

- What is the most visible aspect of an OS?

# File System

- Provides mechanism for on-line storage of and access to both data and programs of the OS and all the users of the computer system.
- File system consists of two distinct parts:
  - A collection of files each storing data, and
  - A directory structure which organizes and provides information about all the files in the system

# **File-System Interface**

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# Objectives

- To explain the function of file systems
- To describe the interfaces to file systems
- To discuss file-system design tradeoffs, including access methods, file sharing, file locking, and directory structures

# File System

- OS abstracts from the physical properties of its storage devices to define a logical storage unit- the file
- Files are mapped by OS onto physical devices
- **File**- A named collection of related information that is recorded on secondary storage
- **User's perspective**- smallest allotment of logical secondary storage, i.e, data cannot be written to secondary storage unless they are within a file.

# File Concept

- Contiguous logical address space
- Information in a file is defined by its creator
- Many types- Consider **text file, source file, executable file, etc**

## Types of file:

### Data

numeric

character

binary

### Program

# File Attributes

- A file is named for convenience of users and is referred to by its name.
- A name is usually a string of characters.
- When a file is named, it becomes independent of the process, the user, and even the system that created it.



# File Attributes

**Name** – only information kept in human-readable form

**Identifier** – unique tag (number) identifies file within file system

**Type** – needed for systems that support different types of files

**Location** – pointer to a device and location of the file on that device

**Size** – current file size (in bytes, words, or blocks). May also include maximum allowed size.

**Protection** – determines who can do reading, writing, executing, and so on

**Time, date, and user identification** – data for protection, security, and usage monitoring





- Information about files are kept in the directory structure, which resides in the secondary storage
- Information kept in the directory structure typically includes- file's name and identifier
- Identifier locates the file attributes

# File Operations

- File is an **abstract data type**
- A file is defined by the type of operations that can be performed on it
- OS provides system calls for basic file operations, such as:
- **Create**- find space for file in the file system + create entry for a new file in the directory
- **Write** –
  - corresponding system call specifies both the file and data to be written on it.
  - Given the file name, search directory to find location.
  - System keeps a **write pointer** to the location in the file where write is to take place.
  - **Write pointer** must be updated whenever a write occurs.

- **Read** –
  - Corresponding system call specifies name of the file + where (in memory) the next block of file is
  - Directory is searched for associated entry
  - **Read pointer** is kept at the location in the file where the next read is to take place
- **Reposition within file – file seek. Does not involve any actual I/O**
- **Delete**
  - Search the directory for the named file, release associated file space and erase directory entry
- **Truncate**
  - Erase contents of a file but keep its attributes

- ***Open( $F_i$ )*** – search the directory structure on disk for entry  $F_i$ , and move the content of entry to memory
- ***Close ( $F_i$ )*** – move the content of entry  $F_i$  in memory to directory structure on disk

# Open Files

- Several pieces of data are needed to manage open files:
  - **Open-file table**: tracks open files
  - **File pointer**: pointer to last read/write location, per process that has the file open
  - **File-open count**: counter of number of times a file is open – to allow removal of data from open-file table when last processes closes it
  - **Disk location of the file**
  - **Access rights**: per-process access mode information

# Open File Locking

- Provided by some operating systems and file systems
  - Similar to reader-writer locks
  - **Shared lock** similar to reader lock – several processes can acquire concurrently
  - **Exclusive lock** similar to writer lock
- Mediates access to a file
- Mandatory or advisory:
  - **Mandatory** – access is denied depending on locks held and requested
  - **Advisory** – processes can find status of locks and decide what to do

# File Types – Name, Extension

file type	usual extension	function
executable	exe, com, bin or none	ready-to-run machine- language program
object	obj, o	compiled, machine language, not linked
source code	c, cc, java, pas, asm, a	source code in various languages
batch	bat, sh	commands to the command interpreter
text	txt, doc	textual data, documents
word processor	wp, tex, rtf, doc	various word-processor formats
library	lib, a, so, dll	libraries of routines for programmers
print or view	ps, pdf, jpg	ASCII or binary file in a format for printing or viewing
archive	arc, zip, tar	related files grouped into one file, sometimes com- pressed, for archiving or storage
multimedia	mpeg, mov, rm, mp3, avi	binary file containing audio or A/V information

# File Structure

- None - sequence of words, bytes
- Simple record structure
  - Lines
  - Fixed length
  - Variable length
- Complex Structures
  - Formatted document
  - Relocatable load file
- Can simulate last two with first method by inserting appropriate control characters
- Who decides:
  - Operating system
  - Program



- Source and object files have structures that match the expectations of the programs that read them
- Files must conform to a required structure that is understood by the OS

Disadvantages of the OS supporting multiple file structures:

- Resulting size of the OS is cumbersome.
- OS needs to contain corresponding code to support different file structures.
- Severe problems may result if an application requires files structured in a way not supported by the OS

