

Convolutional neural network  
that will be able to recognize emotions  
in photos with human faces



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## Convolution in mathematics

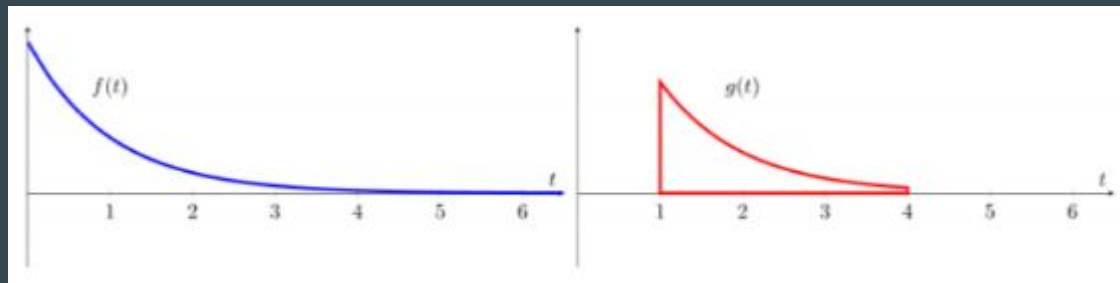
To understand the concept of convolutional neural networks, one must first explain what a convolution is.

It is a mathematical transformation defined for two functions (or the signals they describe) resulting in another which may be viewed as a modified version of the original functions. Usually it is a point-defined action that is a convolution product

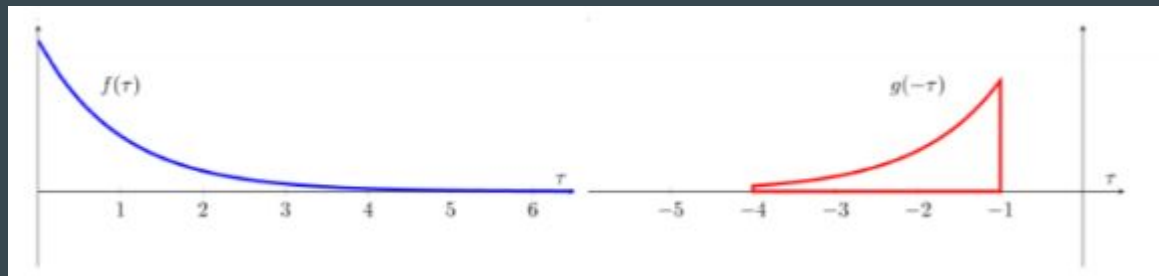
$$(f * g)(t) = \int_{-\infty}^{\infty} f(t - \tau)g(\tau)d\tau$$

## A visual representation of a convolution

- 1) Express each function in the context of an artificial variable  $\tau$ .

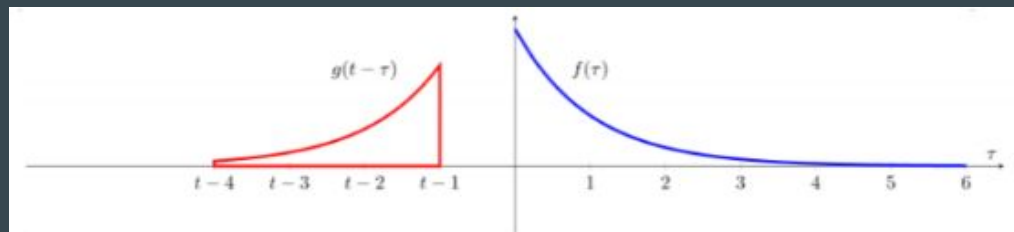


- 2) Make a reflection of one of the functions (here  $g(\tau) \rightarrow g(-\tau)$ )

