# Fine-Tuning Keras Models

## LATEX, Puteaux, 2020, 2021

#### Table of Contents

- 1 Understanding model optimization
- 1.1 [note-1] Why optimization is hard
- 1.2 [code-1] Stochastic gradient descent
- 1.3 [note-2] The dying neuron problem
- 1.4 [note-3] Vanishing gradients
- 1.5 [quiz-1] Diagnosing optimization problems
- 1.6 [task-1] Changing optimization parameters
- 2 Model validation
- 2.1 [note-1] Validation in deep learning
- 2.2 [code-1] Model validation
- 2.3 [code-2] Early stopping
- 2.4 [note-2] Experimentation
- 2.5 [task-1] Evaluating model accuracy on a validation dataset
- 2.6 [task-2] Early stopping: optimizing the optimization
- 2.7 [task-3] Experimenting with wider networks
- 2.8 [task-4] Adding layers to a network
- 3 Thinking about model capacity
- 3.1 [note-1] Overfitting
- 3.2 [note-2] Workflow for optimizing model capacity
- 3.3 [note-3] Sequential experiments
- 3.4 [quiz-1] Practice question for experimenting with model structures:
- 4 Stepping up to images
- 4.1 [note-1] Recognizing handwritten digits
- 4.2 [task-1] Building an own digit recognition model
- 5 Final thoughts

- 5.1 [note-1] Next steps
- 6 Requirements

## 1 Understanding model optimization

## 1.1 [note-1] Why optimization is hard

- Simultaneously optimizing 1000s of parameters with complex relationships.
- Updates may not improve the model meaningfully.
- Updates could be too small (if the learning rate is low) or too large (if the learning rate is high).

## 1.2 [code-1] Stochastic gradient descent

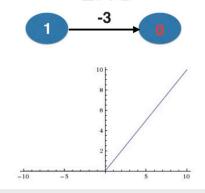
```
[1]: import pandas as pd
     from tensorflow.keras.layers import Dense
     from tensorflow.keras.models import Sequential
     from tensorflow.keras.utils import to_categorical
     from tensorflow.keras.optimizers import SGD
     def data_preparation(data):
         df = data.reindex(columns=[
             'SHOT_CLOCK', 'DRIBBLES', 'TOUCH_TIME', 'SHOT_DIST', 'CLOSE_DEF_DIST',
             'SHOT RESULT'
         ])
         df['SHOT_CLOCK'] = df['SHOT_CLOCK'].fillna(0)
         df['SHOT_RESULT'].replace('missed', 0, inplace=True)
         df['SHOT_RESULT'].replace('made', 1, inplace=True)
         df.columns = df.columns.str.lower()
         return df
     data = pd.read_csv('../Datasets/1. Basketball shot log.csv')
     df = data_preparation(data)
     predictors = df.drop(['shot_result'], axis=1).to_numpy()
     n_cols = predictors.shape[1]
     target = to_categorical(df.shot_result)
     input_shape = (n_cols, )
     def get_new_model(input_shape=input_shape):
         model = Sequential()
         model.add(Dense(100, activation='relu', input_shape=input_shape))
         model.add(Dense(100, activation='relu'))
```

```
model.add(Dense(2, activation='softmax'))
  return (model)

lr_to_test = [.000001, 0.01, 1]

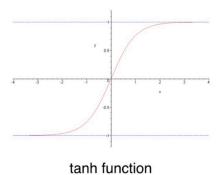
# loop over learning rates
for lr in lr_to_test:
  model = get_new_model()
  my_optimizer = SGD(lr=lr)
  model.compile(optimizer=my_optimizer, loss='categorical_crossentropy')
  model.fit(predictors, target)
```

## 1.3 [note-2] The dying neuron problem



## 1.4 [note-3] Vanishing gradients

- It occurs when many layers have very small slopes (e.g., due to being on at part of tanh curve).
- In deep networks, updates to backpropagation were close to 0.



