

Vaishak K Nair - 142002017
 J.G.Anurag - 111801020
 Devansh Rathore - 111701011
 Sairoop Bodepudi - 111801037
 Manas Sharma - 111801023

Answer 1

We are supposed to show that the VC dimension of the axis aligned rectangle in \mathbb{R}^2 is 4.

There are two steps in this proof :

1. We show that \exists at least one set of 4 points such that we can shatter this set using axis aligned rectangles:

1. \Rightarrow There are $2^4 = 16$ configurations possible.
 These configurations can be classified into following cases:

(i) All 4 points are +.
 $\Rightarrow {}^4C_4 = 1$ case

(ii) 3 points are +.
 $\Rightarrow {}^4C_3 = 4$ cases

(iii) 2 points are +, rest 2 -.
 $\Rightarrow {}^4C_2 = 6$ cases

(iv) 1 point is +.
 $\Rightarrow {}^4C_1 = 4$ cases
 (Similar to case (iii))

(v) No point is +.
 $\Rightarrow 1$ case

So, we see that \exists at least one set of 4 points such that this set can be shattered using axis-aligned rectangles

The diagrams illustrate the following cases for shattering a set of 4 points with an axis-aligned rectangle:

- Case (i):** All 4 points are inside the rectangle. Labeled "Axis-parallel rect".
- Case (ii):** 3 points are inside the rectangle, 1 is outside. Labeled "Axis-parallel rect".
- Case (iii):** 2 points are inside the rectangle, 2 are outside. Labeled "Axis-parallel rect".
- Case (iv):** 1 point is inside the rectangle, 3 are outside. Labeled "Axis-parallel rect".
- Case (v):** No point is inside the rectangle, all 4 are outside. Labeled "Axis-parallel rect".

2. We have to show that \nexists any set S of 5 points such that we can have an axis aligned rectangle for all binary functions over S .
 - a. This can be shown by considering one particular assignment to the set of points where let us take the set $S = \{x_1, x_2, x_3, x_4, x_5\}$ where this set S can be arbitrary for generality the only restriction is $x_i \in \mathbb{R}^2$.
 - b. Now consider the leftmost point in set S (min x coordinate), rightmost point in set S (max x coordinate), topmost most point (max y coordinate), bottommost point (min y coordinate) and we assign all these points to $+1$ and the rest as -1 .
 - c. We will thus have at least 1 point with -1 classification and we cannot have any rectangle which can realize this particular binary function assignment since it would geometrically have to lie outside the rectangle of the four $+1$ points but we have an assignment to the outermost points as $+1$.
 - d. In case there is a tie as in two points are on the same margin of the rectangle then we can assign the point with the lesser index $+1$ and the other as -1 .
 - e. Thus we have a binary function which cannot be realized using rectangles and thus we cannot have a set of 5 points.

Thus the max VC dimension is lesser than 5 and since we have already shown that the minimum VC dimension is 4 we can conclude from 1 and 2 the VC dimension of axis aligned rectangles is exactly 4.

Answer 2.

1. We know that since the n given vectors are linearly dependent $\sum a_i x_i = 0$ and we are also given that the last dimension of each of the n vectors is 1 so we can thus infer that

$$\sum a_i = 0$$

This means that few a_i are ≥ 0 and the rest are < 0 .

We can now construct the set G as the set of all vectors such that their coefficients (a_i) are non negative and the set of vectors whose coefficients are negative are to be contained in B .

We now are required to show that the convex hull of these two sets are non empty

We now know that when $x_i \in G$ and $x_j \in B$ then $\sum a_i x_i = \sum -a_j x_j$ by the linear dependency and thus if we normalize $b_i = a_i / \sum a_i$ where $i \in G$ and similarly $c_i = a_i / \sum a_i$ where $i \in B$ then we can also infer the following from the previous observations:

$\sum b_i x_i = \sum -b_j x_j$ when $x_i \in G$ and $x_j \in B$ and since both sides are the convex combinations of G and B they are thus represen

consider a set $X \in \mathbb{R}^{d+1}$ such that
 $X = (x, 1)$, where $x \in \mathbb{R}^d$

Let us assume that the labeling function
is s.t.:

$$f(X) = \begin{cases} 1 & \text{if } W^T X > 0 \\ -1 & \text{else} \end{cases}$$

where $W^T = (w, b)$,
 $w = (w_1, w_2, \dots, w_d)$, s.t. $w_i = y_i, i \in \{1, \dots, d\}$

Let us also assume that $d+2$ points can
be shattered by perceptron.

Since $d+2$ points $\in \mathbb{R}^{d+1}$,

$$\exists i \text{ s.t.} \\ X^{(i)} = \sum_{j \neq i} a_j \cdot X^{(j)}$$

, where atleast one $a_j \neq 0$

$$\text{Let } S = \{j \mid j \neq i, a_j \neq 0\}$$

$\forall j \in S$, we assign $x^{(j)}$ the label $\text{sign}(a_j)$
and give $x^{(i)} = -1$.

By our assumption w exists such that



$f(x)$ label label all points correctly

so $\forall j \in S$, we have

$$a_j \cdot W^T x^{(j)} > 0$$

also, $W^T x^{(i)} \leq 0$

Again,
$$W^T x^{(i)} = W^T \left(\sum_{j \in S} a_j \cdot x^{(j)} \right)$$
$$= W^T \left(\sum_{j \in S} a_j \cdot x^{(j)} \right)$$
$$> 0$$

Hence, our assumption is wrong.

\therefore Cannot shatter $d+2$.



