

# **SAT Solving and its Extensions**

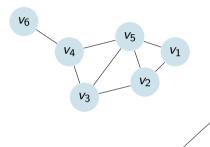
A Short Introduction of Maximum Satisfiability

#### Uwe Egly, Katalin Fazekas

Slides are mostly based on Chapter 24 of SAT Handbook [BacchusJärvisaloMartins-2021] Institute of Logic and Computation TU Wien, Austria

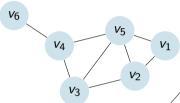
■ Many problems have an *objective function* beyond the Boolean constraints

■ Many problems have an *objective function* beyond the Boølean constraints



■ Find a subset of the vertices that includes at least one endpoint of every edge of the graph

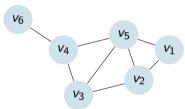
Many problems have an objective function beyond the Boolean constraints



■ Find a subset of the vertices that includes at least one endpoint of every edge of the graph

- 1. Introduce a Boolean variable for each vertex  $v_i$  ( $i \in [1..6]$ )
  - $\blacksquare$   $v_i$  is true  $\leftrightarrow$   $v_i$  is selected to be part of the cover
- 2. Add a clause  $(v_i \lor v_j)$  for each  $(v_i, v_j) \in E$  where (i < j)

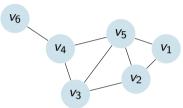
■ Many problems have an *objective function* beyond the Boolean constraints



- Find a subset of the vertices that includes at least one endpoint of every edge of the graph
  - For example  $\{v_6, v_5, v_4, v_3, v_2, v_1\}$

- 1. Introduce a Boolean variable for each vertex  $v_i$  ( $i \in [1..6]$ )
  - $\blacksquare$   $v_i$  is true  $\leftrightarrow$  vertex  $v_i$  is selected to be part of the cover
- 2. Add a clause  $(v_i \vee v_j)$  for each  $(v_i, v_j) \in E$  where (i < j)

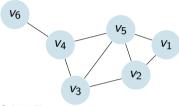
■ Many problems have an *objective function* beyond the Boolean constraints



- Find a subset of the vertices that includes at least one endpoint of every edge of the graph
  - For example  $\{v_6, v_5, v_4, v_3, v_2, v_1\}$
- Find the **smallest** subset:

- 1. Introduce a Boolean variable for each vertex  $v_i$  ( $i \in [1..6]$ )
  - $\mathbf{v}_i$  is true  $\leftrightarrow$  vertex  $\mathbf{v}_i$  is selected to be part of the cover
- 2. Add a clause  $(v_i \lor v_j)$  for each  $(v_i, v_j) \in E$  where (i < j)
- 3. **Objective function:** Minimize  $v_1 + v_2 + v_3 + v_4 + v_5 + v_6$

Many problems have an objective function beyond the Boolean constraints



- Find a subset of the vertices that includes at least one endpoint of every edge of the graph
  - For example  $\{v_6, v_5, v_4, v_3, v_2, v_1\}$
- Find the **smallest** subset:
  - $\blacksquare \{v_5, v_4, v_2\}$

- 1. Introduce a Boolean variable for each vertex  $v_i$  ( $i \in [1..6]$ )
  - $v_i$  is true  $\leftrightarrow$  vertex  $v_i$  is selected to be part of the cover
- 2. Add a clause  $(v_i \lor v_j)$  for each  $(v_i, v_j) \in E$  where (i < j)
- 3. **Objective function:** Minimize  $v_1 + v_2 + v_3 + v_4 + v_5 + v_6$

# **MaxSAT Applications**

- Verification and Security
  - design and debug circuits, error trace minimization, hardware-software partitioning, user-guided program analysis, malware detection . . .
- Planning, Scheduling, Configuration
  - cost-optimal planning, shortest path, course timetabling, wedding seating arrangements, package upgradeability, vehicle configurations, . . .
- Al and Data Analysis Problems
  - most probably explanations in Bayesian networks, interpretable classification rules, constrained correlation clustering, visualizations
- Combinatorial Problems
  - max-clique problem, Steiner tree problem, tree-width computation, ...
- Bioinformatics
  - haplotype inference problem, maximal similarities between RNA sequences, ...
- . . . .

# Preliminaries

# Maximum Satisfiability (MaxSAT)

#### MaxSAT:

Boolean optimization problems expressed in CNF, partitioned into two parts:

$$F = hard(F) \cup soft(F)$$

- Hard clauses: must be satisfied
- Soft clauses, each with a weight: falsifying incurs a cost equal with the weight

# Example

$$F = \underbrace{(x \lor y) \land (\neg x \lor r \lor z)}_{\text{hard clauses}} \land \underbrace{(\neg x)_2 \land (\neg y)_5}_{\text{soft clauses}}$$

#### Goal:

• Find a truth assignment that satisfies all the hard clauses and a maximum weight of soft clauses.

### Definition (Feasible solution)

Given a MaxSAT formula F, a truth assignment to the variables of F, vars(F), that satisfies hard(F) is a **feasible solution**.

■ The cost of a feasible solution  $\tau$  of F is the sum of the weights of the soft clauses it falsifies:

$$cost(\tau, F) = \sum_{\{C \mid C \in soft(F) \land \tau \neg \models C\}} wt(C)$$

### Definition (Feasible solution)

Given a MaxSAT formula F, a truth assignment to the variables of F, vars(F), that satisfies hard(F) is a **feasible solution**.

■ The cost of a feasible solution  $\tau$  of F is the sum of the weights of the soft clauses it falsifies:

$$cost(\tau, F) = \sum_{\{C \mid C \in soft(F) \land \tau \neg \models C\}} wt(C)$$

#### Optimal solution:

An **optimal solution**  $\tau$  of F is a feasible solution with minimum cost:  $cost(\tau, F) \leq cost(\tau', F)$  for every feasible solution  $\tau'$  of F.

### Definition (The MaxSAT Problem)

Given a MaxSAT formula F, find one of its optimal solutions.

• We assume that hard(F) is satisfiable

# Definition (The MaxSAT Problem)

Given a MaxSAT formula F, find one of its optimal solutions.

• We assume that hard(F) is satisfiable

# Definition (MaxSAT Core)

Given a MaxSAT formula F, any subset K of soft(F) such that  $K \cup hard(F)$  is unsatisfiable, is a **core** of F.

- $\rightarrow$  We always have to satisfy hard(F), so more useful to define cores relative to the hard clauses
- $\rightarrow$  Every feasible solution of F falsifies at least one soft clause of K

### Definition (The MaxSAT Problem)

Given a MaxSAT formula F, find one of its optimal solutions.

• We assume that hard(F) is satisfiable

### Definition (MaxSAT Core)

Given a MaxSAT formula F, any subset K of soft(F) such that  $K \cup hard(F)$  is unsatisfiable, is a **core** of F.