## 1.5 [quiz-1] Diagnosing optimization problems

- Which of the following could prevent a model from showing an improved loss in its first few epochs?
  - $\square$  Learning rate too low.
  - $\square$  Learning rate too high.
  - $\square$  Poor choice of the activation function.
  - $\boxtimes$  All of the above.

## 1.6 [task-1] Changing optimization parameters

## ► Package pre-loading

```
[2]: import pandas as pd
from tensorflow.keras.layers import Dense
from tensorflow.keras.models import Sequential
from tensorflow.keras.utils import to_categorical
```

### ▶ Data pre-loading

```
[3]: df = pd.read_csv('../Datasets/2. Titanic.csv')

df.replace(False, 0, inplace=True)

df.replace(True, 1, inplace=True)

predictors = df.drop(['survived'], axis=1).to_numpy()
n_cols = predictors.shape[1]
target = to_categorical(df.survived)
input_shape = (n_cols, )
```

#### ► Code pre-loading

```
[4]: def get_new_model(input_shape=input_shape):
    model = Sequential()
    model.add(Dense(100, activation='relu', input_shape=input_shape))
    model.add(Dense(100, activation='relu'))
    model.add(Dense(2, activation='softmax'))
    return (model)
```

## ► Task practice

```
[5]: # Import the SGD optimizer
from tensorflow.keras.optimizers import SGD

# Create list of learning rates: lr_to_test
lr_to_test = [.000001, 0.01, 1]
```

```
# Loop over learning rates
for lr in lr_to_test:
    print('\n\nTesting model with learning rate: %f\n' % lr)

# Build new model to test, unaffected by previous models
model = get_new_model()

# Create SGD optimizer with specified learning rate: my_optimizer
my_optimizer = SGD(lr=lr)

# Compile the model
model.compile(optimizer=my_optimizer, loss='categorical_crossentropy')

# Fit the model
model.fit(predictors, target)
```

#### 2 Model validation

## 2.1 [note-1] Validation in deep learning

- Commonly use validation split rather than cross-validation.
- Deep learning is widely used on large datasets.
- A single validation score is based on a large amount of data and is reliable.
- Repeated training from cross-validation would take a long time.

## 2.2 [code-1] Model validation

```
[6]: import pandas as pd
     from tensorflow.keras.layers import Dense
     from tensorflow.keras.models import Sequential
     from tensorflow.keras.utils import to_categorical
     def data_preparation(data):
         df = data.reindex(columns=[
             'SHOT_CLOCK', 'DRIBBLES', 'TOUCH_TIME', 'SHOT_DIST', 'CLOSE_DEF_DIST',
             'SHOT RESULT'
         ])
         df['SHOT_CLOCK'] = df['SHOT_CLOCK'].fillna(0)
         df['SHOT_RESULT'].replace('missed', 0, inplace=True)
         df['SHOT RESULT'].replace('made', 1, inplace=True)
         df.columns = df.columns.str.lower()
         return df
     data = pd.read_csv('../Datasets/1. Basketball shot log.csv')
     df = data_preparation(data)
     predictors = df.drop(['shot_result'], axis=1).to_numpy()
     n_cols = predictors.shape[1]
     input_shape = (n_cols, )
     target = to_categorical(df.shot_result)
     def get_new_model(input_shape=input_shape):
         model = Sequential()
         model.add(Dense(100, activation='relu', input_shape=input_shape))
         model.add(Dense(100, activation='relu'))
         model.add(Dense(2, activation='softmax'))
         return (model)
     model = get_new_model()
     model.compile(optimizer='adam',
                   loss='categorical_crossentropy',
                   metrics=['accuracy'])
    model.fit(predictors, target, validation_split=0.3)
```

