and (E_G), Post-hoc testing is carried out in order to compare the relative performances of all individual algorithms, to test their significance in the two indicators. From the post-hoc results carried out as shown in **table 13** for indicator (N), it could be seen that the AOA algorithm shows the most significance when compared with the rest of the algorithms. The underlined treatment pair cells shown in the results table depict the comparisons that involve AOA. As this study categorizes the 32 task datasets into sizes of small, medium and large, it can also be concluded that the standard and hybridized AOA algorithm performs better than the rest of the algorithms for all task sizes. Similarly, **table 14** shows the post-hoc test results carried out for indicator (E_G), which furthermore shows the highest significance count of the AOA algorithm. Moreover, the pareto fronts obtained by the AOA algorithm as shown in **figure 14**, yields a relatively more spreaded front towards the origin, denoting a better optimized front.

Table 13. Scheffé multiple comparison test results using non-dominated solutions count(N)

Treatments pair	Scheffé T-statistic	Scheffé p-value	Scheffé inference
PSO vs PSO+ABC	1.5206	0.9397136	insignificant
PSO vs TLBO	1.2215	0.9822245	insignificant
PSO vs TLBO+ABC	0.8725	0.9977599	insignificant
PSO vs MBO	5.5839	0.0001095	** p<0.01
PSO vs MBO+ABC	6.0575	1.3529e-05	** p<0.01
<u>PSO vs AOA</u>	6.1323	9.5683e-06	** p<0.01
<u>PSO vs AOA+ABC</u>	6.8552	2.7179e-07	** p<0.01
PSO+ABC vs TLBO	2.7421	0.3805235	insignificant
PSO+ABC vs TLBO+ABC	0.6481	0.9996801	insignificant
PSO+ABC vs MBO	4.0633	0.0238375	* p<0.05
PSO+ABC vs MBO+ABC	4.5369	0.0056326	** p<0.01
<u>PSO+ABC vs AOA</u>	4.6117	0.0043954	** p<0.01

PSO+ABC vs AOA+ABC	5.3346	0.0003057	** p<0.01
TLBO vs TLBO+ABC	2.0939	0.7339294	insignificant
TLBO vs MBO	6.8053	3.5161e-07	** p<0.01
TLBO vs MBO+ABC	7.2790	2.8504e-08	** p<0.01
TLBO vs AOA	7.3537	1.8919e-08	** p<0.01
TLBO vs AOA+ABC	8.0766	3.0302e-10	** p<0.01
TLBO+ABC vs MBO	4.7114	0.0031316	** p<0.01
TLBO+ABC vs MBO+ABC	5.1850	0.0005516	** p<0.01
TLBO+ABC vs AOA	5.2598	0.0004116	** p<0.01
TLBO+ABC vs AOA+ABC	5.9827	1.9047e-05	** p<0.01
MBO vs MBO+ABC	0.4736	0.9999615	insignificant
MBO vs AOA	0.5484	0.9998958	insignificant
MBO vs AOA+ABC	1.2713	0.9775765	insignificant
MBO+ABC vs AOA	0.0748	1.0000000	insignificant
MBO+ABC vs AOA+ABC	0.7977	0.9987435	insignificant
AOA vs AOA+ABC	0.7229	0.9993403	insignificant

Table 14. Scheffé multiple comparison test results using global error ratio(E_G)

Treatments pair	Scheffé T-statistic	Scheffé p-value	Scheffé inference
PSO vs PSO+ABC	0.2398	0.9999996	insignificant
PSO vs TLBO	0.1123	1.0000000	insignificant
PSO vs TLBO+ABC	2.4252	0.5548421	insignificant
PSO vs MBO	2.4807	0.5235409	insignificant
PSO vs MBO+ABC	2.8873	0.3084131	insignificant

