

Chromatic problems in polytope Hopf algebras

Raúl Penaguião

University of Zurich

December 18th, 2017

The chromatic symmetric function on graphs

A *colouring* on a graph G is a map $f : V(G) \rightarrow \mathbb{N}$.
It is *proper* if $f(v_1) \neq f(v_2)$ when $\{v_1, v_2\} \in E(G)$.

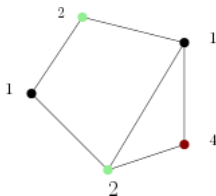


Figure: A proper colouring f^* of a graph

Set $x_f = \prod_v x_{f(v)}$. We have $x_{f^*} = x_1^2 x_2^2 x_4$ in the figure.

The *chromatic symmetric function* (CF) is $\Psi_G(G) = \sum_{f \text{ proper}} x_f$.

CF on graphs - The kernel problem

Question (The kernel problem on graphs)

Describe all linear relations of the form

$$\sum_i a_i \Psi_{\mathbf{G}}(G_i) = 0.$$

Let \mathbf{G} = the linear span of all graphs.

Equivalent to find kernel of the linear extension of $\Psi_{\mathbf{G}} : \mathbf{G} \rightarrow QSym$.

Outline

Symmetric functions

A weak composition of n is an infinite list $\alpha = (\alpha_1, \dots)$ of non-negative integers that sum up to n .

Write $x^\alpha = \prod_i x_i^{\alpha_i}$.

Example: $\beta = (3, 1, 2, 1, 0, 0, \dots)$ weakly composes 7.

We have $x^\beta = x_1^3 x_2 x_3^2 x_4$.

A homogeneous symmetric function of degree n is a sum of the form

$$f = \sum_{\alpha} a_{\alpha} x^{\alpha},$$

where the sum runs over weak compositions of n , and reordering $\alpha \rightarrow \beta$ preserves the coefficient $a_{\alpha} = a_{\beta}$ (i.e. changing $x_i \leftrightarrow x_j$ does not change the sum).

Symmetric functions

The graded ring of *symmetric functions* $Sym = \oplus_{n \geq 0} Sym_n$ is the span of all homogeneous symmetric functions.

Monomial basis of Sym_n is $m_\lambda = \sum_{\lambda(\alpha)=\lambda} x^\alpha$, where the sum runs over

weak compositions that, after reordering, generate the partition λ .

The *chromatic symmetric function* on a graph is a symmetric function.

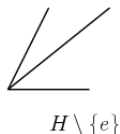
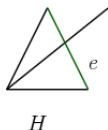
Proposition (Monomial formula for graphs)

$$\Psi_G(G) = \sum_{\pi} \text{aut}_{\lambda(\pi)} m_{\lambda(\pi)},$$

where the sum runs over all stable set partitions.

Graphs terminology

The edge deletion of a graph: $H \setminus \{e\}$.



The edge addition of a graph: $G + \{e\}$.



Modular relations

$$\Psi_{\mathbf{G}}(G) = \sum_{f \text{ proper on } G} x_f.$$

Proposition (Modular relations - Guay-Paquet, Orellana, Scott, 2013)

Let G be a graph that contains an edge e_3 and does not contain e_1, e_2 such that the edges $\{e_1, e_2, e_3\}$ form a triangle. Then,

$$\Psi_{\mathbf{G}}(G) - \Psi_{\mathbf{G}}(G + \{e_1\}) - \Psi_{\mathbf{G}}(G + \{e_2\}) + \Psi_{\mathbf{G}}(G + \{e_1, e_2\}) = 0.$$



$G + \{e_1, e_2\}$



$G + \{e_2\}$



$G + \{e_1\}$



G

The kernel problem

For G_1, G_2 isomorphic graphs, we have $G_1 - G_2 \in \ker \Psi_G$. These are called *isomorphism relation*.

Theorem (RP-2017)

The kernel of Ψ_G is generated by modular relations and isomorphism relations.

Let $\mathcal{M} = \langle \text{modular relations, isomorphism relations} \rangle \subseteq \mathbf{G}$.

Goal: $\ker \Psi_G = \mathcal{M}$.

Idea of proof - Rewriting graph combinations

$$\Psi_{\mathbf{G}}(G) - \Psi_{\mathbf{G}}(G + \{e_1\}) - \Psi_{\mathbf{G}}(G + \{e_2\}) + \Psi_{\mathbf{G}}(G + \{e_1, e_2\}) = 0.$$

- Take $z = \sum_i G_i a_i \in \mathbf{G}/\mathcal{M}$ in the kernel of $\tilde{\Psi}_{\mathbf{G}} : \mathbf{G}/\mathcal{M} \rightarrow \text{Sym}$.