[6]: <tensorflow.python.keras.callbacks.History at 0x7fd7f0f3e990>

## 2.3 [code-2] Early stopping

```
accuracy: 0.6179 - val_loss: 0.6534 - val_accuracy: 0.6154
Epoch 2/20
2802/2802 [============== ] - 5s 2ms/step - loss: 0.6521 -
accuracy: 0.6172 - val_loss: 0.6583 - val_accuracy: 0.6166
Epoch 3/20
accuracy: 0.6191 - val_loss: 0.6516 - val_accuracy: 0.6152
Epoch 4/20
2802/2802 [============ ] - 5s 2ms/step - loss: 0.6507 -
accuracy: 0.6190 - val_loss: 0.6503 - val_accuracy: 0.6190
Epoch 5/20
accuracy: 0.6198 - val_loss: 0.6494 - val_accuracy: 0.6198
Epoch 6/20
accuracy: 0.6206 - val_loss: 0.6514 - val_accuracy: 0.6183
Epoch 7/20
2802/2802 [============ ] - 5s 2ms/step - loss: 0.6493 -
accuracy: 0.6210 - val_loss: 0.6513 - val_accuracy: 0.6155
```

[7]: <tensorflow.python.keras.callbacks.History at 0x7fd7f17e1990>

## 2.4 [note-2] Experimentation

- Experiment with different architectures.
- More layers.
- Fewer layers.
- Layers with more nodes.
- Layers with fewer nodes.
- Creating a great model requires experimentation.

## 2.5 [task-1] Evaluating model accuracy on a validation dataset

### ▶ Package pre-loading

```
[8]: import pandas as pd
from tensorflow.keras.layers import Dense
from tensorflow.keras.models import Sequential
from tensorflow.keras.utils import to_categorical
```

## ▶ Data pre-loading

```
[9]: df = pd.read_csv('../Datasets/2. Titanic.csv')

df.replace(False, 0, inplace=True)

df.replace(True, 1, inplace=True)

predictors = df.drop(['survived'], axis=1).to_numpy()
n_cols = predictors.shape[1]
input_shape = (n_cols, )
target = to_categorical(df.survived)
```

## ▶ Task practice

## 2.6 [task-2] Early stopping: optimizing the optimization

#### ► Task practice

```
[11]: # Import EarlyStopping
    from tensorflow.keras.callbacks import EarlyStopping
    # Save the number of columns in predictors: n_cols
    n_cols = predictors.shape[1]
    input_shape = (n_cols, )
    # Specify the model
    model = Sequential()
    model.add(Dense(100, activation='relu', input_shape=input_shape))
    model.add(Dense(100, activation='relu'))
    model.add(Dense(2, activation='softmax'))
    # Compile the model
    model.compile(optimizer='adam',
             loss='categorical_crossentropy',
             metrics=['accuracy'])
    # Define early_stopping_monitor
    early_stopping_monitor = EarlyStopping(patience=2)
    # Fit the model
    model.fit(predictors,
          target,
           validation_split=0.3,
           epochs=30,
           callbacks=[early_stopping_monitor])
   Epoch 1/30
   0.5592 - val_loss: 0.6302 - val_accuracy: 0.7052
   Epoch 2/30
   0.6736 - val_loss: 0.7890 - val_accuracy: 0.6418
   Epoch 3/30
   0.6343 - val_loss: 0.5353 - val_accuracy: 0.7201
   Epoch 4/30
   0.6570 - val_loss: 0.5235 - val_accuracy: 0.7873
   Epoch 5/30
   0.6929 - val_loss: 0.5240 - val_accuracy: 0.7351
   Epoch 6/30
   0.7615 - val_loss: 0.6157 - val_accuracy: 0.7351
```

