# CS 302.1 - Automata Theory

#### Lecture 13

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 $HALT_{TM} = \{\langle M, w \rangle | M \text{ halts on input } w\}$ . Is  $HALT_{TM}$  decidable?

**The Halting Problem:** Does there exist a Total Turing Machine H that accepts as input a Turing Machine M and an input string w and outputs YES, if M(w) halts (accepts or rejects) and NO, if M(w) does not halt (loops forever), i.e.

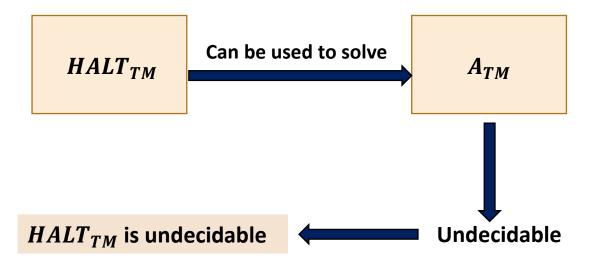
$$H(\langle M,w\rangle)=\left\{egin{array}{ll} {\sf ACCEPTS, if } M(w) \ {\sf HALTS, i.e. accepts or rejects} \\ {\sf REJECTS, if } M(w) \ {\sf does not \ HALT, i.e. loops infinitely} \end{array}\right.$$

NO!  $A_{TM} \leq HALT_{TM}$ 

```
A = \text{On input } \langle M, w \rangle
      Run H(\langle M, w \rangle)
                                                                                                                                    Accept
                                                                                                                                                   ACCEPT
                                                                                                               Accept Run M
      If H rejects, output REJECT
                                                                               \langle M, w \rangle
                                                                  \langle M, w \rangle
                                                                                             H: Decider
                                                                                                              \langle M, w \rangle
      If H accepts,
                                                                                            for HALT_{TM}
            Run M(w)
                                                                                                                                                  REJECT
                                                                                                                          Reject
            If M(w) accepts, output ACCEPT
            If M(w) rejects, output REJECT
```

#### Generally,

- A language A reduces to another language B  $(A \leq B)$  iff we can build a solver for A using a solver for B
- In terms of computability, suppose using B we can compute A. Then, if A is undecidable then so is B.
- We showed:  $A_{TM} \leq HALT_{TM}$  to prove that  $HALT_{TM}$  is undecidable.



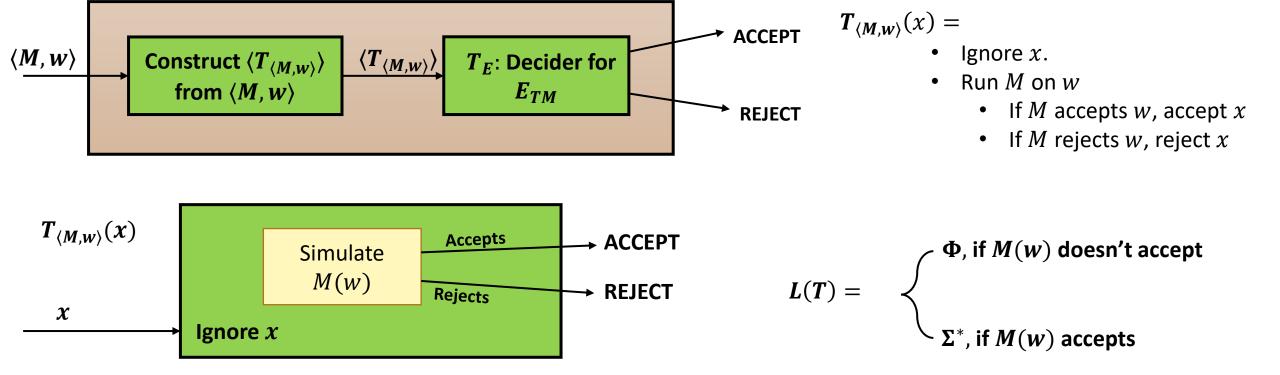
Suppose,  $A \leq B$ 

- If A is undecidable then B is undecidable.
- If B is decidable then A is decidable.

 $E_{TM} = \{\langle M \rangle | M \text{ is a Turing Machine and } L(M) = \Phi \}$ . Is  $E_{TM}$  decidable?

NO!  $\overline{A}_{TM} \leq E_{TM}$ 

**Proof:** Let  $T_E$  be the Turing Machine that decides  $E_{TM}$ . We shall prove that  $\overline{A_{TM}} \leq E_{TM}$  by constructing a Turing Machine N for  $\overline{A_{TM}}$  using  $T_E$ .

