- •We have completed the entire front-end of a compiler:
 - Scanning
 - Parsing
 - Semantic analysis
- •These stages depend only on the properties of the source language.
- •They are completely independent of :
 - The target (machine or assembly) language
 - The properties of the target machine
 - Operating system

•The front-end:

- Enforces the language definition
- Builds data structures that are needed to do code generation

•If the front-end has not generated any error:

- We have a valid program in the source language that we are compiling
- We are ready to produce code which is a valid translation of the source code and that can executed on a given target architecture

•The Back-end:

- Optimization
- Code generation

•Runtime Systems:

- What the target program looks like and how is it organized. Why?
 - We have to know what we need to generate before knowing how we generate it and how such a generation strategy makes sense.

Run-time Support

- The target program interacts with system resources.
- There is a need to manage memory when a program is running
 - This memory management must connect to the data objects of programs
 - Programs request for memory blocks and release memory blocks
 - Passing parameters to functions needs attention
- Other resources such as printers, file systems, etc., also need to be accessed

Runtime Support

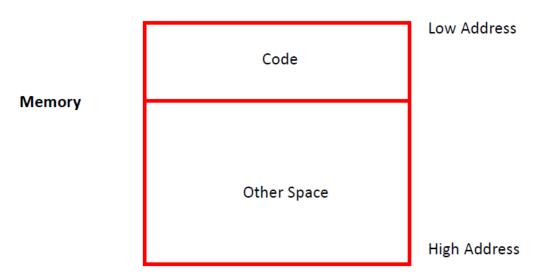
Execution of a program is initially under the control of the

```
operating system
```

- When a program is invoked:
 - The OS allocates space for the program
 - The code is loaded into part of the space
 - The OS jumps to the entry point (i.e., "main")

Management of Run-time Resources

- The compiler is not only responsible for generating code but also handling the associated data
- Compiler needs to decide what the layout of data is going to be and then generate code that correctly manipulates the data
 - References data within the code
 - Code and layout of data needs to be designed together
- Storage Organization

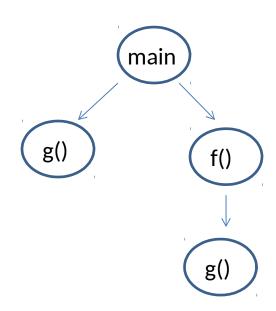


- Two goals in code generation:
 - Correctness
 - Speed
- Fast as well as correct Difficult
- Two assumptions of Activation:
 - Execution is sequential; control moves from one point in a program to another in a well-defined order
 - When a procedure is called, control always returns to the point immediately after the call

- An invocation of procedure ${\bf P}$ is an *activation of* ${\bf P}$
- The lifetime of an activation of P is
 - All the steps to execute P
 - Including all the steps in procedures P calls
- •The *lifetime of a variable x* is the portion of execution in which x is defined
- Note that
 - Lifetime is a dynamic (run-time) concept
 - Scope is a static concept

- Observation
 - When \mathbf{P} calls \mathbf{Q} , then \mathbf{Q} returns before \mathbf{P} returns
- Lifetimes of procedure activations are properly nested
- Activation lifetimes can be depicted as a tree

```
int main {
    int g() { return 1; };
    int f() { return g(); };
    int main() {{ g(); f(); }};
}
```



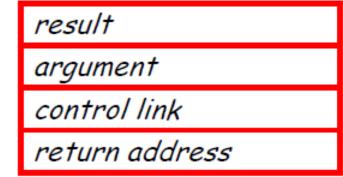
```
class main { int g() : { return1; }; int f(int x) { if (x == 0) then return g(); else returned int main () { f(3); }; }
```

- The activation tree may be different for every program input
- Since activations are properly nested, a stack can track currently active procedures