Goal: show that $z = 0$.

- Some of the G_i can be rewritten as graphs with more edges (through modular relation). We call them *extendible*.
- The badly behaved graphs $\{H_1, H_2, \dots\}$ are not a lot, and $\{\Psi_{\mathbf{G}}(H_1), \Psi_{\mathbf{G}}(H_2), \dots\}$ is linearly independent.
- Linear algebra magic. Cash in the theorem.

Idea of proof - Rewriting graph combinations

$$\Psi_{\mathbf{G}}(G) - \Psi_{\mathbf{G}}(G + \{e_1\}) - \Psi_{\mathbf{G}}(G + \{e_2\}) + \Psi_{\mathbf{G}}(G + \{e_1, e_2\}) = 0.$$

Proposition (Non-extendible graphs)

A graph is non-extendible if and only if any connected component G^c , the complement graph of G , is a complete graph.

Consequence: Up to isomorphism, we can identify naturally a partition λ with a non-extendible graph K_λ^c in such a way $\lambda = \lambda(G^c)$.

Possible to show: the set $\{\Psi_{\mathbf{G}}(K_\lambda^c)\}_\lambda$ is linearly independent.

$$z = \sum_{\lambda} K_\lambda^c a_\lambda \in \ker \Psi_{\mathbf{G}},$$

Idea of proof - Rewriting graph combinations

So

$$z = \sum_{\lambda} K_{\lambda}^c a_{\lambda} \in \ker \Psi_{\mathbf{G}} ,$$

Apply $\Psi_{\mathbf{G}}$ to get

$$0 = \sum_{\lambda} \Psi_{\mathbf{G}}(K_{\lambda}^c) a_{\lambda} \Rightarrow a_{\lambda} = 0 .$$

So $z = 0$, as desired.

Quasisymmetric functions

A homogeneous *quasisymmetric* function of degree n is a sum of the form

$$f = \sum_{\alpha} a_{\alpha} x^{\alpha},$$

where the sum runs over weak compositions of n , and the coefficients respect $a_{\alpha} = a_{\beta}$ whenever β is obtained from α by changing the order **of the zeroes**.

Monomial basis of $QSym_n$:

$$M_{\alpha} = \sum_{\alpha(\beta)=\alpha} x^{\beta},$$

where the sum runs over weak compositions that, after deleting zeroes, generate the (strong) composition α .

CF on matroids

Let $M = (I, \mathcal{B})$ be a matroid, for I finite set and $\mathcal{B} \subseteq \mathcal{P}(I)$ a set of bases.

A colouring f of M is a map $f : I \rightarrow \mathbb{N}$. It is called M -generic if

$$B \mapsto \sum_{b \in B} f(b)$$

has a minimum in a unique basis $B \in \mathcal{B}$.

The chromatic quasisymmetric function on matroids is then defined as

$$\Psi_{\text{Mat}}(M) = \sum_{f \text{ is } M\text{-generic}} x_f .$$

CF on posets

For a poset, a colouring $f : P \rightarrow \mathbb{N}$ is called *non-decreasing* if $a \leq b \Rightarrow f(a) \leq f(b)$.

The chromatic quasisymmetric function on posets is then defined as

$$\Psi_{\mathbf{Pos}}(P) = \sum_{f \text{ non-decreasing}} x_f .$$

Theorem (Féray, 2014)

The kernel of $\Psi_{\mathbf{Pos}}$ is generated by the cyclic inclusion exclusion relations and isomorphism relations.

(Graded) Hopf algebras

Given a field \mathbb{K} , a graded Hopf algebra is a linear space $\mathcal{H} = \bigoplus_{n \geq 0} \mathcal{H}_n$ with graded operations μ and Δ .

- Operation $\mu : \mathcal{H} \otimes \mathcal{H} \rightarrow \mathcal{H}$ is a multiplication and says how to merge two objects together.
- Operation $\Delta : \mathcal{H} \rightarrow \mathcal{H} \otimes \mathcal{H}$ is a comultiplication and says how to split an object into two.

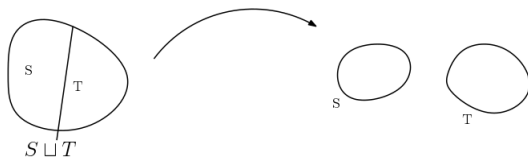


Figure: The coproduct determines how objects decompose

- Some extra conditions for compatibility and an antipode $s : \mathcal{H} \rightarrow \mathcal{H}$.

(Graded) Hopf algebras

Examples:

- The one dimensional vector space \mathbb{K} .
- Sym and $QSym$.
- The vector space spanned freely by graphs G .