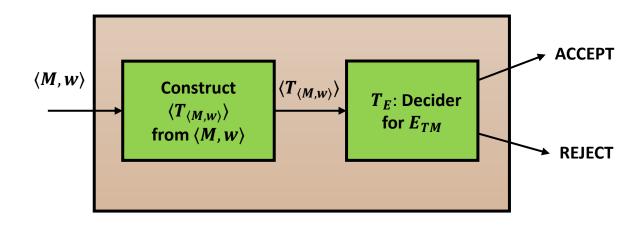


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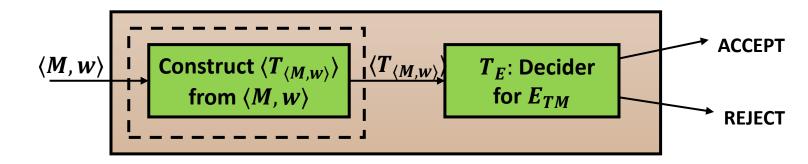
$$N(\langle M, w \rangle) =$$

- Construct  $\langle T_{\langle M, W \rangle} \rangle$ , the encoding of  $T_{\langle M, W \rangle}$  such that for any input x it works as follows:
  - Ignore x.
  - Run *M* on *w* 
    - If *M* accepts *w*, accept *x*
    - If *M* rejects *w*, reject *x*
- Send  $\langle T_{\langle M, w \rangle} \rangle$  to  $T_E$  and Output ACCEPT if  $T_E$  accepts REJECT if  $T_E$  rejects

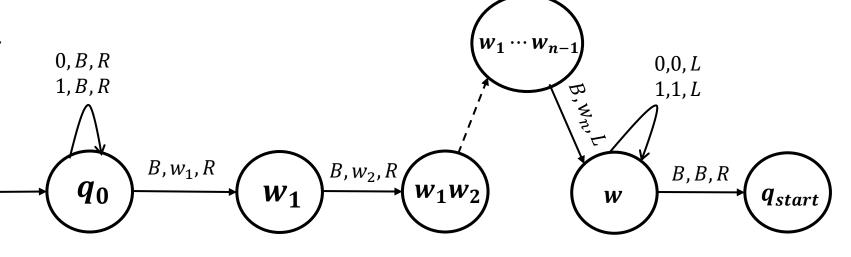


- $\overline{A_{TM}} \leq E_{TM}$
- $\overline{A_{TM}}$  is undecidable
- $E_{TM}$  is undecidable!

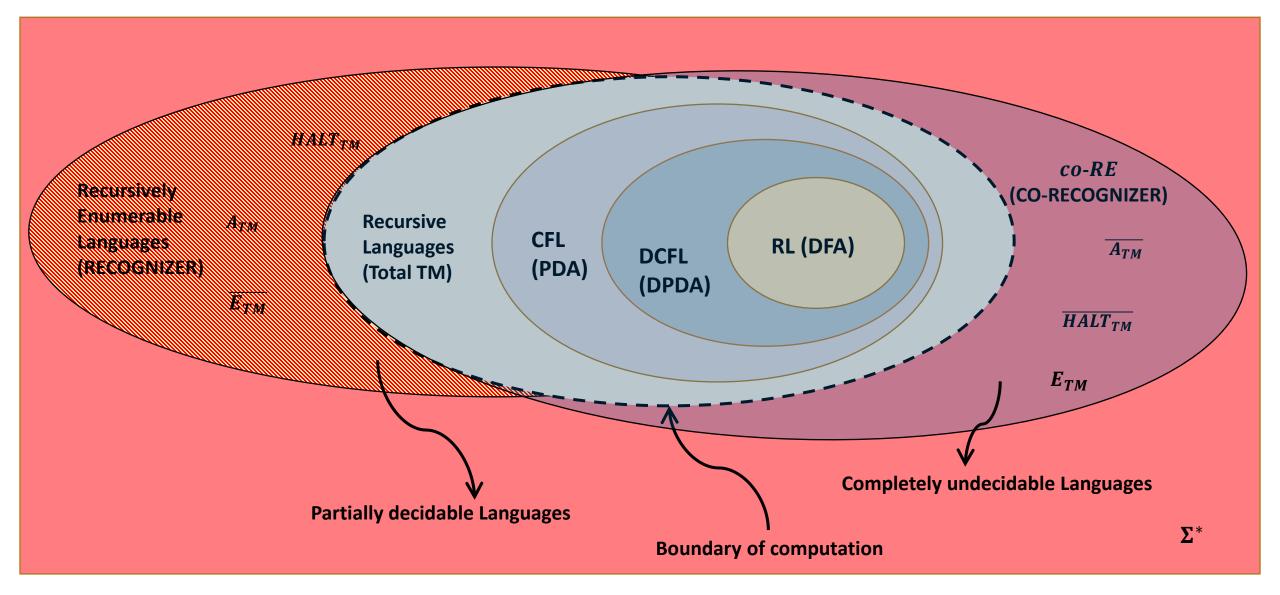
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# Everything in one slide



Are Recursive languages **closed under Union**? If  $R_1$  and  $R_2$  are recursive, is  $R_1 \cup R_2$  recursive?