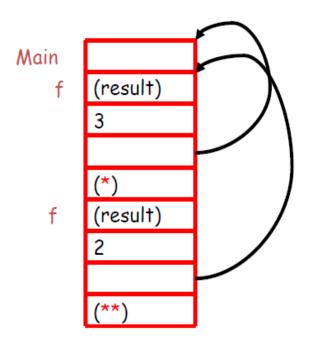
- Information needed to manage one procedure activation is called an *activation record* (*AR*) or *frame*
- If procedure F calls G, then G's activation record contains a mix of info about F and G.
- F is "suspended" until G completes, at which point F resumes
- **G**'s AR contains information needed to
 - Complete execution of **G**
 - Resume execution of F

- Space for **G**'s return value
- Actual parameters
- Pointer to the previous activation record
 - The control link; points to AR of caller of **G**
- Machine status prior to calling G
 - Contents of registers & program counter
 - Local variables
- Other temporary values



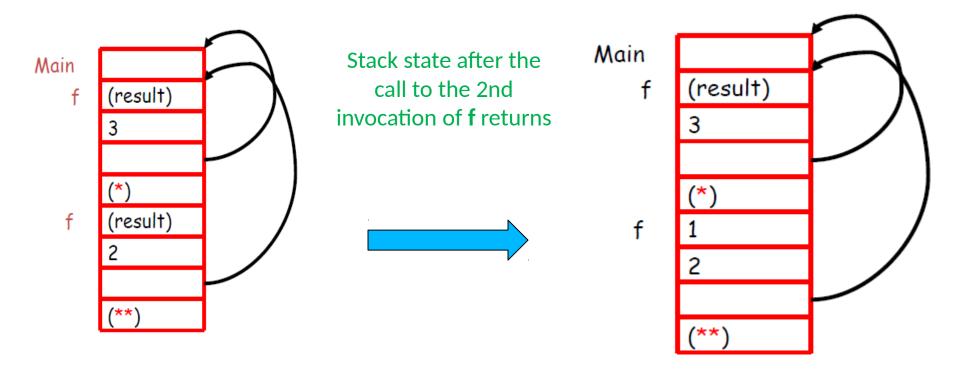


```
class main {
    int g() : { return1; };
    int f(int x) { if (x == 0) then return g();
              else return 1+ f(x - 1);(**) };
   int main() {f(3); (*)};
             result
             argument
 AR:
             control link
             return address
```



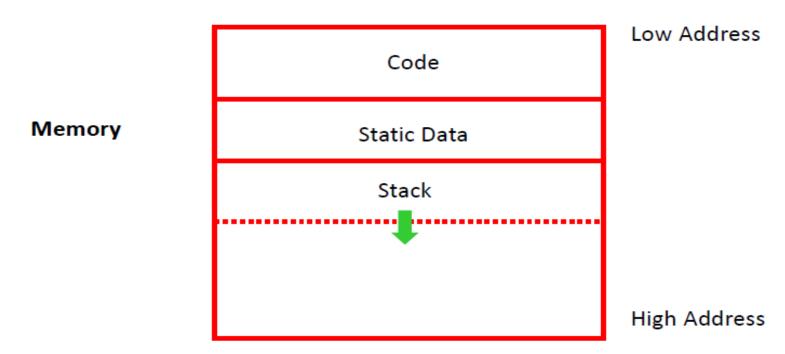
- main() has no argument or local variables and its result is never used; its AR is uninteresting
- (*) and (**) are return addresses of the invocations of f

• The advantage of placing the return value 1st in a frame is that the caller can find it at a fixed offset from its own frame



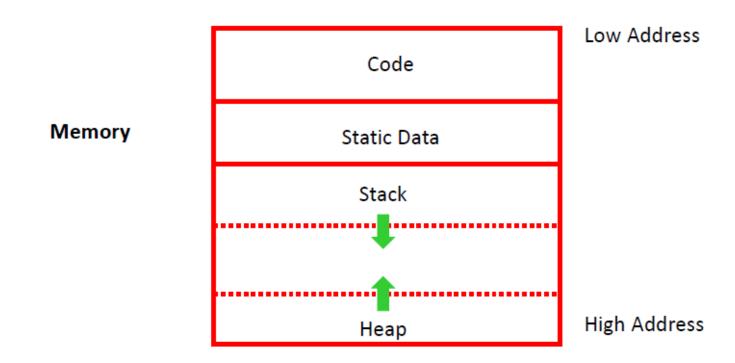
Global Data

- All references to a global variable point to the same object
 - Can't store a global in an activation record
- Globals are assigned a fixed address statically