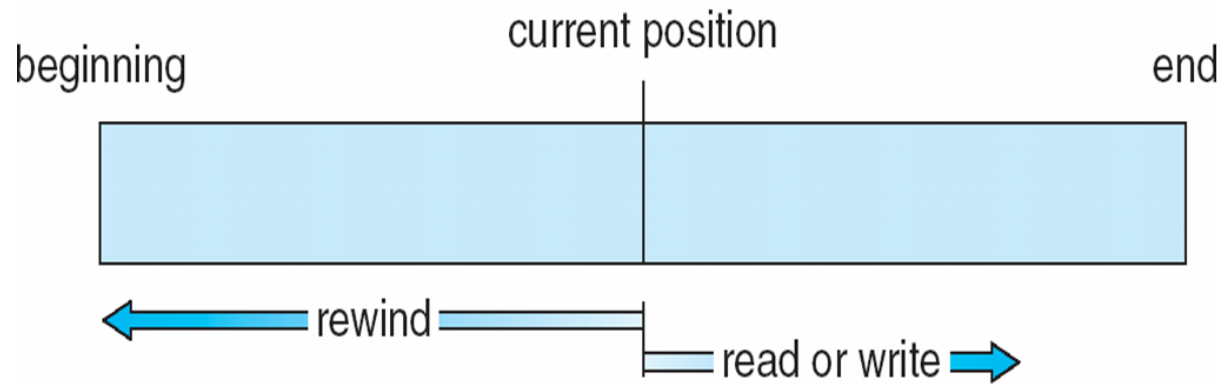
# Internal File Structure

- Disk systems have a well defined block size determined by the size of a sector.
- All disk I/O is performed in units of one block (physical record). All blocks are of same size.
- Physical record size may not be same as logical record size => solution is to pack a number of logical records into physical blocks.
- Logical record size, physical block size, and packing technique determine how many logical records are in each physical block.
- Packing can be done either by OS or by the user's application program.
- Thus, file may be considered as a sequence of blocks.
- All file systems suffer from internal fragmentation. Larger the block size, greater the internal fragmentation.

# Access Methods

- Information in files must be accessed and read into computer memory when file is in use.

# Sequential-access File



- Information in file is processed one record after another.
- Most commonly used access method.
- `read_next()`- reads the next portion of the file and automatically advances file pointer which tracks the I/O location
- `write_next()`- appends to the end of the file and advances to the end of the newly written material

# Direct Access

- A file is made up of fixed-length logical records that allows programs to read or write records rapidly in no particular order.
- Helps in **immediate access** to large amounts of information.
- Databases are often of this type.
- Concept- Read the block directly to get the required information.
- File operations need to be modified to support **block number (relative block number)**, e.g- `read_next()` becomes `read(n)`, `n` being the block number.
- Use of relative block numbers helps OS to decide where the file should be placed (allocation problem)
- It also helps to prevent user from accessing portions of the file system.
- **It is easy to implement sequential access on a direct access file system, the reverse is extremely inefficient and complicated.**

# Access Methods

- **Sequential Access**

**read next**  
**write next**  
**reset**  
no read after last write  
(rewrite)

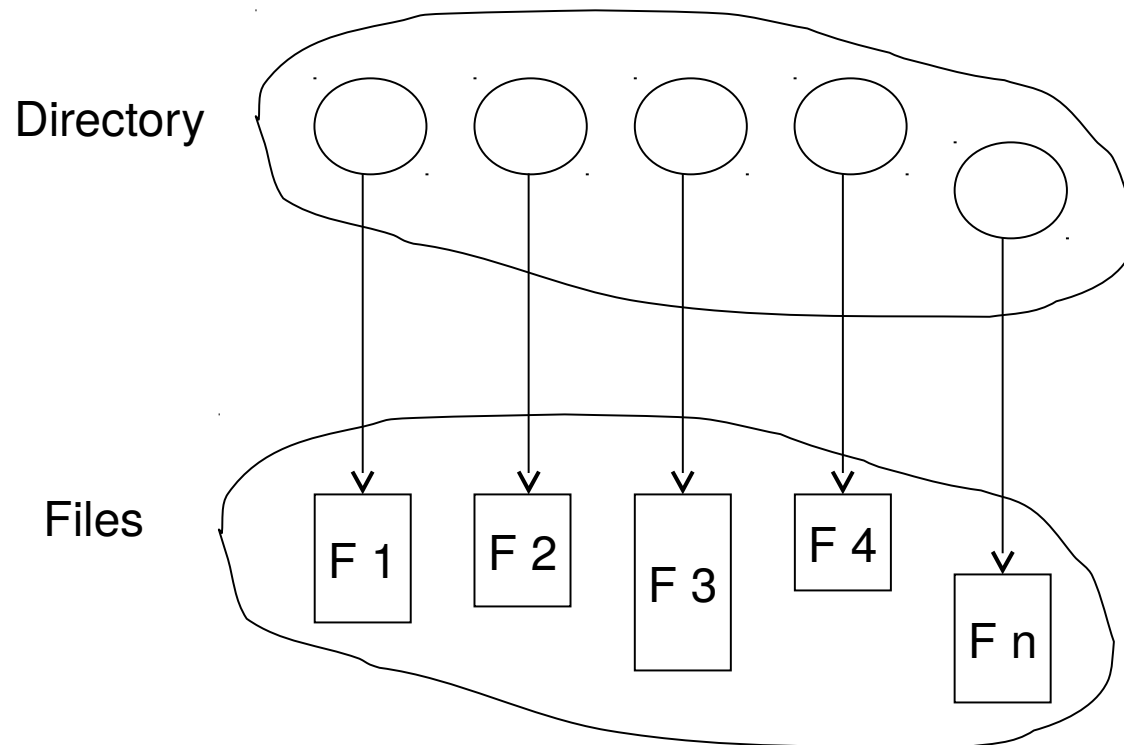
- **Direct Access** – file is fixed length **logical records**

