

Work-6 ASSIGNMENTS

1) types of Issues in primary market:-

In the primary market, Issues can be classified into the following types:-

1. Government Issues:-

Central and state governments, municipal corporations, and other public sector entities that issue securities to finance their activities.

2. Corporate Issues:-

public and private companies that issue securities to raise capital for various purposes such as expansion, modernization, or debt repayment.

2. Financial Institutions:-

Banks, Insurance Companies, and other financial institutions that issue securities to raise funds for lending, investment, or other purposes.

Infrastructure Issues:-

Companies involved in infrastructure development such as power, roads, airports, and real estate that issue securities to fund their projects.

Municipal Issues:-

Local governments, Municipalities, and other public sector entities that issue securities to fund infrastructure development, public services, or other local projects.

Special purpose Entity (SPEs)

Entities created for a specific purpose, such as securitization, that issue securities to raise funds for that purpose.

Start-ups and SMEs:-

New and small businesses that issue securities to raise capital for growth, expansion or working capital requirements.

2. Types of Issues of primary market:-

In the primary market, Issues can be classified into the following types:-

1. Initial public offer (IPO):-

A Company first public issue of shares to raise capital and list on stock exchange.

2. Follow-on public offer (FPO):-

A Company's subsequent public issue of shares after an IPO to raise additional capital.

3. Rights Issue:-

An Issue of shares to existing Shareholders in proportion to their current holding.

4. Bonus Issue:-

An Issue of free shares to existing Shareholders in proportion to their current holdings.

5. Debt Issue:-

An Issue of debt securities, such as bonds, debentures, or Commercial paper, to raise funds.

6. Preference Share Issue:-

An Issue of preference shares, which have a higher claim on assets and dividends than equity shares.

7. Hybrid Issue:-

An Issue of securities that combine features of

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debt and equity, such as convertible bonds or warrants.

8. private placement:-

A private issue of securities to a select group of investors, such as institutional investors or high net worth individuals.

9. Securitised issue:-

An issue of securities backed by assets, such as mortgage-backed securities or asset-backed securities.

3). Types of Investors:-

There are the types of Investors. are following are:-

Individual Investors:-

personal Investors, including retail Investors and high net worth individuals.

Institutional Investors:-

organizations that invest on behalf of others, such as:-

* Banks

* Insurance Companies

* Pension funds

* Mutual funds

* Hedge funds

* Private equity firms.

3. Foreign Investors:-

Investors from outside the country,
Including:-

- * Foreign Institutional Investors (FIIs)
- * Foreign portfolio Investors (FPIs)
- * Foreign direct Investors (FDIs)

4. Domestic Investors:-

Investors from within the country, Including:-

- * Individual Investors
- * Institutional Investors
- * Corporate Investors

5. Strategic Investors:-

Investors who seek control or significant influence over the company, such as:-

- * Private equity firms
- * Venture Capital firms
- * Corporate Investors

6. Tactical Investors:-

Investors looking short-term gains, such as:-

- * Day traders
- * Swing traders
- * Arbitrageurs

7. Long-term Investors:-

Investors looking long-term growth, such as:-

- * Individual Investors
- * Institutional Investors
- * Pension funds

8. Speculative Investors:-

Investors seeking low-risk return, such as, Individual Investors, Institutional Banks,