Heap

- A value that outlives the procedure that creates it cannot be kept in AR
 - method foo() { new Bar }
 - The Bar value must survive deallocation of foo's AR
- A *heap* is generally used to store dynamically allocated data



Alignment

- Most modern machines are 32 or 64 bit
- Machines are either byte or word addressable
- Data is word aligned if it begins at a word boundary
- Machines generally have alignment restrictions
 - Accessing mis-aligned data incurs significant overhead
 - say 5x slower
- *Padding* is used to word align next data object in memory
 - Most frequently used with strings
 - Say we have the string "Hello" requires 2 "padding" characters

Only storage is a stack

- An instruction $r = F(a_1, ..., a_n)$:
 - Pops n operands from the stack
 - Computes the operation F using the operands
 - Pushes the result r on the stack

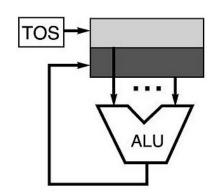
- Consider two instructions:
 - push i push integer i on the stack
 - add add two integers

- A program:
 - push 7
 - push 5
 - Add
- Stack machines provide a simple machine model
 - Simple compiler
 - Inefficient
- Location of the operands/result is not explicitly stated
 - Always the top of the stack

Stack Architectures

• Instruction set:

```
add, sub, mult, div, . . . push A, pop A
```



• Example: A*B - (A+C*B)

push A
push B
mul
push A
push C
push B
mul
add
sub

A B A*B A C B B*C A+B*C result A*B A A*B A*B

- Stack machine Vs. register machine
 - add instead of add r_1 , r_2 , r_3
 - More compact programs
- There is an intermediate point between a pure stack machine and a

pure register machine

- An n-register stack machine
- Conceptually, keep the top *n locations* of the pure stack machine's stack in registers
- 1-register stack machine
 - The register is called the accumulator

- In a pure stack machine
 - An add does 3 memory operations: Two reads and one write
- In a 1-register stack machine the **add** does
 - acc ← acc + top_of_stack
- In general, for an operation $op(e_1,...,e_n)$
 - $\mathbf{e}_1, \dots, \mathbf{e}_n$ are subexpressions
- For each e_i (0 < i < n)
 - Compute e_i
 - Push result on the stack
- Pop **n-1** values from the stack, compute **op**
- Store result in the accumulator

Operations for the stack machine with accumulator: 3 + (7 + 5)

Code	Accumulator	Stack
acc ← 3	3	<init></init>
push acc	3	3, <init></init>
acc ← 7	7	3, <init></init>
push acc	7	7, 3, <init></init>
acc ← 5	5	7, 3, <init></init>
acc ← acc + top_of_stack	12	7, 3, <init></init>
pop	12	3, <init></init>
acc ← acc + top_of_stack	15	3, <init></init>
рор	15	<init></init>

Stacks: Pros and Cons

Pros

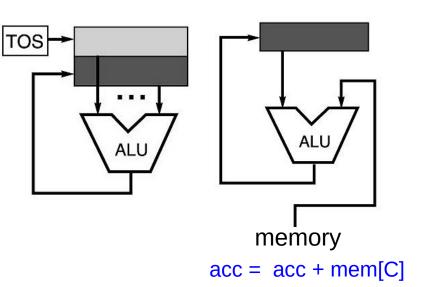
- Good code density (implicit top of stack)
- Low hardware requirements
- Easy to write a simpler compiler for stack architectures