**read  $n$**   
**write  $n$**   
**position to  $n$**   
    **read next**  
    **write next**  
**rewrite  $n$**

$n$  = **relative block number**

# Directory Structure

- A collection of nodes containing information about all files



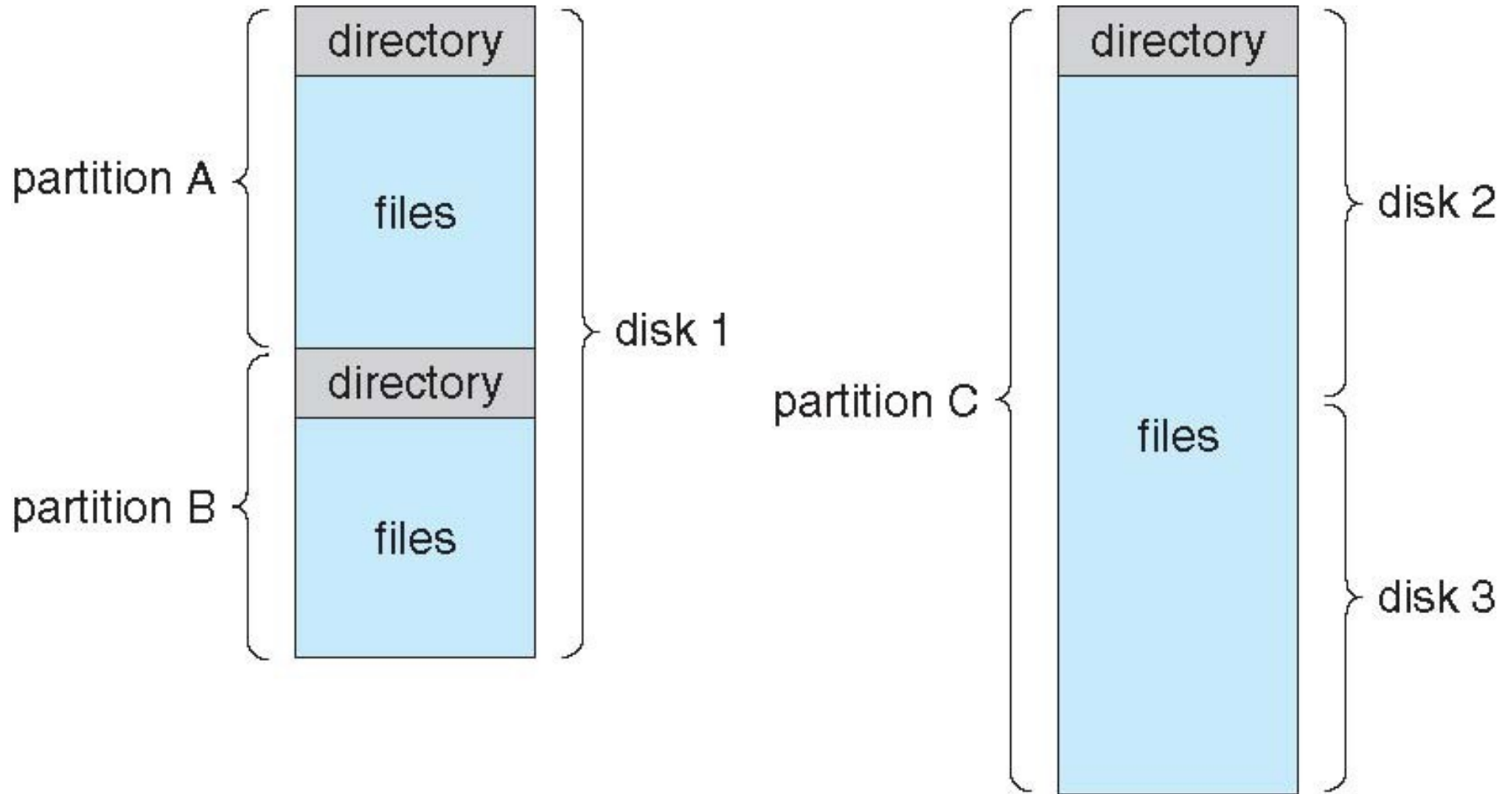
Both the directory structure and the files reside on disk

# Disk Structure

- Disk can be subdivided into **partitions**
- Disks or partitions can be **RAID** protected against failure
- Disk or partition can be used – without a file system, or **formatted** with a file system
- Partitions also known as minidisks, slices
- Entity containing file system known as a **volume**
- Each volume containing file system also tracks that file system's info in **device directory** or **volume table of contents**



# A Typical File-system Organization



# Types of File Systems

- We mostly talk of general-purpose file systems
- But systems frequently have many file systems, some general- and some special- purpose
- Consider Solaris , it has
  - tmpfs – memory-based volatile FS for fast, temporary I/O
  - objfs – interface into kernel memory to get kernel symbols for debugging
  - cdfs – contract file system for managing daemons
  - lofs – loopback file system which allows one FS to be accessed in place of another
  - procfs – kernel interface to process structures
  - ufs, zfs – general purpose file systems

# Operations Performed on Directory

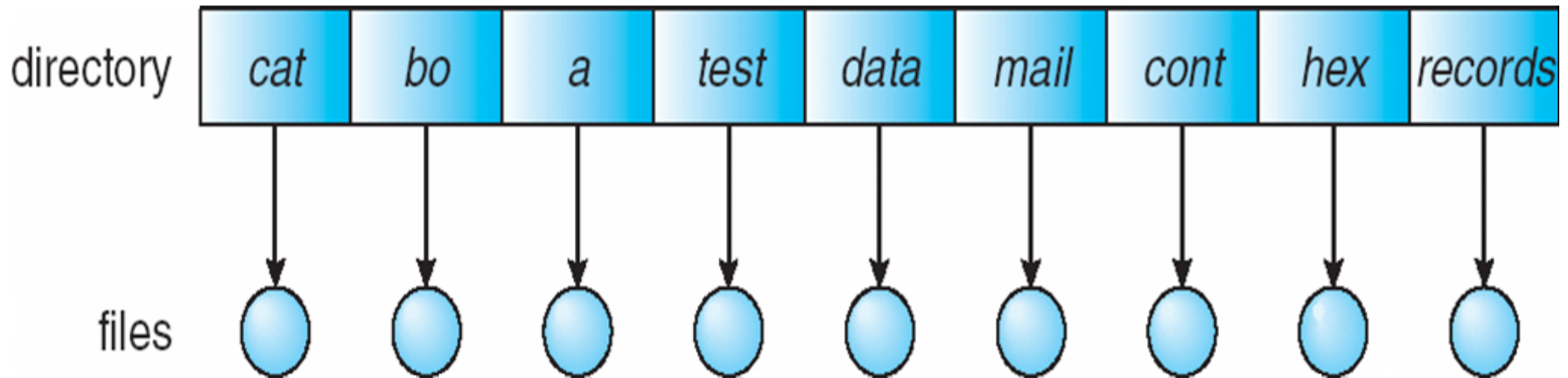
- Search for a file
- Create a file
- Delete a file
- List a directory
- Rename a file
- Traverse the file system

# Directory Organization

- A directory is organized logically to obtain
  - **Efficiency** – locating a file quickly
  - **Naming** – convenient to users
    - Two users can have same name for different files
    - The same file can have several different names
  - **Grouping** – logical grouping of files by properties, (e.g., all Java programs, all games, ...)