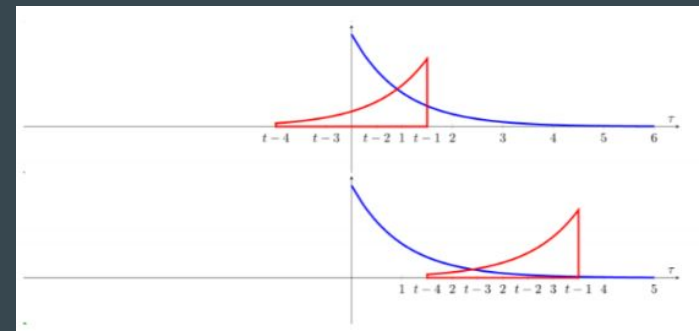


## A visual representation of a convolution

- 3) Add the time shift  $t$  that allows the function  $g(t-\tau)$  to move along the  $\tau$  axis

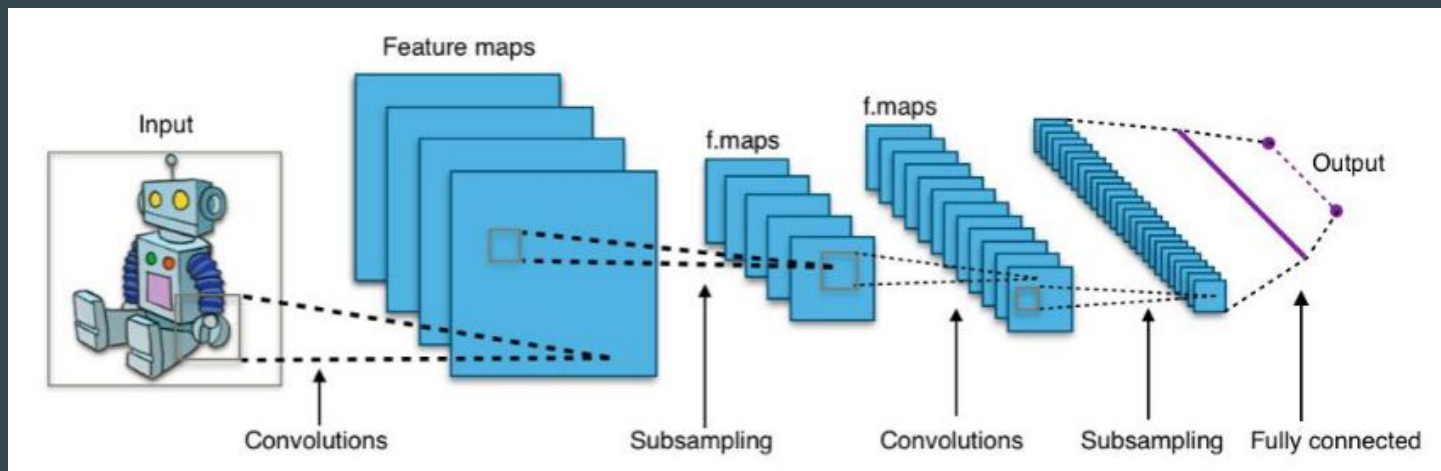


- 4) Start with  $t = -\infty$  and keep increasing  $t$  all the way to  $+\infty$ . At each point of intersection of both functions, find the integral of their product. The obtained points form a convolution of two input functions. (The convolution is not shown above).



# Convolutional neural networks

A CNN network, like standard neural networks, consists of an input layer, a (many) hidden layer, and an output layer. The most important in this type of networks are the hidden layers, and they include convolutional, pooling and full layers. The graphic below illustrates this type of network



## Types of neural networks

- **One-way networks** - these are networks in which there are no feedback loops, signals are sent from the input layer through hidden layers to the output layer.
- **Recursive networks** - networks with bi-directional connections between elements processors or with feedbacks, a backlink is allowed, i.e. the signal from the output can be transferred to the inputs of neurons from previous layers.
- **Mobile networks** - the interconnections between processing elements apply only to the closest neighborhood.
- **Convolutional networks** - analyze the input data and break them down into features. Features are searched for in close proximity data. The extracted features can be used to bring out the next more general ones data properties.

# Validation methods

## 1) Confusion matrix

The error matrix is created from the intersection of the forecast class and the actually observed class, so we have 4 cases (2 for the agreement and 2 for the inconsistency of the forecast with the actual state).