PSO vs AOA	6.9436	1.7142e-07	** p<0.01
PSO vs AOA+ABC	8.5068	2.2587e-11	** p<0.01
PSO+ABC vs TLBO	0.1275	1.0000000	insignificant
PSO+ABC vs TLBO+ABC	2.1854	0.6870097	insignificant
PSO+ABC vs MBO	2.2409	0.6573650	insignificant
PSO+ABC vs MBO+ABC	2.6475	0.4308202	insignificant
PSO+ABC vs AOA	6.7038	5.9074e-07	** p<0.01
PSO+ABC vs AOA+ABC	8.2670	9.7203e-11	** p<0.01
TLBO vs TLBO+ABC	2.3130	0.6178117	insignificant
TLBO vs MBO	2.3684	0.5868431	insignificant
TLBO vs MBO+ABC	2.7750	0.3635503	insignificant
TLBO vs AOA	6.8314	3.0742e-07	** p<0.01
TLBO vs AOA+ABC	8.3945	4.4892e-11	** p<0.01
TLBO+ABC vs MBO	0.0554	1.0000000	insignificant
TLBO+ABC vs MBO+ABC	0.4621	0.9999675	insignificant
TLBO+ABC vs AOA	4.5184	0.0059837	** p<0.01
TLBO+ABC vs AOA+ABC	6.0815	1.2109e-05	** p<0.01
MBO vs MBO+ABC	0.4066	0.9999865	insignificant
MBO vs AOA	4.4629	0.0071595	** p<0.01
MBO vs AOA+ABC	6.0261	1.5628e-05	** p<0.01
MBO+ABC vs AOA	4.0563	0.0243050	* p<0.05
MBO+ABC vs AOA+ABC	5.6194	9.4186e-05	** p<0.01
AOA vs AOA+ABC	1.5631	0.9303866	insignificant

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Figure 14. An example of pareto fronts obtained by all 8 algorithms

3.5 HRCAL vs RAL

Even though robots perform better than humans, using more robots in the assembly line increases the energy consumption & initial investment cost. Having a semi automated (HRCAL) assembly line on the other hand, has less number of robots, and the workstations combine the advantages of both human and robots. Hence, the HRCAL has reduced energy consumption and initial investment cost compared to RAL. To justify this statement, the algorithms were modified to produce results for fully automated (only robotic) assembly lines and compared with the results produced for human robot collaborative assembly lines.

Table 15. HRCAL vs RAL

HRCAL	RAL
- Less investment - Combines advantage of both human and robots - Has less energy consumption compared to RAL - Flexible assembly line	- Huge investment - Fully automated assembly line - Not as flexible as HRCAL - Has better cycle time compared to HRCAL

The first 24 datasets mentioned in **table 8** were used for comparing the HRCAL & RAL. The results obtained were plotted as bar plots as shown in **figure 15**. From this figure, we can observe that the difference in cycle time of HRCAL vs RAL is less, this indicates that the HRCAL performs as better as RAL. The difference in energy consumption values are increasing as the task size increases(HRCAL has less energy consumption). By these two comparisons, we can easily conclude that the HRCAL is performing better than the RAL. This conclusion is plotted as a bar plot as shown in **figure 16(a)**. We can see the percentage reduction of robots in assembly lines using HRCAL with the percentage of cost reduction. To make this study even more viable for industries, the percentage of humans in the assembly line are plotted against the cycle time & energy consumption values. The trend lines in **figure 16(b)** shows that, as the percentage of humans increases, the energy consumption reduces with the cost of increasing cycle time. The point at which these two trend lines meet was considered as an ideal point which shows the ideal percentage of humans to be present in the assembly line so that the HRCAL is balanced and optimized.
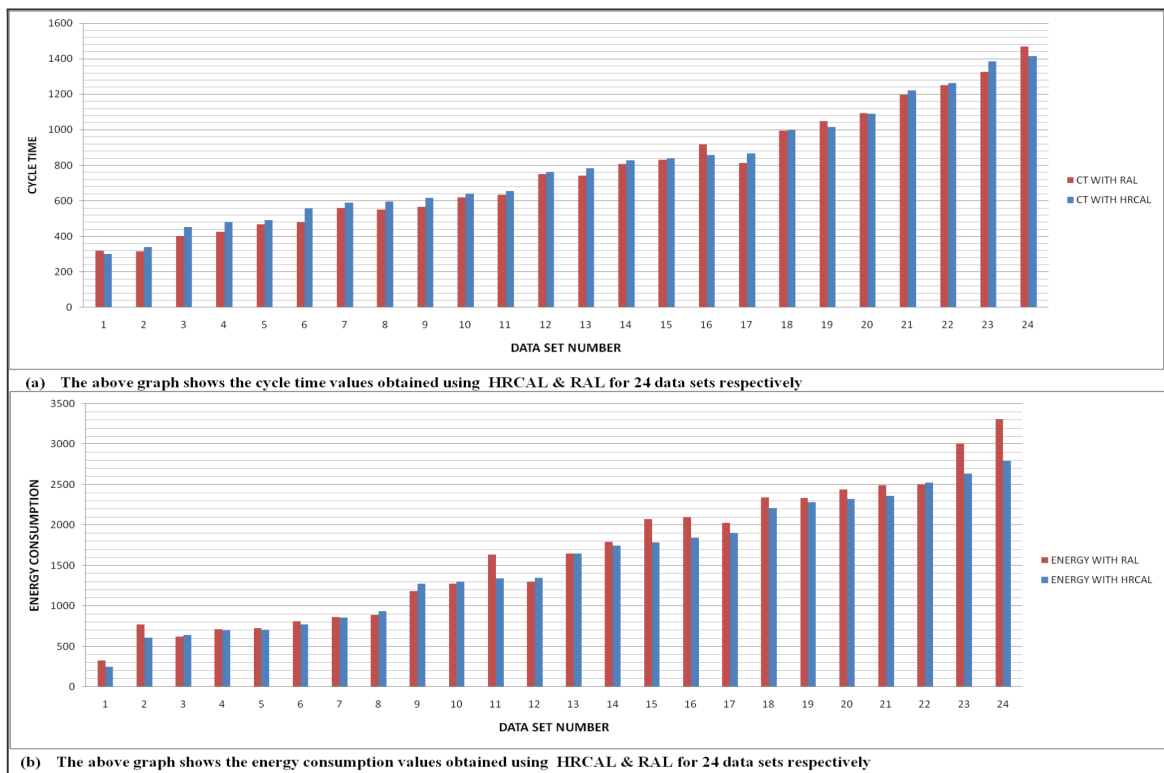
Figure 15. Cycle time & Energy consumption comparison for HRCAL & RAL