# Single-Level Directory

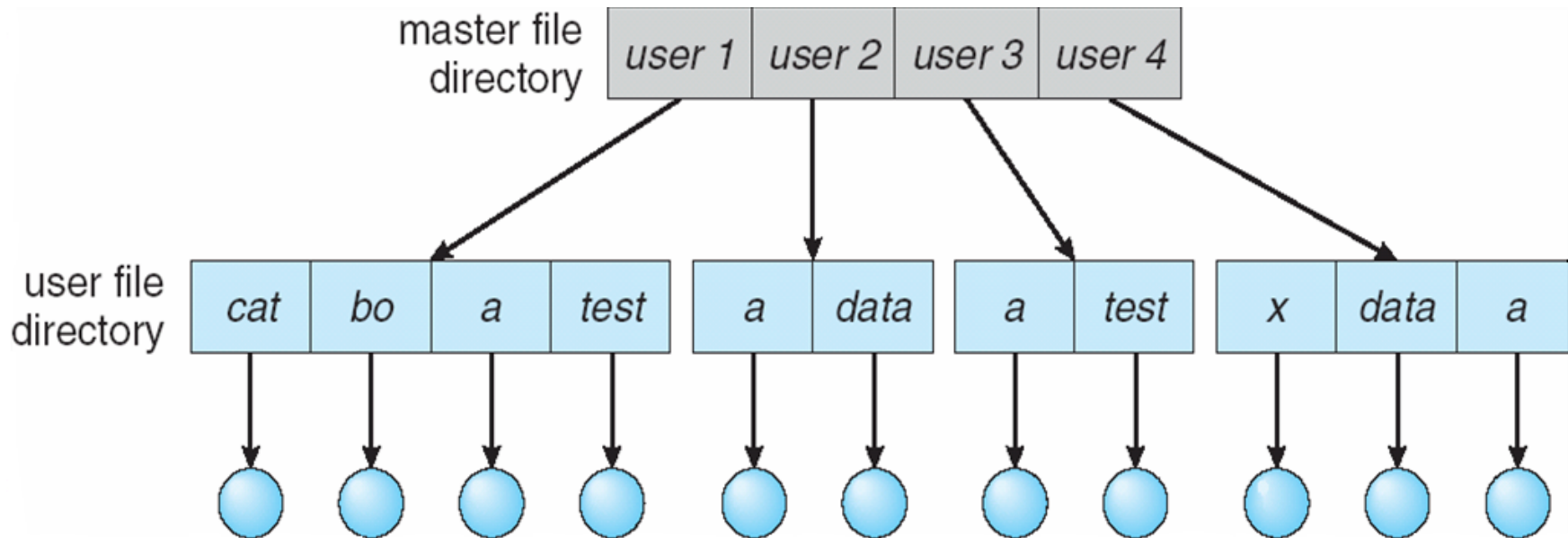
- A single directory for all users



- Naming problem
- Grouping problem

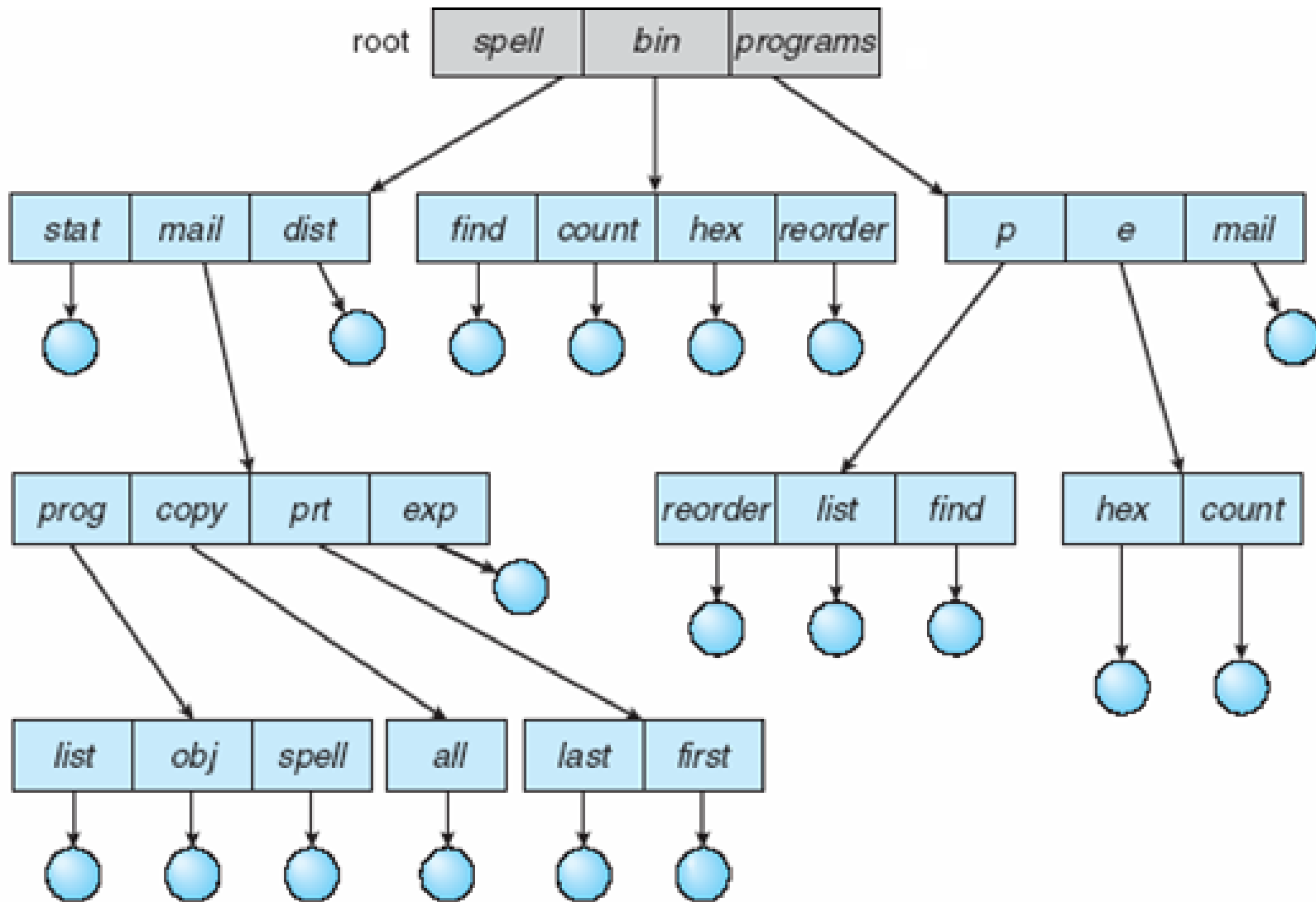
# Two-Level Directory

- Separate directory for each user



- Path name
- Can have the same file name for different user
- Efficient searching
- No grouping capability

# Tree-Structured Directories



# Tree-Structured Directories (Cont.)

- Efficient searching
- Grouping Capability
- Current directory (working directory)
  - **cd /spell/mail/prog**
  - **type list**



# Tree-Structured Directories (Cont)

- **Absolute** or **relative** path name
- Creating a new file is done in current directory
- Delete a file