- $\rightarrow$  We always have to satisfy hard(F), so more useful to define cores relative to the hard clauses
- $\rightarrow$  Every feasible solution of F falsifies at least one soft clause of K

$$F = (x \vee \neg y \vee v) \wedge (\neg y \vee z) \wedge \underbrace{(y \vee z)_{10} \wedge (\neg z)_{5}}_{\mathsf{K}} \wedge (x \vee \neg v)_{7}$$

### Some Variants of MaxSAT

#### **MaxSAT**

- all clauses are soft and and each one has weight 1
- satisfy maximum number of soft clauses

#### Partial MaxSAT

- there are hard clauses and soft clauses with weight 1
- satisfy maximum number of soft clauses while satisfy all hard clauses

#### Weighted MaxSAT

- all clauses are soft and have a positive weight associated with
- maximize sum of weights of satisfied soft clauses

#### Weighted Partial MaxSAT

- there are hard clauses and soft clauses with positive weights
- maximize sum of weights of satisfied soft clauses while satisfy all hard clauses

- Use Branch-and-Bound methods
- Use an Integer Programming (IP) or Pseudo-Boolean (PB) solver

- Use Branch-and-Bound methods
- Use an Integer Programming (IP) or Pseudo-Bookean (PB) solver
- Use a SAT solver:
  - To simplify the presentation, from now on we will assume that every soft clause has weight 1 (unless stated otherwise)

- Use Branch-and-Bound methods
- Use an Integer Programming (IP) or Pseudo-Boolean (PB) solver
- Use a SAT solver:
  - To simplify the presentation, from now on we will assume that every soft clause has weight 1 (unless stated otherwise)
  - Solve a sequence of SAT queries where each query asks the following:

    Is there a solution of the hard clauses that falsifies at most k soft clauses?

- Use Branch-and-Bound methods
- Use an Integer Programming (IP) or Pseudo-Boolean (PB) solver
- Use a SAT solver:
  - To simplify the presentation, from now on we will assume that every soft clause has weight 1 (unless stated otherwise)
  - Solve a sequence of SAT queries where each query asks the following:

    Is there a solution of the hard clauses that falsifies at most k soft clauses?
  - How to encode this question?

- Use Branch-and-Bound methods
- Use an Integer Programming (IP) or Pseudo-Boolean (PB) solver
- Use a SAT solver:
  - To simplify the presentation, from now on we will assume that every soft clause has weight 1 (unless stated otherwise)
  - Solve a sequence of SAT queries where each query asks the following:
    - Is there a solution of the hard clauses that falsifies at most k soft clauses?
  - How to encode this question?
  - How to exploit incremental SAT solvers?

# Iterative Search Algorithms

# Solving MaxSAT with Iterative Search

1. Linear search UNSAT  $\rightarrow$  SAT (Lower Bound search)

Can we find a solution that falsifies at most 0 of the soft clauses? If not, can we find a solution that falsifies at most 1 of the soft clauses? If not, can we find a solution that falsifies at most 2 of the soft clauses?

. . .

# **Solving MaxSAT with Iterative Search**

1. Linear search UNSAT  $\rightarrow$  SAT (Lower Bound search)

Can we find a solution that falsifies at most 0 of the soft clauses? If not, can we find a solution that falsifies at most 1 of the soft clauses? If not, can we find a solution that falsifies at most 2 of the soft clauses?

2. Linear search SAT → UNSAT (Upper Bound search)

Can we find a solution that satisfies at least 1 of the soft clauses? ( $\sim$  falsifies at most all but one of the soft clauses?)

If not, can we find a solution that satisfies at least 2 of the soft clauses? If not, can we find a solution that satisfies at least 3 of the soft clauses?

# Solving MaxSAT with Iterative Search



1. Linear search UNSAT → SAT (Lower Bound search)

Can we find a solution that falsifies at most 0 of the soft clauses? If not, can we find a solution that falsifies at most 1 of the soft clauses? If not, can we find a solution that falsifies at most 2 of the soft clauses?

+incremental SATsolver 2. Linear search  $SAT \rightarrow UNSAT$  (Upper Bound search) Can we find a solution that satisfies at least 1 of the soft clauses? ( $\sim$  falsifies at most all but one of the soft clauses?)

If not, can we find a solution that satisfies at least 2 of the soft clauses?

If not, can we find a solution that satisfies at least 3 of the soft clauses?

3. Binary search  $\sqrt{h} \left( \frac{1}{h} \right) = \frac{1}{h} \left( \frac{1$ 

# Linear UNSAT $\rightarrow$ SAT search

# Algorithm 1 UNSAT-SAT Search

- 1:  $F^b = bv_transform(F)$ ; k = 0;
- 2:  $(\text{sat?}, \tau, \kappa) \leftarrow \text{SAT}(F^b \cup \text{CNF}(\Sigma \neg b_i \leq k))$
- 3: while not sat? do
- 4:  $k \leftarrow k + 1$ ;
- 5:  $(\text{sat}?,\tau,\kappa) \leftarrow \text{SAT}(F^b \cup \text{CNF}(\Sigma \neg b_i \leq k))$
- 6: **return** *k*

# **Linear UNSAT** → **SAT** search

### Algorithm 1 UNSAT-SAT Search

- 1:  $F^b = bv_transform(F)$ ; k = 0;
- 2:  $(\text{sat}?, \tau, \kappa) \leftarrow \text{SAT}(F^b \cup \text{CNF}(\Sigma \neg b_i \leq k))$
- 3: while not sat? do
- 4:  $k \leftarrow k + 1$ ;
- 5:  $(\operatorname{sat}^2, \tau, \kappa) \leftarrow \operatorname{SAT}(F^b \cup \operatorname{CNF}(\Sigma \neg b_i \leq \kappa))$
- 6: **return** *k* 
  - Not really effective without using unsat cores (see later)

# **Linear UNSAT** $\rightarrow$ **SAT** search

# Algorithm 1 UNSAT-SAT Search

- 1:  $F^b = bv_transform(F)$ ; k = 0;
- 2:  $(\text{sat}?, \tau, \kappa) \leftarrow \text{SAT}(F^b \cup \text{CNF}(\Sigma \neg b_i \leq k))$
- 3: while not sat? do
- 4:  $k \leftarrow k + 1$ ;
- 5:  $(\text{sat}?, \tau, \kappa) \leftarrow \text{SAT}(F^b \cup \text{CNF}(\Sigma \neg b_i \leq k))$
- 6: **return** *k* 
  - Not really effective without using unsat cores (see later)
  - Constraints like  $\mathsf{CNF}(\Sigma \neg b_i \leq k)$  will be part of the  $\mathit{card\_layer}$  of the problem in the later algorithms

# **Linear SAT** → **UNSAT** search

# Algorithm 2 SAT-UNSAT Search [BerreParrain-2010]

```
1: F^b = bv\_transform(F); card\_layer = \{\}; Blits = \{\neg b_i \mid (b_i) \in soft(F^b)\}
2: bestModel = \{\}; cost = inf; sat? = true;
 3: while sat? do
       (\text{sat}?,\tau,\kappa) \leftarrow \text{SAT}(F^b \cup \text{card\_layer}))
 5
      if sat? then
           bestModel \leftarrow \tau:
 6:
 7:
     cost \leftarrow cost(\tau):
8:
           card_layer \leftarrow \{ \mathsf{CNF}(\Sigma_{b:\in Blits} \neg b_i < cost) \};
9:
        else
           return (bestModel|_{vars(F)}, cost)
10:
```

# **Linear SAT** → **UNSAT** search

# Algorithm 2 SAT-UNSAT Search [BerreParrain-2010]

```
1: F^b = bv\_transform(F); card\_layer = \{\}; Blits = \{\neg b_i \mid (b_i) \in soft(F^b)\}
2: bestModel = \{\}; cost = inf; sat? = true;
 3: while sat? do
       (\text{sat}?,\tau,\kappa) \leftarrow \text{SAT}(F^b \cup \text{card\_layer}))
 5
       if sat? then
           bestModel \leftarrow \tau:
 6:
 7:
     cost \leftarrow cost(\tau):
           card_layer \leftarrow \{ \mathsf{CNF}(\Sigma_{b:\in Blits} \neg b_i < cost) \};
8:
9:
        else
           return (bestModel|_{vars(F)}, cost)
10:
```

