

# Neutrinos faster than light? Theoretical aspects

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January 24, 2012

# OPERA experiment [OPERA Collaboration, 23.Sept.2011]

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10.5 $\mu$ s

$$\implies \delta t = (57.8 \pm 7.8 \text{ (stat)}_{-5.9}^{+8.3} \text{ (syst)}) \text{ ns}$$

## time of flight measurement at the single event level

- 3 ns long proton bunches separated by 524 ns
- event selection and reconstruction as before
- 20 events have been used for the analysis

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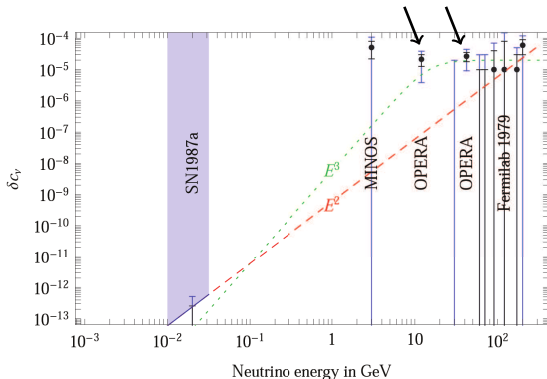
$\delta t = 62 \pm 3.7$  ns in agreement  
with the main measurement

# Neutrino velocity measurements

## OPERA

- 15.233  $\nu$  (97%  $\nu_\mu$ ) travelling 730 km underground
- $\delta c_{\nu_\mu} = (2.37 \pm 0.32 \text{ (stat)}^{+0.34}_{-0.24} \text{ (syst)}) \times 10^{-5}$
- $E = 10 - 50 \text{ GeV}$

$$\delta c_\nu \equiv \frac{c_\nu - c}{c}$$

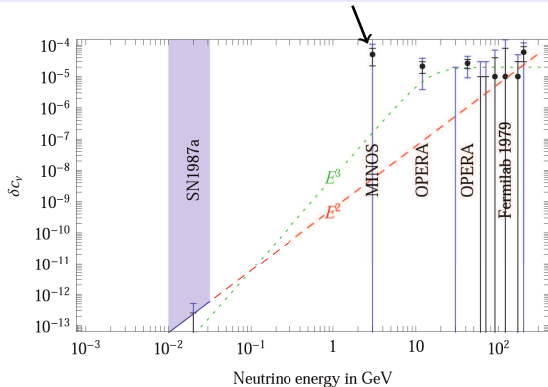


# Neutrino velocity measurements

## MINOS

- 473  $\nu$  (93%  $\nu_\mu$ ) travelling 734km underground
- $\delta c_{\nu_\mu} = (5.1 \pm 1.3_{stat.} \pm 2.6_{sys.}) \times 10^{-5}$
- $\langle E \rangle = 3$  GeV

$$\delta c_\nu \equiv \frac{c_\nu - c}{c}$$

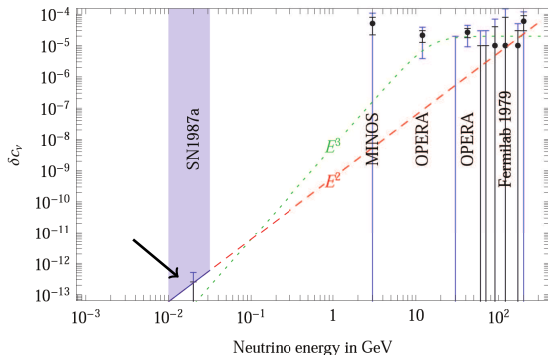


# Neutrino velocity measurements

## IMB, Baksan, Kamiokande II

- $24 \bar{\nu}_e$  travelling 168.000 lys through the interstellar medium
- $\delta c_{\bar{\nu}_e} \lesssim \mathcal{O}(10^{-12})$
- $E = 7.5 - 40 \text{ MeV}$

$$\delta c_\nu \equiv \frac{c_\nu - c}{c}$$



# Outline

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OPERA myon neutrinos are superluminal

