

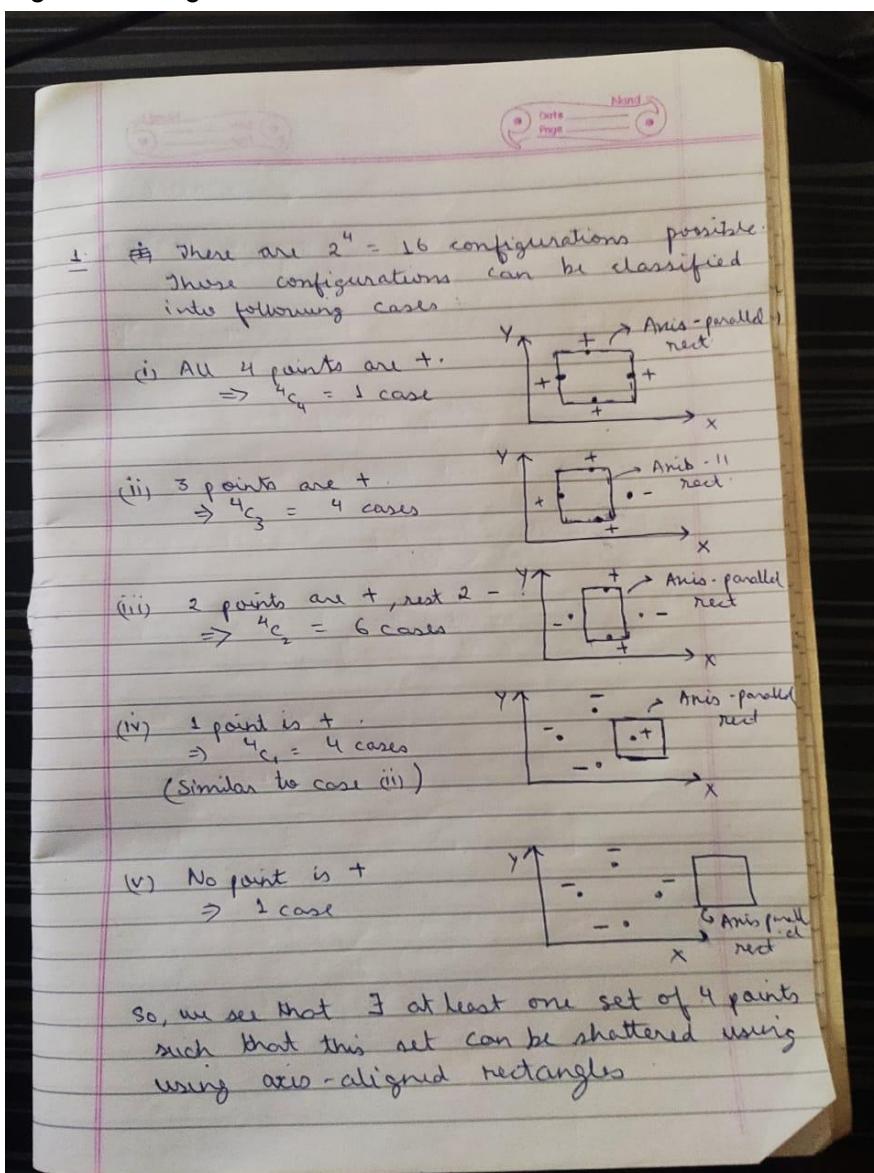
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## Answer 1

We are supposed to show that the VC dimension of the axis aligned rectangle in  $\mathbb{R}^2$  is 4.

There are two steps in this proof :

1. We show that  $\exists$  at least one set of 4 points such that we can shatter this set using axis aligned rectangles:



2. We have to show that  $\nexists$  any set S of 5 points such that we can have an axis aligned rectangle for all binary functions over S.
  - a. This can be shown by considering one particular assignment to the set of points where let us take the set  $S = \{x_1, x_2, x_3, x_4, x_5\}$  where this set S can be arbitrary for generality the only restriction is  $x_i \in \mathbb{R}^2$ .
  - b. Now consider the leftmost point in set S (min x coordinate), rightmost point in set S (max x coordinate), topmost most point (max y coordinate), bottommost point (min y coordinate) and we assign all these points to +1 and the rest as -1.
  - c. We will thus have at least 1 point with -1 classification and we cannot have any rectangle which can realize this particular binary function assignment since it would geometrically have to lie outside the rectangle of the flour +1 points but we have an assignment to the outermost points as +1.
  - d. In case there is a tie as in two points are on the same margin of the rectangle then we can assign the point with the lesser index +1 and the other as -1
  - e. Thus we have a binary function which cannot be realized using rectangles and thus we cannot have a set of 5 points.

Thus the max VC dimension is lesser than 5 and since we have already shown that the minimum VC dimension is 4 we can conclude from 1 and 2 the VC dimension of axis aligned rectangles is exactly 4.

## Answer 2.

1. We know that since the n given vectors are linearly dependent  $\sum a_i x_i = 0$  and we are also given that the last dimension of each of the n vectors is 1 so we can thus infer that  $\sum a_i = 0$

This means that few  $a_i$  are  $\geq 0$  and the rest are  $< 0$ .

We can now construct the set G as the set of all vectors such that their coefficients ( $a_i$ ) are non negative and the set of vectors whose coefficients are negative are to be contained in B.

We now are required to show that the convex hull of these two sets are non empty  
 We now know that when  $x_i \in G$  and  $x_j \in B$  then  $\sum a_i x_i = \sum -a_j x_j$  by the linear dependency and thus if we normalize  $b_i = a_i / \sum a_i$  where  $i \in G$  and similarly  $c_i = a_i / \sum a_i$  where  $i \in B$  then we can also infer the following from the previous observations:

$\sum b_i x_i = \sum -c_j x_j$  when  $x_i \in G$  and  $x_j \in B$  and since both sides are the convex combinations of G and B they are thus represen



consider a set  $X \subset \mathbb{R}^{d+1}$   
 $X = (x, \pm)$ , where  $x \in \mathbb{R}^d$

Let us assume that the labeling function  
is s.t.:

$$f(x) = \begin{cases} 1 & \text{if } w^T x > 0 \\ -1 & \text{else} \end{cases}$$

where  $w^T = (w, b)$ ,  
 $w = (w_1, w_2, \dots, w_d)$ , s.t.  $w_i = y_i$ ,  $i \in \{1, \dots, d\}$

Let us also assume that  $d+2$  points can  
be shattered by perceptron.

Since  $d+2$  points  $\in \mathbb{R}^{d+1}$ ,

$$\exists i \text{ s.t. } x^{(i)} = \sum_{j \neq i} a_j \cdot x^{(j)}$$

where atleast one  $a_j \neq 0$

$$\text{let } S = \{j \mid j \neq i, a_j \neq 0\}$$

If  $j \in S$ , we assign  $x^{(j)}$  the label  $\text{sign}(a_j)$   
and give  $x^{(i)} = -1$ .

By our assumption  $w$  exists such that



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$f(x)$  cannot label all points correctly.

so  $\forall j \in S$ , we have

$$a_j \cdot w^T x^{(j)} > 0$$

also,  $w^T x^{(j)} \leq 0$

Again,  $w^T x^{(j)} = w^T \left( \sum_{\ell \neq i} a_{j\ell} x^{(\ell)} \right)$   
 $= w^T \left( \sum_{\ell \neq i} a_{j\ell} \cdot x^{(\ell)} \right) > 0$

Hence, our assumption is wrong.

$\therefore$  Cannot shatter  $d+2$ .



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