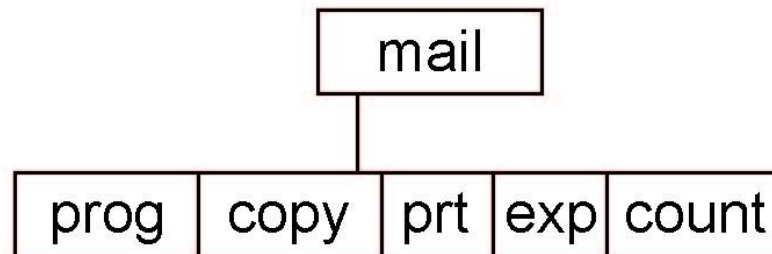
**rm <file-name>**

- Creating a new subdirectory is done in current directory

**mkdir <dir-name>**

Example: if in current directory **/mail**

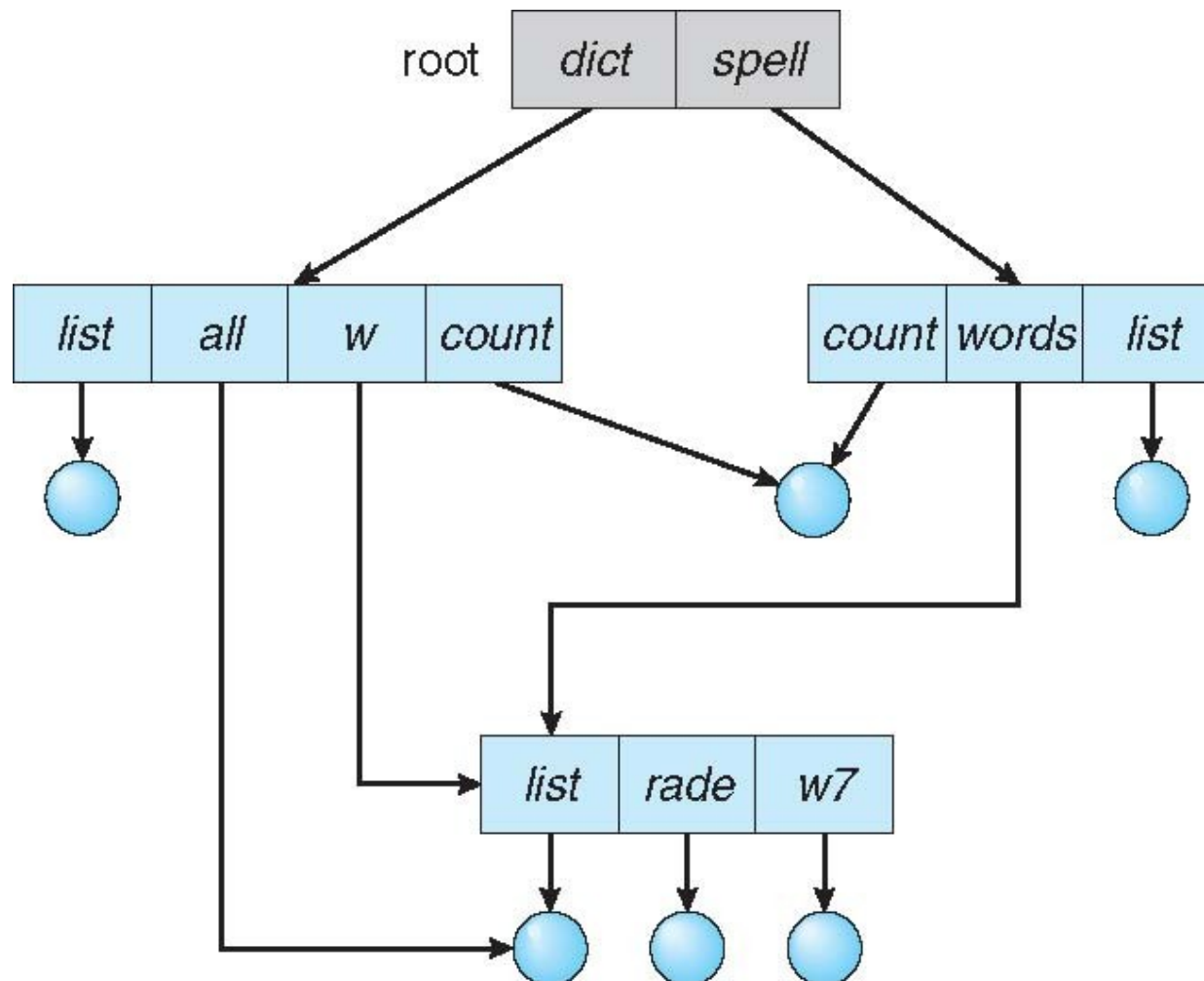
**mkdir count**



Deleting “mail” ⇒ deleting the entire subtree rooted by “mail”

# Acyclic-Graph Directories

- Have shared subdirectories and files



# Acyclic-Graph Directories

- Allows sharing of files and directories.
- Sharing can be implemented in several ways-
  - **Link**- Create a new directory entry called a link. **Link** is a pointer to another file or subdirectory.
  - Link may be implemented as an absolute or a relative path name.
  - When a reference to a file is made=> search the directory => if directory entry is marked as a link, then **resolve** the link.
  - **Resolve**- by using path name to locate the real file.
