

## NP-Completeness

I'll start with explaining the question of  $P = NP$ . The problems in  $P$  are problems that can be solved in polynomial time. The problems in  $NP$  are problems that, given a certificate, can verify a solution in polynomial time, so obviously  $P \subseteq NP$ . Instead of studying optimization problems, we will focus on decision problems, that is, a problem for which the output is yes/no.

We say a language  $L$  is accepted in polynomial time, if an algorithm can correctly determine if some  $x \in L$  for some input  $x$ . We can show that if a language is accepted in polynomial time, it also decides it in polynomial time.

Reductions (with example linear equations) and we say  $L_1 \leq_p L_2$ .

To show that  $NP \subseteq P$ , a key concept is reducibility of problems. The idea is we want to reduce problems to other problems, so called  $NP$ -complete problems, in polynomial time. If these problems can be solved in polynomial time, then  $P = NP$ .

To give a concrete example, let's consider the  $NP$ -complete problem 3-CNF-SAT. We want to show the clique problem (decided version) is  $NP$ -complete by reducing to it.

We first prove it is in  $NP$ . It clearly is, as given a set  $V' \subseteq V$ , we just check if for each vertex, that there is an edge to all other vertices. Now we want to prove the reduction to clique.

For each clause in  $\phi$  (up to  $k$ ), we create three vertices in a graph and construct an edge,  $(u, v)$ , between vertices if they are in different triples and  $u$  is not the negation of  $v$ . This graph can be built in polynomial time. Now if  $\phi$  has a satisfying assignment, that means there is a clique of size  $k$  since the construction of the graph ensures there is no edge between negation of literals.

Another  $NP$ -complete problem is the vertex cover. We will show that  $\text{CLIQUE} \leq_p \text{VERTEX-COVER}$ . Obviously  $\text{VERTEX-COVER} \in NP$  as we can just, for all edges, check if either  $u$  or  $v$  is in the cover set. Now, given a graph  $G$ , we also have the complement of it,  $\overline{G} = (V, \overline{E})$  (computed in polynomial time). This is a reduction.  $G$  has a clique of size  $k$  only if  $\overline{G}$  has a vertex cover of size  $|V| - k$ . If there is an instance of clique  $\langle G, k \rangle$ , then we claim that the instance  $\langle \overline{G}, |V| - k \rangle$  of vertex cover is a reduction. If we have an edge  $(u, v) \in \overline{E}$ , then one of the vertices is not in the clique vertex set,  $V'$ . This means the edge is covered by a vertex in  $V - V'$ . This applies to all edges in  $\overline{E}$ , so all edges in  $\overline{E}$  are covered by  $V - V'$ .

Conversely, if  $\overline{G}$  has a vertex cover  $V'$  of size  $|V| - k$ , then for all edges  $(u, v) \in \overline{E}$ , at least one of  $u$  and  $v$  is in  $V'$ , and the opposite, if neither is, then the edge must be in  $E$ . Therefore  $V - V'$  is a clique of size  $k$ .