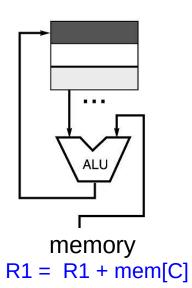
Cons

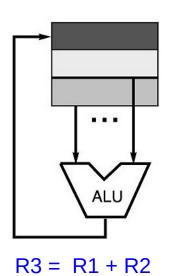
- Stack becomes the bottleneck
- Little ability for parallelism or pipelining
- Data is not always at the top of stack when need, so additional instructions like TOP and SWAP are needed
- Difficult to write an optimizing compiler for stack architectures

Code Sequence C = A + B for Four Instruction Sets

Stack	Accumulator (Stack with 1 reg.)	Register (register-memory)	Register (load- store)
Push A	PUSH A	Load R1, A	Load R1,A
Push B	Add B	Add R1, B	Load R2, B
Add	Pop (to) C	Store C, R1	Add R3, R1, R2
Pop (to) C			Store C, R3







... to continue

- Code that can be executed on a real machine
 - The MIPS processor
- We will simulate a stack machine model using MIPS instructions and registers
- The accumulator is kept in MIPS register \$a0
- The stack is kept in memory
 - The stack grows towards lower addresses in MIPS
- Address of the next location on stack is kept in register \$sp
 - Top of the stack is at address \$sp + 4
- MIPS uses RISC processor model
- 32 general purpose registers (32 bits each)
- We use \$sp (stack pointer), \$a0 (accumulator) and \$t1 (a temporary register)

MIPS (originally an acronym for Microprocessor without Interlocked Pipeline Stages) is a reduced instruction set computer (RISC) instruction set architecture (ISA) developed by MIPS Technologies

MIPS Instructions

- lw reg1 offset(reg2) /*load word*/
 - Load 32-bit word from address reg₂ + offset into reg₁
- add reg₁ reg₂ reg₃
 - $reg_1 \leftarrow reg_2 + reg_3$

 $r \rho \sigma \leftarrow i m m$

- sw reg1 offset(reg₂) /*store word*/
 - Store 32-bit word in reg₁ at address reg₂ + offset
- addiu reg₁ reg₂ imm (#) /*add immediate unsigned*/
 - $reg_1 \leftarrow reg_2 + imm$ where # \Box a number
 - "u" means overflow is not checked
- li reg imm (#) /*load immediate*/
 CSE346:Compilers, IIT Guwah

Example

• Stack-machine code for **7 + 5** in MIPS

acc ← 7 →	li	\$a0	7	
push acc	SW	\$a0	0(\$sp)	
	addiu	\$sp	\$sp	-4
acc ← 5	li	\$a0	5	
acc ← acc + top_of_stack →	_1w	\$t1	4(\$sp)	
	_add	\$a0	\$a0	\$t1
pop	addiu	\$sp	\$sp	4

A language with integers and integer operations

$$P \rightarrow D; P \mid D$$

 $D \rightarrow def id(ARGS) = E;$
 $ARGS \rightarrow id, ARGS \mid id$

- A declaration is a function definition.
- The function takes a list of identifiers as arguments.
- The function body is an expression.

$$E \rightarrow int \mid id \mid if E_1 = E_2 then E_3 else E_4$$

 $\mid E_1 + E_2 \mid E_1 - E_2 \mid id(E_1, ..., E_n)$

• The first function definition in the list is the entry point, that is the *main* routine. • Expressions are integers, identifiers, if-then-else with a predicate which allows the equality test, sums and differences of expressions and function calls.

This language may be used to define the fibonacci function:

```
def fib(x) = if x = 1 then 0 else
if x = 2 then 1 else
fib(x - 1) + fib(x - 2)
```

- To generate code for this language, we generate MIPS code for each expression
 e that:
 - Computes the value of e in \$a0
 - Preserves \$sp and the contents of the stack
- We define a code generation function cgen(e) whose result is the code generated for e

cgen(e) is going to work by cases.