- Used in QMaxSAT [KoshimuraZFH-2012] and Pacose [BacchusJM-MaxSAT Evaluation 2018]
- Can be effective in certain cases + Can give approximate solutions

# **Binary Search**

#### Combines SAT-UNSAT and UNSAT-SAT search:

- 1.  $UB \leftarrow |Blits|, LB \leftarrow 0$
- 2. Try to find a solution with  $k = \frac{UB + LB}{2}$  soft clauses
- 3. If satisfiable, update the upper bound to k
- 4. If unsatisfiable, update the lower bound to k
- 5. Repeat 2-4. until  $UB == L\cancel{B} + 1$

# **Binary Search**

#### Combines SAT-UNSAT and UNSAT-SAT search:

- 1.  $UB \leftarrow |Blits|, LB \leftarrow 0$
- 2. Try to find a solution with  $k = \frac{UB + LB}{2}$  soft clauses
- 3. If satisfiable, update the upper bound to k
- 4. If unsatisfiable, update the lower bound to k
- 5. Repeat 2-4. until UB == LB + 1

Can be effective (if considers cores)

# **Binary Search**

#### Combines SAT-UNSAT and UNSAT-SAT search:

- 1.  $UB \leftarrow |Blits|, LB \leftarrow 0$
- 2. Try to find a solution with  $k = \frac{UB + LB}{2}$  soft clauses
- 3. If satisfiable, update the upper bound to k
- 4. If unsatisfiable, update the lower bound to k
- 5. Repeat 2-4. until UB == LB + 1

- Can be effective (if considers cores)
- Potentially has less iterations than the other two iterative approaches

# Core Guided Algorithms

# **Blocking Variable Transformation**

#### Definition

Given a MaxSAT instance F,  $F^b$  is a new MaxSAT instance with:

- 1.  $hard(F^b) = hard(F) \cup \{(C_i \vee \neg b_i \mid C_i \in soft(F))\}$
- 2.  $soft(F^b) = \{(b_i) \mid b_i \text{ was added in step } 1\}$
- 3.  $wt((b_i)) = wt(c_i)$  (each new soft clause  $(b_i)$  gets the weight of the original  $C_i \in soft(F)$ ).

# **Blocking Variable Transformation**

#### Definition

Given a MaxSAT instance F,  $F^b$  is a new MaxSAT instance with:

- 1.  $hard(F^b) = hard(F) \cup \{(C_i \vee \neg b_i \mid C_i \in soft(F))\}$
- 2.  $soft(F^b) = \{(b_i) \mid b_i \text{ was added in step } 1\}$
- 3.  $wt((b_i)) = wt(c_i)$  (each new soft clause  $(b_i)$  gets the weight of the original  $C_i \in soft(F)$ ).

$$F = (x \vee \neg y \vee z) \wedge (\neg y \vee z) \wedge (z \vee y)_{10} \wedge (\neg z)_{5}$$

$$F^{b} = (x \vee \neg y \vee z) \wedge (\neg y \vee z) \wedge (z \vee y \vee \neg b_{1}) \wedge (\neg z \vee \neg b_{2}) \wedge (b_{1})_{10} \wedge (b_{2})_{5}$$

#### Definition

Given a MaxSAT instance F,  $F^b$  is a new MaxSAT instance with:

- 1.  $hard(F^b) = hard(F) \cup \{(C_i \vee \neg b_i \mid C_i \in soft(F))\}$
- 2.  $soft(F^b) = \{(b_i) \mid b_i \text{ was added in step } 1\}$
- 3.  $wt((b_i)) = wt(c_i)$  (each new soft clause  $(b_i)$  gets the weight of the original  $C_i \in soft(F)$ ).

$$F = (x \vee \neg y \vee z) \wedge (\neg y \vee z) \wedge (z \vee y)_{10} \wedge (\neg z)_{5}$$

$$F^{b} = (x \vee \neg y \vee z) \wedge (\neg y \vee z) \wedge (z \vee y \vee \neg b_{1}) \wedge (\neg z \vee \neg b_{2}) \wedge (b_{1})_{10} \wedge (b_{2})_{5}$$

unit soft clauses are usually not transformed (here we will in the examples)

#### Definition

Given a MaxSAT instance F,  $F^b$  is a new MaxSAT instance with:

- 1.  $hard(F^b) = hard(F) \cup \{(C_i \vee \neg b_i \mid C_i \in soft(F))\}$
- 2.  $soft(F^b) = \{(b_i) \mid b_i \text{ was added in step } 1\}$
- 3.  $wt((b_i)) = wt(c_i)$  (each new soft clause  $(b_i)$  gets the weight of the original  $C_i \in soft(F)$ ).

$$F = (x \vee \neg y \vee z) \wedge (\neg y \vee z) \wedge (z \vee y)_{10} \wedge (\neg z)_{5}$$

$$F^{b} = (x \vee \neg y \vee z) \wedge (\neg y \vee z) \wedge (z \vee y \vee \neg b_{1}) \wedge (\neg z \vee \neg b_{2}) \wedge (b_{1})_{10} \wedge (b_{2})_{5}$$

- unit soft clauses are usually not transformed (here we will in the examples)
- $F^b$  has only unit soft clauses  $\longleftrightarrow$  use of assumptions, see next slide)

#### Definition

Given a MaxSAT instance F,  $F^b$  is a new MaxSAT instance with:

- 1.  $hard(F^b) = hard(F) \cup \{(C_i \vee \neg b_i \mid C_i \in soft(F))\}$
- 2.  $soft(F^b) = \{(b_i) \mid b_i \text{ was added in step } 1\}$
- 3.  $wt((b_i)) = wt(c_i)$  (each new soft clause  $(b_i)$  gets the weight of the original  $C_i \in soft(F)$ ).

$$F = (x \vee \neg y \vee z) \wedge (\neg y \vee z) \wedge (z \vee y)_{10} \wedge (\neg z)_{5}$$

$$F^{b} = (x \vee \neg y \vee z) \wedge (\neg y \vee z) \wedge (z \vee y \vee \neg b_{1}) \wedge (\neg z \vee \neg b_{2}) \wedge (b_{1})_{10} \wedge (b_{2})_{5}$$

- unit soft clauses are usually not transformed (here we will in the examples)
- $F^b$  has only unit soft clauses ( $\rightarrow$  use of assumptions, see next slide)
- If  $\tau^b \models F^b$  then  $\tau^b/|_{vars(F)} \models F$  and  $cost(\tau^b, F^b) \ge cost(\tau^b|_{vars(F)}, F)$

#### Definition

Given a MaxSAT instance F,  $F^b$  is a new MaxSAT instance with:

- 1.  $hard(F^b) = hard(F) \cup \{(C_i \vee \neg b_i \mid C_i \in soft(F))\}$
- 2.  $soft(F^b) = \{(b_i) \mid b_i \text{ was added in step } 1\}$
- 3.  $wt((b_i)) = wt(c_i)$  (each new soft clause  $(b_i)$  gets the weight of the original  $C_i \in soft(F)$ ).