#### **Proof:**

- Let  $M_1$  and  $M_2$  be the Total Turing Machines corresponding to  $R_1$  and  $R_2$  respectively.
- Using M, we construct a Total Turing Machine M' such that M' decides  $R_1 \cup R_2$ .

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M' = \text{On input } w
\text{Run } M_1(w)
\text{Run } M_2(w)
If either of them accept, ACCEPT
If both rejects, REJECT
```

Recursive languages are **CLOSED under Union.** 

Are Recursive languages closed under intersection? If  $R_1$  and  $R_2$  are recursive, is  $R_1 \cap R_2$  recursive?

#### **Proof:**

- Let  $M_1$  and  $M_2$  be the Total Turing Machines corresponding to  $R_1$  and  $R_2$  respectively.
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Recursive languages are **CLOSED under Intersection**.

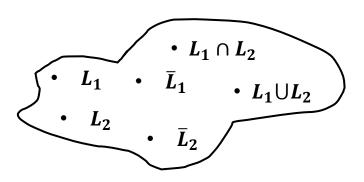
Are Recursive languages closed under complementation? If some language R is recursive, is  $\overline{R}$  also recursive?

#### **Proof:**

- If R is recursive then there exists a total TM M for R.
- Using M, we construct a Total Turing Machine  $\overline{M}$  such that  $\overline{M}$  decides  $\overline{R}$ .

$$\overline{M} = ext{On input } w$$
 $ext{Run } M(w).$ 
 $ext{If } M ext{ accepts, } REJECT$ 
 $ext{If } M ext{ rejects, } ACCEPT$ 

Recursive languages are **CLOSED under complementation** 



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For the other direction: Let  $M_1$  be the TM for L and  $M_2$  be the TM for  $\overline{L}$ . Then, if  $w \in L$ ,  $M_1(w)$  accepts and if  $w \notin L$ ,  $M_2(w)$  accepts.