- Or, simply duplicate all information about them in all the shared directories.- **pros and cons?**

# Challenges

- A file may have multiple absolute path names.
- Distinct file names may refer to the same file.
- Deletion:
  - When to deallocate space allocated to the shared file and reuse it?
  - **Solution 1:** Remove the file whenever anyone deletes it. **Challenge-** may leave dangling pointers to the now non-existent file.
  - **Solution 2:** Remove file, whenever attempt is made via a link to access a non-existent file, show error. **Challenge-** What to do when a file is deleted and another file of the same name is created, before a symbolic link to the original file is used.
  - **Solution 3:** Preserve file until all references to it are deleted. **Challenge-** Need to keep a list of file-references and ensure to delete all of them.

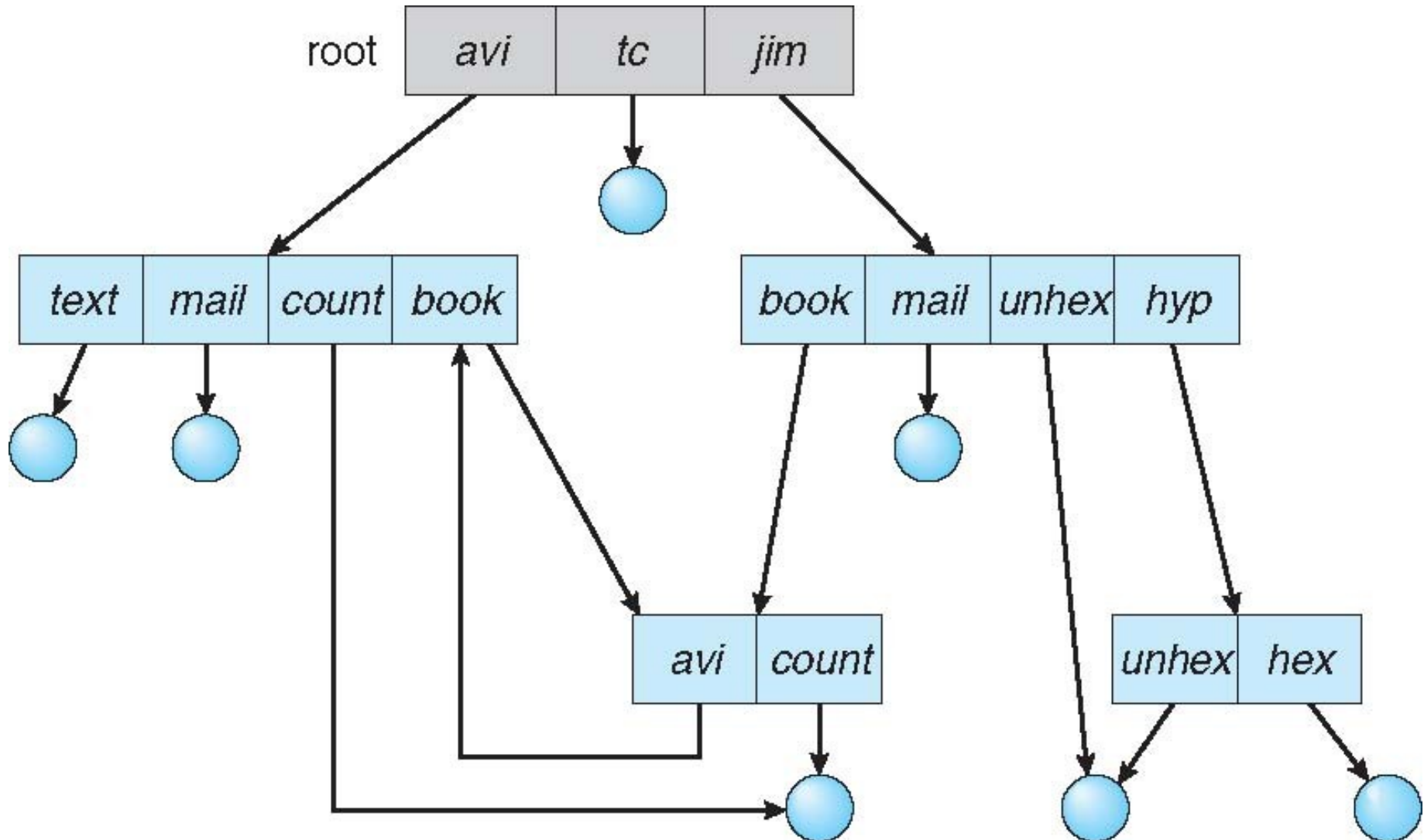
# Acyclic-Graph Directories (Cont.)