Hopf algebra structure on graphs:

The multiplication $\mu(G_1, G_2)$ is a graph with vertices $V(G_1) \sqcup V(G_2)$, and edges $E(G_1) \sqcup E(G_2)$, with some relabelling.

Graph comultiplication ΔG is a linear combination of graphs

$$\Delta G = \sum_{S \sqcup T = V(G)} G|_S \otimes G|_T .$$

CF in combinatorial Hopf algebras

Character: a linear map $\eta : \mathcal{H} \rightarrow \mathbb{K}$, preserves multiplication and unit.

On graphs: $\eta(G) = \mathbb{1}[G \text{ has no edges}]$.

On $QSym$: $\eta_0(M_\alpha) = \mathbb{1}[\exists_{n \geq 0} \alpha = (n)]$.

Theorem (Aguiar, Bergeron and Sottile, 2006)

For a combinatorial Hopf algebra (\mathcal{H}, η) there is a unique Hopf algebra morphism $\Psi_{\mathcal{H}}$ that makes the diagram commute:

$$\begin{array}{ccc}
 \mathcal{H} & \xrightarrow{\Psi_{\mathcal{H}}} & QSym \\
 \eta \searrow & & \swarrow \eta_0 \\
 & k &
 \end{array}$$

CF in combinatorial Hopf algebras

For a composition α of size l , η_α is the composition:

$$\mathcal{H} \xrightarrow{\Delta^{(l-1)}} \mathcal{H}^{\otimes l} \xrightarrow{\pi_\alpha} \mathcal{H}^{\otimes l} \xrightarrow{\eta^{\otimes l}} \mathbb{K}^{\otimes l} \cong \mathbb{K}.$$

For $a \in \mathcal{H}_n$, the unique Hopf algebra morphism is

$$\Psi_{\mathcal{H}}(a) = \sum_{\alpha} \eta_{\alpha}(a) M_{\alpha},$$

where the sum runs over compositions of n .

CF in combinatorial Hopf algebras

For the graph Hopf algebra \mathbf{G} , if we choose the character $\eta(G) = \mathbb{1}[G \text{ has no edges}]$, we obtain $\Psi_{\mathbf{G}}$.

For the poset Hopf algebra \mathbf{Pos} , if we choose the character $\eta(P) = \mathbb{1}[P \text{ is an anti-chain}]$, we obtain $\Psi_{\mathbf{Pos}}$.

For the matroid Hopf algebra \mathbf{Mat} , if we choose the character $\eta(M) = \mathbb{1}[M \text{ has a unique basis}]$, we obtain $\Psi_{\mathbf{Mat}}$.

Polytopes and fans

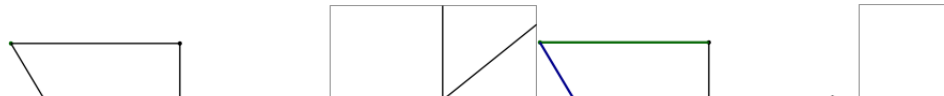
A polytope is a bounded set of the form $q = \{x \in \mathbb{R}^n \mid Ax \leq b\}$.

Functional $f : \{1, \dots, n\} \rightarrow \mathbb{R}$. Colouring $f : \{1, \dots, n\} \rightarrow \mathbb{N}$.

→ Linear optimisation problem $\min_{(x_i)_{i=1}^n} \sum_{i=1}^n f(i)x_i$

→ Solution q_f is called a *face*.

This partitions the colourings into *cones*, for each face. This partition is called the *normal fan* of a polytope.



The permutahedron and its generalisations

The n order permutahedron is $\text{per} = \text{conv}\{(\sigma(1), \dots, \sigma(n)) | \sigma \in S_n\}$.
Is $(n - 1)$ -dimensional.

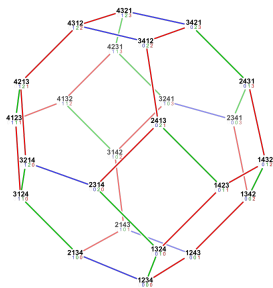


Figure: The 4-permutahedron¹

¹ <https://en.wikipedia.org/wiki/Permutahedron>

The permutahedron and its generalisations

For a cone C of per ,

$$\sum_{f \in C} x_f$$

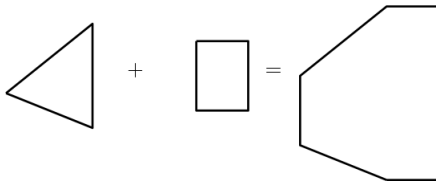
is a quasisymmetric function.