$$F = (x \vee \neg y \vee z) \wedge (\neg y \vee z) \wedge (z \vee y)_{10} \wedge (\neg z)_{5}$$
  
$$F^{b} = (x \vee \neg y \vee z) \wedge (\neg y \vee z) \wedge (z \vee y \vee \neg b_{1}) \wedge (\neg z \vee \neg b_{2}) \wedge (b_{1})_{10} \wedge (b_{2})_{5}$$

- unit soft clauses are usually not transformed (here we will in the examples)
- $F^b$  has only unit soft clauses ( $\rightarrow$  use of assumptions, see next slide)
- If  $\tau^b \models F^b$  then  $\tau^b \mid_{vars(F)} \models F$  and  $cost(\tau^b, F^b) \ge cost(\tau^b \mid_{vars(F)}, F)$
- If  $\tau \models F$ , then there is an extension  $\tau^b$  of it with  $cost(\tau^b, F^b) = cost(\tau, F)$

Modern MaxSAT algorithms rely on unsat cores

- Modern MaxSAT algorithms rely on unsat cores
- Assumption based incremental SAT solving can provide it:



1. Solve F subject to a set of assumption literals A (query SAT(F,assume=A))

- Modern MaxSAT algorithms rely on unsat cores
- Assumption based incremental SAT solving can provide it:



- 1. Solve F subject to a set of assumption literals A (query SAT(F,assume=A))
- 2. If answer is SAT, then the solution  $\tau$  satisfies all hard clauses and all literals in A

- Modern MaxSAT algorithms rely on unsat cores
- Assumption based incremental SAT solving can provide it:



- 1. Solve F subject to a set of assumption literals A (query SAT(F,assume=A))
- 2. If answer is SAT, then the solution  $\tau$  satisfies all hard clauses and all literals in A
- 3. If answer is UNSAT, the solver returns a set of literals  $\kappa = \{l_1, l_2, \dots, l_n\}$  such that  $\kappa \subseteq A$  and  $F \models \neg l_1 \lor \neg l_2 \lor \dots \lor \neg l_n$ 
  - ightarrow any model of F must falsify at least one of the literals of  $\kappa$

- Modern MaxSAT algorithms rely on unsat cores
- Assumption based incremental SAT solving can provide it:



- 1. Solve F subject to a set of assumption literals A (query SAT(F,assume=A))
- 2. If answer is SAT, then the solution au satisfies all hard clauses and all literals in A
- 3. If answer is UNSAT, the solver returns a set of literals  $\kappa = \{l_1, l_2, \dots, l_n\}$  such that  $\kappa \subseteq A$  and  $F \models \neg l_1 \lor \neg l_2 \lor \dots \lor \neg l_n$ 
  - $\rightarrow\,$  any model of F must falsify at least one of the literals of  $\kappa$
- Cores are not necessarily minimal

$$hard(F^b) = hard(F) \cup \{(C_i \lor \neg b_i \mid C_i \in soft(F))\}$$
  
 $soft(F^b) = \{(b_i) \mid b_i \text{ was added in step } 1\}$ 

$$hard(F^b) = hard(F) \cup \{(C_i \lor \neg b_i \middle| C_i \in soft(F)\}$$
 $soft(F^b) = \{(b_i) \mid b_i \text{ was added in step } 1\}$ 

- Use the blocking literals as assumptions
  - If these are assumed to be true, their corresponding soft clauses must be satisfied

$$hard(F^b) = hard(F) \cup \{(C_i \vee \neg b_i \mid C_i \in soft(F))\}$$
  
 $soft(F^b) = \{(b_i) \mid b_i \text{ was added in step 1}\}$ 

- Use the blocking literals as assumptions
  - If these are assumed to be true, their corresponding soft clauses must be satisfied
- Solve query SAT( $hard(F^b)$ ,assume={ $b_1, b_2, \ldots, b_n$ }):

$$hard(F^b) = hard(F) \cup \{(C_i \lor \neg b_i | C_i \in soft(F))\}$$
  
 $soft(F^b) = \{(b_i) | b_i \text{ was added in step } 1\}$ 

- Use the blocking literals as assumptions
  - If these are assumed to be true, their corresponding soft clauses must be satisfied
- Solve query SAT( $hard(F^b)$ ,assyme={ $b_1, b_2, ..., b_n$ }):
  - If answer is SAT, solution  $\tau$  satisfies all hard clauses and makes every  $b_i$  true and so  $\tau$  satisfies every soft clauses as well

$$hard(F^b) = hard(F) \cup \{(C_i \vee \neg b_i \mid C_i \in soft(F))\}$$
  
 $soft(F^b) = \{(b_i) \mid b_i \text{ was added in step } 1\}$ 

- Use the blocking literals as assumptions
  - If these are assumed to be true, their corresponding soft clauses must be satisfied
- Solve query SAT( $hard(F^b)$ ,assume={ $b_1, b_2, ..., b_n$ }):
  - If answer is SAT, solution  $\tau$  satisfies all hard clauses and makes every  $b_i$  true and so  $\tau$  satisfies every soft clauses as well
  - If answer is UNSAT, the returned core  $\kappa$  is actually a MaxSAT core (subset of soft clauses s.t.  $hard(F) \cup \kappa$  is unsatisfiable)

Is there a solution of the hard clauses that falsifies at most k soft clauses?

Is there a solution of the hard clauses that falsifies at most k soft clauses?

Cardinality constraints must be encoded as CNF:

$$\sum_{i=1}^{n} b_i \bowtie k \text{ where } \bowtie \in \{\leq, =, \geq\}$$

- lacksquare At-Most-1 (AMO) constraints:  $\Sigma_{i=1}^n b_i \leq 1$
- lacksquare General cardinality constraints:  $\Sigma_{i=1}^n b_i \leq k$
- (Pseudo-Boolean constraints:  $\sum_{i=1}^{n} w_i \dot{b}_i \leq k$  where  $w_i, k \in \mathbb{Z}$ )

Is there a solution of the hard clauses that falsifies at most k soft clauses?

Cardinality constraints must be encoded as CNF:

$$\sum_{i=1}^n b_i \bowtie k$$
 where  $\bowtie \in \{ \leq, =, \geq \}$ 

- $$\begin{split} & \Sigma_{i=1}^n b_i \bowtie k \text{ where } \bowtie \in \{ \leq, =, \geq \} \\ & \blacksquare \text{ At-Most-1 (AMO) constraints: } & \Sigma_{i=1}^n b_i \leq 1 \\ & \blacksquare \text{ General cardinality constraints: } & \Sigma_{i=1}^n b_i \neq k \\ & \blacksquare \text{ (Pseudo-Boolean constraints: } & \Sigma_{i=1}^n w_i b_i \leq k \text{ where } w_i, k \in \mathbb{Z}) \end{split}$$
- There are several CNF encoding techniques for these constraint types
  - Pairwise encoding (AMO), sequential counter, bit blasting, sorting networks, BDDs, watchdog encodings, . . .



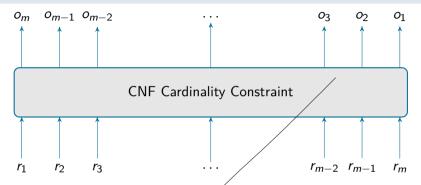
Is there a solution of the hard clauses that falsifies at most k soft clauses?