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How do we build a Total Turing Machine for L? There is one problem: We can't run  $M_1$  and  $M_2$  one after the other as if some input  $M_1$  gets stuck in an infinite loop and  $M_2$  never gets control.

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If M_1 accepts, M' outputs ACCEPT.
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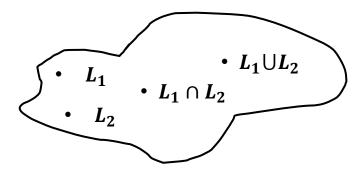
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L is Recursive

Using Dovetailing it is easy to prove that:

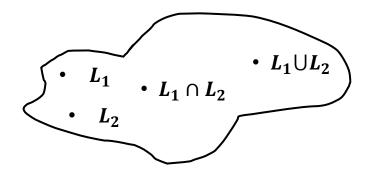
- RE languages are closed under union and intersection
  - On input w, run  $M_1(w)$  and  $M_2(w)$  in parallel using dovetailing
  - For union: If either  $M_1(w)$  or  $M_2(w)$  accepts, ACCEPT
  - For intersection: If both  $M_1(w)$  and  $M_2(w)$  accept, ACCEPT



**Set of all recursively enumerable Languages** 

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RE languages are **NOT closed** under complementation. Why?

- Well, we just proved that L and  $\overline{L}$  are both Recursively Enumerable, **iff** L is Recursive.
- But we know that there exists problems that are in RE but are not Recursive (e.g.  $A_{TM}$ ,  $HALT_{TM}$ ,...).
- So the complement of such problems are not in RE (e.g.:  $\overline{A_{TM}}$ ,  $\overline{HALT}_{TM}$ , ...).

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What if we try to build a TM  $\overline{M}$  that outputs the opposite of M?

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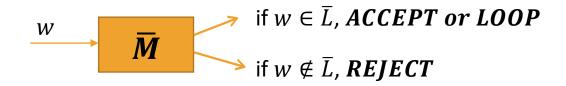