Consequences & Constraints within SM extended by LIV-operators

- Cohen-Glashow bremsstrahlung
- pion decay constraint
- universal neutrino limit velocity
- charged lepton superluminality
- SN1987a constraint

selection of proposed models/ideas

- environmental superluminal behavior (2 models)
  - planetary superluminality
  - matter-dependent superluminality
- geometric solutions in extra dimensions (idea)



...effective low energy theory allowing for Lorentz violation

## properties

- add all possible Lorentz violating terms to the SM
- preserve SM gauge structure
- conserve energy and momentum

$$\mathcal{L}_{\text{lepton}}^{\text{SME}} \supset \bar{L}\gamma^\mu i D_\mu L + c^{\mu\nu} \bar{L}\gamma_\mu i D_\nu L + a^\mu \bar{L}\gamma_\mu L$$

- distinction between Observer and Particle Lorentz transformations

Observer LT:  $c^{\mu\nu}, a^\mu \sim$  non-trivial repr. of  $O(3,1)$

Particle LT:  $c^{\mu\nu}, a^\mu \sim$  trivial repr. of  $O(3,1)$

- concordant frames  $\ni$  Earth frame (CMB rest frame)

# Simple example for Lorentz violation [Cohen and Glashow, 20.Jan.1999]

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...consider the free scalar  $\mathcal{L}$  and add a Lorentz breaking term e.g.

$$\mathcal{L} = \frac{1}{2} \left( \partial_\mu \phi \partial^\mu \phi - m^2 \phi^2 + c_{\mu\nu} \partial^\mu \phi \partial^\nu \phi \right)$$

set  $(c_{\mu\nu}) = -\text{diag}(0, \epsilon, \epsilon, \epsilon)$ , then the inverse particle propagator reads

$$iD(p)^{-1} = p^2 - m^2 - \epsilon \vec{p}^2$$

, which alters the energy momentum relation to

$$E^2 = \vec{p}^2 c^2 + m^2 c^4 + \epsilon \vec{p}^2 c^2 \equiv \vec{p}^2 c_a^2 + m_a^2 c_a^4$$

with enhanced maximal particle velocity for  $\epsilon > 0$

$$c_a^2 = (1 + \epsilon) c^2$$

# Kinematics of particle decays [Cohen and Glashow, 20.Jan.1999]

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...previous derivation is also generalizable to spin 1/2 particles

$$E_a^2 = \vec{p}_a^2 c_a^2 + m_a^2 c_a^4$$

with  $a = 0$  for the decaying particle and  $a = 1 \dots n$  for the decay products

$$\text{decay allowed} \Leftrightarrow E_0 \geq E_{\min}(\vec{p}_0)$$

for large energy  $E_0$ , one can derive the condition

$$c_0 > \min(c_a \mid a \neq 0) \Rightarrow \text{decay allowed}$$

this allows for the following decay of superluminal myon neutrinos

$$\nu_\mu \longrightarrow \nu_\mu + e^+ + e^-$$

...Cherenkov analog processes possible for  $\nu_\mu$

$$\nu_\mu \longrightarrow \begin{cases} \nu_\mu + \gamma & (a) \\ \nu_\mu + \nu_e + \bar{\nu}_e & (b) \\ \nu_\mu + e^+ + e^- & (c) \end{cases}$$

process (c) is relevant and kinematically allowed for

$$E_0 = 2m_e / \sqrt{c_{\nu_\mu}^2 - c_e^2} \approx 140 \text{ MeV}$$

assuming  $c_e = c$ . Then Cohen and Glashow calculated the energy  $E$  of a neutrino with initial energy  $E_0$  after travelling a distance  $L$

$$E = \left( \frac{1}{E_0^{-5} + E_T^{-5}} \right)^{1/5}, E_T^{-5} = \text{const} (\delta c_{\nu_\mu})^3 G_F^2 L \approx (12.5 \text{ GeV})^{-5}$$