 The code to evaluate a constant simply copies it into the accumulator:

• cgen(i) = li \$a0 i

• cgen($e_1 + e_2$) =
cgen(e_1)
sw \$a0 0(\$sp)
addiu \$sp \$sp - 4
cgen(e_2)
lw \$t1 4(\$sp)
add \$a0 \$t1 \$a0
addiu \$sp \$sp 4

- This preserves the stack, as required
- The code for + is a template with "holes" for code for evaluating e₁ and e₂
- Stack machine code generation is recursive
- Code generation for expressions can be done as a recursive-descent of the AST

- MIPS instruction: sub reg₁ reg₂ reg₃
 - Implements reg₁ ← reg₂ reg₃

```
cgen(e_1 - e_2) =
             cgen(e<sub>1</sub>)
             sw $a0 0($sp)
             addiu $sp $sp - 4
             cgen(e<sub>2</sub>)
             lw $t1 4($sp)
             sub $a0 $t1 $a0
             addiu $sp $sp 4
```

 Write MIPS assembly code for the given expressions following the 1-register stack machine model:

- · 1 + (2 3)
- (5 4) + 3

Code Generation

... to continue

Condition Checking

- MIPS instruction: beq reg₁ reg₂ label
 - Branch to label if reg₁ = reg₂
- MIPS instruction: b label
 - Unconditional branch to label

```
cgen(if e_1 = e_2 then e_3 else e_4) = cgen(e_1)
sw $a0 0($sp)
addiu $sp $sp - 4
cgen(e_2)
lw $t1 4($sp)
addiu $sp $sp 4
beq $a0 $t1 True_branch
```

Code Generation

A language with integers and integer operations

$$P \rightarrow D; P \mid D$$

$$D \rightarrow def id(ARGS) = E;$$

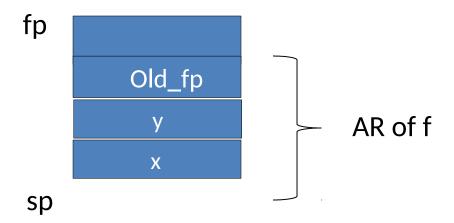
$$ARGS \rightarrow id, ARGS \mid id$$

$$E \rightarrow int \mid id \mid if E_1 = E_2 then E_3 else E_4$$

$$\mid E_1 + E_2 \mid E_1 - E_2 \mid id(E_1, ..., E_n)$$

- Code for function calls and function definitions depends on the layout of the AR
- A very simple AR suffices for this language:
 - The result is always in the accumulator
 - No need to store the result in the AR
 - The activation record holds actual parameters
 - For $f(x_1,...,x_n)$ push $x_n,...,x_1$ on the stack
 - These are the only variables (no other local or global variables) in this language (constraint)
 - The stack discipline guarantees that on function exit \$sp is the same as it was on function entry (preservation of the SP)
 - No need for a control link

- A pointer to the current activation is useful
 - This pointer lives in register \$fp (frame pointer)
- So, for this language, an AR with the caller's frame pointer, the actual parameters, and the return address suffices
- Consider a call to f(x,y), the AR is:



- The calling sequence is the instructions (of both caller and callee) to set up a function invocation
- New MIPS instruction: jal label /*jump and link*/
 - Jump to label, save address of next instruction in \$ra
 - To be used in Caller
- New MIPS instruction: jr reg
 - Jump to address in register reg
 - To be used in Callee

Code in Caller

```
cgen(f(e<sub>1</sub>,...,e<sub>n</sub>)) =
    sw $fp 0($sp)
    addiu $sp $sp - 4
    cgen(e<sub>n</sub>)

Code in the addiu $sp $sp - 4
    caller side
...

cgen(e<sub>1</sub>)
    sw $a0 0($sp)
    addiu $sp $sp - 4
    jal f_entry
```

- The caller saves its fp to stack
- Then it saves the actual parameters in reverse order
- Execute jump and link to call
- Finally the caller saves the return address in register \$ra
- The AR so far is 4*n+4 bytes long

Code Generation - Function Calls

Code in Callee

```
cgen(def f(x<sub>1</sub>,...,x<sub>n</sub>)= e) =
F_entry: move $fp $sp
    sw $ra 0($sp)
    addiu $sp $sp - 4
    cgen(e)
        lw $ra 4($sp)
    addiu $sp $sp z
    lw $fp 0($sp)
    jr $ra
```