Cardinality constraints must be encoded as CNF:

$$\sum_{i=1}^{n} b_i \bowtie k$$
 where  $\bowtie \in \{\leq, =, \geq\}$ 

- At-Most-1 (AMO) constraints:  $\sum_{i=1}^{n} b_i \leq 1$
- lacksquare General cardinality constraints:  $\sum_{i=1}^n b_i \leq k$
- (Pseudo-Boolean constraints:  $\sum_{i=1}^{n} w_i \dot{b}_i \leq k$  where  $w_i, k \in \mathbb{Z}$ )
- There are several CNF encoding techniques for these constraint types
  - Pairwise encoding (AMO), sequential counter, bit blasting, sorting networks, BDDs, watchdog encodings, . . .
- Sequences of relaxations of such cardinality constraints suggest the need of incremental cardinality constraints

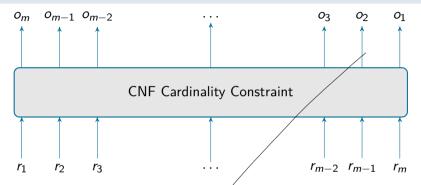
## **Cardinality Constraints – Totalizers**



The clauses of the encoding ensure that

 $lackbox{0}_{m}o_{m-1}\ldots o_{2}o_{1}$ : unary representation of the sum of the variables  $r_{1},r_{2},\ldots,r_{m}$ 

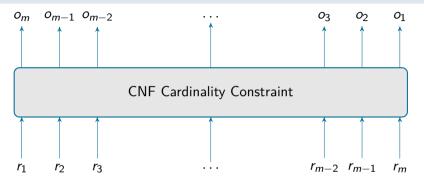
## **Cardinality Constraints – Totalizers**



The clauses of the encoding ensure that

- $o_m o_{m-1} \dots o_2 o_1$ : unary representation of the sum of the variables  $r_1, r_2, \dots, r_m$
- $o_i \leftrightarrow r_1 + r_2 + \ldots + r_k \geq i$

## **Cardinality Constraints – Totalizers**



The clauses of the encoding ensure that

- $o_m o_{m-1} \dots o_2 o_1$ : unary representation of the sum of the variables  $r_1, r_2, \dots, r_m$
- $o_i \leftrightarrow r_1 + r_2 + \ldots + r_k \geq i$

Most often used in MaxSAT: Incremental Totalizer Encoding: [MartinJML-CP'14]

## Fu-Malik Algorithm (2006)

#### Algorithm 3 Fu-Malik Algorithm [FuMalik-SAT'06]

```
1: F^b = bv\_transform(F); card_layer = \{\}; A = \{(b_i) \mid (b_i) \in soft(F^b)\}
 2: F' = hard(F^b); cost = 0; sat? = false
 3. while not sat? do
        (sat?,\tau,\kappa) \leftarrow SAT(F' \cup card\_layer, assume = A)
        if not sat? then
 5.
           for all \neg b_i \in \kappa do
 6:
              C_i \leftarrow C_i \vee r_i^{cost}
 7:
           card\_layer \leftarrow card\_layer \cup CNF(\sum_{\{i\mid \neg b_i \in \kappa\}} r_i^{cost} = 1)
 8:
 9:
           cost \leftarrow cost +1
10:
        else
           return (\tau \mid_{vars(F)}, cost)
11:
```

#### Fu-Malik Algorithm Example



$$F = (x \vee \neg y) \wedge (y \vee z) \wedge (y \vee \neg z) \wedge (\neg x)_1 \wedge (\neg y)_1$$
  
$$F^b = (x \vee \neg y) \wedge (y \vee z) \wedge (y \vee \neg z) \wedge (\neg x \vee \neg b_1) \wedge (\neg y \vee \neg b_2) \wedge (b_1)_1 \wedge (b_2)_1$$

- 1. SAT $(F^b$ , assume= $\{b_1, b_2\}$ )
  - UNSAT,  $\kappa = (\neg b_1 \lor \neg b_2)$
  - Extend  $(\neg x \lor \neg b_1)$  to  $(\neg x \lor r_1^0 \lor \neg b_1)$ and  $(\neg y \lor \neg b_2)$  to  $(\neg y \lor r_2^0 \lor \neg b_2)$
  - Add cardinality constraint  $CNF(r_1^0 + r_2^0 = 1)$
- 2.  $SAT(F^b \cup CNF(r_1^0 + r_2^0 = 1), assume = \{b_1, b_2\})$ 
  - UNSAT,  $\kappa = (\neg b_1 \lor \neg b_2)$
  - Extend  $(\neg x \lor r_1^0 \lor \neg b_1)$  to  $(\neg x \lor r_1^0 \lor r_1^1 \lor \neg b_1)$ and  $(\neg y \lor r_2^0 \lor \neg b_2)$  to  $(\neg y \lor r_2^0 \lor r_2^1 \lor \neg b_2)$
  - Add cardinality constraint  $CNF(r_1^1 + r_2^1 = 1)$
- 3.  $SAT(F^b \cup CNF(r_1^0 + r_2^0 = 1) \cup CNF(r_1^1 + r_2^1 = 1), assume = \{b_1, b_2\})$ 
  - SAT,  $cost(\tau) = 2$

#### Fu-Malik Algorithm Remarks

- Only works on unweighted MaxSAT problems (soft clause cloning is used to extend it to unweighted, see WPM1 [AnsóteguiBonetLevy-SAT'09] and WMSU1 [ManquinhoMarques-SilvaPlanes-SAT'09] algorithms)
- Changes  $F^b$  by extending clauses with new variables o not really incremental SAT friendly
- Multiple relaxation variables in same clauses can lead to symmetries ightarrow can slow down SAT solving
- + Size of cardinality constraints is smaller (depends only on size of found cores, not on number of soft clauses)
- + Relaxing clauses only on demand

# **MSU3 Algorithm**



#### Algorithm 4 MSU3 Algorithm for Unweighted MaxSAT [Marques-SPlanes-CoRR'07]

```
1: F^b = \text{bv\_transform}(F); card_layer = \{\}; A = \{(b_i) \mid (b_i) \in soft(F^b)\}
 2: inCard = \{\}
 3: F' = hard(F^b); cost = 0; sat? = false
 4. while not sat? do
        (sat?,\tau,\kappa) \leftarrow SAT(F' \cup card\_layer, assume = A)
       if not sat? then
 6:
     \mathsf{inCard} \leftarrow \mathsf{inCard} \cup \kappa
 7:
     A \leftarrow A \setminus \{b_i \mid \neg b_i \in \kappa\}
 8.
      cost \leftarrow cost +1
 g.
           card\_layer \leftarrow CNF(\Sigma_{\neg b:\in inCard} \neg b_i \leq cost)
10:
11:
        else
           return (\tau \mid_{vars(F)}, cost)
12:
```

#### MSU3 Algorithm Example

$$F = (x \vee \neg y) \wedge (y \vee z) \wedge (y \vee \neg z) \wedge (\neg x)_1 \wedge (\neg y)_1$$
  

$$F^b = (x \vee \neg y) \wedge (y \vee z) \wedge (y \vee \neg z) \wedge (\neg x \vee \neg b_1) \wedge (\neg y \vee \neg b_2) \wedge (b_1)_1 \wedge (b_2)_1$$