which is in conflict with OPERA and the **ICARUS** experiment

## pion decay constraint

- emission of a superluminal neutrino costs an extra amount of energy  
 $\implies$  energy threshold rises for the decay  $\pi^+ \longrightarrow \mu^+ + \nu_\mu$
- neutrino energy limited to 
$$E_{\nu_\mu} \leq \frac{m_\pi^2 - m_\mu^2}{2E_\pi} \frac{1}{\delta c_{\nu_\mu}} \approx 2.3 \text{ GeV}$$

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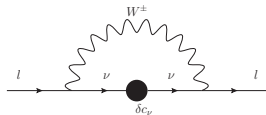
## Neutrino oscillations

- effective Hamiltonian  $H_{\text{eff}} = (1 + \delta c_\nu)|\vec{p}|c + \frac{m_\nu^2 c_\nu^3}{2|\vec{p}|}$
- $\delta c_{\nu_i \nu_j} \lesssim 10^{-19}$  and  $|\delta c_{\nu_i \nu_i} - \delta c_{\nu_j \nu_j}| \lesssim 10^{-19 \div 21}$   
 $\implies$  universal limit velocity for all neutrino flavors

## 1 loop quantum corrections

- left-handed neutrinos are part of electroweak doublets

$$\delta c_e = g^2 \int \frac{d^4 k}{(2\pi)^4} \frac{\delta c_\nu(k)}{k^2 [(k+p)^2 - M_W^2]}$$

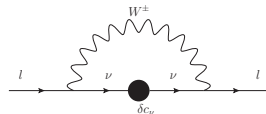


$\Rightarrow$  generic lower bound  $\delta c_e \gtrsim \mathcal{O}(10^{-9})$

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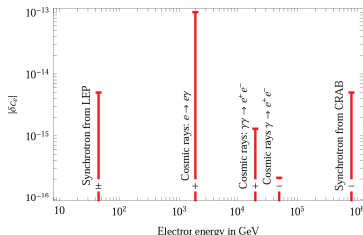
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## electron limit velocity highly constrained

- LEP, Cosmic rays, CRAB:  
 $\Rightarrow |\delta c_e| < \mathcal{O}(10^{-13})$
- $\delta c_\mu$  less constrained but we can't restrict superluminal effects to the  $\nu_\mu - \mu$  sector

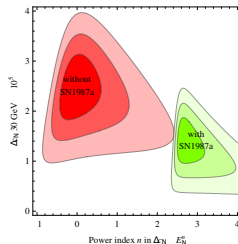
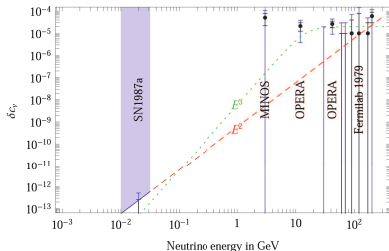




- OPERA: 5199  $\nu_\mu$  CC internal events

$$\delta t = 54.7 \pm 18.4(\text{stat.})^{+7.3}_{-6.9}(\text{syst.}) \quad \text{for } \langle E \rangle = 13.8 \text{ GeV}$$

$$\delta t = 68.1 \pm 19.1(\text{stat.})^{+7.3}_{-6.9}(\text{syst.}) \quad \text{for } \langle E \rangle = 40.7 \text{ GeV}$$



- power law  $\delta c_\nu \propto E_\nu^n$  strongly disfavored for  $n \leq 2$
- good global fit implies a distortion from a simple power law

$$\Rightarrow \delta c_{\nu_\mu} \propto \frac{E_\nu^n}{E_\nu^n + E_*^n} \quad \text{for } n \geq 3 \text{ and } E_* \propto 10 \text{ GeV}$$

# Model building

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## OPERA myon neutrinos are superluminal

- ① CG bremsstrahlung
- ② pion decay constraint
- ③ lepton superluminality
- ④ SN1987a constraint

## selection of proposed models/ideas

- environmental superluminal behavior (2 models)
  - planetary superluminality (4)
  - matter-dependent superluminality (1,2,3,4)
- geometric solutions in extra dimensions (idea) (?)