- Store the \$sp as the \$fp of the current function.
- Store the return address (\$ra) to stack
- Execute expression e
- Pop return address
- Store the current fp to \$fp
- Jump to \$ra to return calling function

- The frame pointer points to the top, not the bottom
- The callee pops the return address, the actual arguments and the saved value of the frame pointer
- z = 4*n + 8

- The "variables" of a function are just its parameters
 - They are all in the AR
 - Pushed by the caller
- Problem: Because the stack grows when intermediate results are saved, the variables are not at a fixed offset from **\$sp**
- Solution: use a frame pointer
 - Always points to the return address on the stack
- Let x_i be the i^{th} (i = 1,...,n) formal parameter of the function for which code is being generated
 - $cgen(x_i) = lw \$a0 z(\$fp) (z = 4*i)$

Handling Temporaries

Code Generation

- In production compilers:
 - Emphasis is on keeping values in registers
 - Especially the current stack frame
 - Intermediate results are laid out in the AR, not pushed and popped from the stack

Code Generation - Handling Temporaries

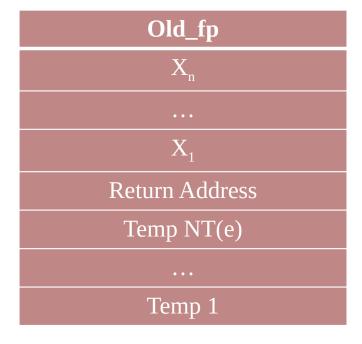
- Let NT(e) = Number of temporaries needed to evaluate e
- $NT(e_1 + e_2)$
 - Needs at least as many temporaries as NT(e₁)
 - Needs at least as many temporaries as NT(e₂) + 1
- Space used for temporaries in e₁ can be reused for temporaries in e₂
- $NT(e_1 + e_2) = max(NT(e_1), 1 + NT(e_2))$
- NT(if $e_1 = e_2$ then e_3 else e_4) = max(NT(e_1),1 + NT(e_2), NT(e_3), NT(e_4))
- NT(id($e_1,...,e_n$) = max(NT(e_1),...,NT(e_n))
- NT(int / id) = 0

Code Generation

```
def fib(x) = if x = 1 then 0 else
if x = 2 then 1 else
fib(x - 1) + fib(x - 2)
```

2 Temporary variables required

- For a function definition $f(x_1, ..., x_n) = e$ the AR has
 - 2 + n + NT(e) elements
 - Return address (1)
 - Frame pointer (1)
 - n arguments (n)
 - NT(e) locations for intermediate results (NT(e))



Code Generation

- Code generation must know how many temporaries are in use at each point
- Add a new argument to code generation
 - The position of the next available temporary
- The temporary area is used like a small, fixed-size stack

```
cgen(e_1 + e_2) = cgen(e_1)
sw $a0 0($sp)
addiu $sp $sp - 4
cgen(e_2)
lw $t1 4($sp)
add $a0 $t1 $a0
addiu $sp $sp 4
```



```
cgen(e_1 + e_2, nt) =
    cgen(e_1, nt)

sw $a0 nt($fp)

cgen(e_2, nt + 4)

lw $t1 nt($fp)

add $a0 $t1 $a0
```

Code Generation Example

```
def sumto(x) = if x = 0

then 0

else

x + sumto(x - 1)
```

Code Optimization

Code Optimization

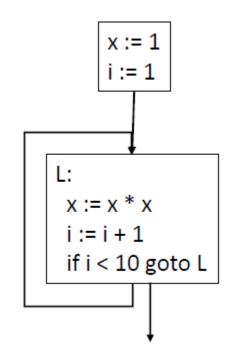
- Most complexity in modern compilers is in the optimizer
 - Also by far the largest phase
- Optimizations can be performed on
 - AST / DAG
 - Machine independent optimization but too high level
 - Assembly code
 - Target (machine) dependent optimization
 - On an intermediate language

Basic Blocks

- A basic block is a maximal sequence of instructions with:
 - no labels (except at the first instruction), and
 - no jumps (except in the last instruction)
- Idea:
 - Cannot jump into a basic block (except at beginning)
 - Cannot jump out of a basic block (except at end)
 - A basic block is a single-entry, single-exit, straight-line code segment
- The property of sequential control flow can be useful for many optimizations.