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If M(w) loops, ......
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Co-Recursively Enumerable Language/co-Turing Recognizable (Co-RE/ $\overline{RE}$ /nRE): A language C is Co-Recursively Enumerable (co-RE/ $\overline{RE}$ /nRE) or Co-Turing Recognizable if

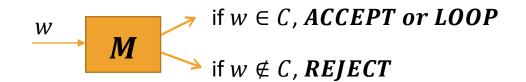
 $\forall \omega \in C, M(\omega)$  doesn't reject (accepts or loops forever)  $\forall \omega \notin C, M(\omega)$  rejects

If  $L \in RE$ ,  $\bar{L} \in co\text{-}RE$  and vice versa

Co-Recursively Enumerable Language (Co-RE/ $\overline{RE}$ /nRE):  $C \in \text{Co-RE}$  if

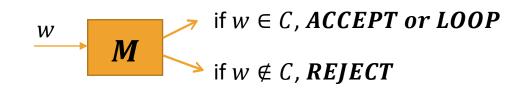
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- RE: Halts and accepts if  $w \in L$ . May loop when  $w \notin L$ .
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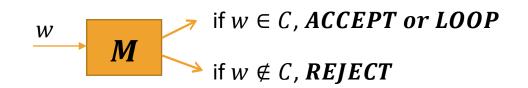


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Note: Every Recursive Language R, is both in RE and co-RE, i.e.  $R \subseteq RE \cap co$ -RE

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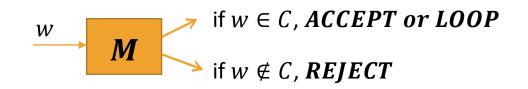
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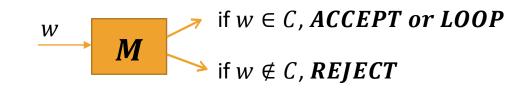
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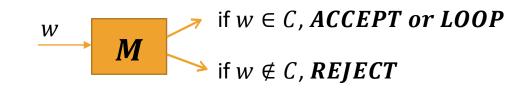
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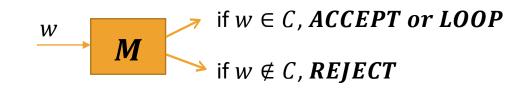
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We need to run M and  $\overline{M}$  in parallel using dovetailing.