- Two different names (aliasing problem)
- If ***dict*** deletes ***list***  $\Rightarrow$  dangling pointer

Solutions:

- Backpointers, so we can delete all pointers  
Variable size records a problem
- Backpointers using a daisy chain organization
- New directory entry type
  - **Link** – another name (pointer) to an existing file
  - **Resolve the link** – follow pointer to locate the file
  - **Always need to check for cycles!**

# General Graph Directory

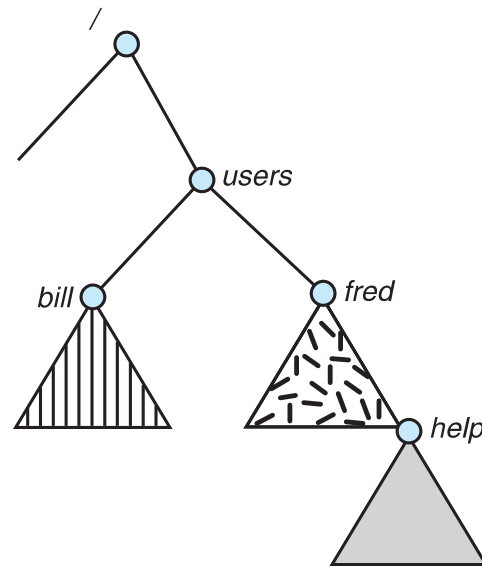


# General Graph Directory (Cont.)

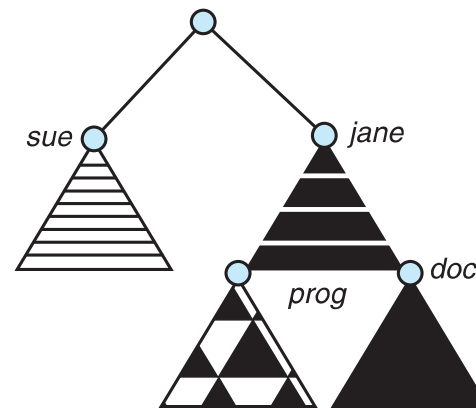
- Need to avoid searching any component twice- for correctness as well as for performance.
- How do we guarantee no cycles?
  - Allow only links to files not subdirectories
  - **Garbage collection**
  - Every time a new link is added use a cycle detection algorithm to determine whether it is OK

# File System Mounting

- A file system must be **mounted** before it can be accessed
- A unmounted file system is mounted at a **mount point**
- OS is given the name of the device and the mount point
- OS verifies that the device driver contains valid file system
- Finally, OS notes that a file system is mounted in its directory structure at the specified mount point



(a)



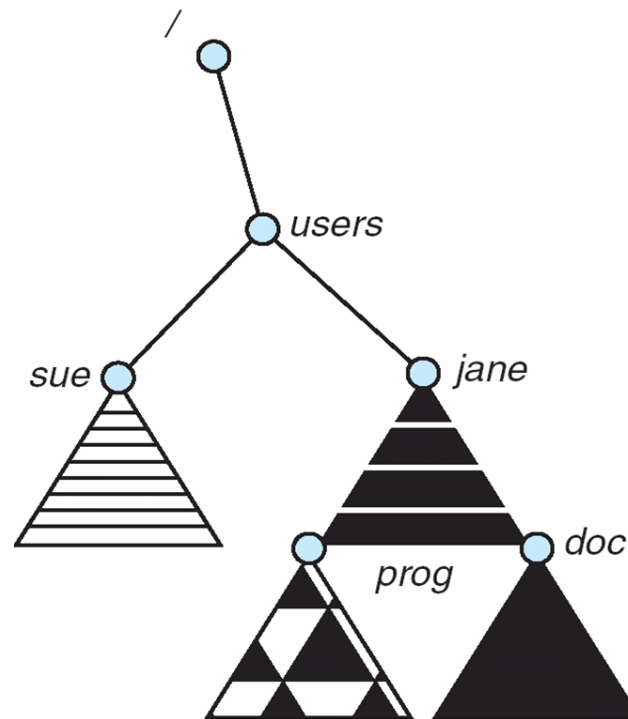
(b)

- a- existing system, b- unmounted volume residing in /device/dsk



# Mount Point

- Effects of mounting /device/dsk over /users.



# File Sharing

- Sharing of files on multi-user systems is desirable
- Sharing may be done through a **protection** scheme
- On distributed systems, files may be shared across a network
- Network File System (NFS) is a common distributed file-sharing method
- If multi-user system
  - **User IDs** identify users, allowing permissions and protections to be per-user
  - **Group IDs** allow users to be in groups, permitting group access rights
  - Owner of a file / directory
  - Group of a file / directory

# File Sharing – Remote File Systems

- Uses networking to allow file system access between systems
  - Manually via programs like FTP
  - Automatically, seamlessly using **distributed file systems**
  - Semi automatically via the **world wide web**
- **Client-server** model allows clients to mount remote file systems from servers
  - Server can serve multiple clients
  - Client and user-on-client identification is insecure or complicated
  - **NFS** is standard UNIX client-server file sharing protocol
  - **CIFS** is standard Windows protocol
  - Standard operating system file calls are translated into remote calls
- Distributed Information Systems (**distributed naming services**) such as LDAP, DNS, NIS, Active Directory implement unified access to information needed for remote computing

# File Sharing – Failure Modes

- All file systems have failure modes
  - For example corruption of directory structures or other non-user data, called **metadata**
- Remote file systems add new failure modes, due to network failure, server failure
- Recovery from failure can involve **state information** about status of each remote request
- **Stateless** protocols such as NFS v3 include all information in each request, allowing easy recovery but less security