- 1.  $SAT(F^b, assume = \{b_1, b_2\})$ 
  - UNSAT,  $\kappa = (\neg b_1 \lor \neg b_2)$
  - Add  $\neg b_1$  and  $\neg b_2$  to inCard
  - $\blacksquare$  Remove  $b_1$  and  $b_2$  from A
  - Add cardinality constraint  $CNF(\neg b_1 + \neg b_2 \leq 1)$  to card\_layer
- 2. SAT( $F^b \cup CNF(\neg b_1 + \neg b_2 \le 1)$ , assume= $\{\}$ )
  - UNSAT,  $\kappa = \{\}$
  - $\blacksquare$  in Card and A are unchanged
  - card\_layer is updated to be  $\mathsf{CNF}(\neg b_1 + \neg b_2 \leq 2)$
- 3. SAT( $F^b \cup CNF(\neg b_1 + \neg b_2 \le 2)$ , assume= $\{\}$ )
  - SAT, cost = 2

## **MSU3 Algorithm Remarks**

- + Cardinality constraint changes in a systematic way: LHS gets new variables (from a predefined set of variables) and RHS is always incremented
  - $\rightarrow$  fully incremental SAT queries through totalizer encoding [MartinsJoshiManquinhoLynce-CP'14]
- One cardinality constraint over union of ALL cores
  - $\rightarrow$  can be decomposed into smaller constraints over disjoint cores (see PM2 algorithm [AnsóteguiBonetLevy-Al'13])

#### OLL Algorithm [MorgadoDodaroMarques'14]

- Use "soft cardinality constraints"
- Further exploits incremental totalizer encoding (output variables of cardinality constraints are used as new soft clauses)
  - → SAT solver can find cores over cardinality constraints
- One of the state-of-the-art approaches currently
- Efficiently implemented by RC2 [IgnatievMorgadoMarques-JSAT'18]

23 / 28

# The Implicit Hitting Set Approach

#### Definition (Hitting Set)

Let K be a set of cores, i.e., a set of sets of soft clauses. A hitting set  $\eta$  of K is a set of soft clauses that has a non-empty intersection with every set in K:

$$\forall \kappa \in K : \eta / \kappa \neq \emptyset$$

#### Definition (Hitting Set)

Let K be a set of cores, i.e., a set of sets of soft clauses. A hitting set  $\eta$  of K is a set of soft clauses that has a non-empty intersection with every set in K:

$$\forall \kappa \in K : \eta \cap \kappa \neq \emptyset$$

$$\mathcal{F} = (\neg x_1 \lor \neg x_2)_1 \land (\neg x_2 \lor x_3)_1 \land (\neg x_3 \lor \neg x_4)_1 \land (x_1)_1 \land (x_2)_1 \land (x_4)_1$$

$$\kappa_0 = \{(\neg x_1 \lor \neg x_2)_1, (\neg x_2 \lor x_3)_1, (\neg x_3 \lor \neg x_4)_1, (x_1)_1, (x_2)_1, (x_4)_1\}$$

$$\kappa_1 = \{(\neg x_1 \lor \neg x_2)_1, (x_1)_1, (x_2)_1\}$$

$$\kappa_2 = \{(\neg x_2 \lor x_3)_1, (\neg x_3 \lor \neg x_4)_1, (x_2)_1, (x_4)_1\}$$

#### Definition (Hitting Set)

Let K be a set of cores, i.e., a set of sets of soft clauses. A hitting set  $\eta$  of K is a set of soft clauses that has a non-empty intersection with every set in K:

$$\forall \kappa \in K : \gamma \cap \kappa \neq \emptyset$$

$$\mathcal{F} = (\neg x_{1} \lor \neg x_{2})_{1} \land (\neg x_{2} \lor x_{3})_{1} \land (\neg x_{3} \lor \neg x_{4})_{1} \land (x_{1})_{1} \land (x_{2})_{1} \land (x_{4})_{1}$$

$$\kappa_{0} = \{(\neg x_{1} \lor \neg x_{2})_{1}, (\neg x_{2} \lor x_{3})_{1}, (\neg x_{3} \lor \neg x_{4})_{1}, (x_{1})_{1}, (x_{2})_{1}, (x_{4})_{1}\}$$

$$\kappa_{1} = \{(\neg x_{1} \lor \neg x_{2})_{1}, (x_{1})_{1}, (x_{2})_{1}\}$$

$$\kappa_{2} = \{(\neg x_{2} \lor x_{3})_{1}, (\neg x_{3} \lor \neg x_{4})_{1}, (x_{2})_{1}, (x_{4})_{1}\}$$

$$\text{HS}(\kappa_{0}, \kappa_{1}, \kappa_{2}) : \{(\neg x_{1} \lor \neg x_{2})_{1}, (\neg x_{2} \lor x_{3})_{1}\} \quad \text{cost } \sum : 2$$

#### Definition (Hitting Set)

Let K be a set of cores, i.e., a set of sets of soft clauses. A hitting set  $\eta$  of K is a set of soft clauses that has a non-empty intersection with every set in K:

$$\forall \kappa \in K : \eta \cap \kappa \neq \emptyset$$

$$\mathcal{F} = (\neg x_1 \lor \neg x_2)_1 \land (\neg x_2 \lor x_3)_1 \land (\neg x_3 \lor \neg x_4)_1 \land (x_1)_1 \land (x_2)_1 \land (x_4)_1$$

$$\kappa_0 = \{(\neg x_1 \lor \neg x_2)_1, (\neg x_2 \lor x_3)_1, (\neg x_3 \lor \neg x_4)_1, (x_1)_1, (x_2)_1, (x_4)_1\}$$

$$\kappa_1 = \{(\neg x_1 \lor \neg x_2)_1, (x_1)_1, (x_2)_1\}$$

$$\kappa_2 = \{(\neg x_2 \lor x_3)_1, (\neg x_3 \lor \neg x_4)_1, (x_2)_1, (x_4)_1\}$$

$$HS(\kappa_0, \kappa_1, \kappa_2) : \{ (\neg x_1 \lor \neg x_2)_1, (\neg x_2 \lor x_3)_1 \} \quad \cos t \sum : 2$$

 Minimum Cost Hitting Set: Hitting set with cost less than or equal to the cost of any other hitting set

#### Definition (Hitting Set)

Let K be a set of cores, i.e., a set of sets of soft clauses. A hitting set  $\eta$  of K is a set of soft clauses that has a non-empty intersection with every set in K:

$$\forall \kappa \in K : \eta \cap \kappa \neq \emptyset$$

$$\mathcal{F} = (\neg x_1 \lor \neg x_2)_1 \land (\neg x_2 \lor x_3)_1 \land (\neg x_3 \lor \neg x_4)_1 \land (x_1)_1 \land (x_2)_1 \land (x_4)_1$$

$$\kappa_0 = \{(\neg x_1 \lor \neg x_2)_1, (\neg x_2 \lor x_3)_1, (\neg x_3 \lor \neg x_4)_1, (x_1)_1, (x_2)_1, (x_4)_1\}$$

$$\kappa_1 = \{(\neg x_1 \lor \neg x_2)_1, (x_1)_1, (x_2)_1\}$$

$$\kappa_2 = \{(\neg x_2 \lor x_3)_1, (\neg x_3 \lor \neg x_4)_1, (x_2)_1, (x_4)_1\}$$

$$\mathrm{HS}(\kappa_0,\kappa_1,\kappa_2):\{(\neg x_1\vee \neg x_2)_1,(\neg x_2\vee x_3)_1\}\quad \mathrm{cost}\sum:2$$

 Minimum Cost Hitting Set: Hitting set with cost less than or equal to the cost of any other hitting set

$$MinHS(\kappa_0, \kappa_1, \kappa_2) : \{(\mathbf{x_2})_1\} \quad cost \sum : 1$$

# Hitting Sets & MaxSAT [DaviesBacchus-CP'11]

To solve a MaxSAT problem F it is enough to find a minimum cost hitting set  $\eta$  of all the unsatisfiable cores (U) of F.