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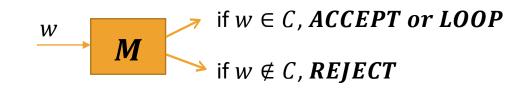
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 $D= ext{On input } w$   $\operatorname{Run} M(w) ext{ and } \overline{M}(w) ext{ in parallel}$   $\operatorname{If} M(w) ext{ accepts, output } ACCEPT$   $\operatorname{If} \overline{M}(w) ext{ rejects, output } REJECT$ 

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Note: Every Recursive Language R, is both in RE and co-RE, i.e.  $R \subseteq RE \cap co$ -RE

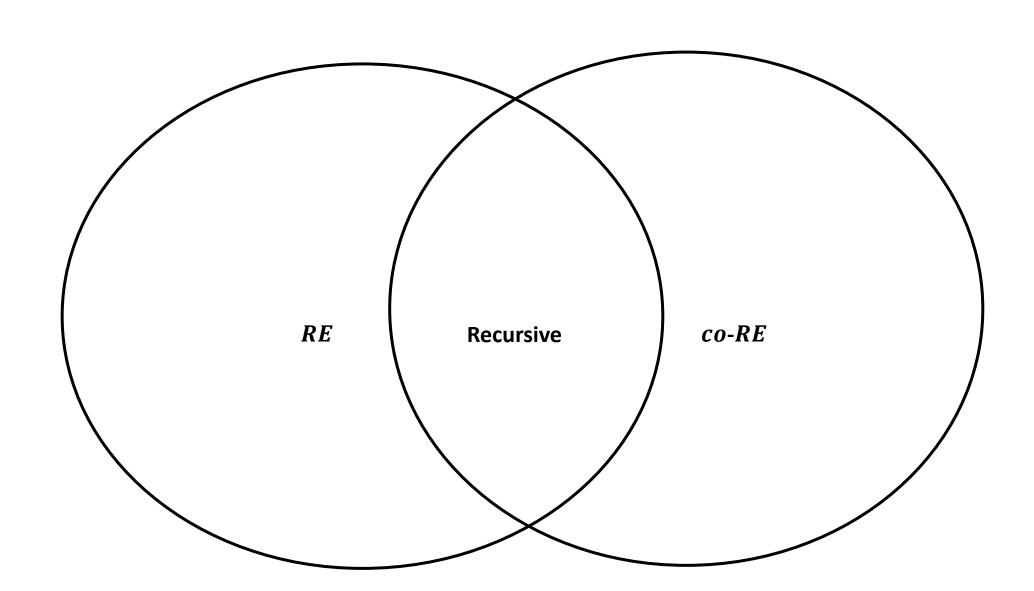
Is  $R = RE \cap co\text{-}RE$ ?

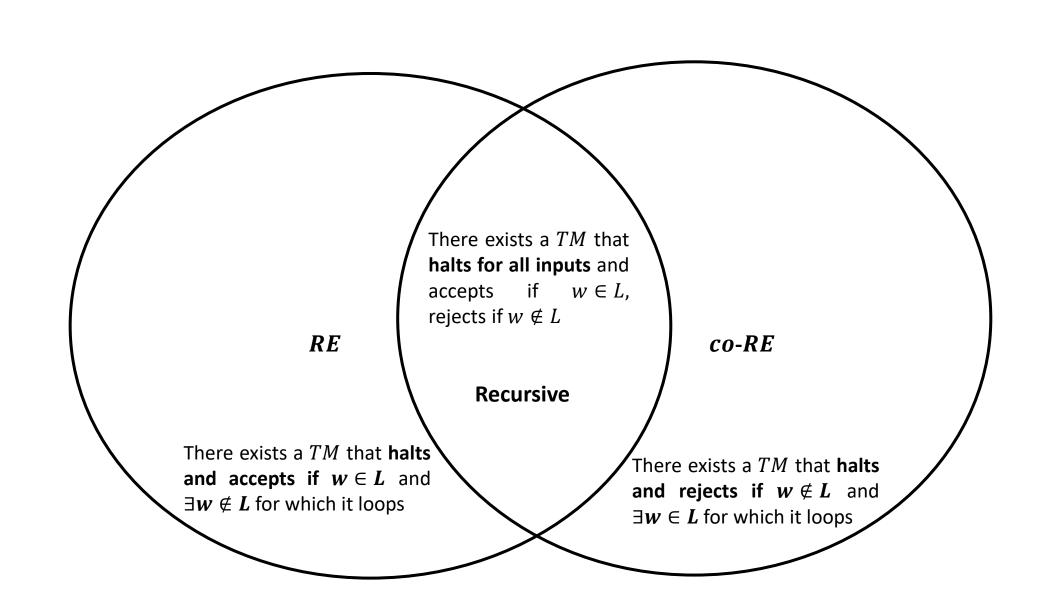
We have to prove the following: If  $L \in RE$  and  $L \in co$ -RE, then L is Recursive

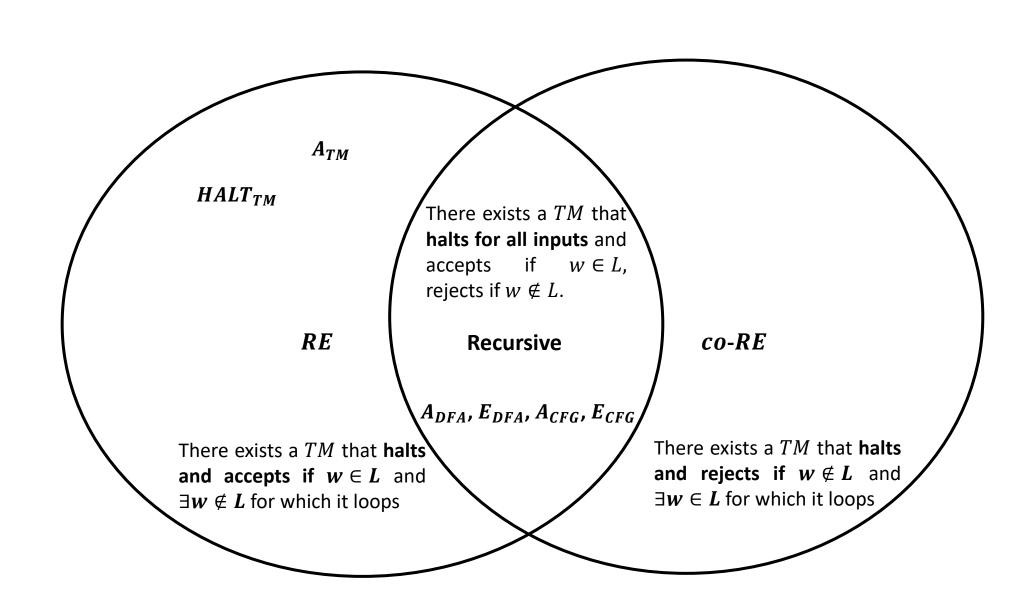
**Proof:** Let M be a TM such that L(M) = L. As  $L \in co\text{-}RE$ , there also exists a  $\overline{M}$  (that outputs the opposite of M) that halts and outputs reject whenever  $w \notin L$ . We shall construct a Total Turing Machine D by using M and  $\overline{M}$ .

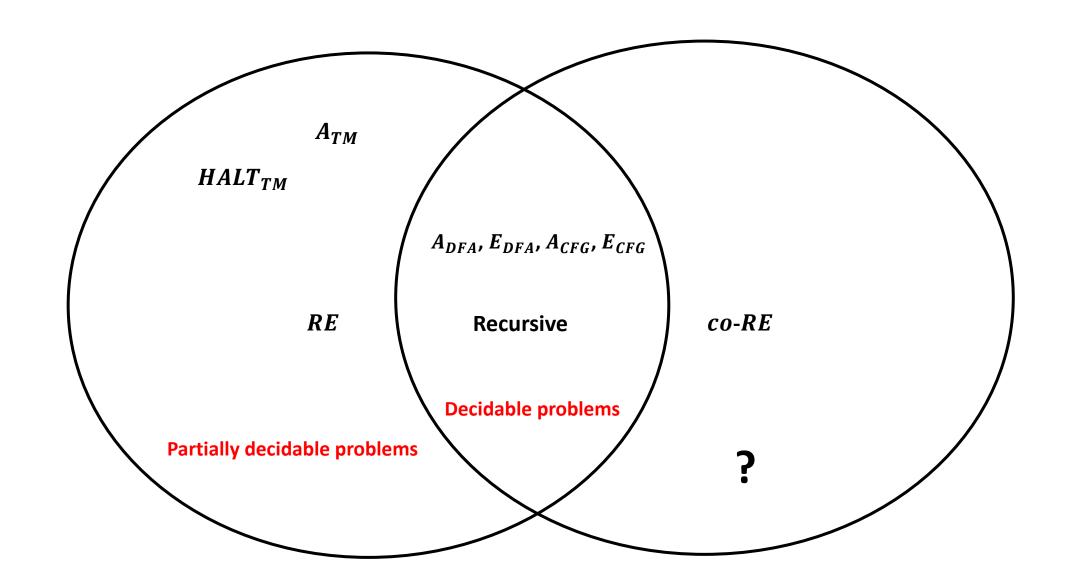
 $D= ext{On input } w$   $\operatorname{Run} M(w) ext{ and } \overline{M}(w) ext{ in parallel}$   $\operatorname{If} M(w) ext{ accepts, output } ACCEPT$   $\operatorname{If} \overline{M}(w) ext{ rejects, output } REJECT$ 

So  $R = RE \cap co-RE$ 



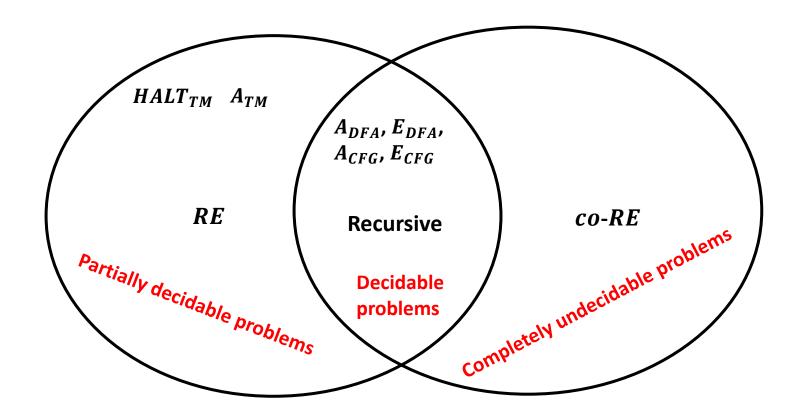






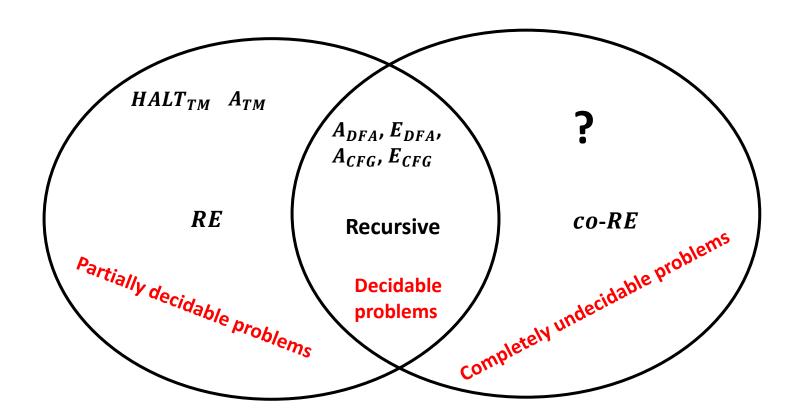
**Completely undecidable languages:** Languages L for which there exists at least one instance  $w \in L$ , for which the TM enters into an infinite loop.

So, languages that are in co-RE but are not recursive are completely undecidable.