Figure 16. Conclusion of HRCAL vs RAL

3.6 CASE STUDY

With reference to the set of algorithms developed in this study along with the different data generation and resource constraints, the considered decoding methodology of line resources for efficient balancing using the best set of developed algorithms are supported by a case study done in an electronics manufacturing industry that also involves assembly of PCB boards in complex power housing units. This study is done to partially validate the performance of the developed metaheuristic algorithms by considering a suitable sub-assembly process (DTDC Housing Assembly). All tasks in the considered sub-assembly process are currently carried out manually, with human workers carrying out 90% of it. The foundation of conducting the study involved converting an existing manual sub-assembly process into a semi-automated one by considering managerial cost and resource implications.

Table 16. Case study data

DATA DESCRIPTION	TABULATED VALUES
Precedence matrix	
Operation times (in seconds)	
Energy rating (in Wh / sec)	

Energy rating table:

	R1	R2	C1	C2	H
RATING	0.8	0.6	0.9	0.8	0.5

The automation to be introduced is studied of their specifications and suitability in assembling sensitive electronic components. After careful analysis of all the recorded tasks, it was decided that two types of robots, one with mechanical grippers to perform pick & place operations and another with end-effectors of suction cups. The workstation count is subjected to shop-floor space availability and is considered to be from 2 to 5. **Table 16**

shows the data of operation and setup times that were collected, along with careful considerations in devising 23 tasks and their precedence relationships.