## Hitting Sets & MaxSAT [DaviesBacchus-CP'11]

To solve a MaxSAT problem F it is enough to find a minimum cost hitting set  $\eta$  of all the unsatisfiable cores (U) of F.

lacksquare  $\forall \kappa \in U : \eta \cap \kappa \neq \emptyset \leftrightarrow \{F - \eta\}$  is SAT

To solve a MaxSAT problem F it is enough to find a minimum cost hitting set  $\eta$  of **all** the unsatisfiable cores (*U*) of *F*.  $\forall \kappa \in U : \eta \cap \kappa \neq \emptyset \leftrightarrow \{F - \eta\} \text{ is SAT}$   $\qquad \qquad \eta \text{ is MinHS}(U) \leftrightarrow \text{all satisfying assignments of } \{F - \eta\} \text{ is optimal}$ 

To solve a MaxSAT problem F it is enough to find a minimum cost hitting set  $\eta$  of all the unsatisfiable cores (U) of F.

- lacksquare  $\forall \kappa \in U : \eta \cap \kappa \neq \emptyset \leftrightarrow \{F \eta\}$  is SAT
- lacksquare  $\eta$  is MinHS(U)  $\leftrightarrow$  all satisfying assignments of  $\{F-\eta\}$  is optimal

Issue: We do not know all the unsatisfiable cores in advance.

To solve a MaxSAT problem F it is enough to find a minimum cost hitting set  $\eta$  of all the unsatisfiable cores (U) of F.

- $\blacksquare \ \forall \kappa \in U : \eta \cap \kappa \neq \emptyset \leftrightarrow \{F \eta\} \text{ is SAT}$
- lacksquare  $\eta$  is MinHS(U)  $\leftrightarrow$  all satisfying assignments of  $\{F-\eta\}$  is optimal

Issue: We do not know all the unsatisfiable cores in advance.

To solve a MaxSAT problem F it is enough to find a minimum cost hitting set  $\eta$  of all the unsatisfiable cores (U) of F.

- lacksquare  $\forall \kappa \in U : \eta \cap \kappa \neq \emptyset \leftrightarrow \{F \eta\}$  is SAT
- lacksquare  $\eta$  is MinHS(U)  $\leftrightarrow$  all satisfying assignments of  $\{F-\eta\}$  is optimal

Issue: We do not know all the unsatisfiable cores in advance.

**Approach:** Calculate a hitting set  $\eta$  for the already known unsatisfiable cores (K).

■ If  $\{F - \eta\}$  is UNSAT: new core  $\kappa$  can be added to K.

To solve a MaxSAT problem F it is enough to find a minimum cost hitting set  $\eta$  of **all** the unsatisfiable cores (U) of F.

- lacksquare  $\forall \kappa \in U : \eta \cap \kappa \neq \emptyset \leftrightarrow \{F \eta\}$  is SAT
- lacksquare  $\eta$  is MinHS(U)  $\leftrightarrow$  all satisfying assignments of  $\{F-\eta\}$  is optimal

Issue: We do not know all the unsatisfiable cores in advance.

- If  $\{F \eta\}$  is UNSAT: new core  $\kappa$  can be added to K.
- If  $\{F \eta\}$  is SAT:

To solve a MaxSAT problem F it is enough to find a minimum cost hitting set  $\eta$  of all the unsatisfiable cores (U) of F.

- $\blacksquare \ \forall \kappa \in U : \eta \cap \kappa \neq \emptyset \leftrightarrow \{F \eta\} \text{ is SAT}$
- lacksquare  $\eta$  is MinHS(U)  $\leftrightarrow$  all satisfying assignments of  $\{F-\eta\}$  is optimal

Issue: We do not know all the unsatisfiable cores in advance.

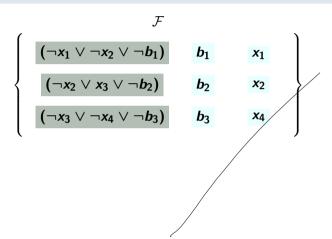
- If  $\{F \eta\}$  is UNSAT: new core  $\kappa$  can be added to K.
- If  $\{F \eta\}$  is SAT:
  - lacksquare If  $\eta$  is MinHS: Any satisfying assignment is guaranteed to be optimal.

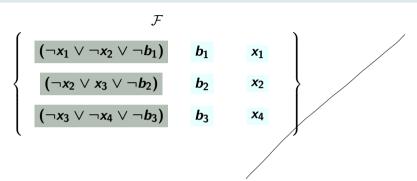
To solve a MaxSAT problem F it is enough to find a minimum cost hitting set  $\eta$  of all the unsatisfiable cores (U) of F.

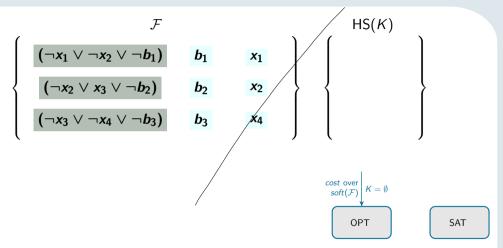
- $\blacksquare \ \forall \kappa \in U : \eta \cap \kappa \neq \emptyset \leftrightarrow \{F \eta\} \text{ is SAT}$
- lacksquare  $\eta$  is MinHS(U)  $\leftrightarrow$  all satisfying assignments of  $\{F-\eta\}$  is optimal

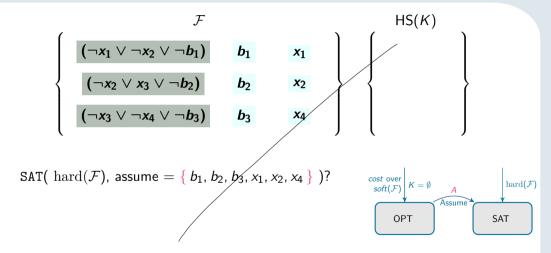
Issue: We do not know all the unsatisfiable cores in advance.

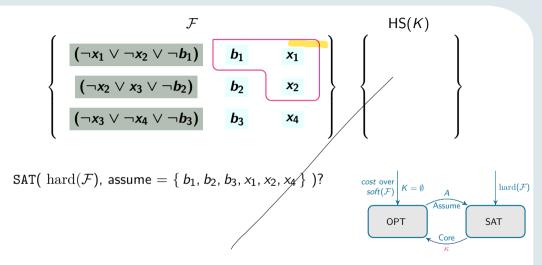
- If  $\{F \eta\}$  is UNSAT: new core  $\kappa$  can be added to K.
- If  $\{F \eta\}$  is SAT:
  - If  $\eta$  is MinHS: Any satisfying assignment is guaranteed to be optimal.
  - If  $\eta$  is arbritary HS: No guarantee of optimality.