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If  $L \in RE$  but is not Recursive (partially decidable), then  $\overline{L} \in co\text{-}RE$  but is not recursive. So Complement of any partially decidable language is completely undecidable

• E.g.:  $A_{TM} \in RE$  and so  $\overline{A_{TM}} \in co\text{-}RE$  and is **completely undecidable** 

 $A_{TM} = \{\langle M, w \rangle | M \text{ accepts input } w\}$ 

 $\overline{A_{TM}} = \{\langle M, w \rangle | M \text{ doesn't accept input } w\}$ 

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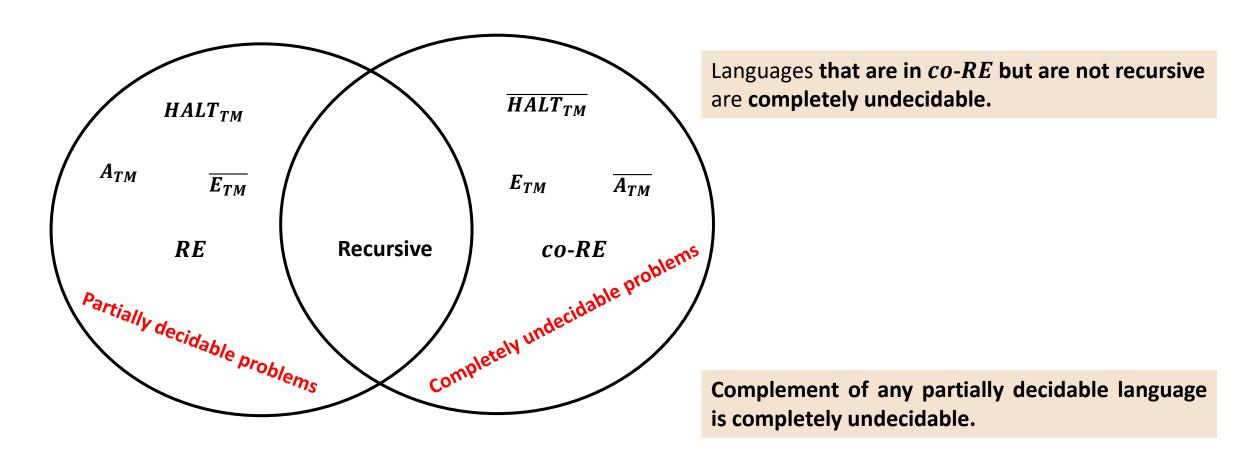
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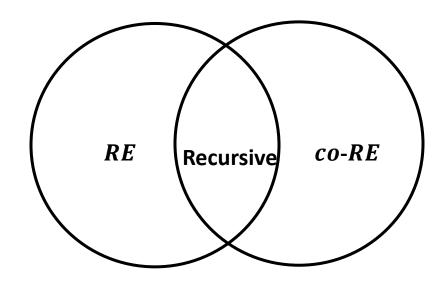
• Similarly,  $\overline{HALT_{TM}}$  is also completely undecidable

 $\overline{HALT_{TM}} = \{\langle M, w \rangle | M \text{ doesn't halt on input } w\}$ 

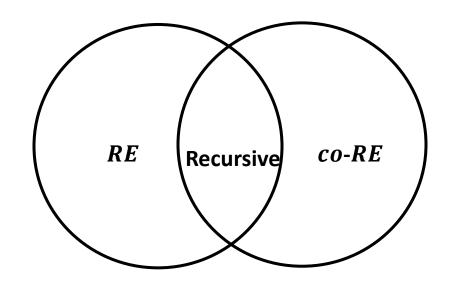
**Completely undecidable languages:** Languages L for which there exists at least one instance  $w \in L$ , for which the TM enters into an infinite loop.



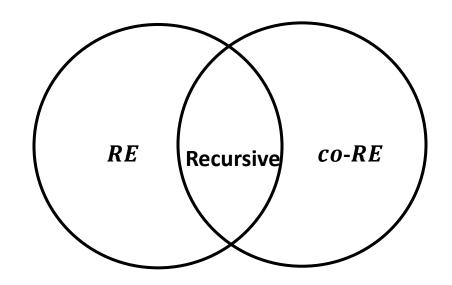
- Recursive Languages are closed under complement, union & intersection
- *RE* is closed under union & intersection but not complement



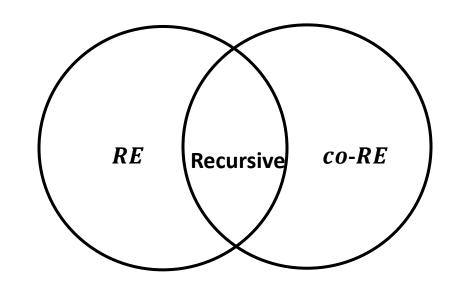
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- $L \in RE$  and  $\overline{L} \in RE$ , iff L is Recursive.
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- $R = RE \cap co-RE$

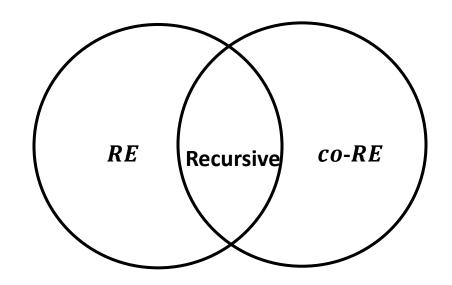


