

Genetic Algorithm Assignment

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1. Consider three strings $A_1=11101111$, $A_2=00010100$, $A_3=01000011$ and six schemata $H_1=1*****$, $H_2=0*****$, $H_3=*****11$, $H_4=***0*01*$, $H_5=1*****1*$, and $H_6=1*****1*$.
 - a. Which schemata is matched by which string?
 - b. What are the order and defining length of each of the schemata?
 - c. Estimate the probability of survival of each schema under mutation when the probability of a single mutation is $p_m=0.001$.
 - d. Estimate the probability of survival of each schema under crossover when the probability of crossover $p_c=0.85$.

Sol: Given $p_m = 0.001$ and $p_c = 0.85$, the calculation table is given below:

Schema(H)	Order (o(H))	Defining length ($\delta(H)$)	Strings matching to schema	$S_m(p_m) = (1 - p_m)^{o(H)}$ (SURVIVAL UNDER MUTATION)	$S_c(H, p_c) \geq 1 - p_c \frac{\delta(H)}{l-1}$ (SURVIVAL UNDER Crossover)
$H_1 = 1*****$	1	0	A1	0.999	1
$H_2 = 0*****$	1	0	A2, A3	0.999	1
$H_3 = *****11$	2	1	A1, A3	0.998	0.8780
$H_4 = ***0*01*$	3	3	A3	0.997	0.6357
$H_5 = 1*****1*$	2	6	A1	0.998	0.2714
$H_6 = 1*****1*$	2	6	A1	0.998	0.2714

2. A population contains the following strings and fitness values at generation 0:

#	String	Fitness
1.	10001	20
2.	11100	10
3.	00011	5
4.	00011	15

The probability of mutation is $p_m=0.01$ and the probability of crossover is $p_c=0.7$. Calculate the expected number of schemata of the form $1****$ in generation 1. Estimate the expected number of schemata of the form $0**1*$ in generation 1.

Sol. Let $H_1 = 1****$ and $H_2 = 0**1*$. Let the given strings be denoted by S_1, S_2, S_3, S_4 respectively.

String (S_i)	Fitness(f_i)
S1 = 10001	20
S2 = 11100	10
S3 = 00011	5
S4 = 00011	15
Total Fitness ($\sum_{i=1}^N f_i$)	50
Avg. Fitness (\bar{f})	12.5

Now,

Schema(H)	Order (o(H))	Defining length ($\delta(H)$)	Strings matching to schema	m(H,0)	$f(H,0) = \frac{(\sum_{i \in H} m(H,0) f_i)}{m(H,0)}$
H₁ = 1****	1	0	S1, S2	2	15
H₂ = 0**1*	2	3	S3, S4	2	10

The expected number instance for Schema(H) at next generation is given by :

$$E[m(H, k+1)] \geq m(H, k) \frac{f(H, k)}{\bar{f}} \left(1 - p_c \frac{\delta(H)}{l-1} \right) (1 - p_m)^{o(H)}$$

Given, $p_m = 0.01$, $p_c = 0.7$, Now,

$$\begin{aligned}
 E[m(H_1, 1)] &\geq m(H_1, 0) \frac{f(H_1, 0)}{\bar{f}} \left(1 - p_c \frac{\delta(H_1)}{l-1} \right) (1 - p_m)^{o(H_1)} \\
 &\geq 2 * \frac{15}{12.5} \left(1 - 0.7 * \frac{0}{4} \right) (1 - 0.01)^1 \\
 &\geq 2.376
 \end{aligned}$$

Similarly,

$$\begin{aligned}
 E[m(H_2, 1)] &\geq m(H_2, 0) \frac{f(H_2, 0)}{\bar{f}} \left(1 - p_c \frac{\delta(H_2)}{l-1} \right) (1 - p_m)^{o(H_2)} \\
 &\geq 2 * \frac{10}{12.5} \left(1 - 0.7 * \frac{3}{4} \right) (1 - 0.01)^2 \\
 &\geq 0.7448
 \end{aligned}$$

So, Expected number of schemata for $H_1 \geq 2.376$ and $H_2 \geq 0.7448$ in generation 1.