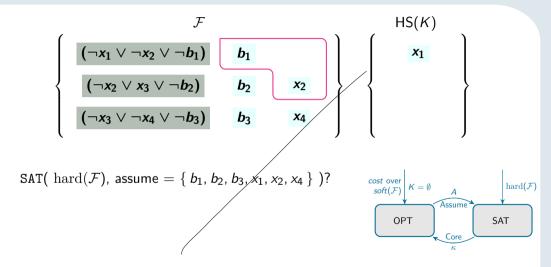


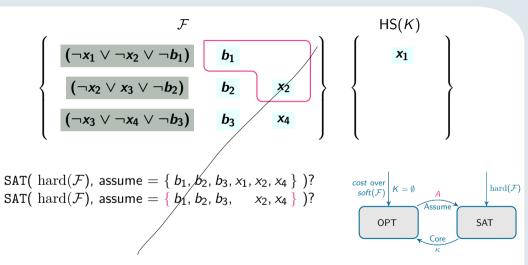


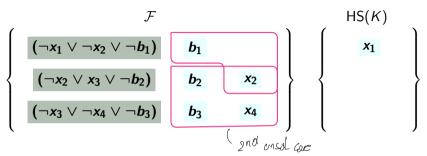




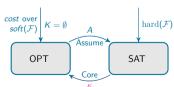


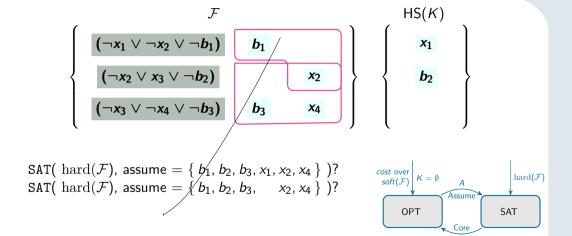


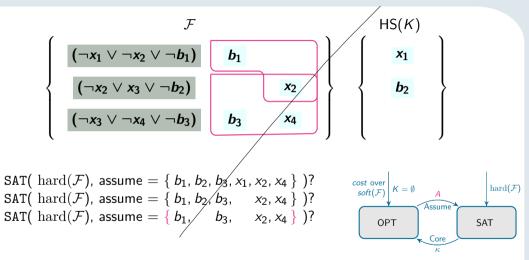


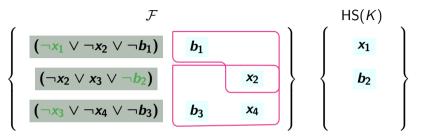


SAT( 
$$hard(\mathcal{F})$$
, assume = {  $b_1$ ,  $b_2$ ,  $b_3$ ,  $x_1$ ,  $x_2$ ,  $x_4$  } )? SAT(  $hard(\mathcal{F})$ , assume = {  $b_1$ ,  $b_2$ ,  $b_3$ ,  $x_2$ ,  $x_4$  } )?

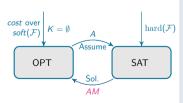


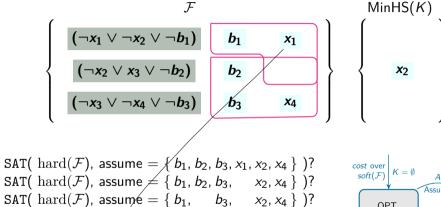


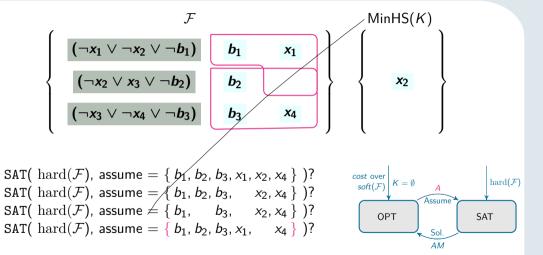


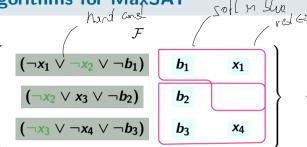


SAT( 
$$hard(\mathcal{F})$$
, assume = {  $b_1$ ,  $b_2$ ,  $b_3$ ,  $x_1$ ,  $x_2$ ,  $x_4$  } )?  
SAT(  $hard(\mathcal{F})$ , assume = {  $b_1$ ,  $b_2$ ,  $b_3$ ,  $x_2$ ,  $x_4$  } )?  
SAT(  $hard(\mathcal{F})$ , assume = {  $b_1$ ,  $b_3$ ,  $x_2$ ,  $x_4$  } )?  
Planting solution since it was an arbitrary hilly solution.









 $\begin{cases} \mathsf{AyC} \\ \mathsf{MinHS}(K) \\ \\ \\ \mathsf{x_2} \end{cases}$ 

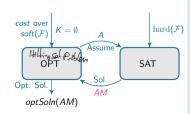
( duerd

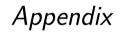
```
SAT( hard(\mathcal{F}), assume = { b_1, b_2, b_3, x_1, x_2, x_4 } )?

SAT( hard(\mathcal{F}), assume = { b_1, b_2, b_3, x_2, x_4 } )?

SAT( hard(\mathcal{F}), assume = { b_1, b_3, x_2, x_4 } )?

SAT( hard(\mathcal{F}), assume = { b_1, b_2, b_3, x_1, x_4 } )?
```





#### **OLL Algorithm for MaxSAT**

#### Algorithm 5: OLL algorithm for MaxSAT [MDM14] 1 OLL(F) 2 $F^b = bv\_transform(F)$ ; $card\_layer = \{\}$ ; $assumptions = \{b_i \mid (b_i) \in soft(F^b)\}$ $F' = hard(F^b)$ ; cost = 0; iter = 0; sat? = false4 while not sat? do /\* SAT solver interface is the same as in Algorithm 2 (sat?, $\pi$ , $\kappa$ ) = SATSolve ( $F' \cup \text{card layer, assumptions}$ ) if not sat? then $wt_{min} = \min_{\{\ell | \ell \in \kappa\}} wt((\neg \ell))$ $cost = cost + wt_{min}$ /\* (¬ℓ) is a soft clause \*/ for $\ell \in \kappa$ do $wt((\neg \ell)) = wt((\neg \ell)) - wt_{min}$ if $wt((\neg \ell)) == 0$ then 12 assumptions = assumptions $\setminus \{\neg \ell\}$ for $o^i \in \kappa$ do /\* Summation output variables \*/ if $wt((\neg o_i^i)) == 0 \land o_{i+1}^i$ exists then 14 /\* i'th summation has another output variable assumptions = assumptions $\cup \{\neg o_{i+1}^i\}$ 1.5 /\* Encode clauses that make output variables equal to value of sum card\_layer = card\_layer $\cup CNF(\sum_{\ell \in \pi} \ell \ge j \equiv o_i^{iter} \text{ for } o_2^{iter}, \dots, o_{i-1}^{iter})$ 16 for $i = 2, ..., |\kappa|$ do 17 $wt((\neg o_i^{\text{ter}})) = wt_{\min}$ 18 assumptions = assumptions $\cup \{\neg o^{iter}\}\$ 19 iter = iter + 120 olso 21 return $(\pi|_{vars(F)}, cost)$

#### **DIMACS** for Weighted CNF

#### Example (QDIMACS Format)

- Extension of DIMACS format used in SAT solving.
- Literals of variables encoded as signed integers.
- One clause per line, terminated by zero.
- header: line "p wcnf nbvar nbclauses top" where nbvar: number of variables nbclauses: number of clauses top: weight of hard clauses (optional)

```
p wcnf 4 5 15
15 1 -2 4 0
15 -1 -2 3 0
2 -2 -4 0
5 -3 2 0
3 1 3 0
```

WCNF DIMACS format: http://www.maxhs.org/docs/wdimacs.html