A *generalised permutahedra* q is a polytope which fan coarsens the normal fan of the permutahedron (i.e. results from merging cones from the n -permutahedron). Define the CF:

$$\Psi_{\text{GP}}(q) = \sum_{q_f = \text{point}} x_f .$$

Minkowsky sum

$$A +_M B = \{a + b \mid a \in A, b \in B\}.$$



$C := A -_M B$ if $A = C +_M B$.

C may not exist but if exists it is **unique** (only for polytopes).

Minkowsky sum

Examples of generalised permutahedra:

The J -simplex, for $J \subseteq \{1, \dots, n\}$: $\mathfrak{s}_J = \text{conv}\{e_j | j \in J\}$ and its dilations.

The permutahedron

$$\text{per} = \text{conv}\{(\sigma(1), \dots, \sigma(n)) | \sigma \in S_n\}.$$

The permutahedron is also given as

$$\text{per} = \sum_{i \leq j}^M \mathfrak{s}_{\{i,j\}}.$$

Generalised permutahedra and nestohedra

A *generalised permutahedron* is a polytope q of the form

$$q = \left(\sum_{\substack{J \neq \emptyset \\ a_J > 0}}^M a_J \mathfrak{s}_J \right) -_M \left(\sum_{\substack{J \neq \emptyset \\ a_J < 0}}^M |a_J| \mathfrak{s}_J \right),$$

A *nestohedron* is only the positive part:

$$q = \sum_{\substack{J \neq \emptyset \\ a_J > 0}}^M a_J \mathfrak{s}_J.$$

Zonotopes and other embeddings

Given a graph G , its zonotope is defined as

$$Z(G) = \sum_{e \in E(G)}^M \mathfrak{s}_e .$$

This is a Hopf algebra morphism, so

$$\Psi_G = \Psi_{\mathbf{GP}} \circ Z .$$

There is also a Hopf algebra embedding $Z : \mathbf{Mat} \rightarrow \mathbf{GP}$.

$$\Psi_{\mathbf{Mat}} = \Psi_{\mathbf{GP}} \circ Z .$$

Faces of nestohedra

For a colouring f , note that

$$\mathfrak{q}_f = \sum_{\substack{J \neq \emptyset \\ a_J > 0}}^M (a_J \mathfrak{s}_J)_f = \text{point} \Leftrightarrow \forall_{J: a_J > 0} (\mathfrak{s}_J)_f = \text{point},$$

This allows us to establish a parallel for the modular relation on graphs:

Proposition (Modular relations on nestohedra)

Consider a nestohedron \mathfrak{q} , $\{B_j | j \in T\}$ a family of subsets on $\{1, \dots, n\}$ and $\{a_j | j \in T\}$ some positive scalars. Suppose “some magic” happens. Then,

$$\sum_{T \subseteq J} (-1)^{\#T} \Psi_{\mathbf{GP}} \left[\mathfrak{q} +_M \sum_{j \in T} a_j \mathfrak{s}_{B_j} \right] = 0.$$

K_π^c parallel and conclusion of proof

The nestohedra that are not *extendable* are exactly

$$\mathfrak{p}^f = \sum_{J: (\mathfrak{s}_J)_f = \text{point}} a_J \mathfrak{s}_J,$$

for positive a_J .

Up to isomorphism there is only one such \mathfrak{p}^α for each composition α of n . Also, $\{\Psi_{\mathbf{GP}}(\mathfrak{p}^\alpha)\}_\alpha$ are linearly independent.

Theorem (RP 2017)

The modular relations and the isomorphism relations span the kernel of the restriction of $\Psi_{\mathbf{GP}}$ to the nestohedra.

Tree conjecture on graphs



Figure: Non-isomorphic graphs with the same CSF

Conjecture (Tree conjecture -Stanley and Stembridge)

Any two non-isomorphic trees T_1, T_2 have distinct CSF.

Tree conjecture on graphs

This is a graph invariant:

$$\chi'(G) = \sum_f x_f \prod_i q_i^{\# \text{ monochromatic edges in } f \text{ of colour } i}$$

where the sum runs over all colourings.

The modular relations and isomorphism relations are in $\ker \chi'$. So

$$\ker \Psi_{\mathbf{G}} \subseteq \chi'.$$

Conjecture (Tree conjecture -Stanley and Stembridge)

Any two non-isomorphic trees T_1, T_2 have distinct χ' .

Further questions

- From nestohedra to generalised permutahedra?
- Modular relations on matroids?
- The image of the CF on graphs Ψ_G is spanned by $\{\Psi_G(K_\lambda^c)\}_\lambda$, which forms a basis of $\text{im } \Psi_G$. Combinatorial meaning of the coefficients?

Thank you