# Planetary superluminality [Dvali, Vikman; 26.Sept.2011]

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- massive spin 2 field, sourced by the Earth:  $h_{\mu\nu}$
- effective neutrino metric:  $g_{\mu\nu}^{(\nu)} = \eta_{\mu\nu} + h_{\mu\nu}/M_*$

$$\mathcal{L} \supset \frac{h_{\mu\nu}}{M_*} \bar{\nu} i \partial^\mu \gamma^\nu \nu + \frac{h_{\mu\nu}}{M} T^{\mu\nu} + h^{\mu\nu} G_{\mu\nu} + m^2 (h_{\mu\nu} h^{\mu\nu} - h_\mu^\mu h_\nu^\nu)$$

solution of linear Einstein equations inside Earth yields

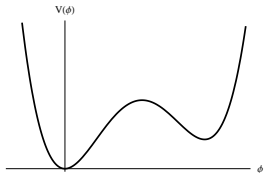
$$g_{00}^{(\nu)} = (1 + \frac{2}{3}\epsilon)\eta_{00} \quad , \quad g_{ij}^{(\nu)} = (1 - \frac{1}{3}\epsilon)\eta_{ij}$$

with Lorentz violating parameter

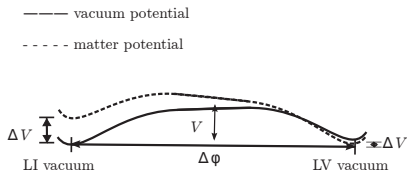
$$\epsilon \equiv \frac{M_E}{4\pi M_* M R_E} \implies M_* M \sim -10^{-4} M_{Pl}^2$$

- field responsible for LIV inside matter:  $\theta_{\mu\nu}$
- additional scalar field sourced by matter:  $\phi$
- two-phase model with potential:  $V(\phi)$

$$\mathcal{L} \supset -\frac{\phi}{\Lambda_{LV}} \theta_{\mu\nu} T^{\mu\nu} + \frac{\phi}{\Lambda_{LI}} T^\mu_\mu - V(\phi)$$



global minimum at  $\phi = 0$   
local minimum at  $\phi = \Delta\phi$



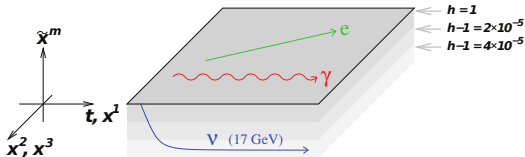
- neutrinos propagate inside all dimensions
- remaining matter is confined to branes

consider a D-dimensional line element

$$ds_D^2 = e^{2A(\tilde{x})} (h(\tilde{x}) c^2 dt^2 - d\vec{x}^2) + e^{2B(\tilde{x})} d\tilde{s}_{D-4}^2$$

maximum speed at a specific pointlike location  $\tilde{x}^*$  is given through

$$v_* = \sqrt{h(\tilde{x}_*)} c$$



,but it is difficult to fulfill the null energy condition

$$T_{MN} \xi^M \xi^N \geq 0$$

# Conclusion to the Phantom of the OPERA

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- requirements for a realistic theory explaining the effect
  - Cohen-Glashow bremsstrahlung
  - pion decay constraint
  - charged lepton superluminality
  - SN1987a constraint
- matter-dependent models may account for the constraints
- some other ideas are
  - extra dimension
  - DSR [Amelino-Camelia, hep-ph/1111.5643]
  - phase velocity [Brustein, Semikoz; hep-ph/1110.0762]
- experimental verification or refutation from ICARUS, BOREXINO, or MINOS, T2K will be essential