Control Flow Graphs

- Control-Flow Graph (CFG)
 - Models the way that the code transfers control between blocks in the procedure.
 - Node: a single basic block
 - Edge: transfer of control between basic blocks.
 - All return nodes are terminal



Code Optimization

- Optimization seeks to improve a program's resource utilization
 - Execution time (most often)
 - Code size
 - Network messages sent
 - Memory Usages
 - Disk Accesses
 - Power
- Optimization should not alter what the program computes
 - The answer before and after optimization must remain same

Code Optimization

- There are three granularities of optimizations
 - Local optimizations
 - Apply to a basic block in isolation
 - Global optimizations
 - Apply to a control-flow graph (method body) in isolation
 - Inter-procedural optimizations
 - Apply across method boundaries
- Most compilers do local and global optimizations but not the third
- Often a conscious decision is made not to implement the fanciest optimization known
 - Goal: Maximum benefit for minimum cost

- Algebraic simplification and Reassociation
 - Simplifications use algebraic properties or particular operator-operand combinations to simplify expressions.
 - Reassociation refers to using properties such as associativity, commutativity and distributivity to rearrange an expression to enable other optimizations

- Algebraic simplification and Reassociation
 - Simplification Examples:

```
    x+0 = x 0+x = x x*1 = x 1*x = x 0/x = 0 x-0 = x
    b && true = b b && false = false
    b || true = true b || false = b
```

Example: Re-arrangement + constant folding

```
b = 5 + a + 10;

t1 = t0 + a;

t2 = t1 + 10;

b = t2;

t0 = 15;

t1 = a + t0;

b = t1;
```

- Constant Folding
 - Evaluation at compile-time of expressions whose operands are known to be constant
 - Example

```
t0 = 10;

t1 = 5;

t2 = t0 * t1;

t3 = 6;

t4 = t2 + t3;

t5 = t4 - b;

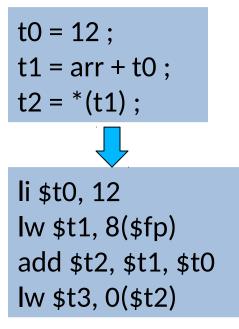
a = t5;
```

Code Optimization

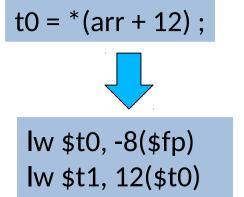
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Constant Propagation

- If a variable is assigned a constant value, then subsequent uses of that variable can be replaced by the constant as long as no intervening assignment has changed the value of the variable.
- Example:



Constant propagation + rearrangement cuts no. of regs. and insns. from 4 to 2



- Operator Strength Reduction
 - Replaces an operator by a "less expensive" one
 - Often performed as part of loop-induction variable elimination

• Example:

```
while (i < 100)
{
    arr[i] = 0;
    i = i + 1;
}
```

```
t1 = i;

L0:

If t1>100 Goto L1;

t2 = 4 * t1;

t3 = arr + t2;

*(t3) = 0;

t1 = t1 + 1;

Jump L0

L1:
```

```
t1 = arr;

L0: If i > 100 Goto L1;

* t1 = 0;

t1 = t1 + 4;

i = i + 1;

L1:
```

Copy Propagation

- Similar to constant propagation, but generalized to non-constant values
- For a = b, we can replace later occurrences of a with b (assuming there are no changes to either variable in-between)
- Example

```
t2 = t1;

t3 = t2 * t1;

t4 = t3;

t5 = t3 * t2;

c = t5 + t4;
```