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- If  $L \in RE$  but is not Recursive, then L is partially decidable
- If  $L \in co\text{-}RE$  but is not Recursive, then L is completely undecidable.



#### We have the following:

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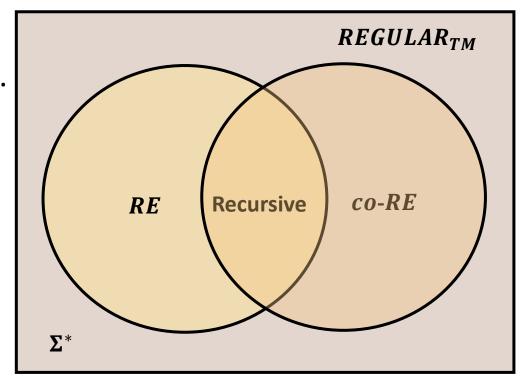
Note that there are languages outside of  $RE \cup co\text{-}RE$ .

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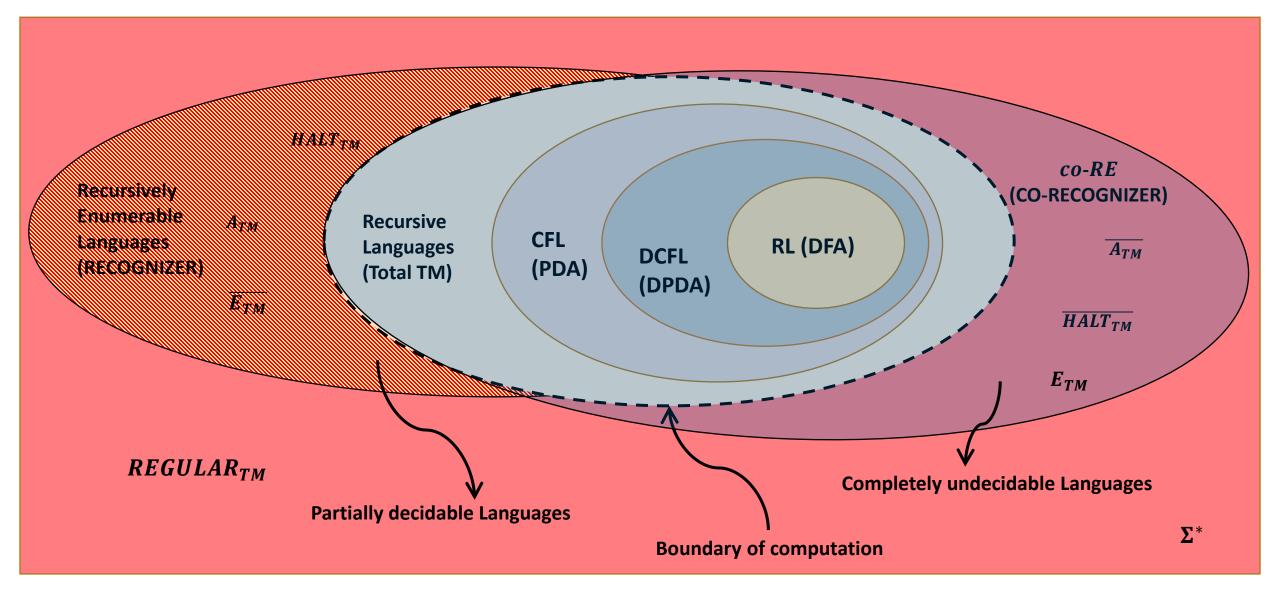
- Recursive Languages are closed under complement, union & intersection
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E.g.:  $REGULAR_{TM} = \{\langle M \rangle | L(M) \text{ is regular}\}$ 



# Everything in one slide



### The Road ahead to Complexity Theory...

- We finished up by looking at problems that are decidable/undecidable.
- There are many things that I couldn't cover:
  - Several cool problems that can be proven to be decidable/undecidable and classified to be in R, RE, co-RE etc.
  - Mapping reduction, Recursion Theorem, Rice's Theorem
- Problems that are not computable are highly likely to never be solved on feasible computational devices.
- In how much time/space can computable problems be solved in? Complexity Theory: classify problems according to their hardness.
- Million dollar problems waiting to be solved!
- E.g.: Quantum computers model how nature computes at the fundamental level: provably faster than classical machines on several problems and most likely violates the Extended Church Turing Thesis.

# Thank You!