# File Sharing – Consistency Semantics

- Specify how multiple users are to access a shared file simultaneously
  - Similar to Ch 5 process synchronization algorithms
    - ▶ Tend to be less complex due to disk I/O and network latency (for remote file systems)
  - Andrew File System (AFS) implemented complex remote file sharing semantics
  - Unix file system (UFS) implements:
    - ▶ Writes to an open file visible immediately to other users of the same open file
    - ▶ Sharing file pointer to allow multiple users to read and write concurrently
  - AFS has session semantics
    - ▶ Writes only visible to sessions starting after the file is closed

# Protection

- File owner/creator should be able to control:
  - what can be done
  - by whom
- Types of access
  - **Read**
  - **Write**
  - **Execute**
  - **Append**
  - **Delete**
  - **List**

# Access Lists and Groups

- Mode of access: read, write, execute
- Three classes of users on Unix / Linux

RWX

a) **owner access**

7      ⇒      1 1 1

RWX

b) **group access**

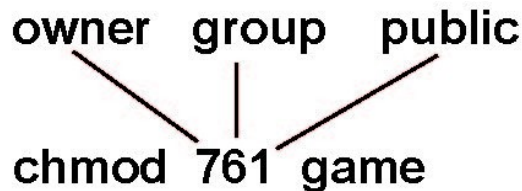
6      ⇒      1 1 0

RWX

c) **public access**

1      ⇒      0 0 1

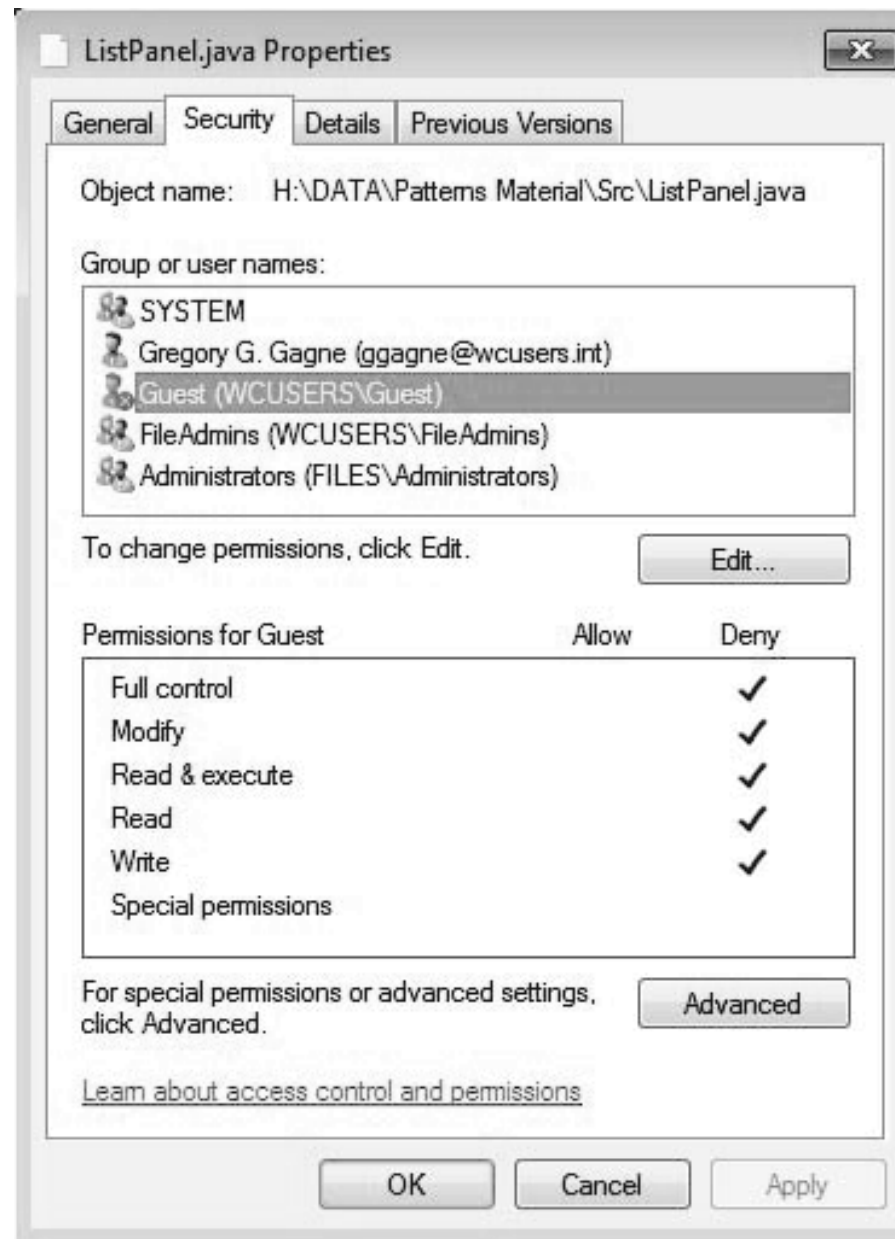
- Ask manager to create a group (unique name), say G, and add some users to the group.
- For a particular file (say *game*) or subdirectory, define an appropriate access.



Attach a group to a file

**chgrp      G      game**

# Windows 7 Access-Control List Management





# A Sample UNIX Directory Listing

-rw-rw-r--	1 pbg	staff	31200	Sep 3 08:30	intro.ps
drwx-----	5 pbg	staff	512	Jul 8 09:33	private/
drwxrwxr-x	2 pbg	staff	512	Jul 8 09:35	doc/
drwxrwx---	2 pbg	student	512	Aug 3 14:13	student-proj/
-rw-r--r--	1 pbg	staff	9423	Feb 24 2003	program.c
-rwxr-xr-x	1 pbg	staff	20471	Feb 24 2003	program
drwx--x--x	4 pbg	faculty	512	Jul 31 10:31	lib/
drwx-----	3 pbg	staff	1024	Aug 29 06:52	mail/
drwxrwxrwx	3 pbg	staff	512	Jul 8 09:35	test/