The sub-assembly consists of a total of 23 tasks, which need to be optimally allocated among the workstations and assigned either manual/given collaborative robot resources with the aim to balance the line by reducing total cycle time & line energy consumption. After running the algorithm for the given data, the results for cycle time and energy consumption values are compared for the existing and the generated line structure. The results obtained in **figure 17** show the decrease in cycle time for the assembly line with human-robot collaborative resources. The abscissa contains the workstation counts which reveal that the difference in cycle time remains constant with increase in the workstation count. Although the difference in energy consumption is increased when automation is added, the long term productivity is increased which compensates for the relatively small hike in energy consumption. Through the multi-objective decoding implementations carried out, the ideal workstation count is determined to be 2 or 3. Through this study, the use of metaheuristics in solving line balancing problems proves to be largely flexible in arriving at the best optimal sequences, considering several resource and management constraints.
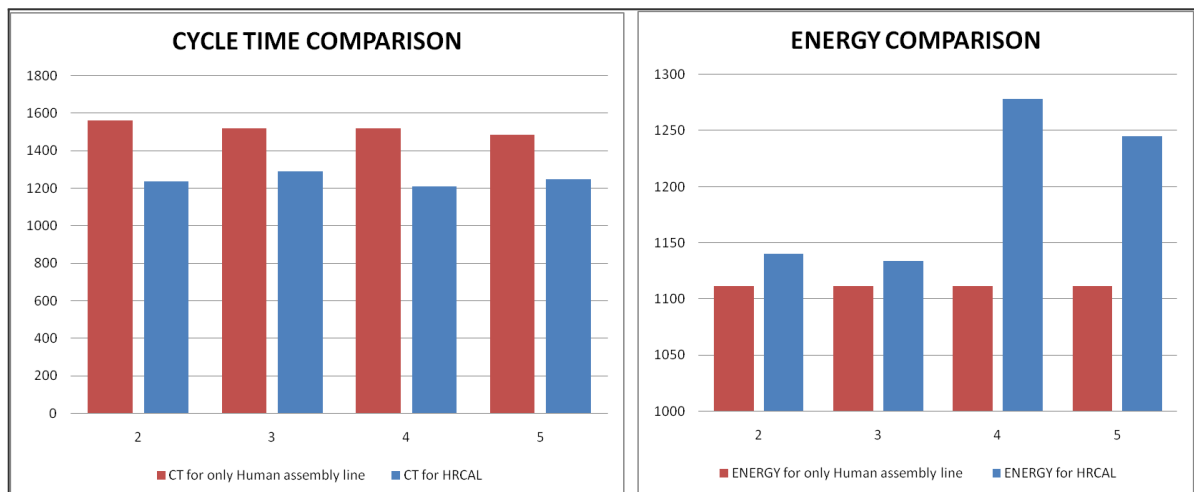
Figure 17. Insights from case study

CHAPTER 4

CONCLUSION

4.1 CONTRIBUTIONS TO THE LITERATURE

The collective human-robot tasking methods are rapidly being investigated for uses in the assembly line in adopting Industry 4.0 methods, where cobots either accomplish the duties entirely or assist human employees in completing the tasks. To tackle this issue, this study analyses the multi-objective ALB problem using humans and robots to optimize cycle time and energy consumption at the same time, where several types of cobots are available and selected. In this study, four algorithms, namely PSO, TLBO, MBO & AOA were studied and each of the algorithms were hybridized with the scout phase from the ABC algorithm. These developed algorithms altogether were used in decoding to an optimal assembly line sequence from the generated data. The generated data considered task times, setup and sequence dependent setup times, resource availability counts and specifications, and allocation constraints proposed by considering safety, ergonomic and economic factors. The proposed fine tuning methodologies and discretization procedures in this study for all the algorithms can be aligned as a benchmark towards further easy implementation of future studies that employ similar metaheuristics to solve discrete problems.

Having identified the lack of standard testing HRC datasets, a set of 32 datasets are formulated of small, medium and large task sizes for testing any developed algorithm for their performances when task sizes of the test cases are of different sizes. Moreover, when these developed standard datasets are used to compare significance in performance indication for the developed algorithms, it helped to prove that 2 out of 3 considered performance indicators actually showed better performances as shown in **table 11** and **table 12**. The statistical tests' performances gave another insight that the recently proposed hybridized and standard AOA algorithm showed more than 50% better performance when compared to the others. This test highlights the potential of AOA in being used as an ideal metaheuristic for solving discrete ALB and assembly sequence planning, which can also be supported by the large and origin-instilled optimal pareto fronts obtained for AOA. Throughout the study, the pseudocodes of every proposed framework are sequentially explained to save time in interpretation for new researchers. The study also sheds light on

task sequence repair functions, whose associated problems are usually faced by researchers in coding discrete applications.

Though the study of metaheuristic performances and line balancing for HRC compliances considers a lot of assumptions, these instances would prove particularly useful when applied for a real-world formulated case study in an appropriate industry. The primary objective of conducting case studies can be the research on introducing a certain amount of automation for the current traditional methods involved, if any, for any industry. The line balancing results obtained in this study for the manual turned semi-automated electronic sub-assembly process showed the desired results of lowering the cycle time with considerable energy consumption of automation resources, as explained in **section 3.6**. It also proves the viability of using metaheuristic algorithms, developing their performances and then running a vast multitude of constraint induced task sequence, times and alternatives data to produce the best set of optimal assembly line allocation results.