[11]: <tensorflow.python.keras.callbacks.History at 0x7fd7efe845d0>

## 2.7 [task-3] Experimenting with wider networks

### ▶ Package pre-loading

```
[12]: import matplotlib.pyplot as plt
```

► Code pre-loading

## ► Task practice

```
[14]: # Define early_stopping_monitor
      early_stopping_monitor = EarlyStopping(patience=2)
      # Create the new model: model_2
      model_2 = Sequential()
      # Add the first and second layers
      model_2.add(Dense(100, activation='relu', input_shape=input_shape))
      model_2.add(Dense(100, activation='relu'))
      # Add the output layer
      model 2.add(Dense(2, activation='softmax'))
      # Compile model_2
      model_2.compile(optimizer='adam',
                      loss='categorical_crossentropy',
                      metrics=['accuracy'])
      # Fit model 1
      model_1_training = model_1.fit(predictors,
                                     target,
                                     epochs=15,
                                     validation_split=0.2,
```

```
callbacks=[early_stopping_monitor],
                                 verbose=False)
# Fit model_2
model_2_training = model_2.fit(predictors,
                                 target,
                                 epochs=15,
                                 validation_split=0.2,
                                 callbacks=[early_stopping_monitor],
                                 verbose=False)
# Create the plot
plt.plot(model_1_training.history['val_loss'], 'r',
         model_2_training.history['val_loss'], 'b')
plt.xlabel('Epochs')
plt.ylabel('Validation score')
plt.show()
            6
            5
        Validation score
           3
            2
           1
                           2
                                                          8
                0
                                     4
                                               6
                                                                   10
                                          Epochs
```

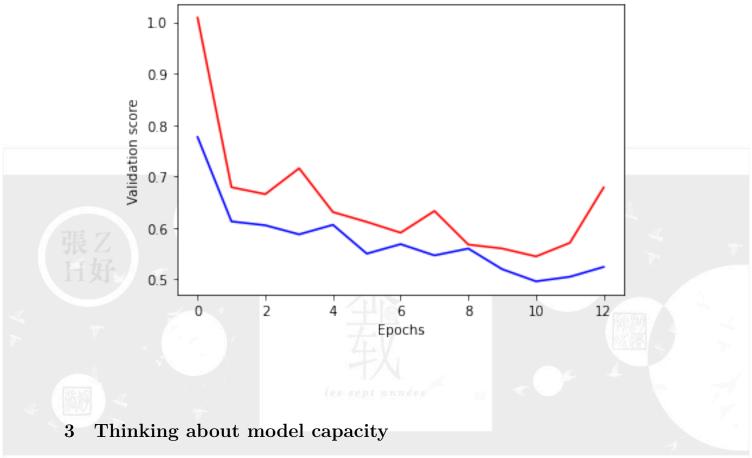
## 2.8 [task-4] Adding layers to a network

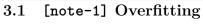
## ► Code pre-loading

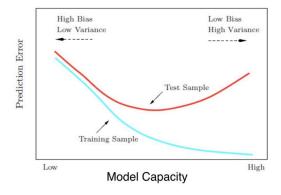
```
[15]: def get_new_model(input_shape=input_shape):
    model = Sequential()
    model.add(Dense(50, activation='relu', input_shape=input_shape))
```

#### ▶ Network layers adding practice

```
[16]: # The input shape to use in the first hidden layer
      input_shape = (n_cols, )
      # Create the new model: model_2
      model_2 = Sequential()
      # Add the first, second, and third hidden layers
      model_2.add(Dense(50, activation='relu', input_shape=input_shape))
      model_2.add(Dense(50, activation='relu'))
      model_2.add(Dense(50, activation='relu'))
      # Add the output layer
      model_2.add(Dense(2, activation='softmax'))
      # Compile model_2
      model_2.compile(optimizer='adam',
                      loss='categorical_crossentropy',
                      metrics=['accuracy'])
      # Fit model 1
      model_1_training = model_1.fit(predictors,
                                     target,
                                     epochs=20,
                                     validation_split=0.4,
                                     callbacks=[early_stopping_monitor],
                                     verbose=False)
      # Fit model 2
      model_2_training = model_2.fit(predictors,
                                     target,
                                     epochs=20,
                                     validation_split=0.4,
                                     callbacks=[early_stopping_monitor],
                                     verbose=False)
      # Create the plot
```







# 3.2 [note-2] Workflow for optimizing model capacity

• Start with a small network.

