

## 1 Assorted Joins

- Companies: (company\_id, industry, ipo\_date)
- NYSE: (company\_id, date, trade, quantity)

We have 20 pages of memory, and we want to join two tables Companies and NYSE on C.company\_id = N.company\_id. Attribute company\_id is the primary key for Companies. For every tuple in Companies, assume there are 4 matching tuples in NYSE.

NYSE contains [N] = 100 pages, NYSE holds pN = 100 tuples per page.

Companies contains [C] = 50 pages, C holds pC = 50 tuples per page.

There are unclustered B+ indexes on C.company\_id and N.company\_id – for both indexes, assume it takes 2 I/Os to access a leaf.

- (a) How many disk I/Os are needed to perform a simple nested loops join?  
 $[C] + pC * [C] * [N] = 50 + 50 * 50 * 100 = 250050$
- (b) How many disk I/Os are needed to perform a block nested loops join?  
 $(\# \text{ pages in smaller relation}) + \lceil (\# \text{ pages in smaller relation}) / (\# \text{ pages in memory} - 2) \rceil * (\# \text{ pages in larger relation}) = 50 + \lceil 50/18 \rceil * 100 = 350 \text{ I/Os}$
- (c) How many disk I/Os are needed to perform an index nested loops join?  
 $[C] + [C] * pC * (\text{cost to find matching NYSE tuples}) = 50 + 50 * 50 * (2 + 4) = 15,050$
- (d) For this part only, assume the index on NYSE.company\_id is clustered. What is the cost of an index nested loops join using companies as the outer relation?  
 $[C] + [C] * pC * (\text{cost to find matching NYSE tuples}) = 50 + 50 * 50 * (2 + \lceil 4/100 \rceil) = 7,550 \text{ I/Os}$
- (e) How many disk I/Os are needed to perform a sort merge join? This is ordinary sort-merge, not optimized sort-merge.  
 Sorting C:  $4 * (50 \text{ pages}) = 200 \text{ I/Os}$   
 Sorting N:  $4 * (100 \text{ pages}) = 400 \text{ I/Os}$   
 Joining:  $[C] + [N] = 150 \text{ I/Os}$   
 Total:  $200 + 400 + 150 = 750 \text{ I/Os}$

read) +  $[3(49) + 2(49)]$  (second write) = 717 I/Os  
 Build and Probe Phase:  $3(49) + 2(49) = 245 \text{ I/Os}$   
 Total:  $717 + 245 = 962 \text{ I/Os}$

- (f) How many disk I/Os are needed to perform a hash join?

No recursive partitioning required. Partitioning phase:  
 $\lceil (N)/(B - 1) \rceil = 6$  pages per partition for N,  $19(6)$  pages  
 $\lceil (C)/(B - 1) \rceil = 3$  pages per partition for C,  $19(3)$  pages  
 Partitioning phase:  $100 + 19(6) + 50 + 19(3) = 321$   
 Build and Probe:  $19(6) + 19(3) = 171$   
 Total:  $321 + 171 = 492 \text{ I/Os}$

## 2 Grace Hash Join

We have 2 tables – Catalog and Transactions.

Catalog has a total of 100 pages and 20 tuples per page. Transactions has a total of 50 pages and 50 tuples per page. Assume that the distribution among the key that we are joining on is uniform for the two tables.

- (a) If we had 10 buffer pages, how many partitioning phases would we require for grace hash join? Consider which table we should build the hash table in the probing phase on.  
 T is smaller, need partitions of T to be at most  $B - 2 = 8$  pages. After 1 partitioning pass, we have partitions of size 6.  $6 \leq 8$  so it's small enough meaning we still only need **1 partitioning pass**.
- (b) What is the I/O cost for the grace hash join then?  
 We need 1 partitioning pass.  
 Partitioning phase:  
 $\lceil (C)/(B - 1) \rceil = 12$  pages per partition for C,  $12(9)$  pages in total after partitioning  
 $\lceil (T)/(B - 1) \rceil = 6$  pages per partition for T,  $6(9)$  pages in total after partitioning  
 Partitioning I/Os:  $100 + 12(9) + 50 + 6(9) = 312$   
 Probing phase:  $12(9) + 6(9) = 162 \text{ I/Os}$   
 Total:  $312 + 162 = 474 \text{ I/Os}$
- (c) For the above question, if we only had 8 buffer pages, how many number of partition phases would there be?  
 T is smaller, need partitions of T to be at most  $B - 2 = 6$  pages. After 1 partitioning pass, we have partitions of size 8, which is too big. We need a second partitioning pass.  $8 / 7 = 1.1 \rightarrow 2$  pages, which is small enough so we need **2 passes**.
- (d) What will be the I/O cost?  
 Partitioning phase:  
 $\lceil (C)/(B - 1) \rceil = 15$  pages per partition for C  
 $\lceil (T)/(B - 1) \rceil = 8$  pages per partition for T  
 $\lceil (C)/(B - 1) \rceil = 3$  pages per partition for second pass for C  
 $\lceil (T)/(B - 1) \rceil = 2$  pages per partition for second pass for T  
 Partitioning I/Os:  $[100 + 50] \text{ (1st read)} + [15(7) + 8(7)] \text{ (first write)} + [15(7) + 8(7)] \text{ (second$