4.2 SCOPE FOR FUTURE WORK

For research on HRC line balancing in the coming times, many concepts related to production planning and control methods could be adopted. For instance, the layout alternatives for different HRC settings as listed briefly by Ana et al. **[7]** can be looked at much deeper. Moreover, the AOA algorithm is very recent and research on finding various better performing hybridization mechanisms for it should be acutely studied. Furthermore, the hybridized algorithms might be evaluated with greater complexity assembly line challenges and its types, such as mixed models, two-sided lines, parallel and U-shaped lines, and so on to better understand the algorithm's behavior at different complexity levels. As depicted in this study, the developed significant algorithms and decoding structures can be implemented in real-case industrial problems as most industries provide the flexibility of several product assembly lines and the research on HRC could be aligned with real world industrial considerations rather than pure assumptions.

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PLAGIARISM REPORT

CONTRIBUTION STATEMENT

Ranganathan S V 18BME0374	Sridharan A P 18BME0510
Coding the consecutive method to evaluate objective functions (cycle time and energy consumption).	Carried out extensive literature review for assembly line balancing, robotic assembly line specifications, and all of the implemented algorithms.
Implementing the multi objective handling using modified fast and elitist non dominated sorting technique.	Parallel contribution towards deciding efficient tasks, resources, safety, ergonomics and layout constraints in the assembly line.
Coding the algorithms, PSO, TLBO, MBO & AOA. Implementing the hybrid version of all above 4 algorithms, i.e.., coding the scout phase from ABC algorithm and merging it with already coded algorithms.	Parallel contribution towards content synthesis for review and report formats.
Developing a code to generate the data according to the users specification for human robot collaborative assembly line.	Writing the output into a tab separated file for plotting the results and comparing the algorithms.
Software implementation of all the above code into a single file which can be used by any user to run for different datasets.	Collectively helped identify the best possible graphical and numerical data representation ideology for performance of the developed algorithms.
Fine tuning the iterations and parameters of each algorithm for different sized datasets.	Took initiative to obtain a permit for an industrial case study at a semi-automated firm, with an ideal environment in order to utilize the developed algorithms for case study and increase work novelty.
Modifying the code to read input from a file of any size.	
Developing a code to read the tab separated file and evaluate performance indicators for each different algorithm to compare them.	

Verified by

<Signature of the Guide>

PROGRAMME OUTCOMES ATTAINED

Programme Outcomes	Attainment Level
PO_1: Engineering Knowledge: Apply the knowledge of mathematics, science, engineering fundamentals, and an engineering specialization to the solution of complex engineering problems.	
PO_2: Problem Analysis: Identity, formulate, review research literature, and analyse complex engineering problems reaching substantiated conclusions using first principles of mathematics, natural sciences, and engineering sciences.	
PO_3: Design/Development of Solutions: Design solutions for complex engineering problems and design system components or processes that meet the specified needs with appropriate consideration for public health and safety, and cultural, societal, and environmental considerations.	
PO_4: Conduct Investigations of Complex Problems: Use research-based knowledge and research methods including design of experiments, analysis, and interpretation of data, and synthesis of the information to provide valid conclusions for complex problems	
PO_5: Modern Tool Usage: Create, select, and apply appropriate techniques, resources, and modern engineering and IT tools including prediction and modeling to complex engineering activities with an understanding of the limitations.	
PO_6: The Engineer and Society: Apply reasoning informed by the contextual knowledge to assess societal, health, safety, legal and cultural issues and the consequent responsibilities relevant to the professional engineering practice.	

PO_7: Environment and Sustainability: Understand the impact of the professional engineering solutions in societal and environmental contexts, and demonstrate the knowledge of, and need for sustainable development.	
PO_8: Ethics: Apply ethical principles and commit to professional ethics and responsibilities and norms of the engineering practice.	
PO_9: Individual and Teamwork: Function effectively as an individual, and as a member or leader in diverse teams, and in multidisciplinary settings.	
PO_10: Communication: Communicate effectively on complex engineering activities with the engineering community and with society at large, such as, being able to comprehend and write effective reports and design documentation, make effective presentations, and give and receive clear instructions.	
PO_11: Project Management and Finance: Demonstrate knowledge and understanding of the engineering and management principles and apply these to one's own work, as a member and leader in a team, to manage projects and in multidisciplinary environments.	
PO_12: Life-long Learning: Recognize the need for and have the preparation and ability to engage in independent and lifelong learning in the broadest context of technological change.	