- Gradually increase capacity.
- Keep increasing capacity until the validation score is no longer improving.

## 3.3 [note-3] Sequential experiments

Hidden Layers	Nodes Per Layer	Mean Squared Error	Next Step
1	100	5.4	Increase Capacity
1	250	4.8	Increase Capacity
2	250	4.4	Increase Capacity
3	250	4.5	Decrease Capacity
3	200	4.3	Done

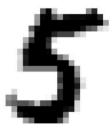
## 3.4 [quiz-1] Practice question for experimenting with model structures:

- An experiment has run where has compared two networks that were identical except that the 2nd network had an extra hidden layer. It could be seen that this 2nd network (the deeper network) had better performance. Given that, which of the following would be a good experiment to run next for even better performance?
  - $\square$  Try a new network with fewer layers than anything you have tried yet.
  - $\boxtimes$  Use more units in each hidden layer.
  - $\square$  Use fewer units in each hidden layer.

# 4 Stepping up to images

## 4.1 [note-1] Recognizing handwritten digits

- MNIST dataset.
- 28 x 28 grid flattened to 784 values for each image.
- Value in each part of the array denotes the darkness of that pixel.



## 4.2 [task-1] Building an own digit recognition model

#### ► Package pre-loading

```
[17]: import pandas as pd
from tensorflow.keras.layers import Dense
from tensorflow.keras.models import Sequential
from tensorflow.keras.utils import to_categorical
```

#### ▶ Data pre-loading

```
[18]: df = pd.read_csv('../Datasets/3. MNIST.csv', header=None)
X = df.iloc[:, 1:].to_numpy()
y = to_categorical(df.iloc[:, 0])
```

## ▶ Evaluating model accuracy on validation dataset practice

[19]: <tensorflow.python.keras.callbacks.History at 0x7fd7b0148890>

## 5 Final thoughts

## 5.1 [note-1] Next steps

- Start with standard prediction problems on tables of numbers.
- Images (with convolutional neural networks) are common next steps.

- keras.io for excellent documentation.
- The graphical processing unit (GPU) provides dramatic speedups in model training times.
- Need a CUDA-compatible GPU.

## 6 Requirements

```
[20]: from platform import python_version
      import tensorflow as tf
      import matplotlib
      python_version = ('python=={}'.format(python_version()))
      pandas version = ('pandas=={}'.format(pd. version ))
      tensorflow_version = ('tensorflow=={}'.format(tf.__version__))
      matplotlib_version = ('matplotlib=={}'.format(matplotlib.__version__))
      writepath = '../../requirements.txt'
      requirements = []
      packages = [pandas_version, tensorflow_version, matplotlib_version]
      with open(writepath, 'r') as file:
          for line in file:
              requirements.append(line.strip('\n'))
      with open(writepath, 'a') as file:
          for package in packages:
              if package not in requirements:
                  file.write(package + '\n')
      max_characters = len(python_version)
      for package in packages:
          if max(max_characters, len(package)) > max_characters:
              max_characters = max(max_characters, len(package))
      print('#' * (max_characters + 8))
      print('#' * 2 + ' ' * (max_characters + 4) + '#' * 2)
      print('#' * 2 + ' ' * 2 + python_version + ' ' *
            (max_characters - len(python_version) + 2) + '#' * 2)
      for package in packages:
          print('#' * 2 + ' ' * 2 + package + ' ' *
                (\max_{\text{characters}} - \text{len}(\text{package}) + 2) + '#' * 2)
      print('#' * 2 + ' ' * (max_characters + 4) + '#' * 2)
      print('#' * (max_characters + 8))
```

#### 

```
## ## python==3.7.9 ##
```