		Actual Values	
		Positive (1)	Negative (0)
Predicted Values	Positive (1)	TP	FP
	Negative (0)	FN	TN

# Validation methods

## 2) Cross-validation

It is a statistical method that consists in dividing a statistical sample into subsets, and then carrying out any analyzes on some of them (training set), while the others are used to confirm the reliability of its results (test set, validation set)





## Validation methods

3 ) The error backpropagation algorithm works by "shifting" the error made by the network from the output layer to the input layer.

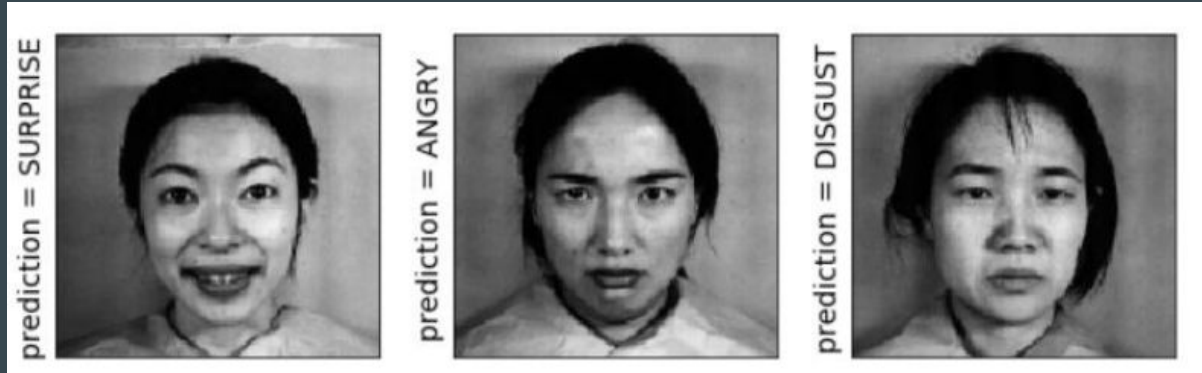
The error backpropagation training cycle consists of the following stages:

- Determination of the response of the output layer neurons and hidden layers to a given input signal.
- Determination of the error made by neurons located in the output layer and the message towards the input layer.
- Weight correction.

## Aim of the project

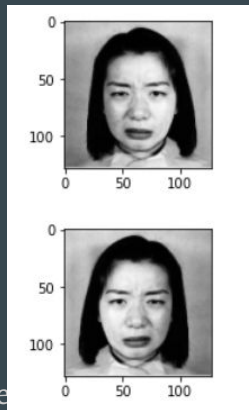
The aim of the project is to create a convolutional neural network that will be able to recognize emotions in photos with human faces. Face recognition is used in many systems, such as human-computer interactions and in security systems.

Non-verbal signals, such like facial expressions and showing emotions are important forms of interpersonal communication



## Database

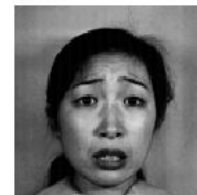
- 10 Japanese female expressers
- 7 Posed Facial Expressions (6 basic facial expressions + 1 neutral)
- Several images of each expression for each expresser
- 213 images total
- Each image has averaged semantic ratings on 6 facial expressions by 60 Japanese viewers
- Resolution 256x256 pixels
- 8-bit grayscale
- Tiff format, no compression



Anger



Disgust



Fear



Happiness



Neutral



Sadness



Suprise

# Basic Model

```

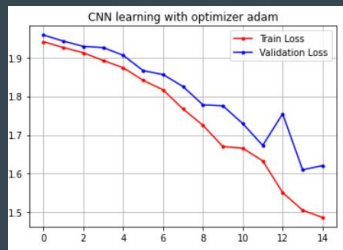
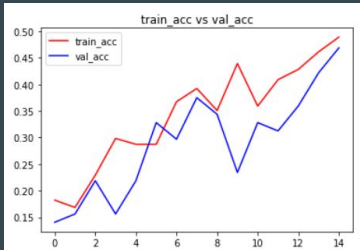
model1 = Sequential()
model1.add(Convolution2D(5, (5, 5), strides=(1,1), \
    input_shape=input_shape, padding='same', \
    activation='relu'))
model1.add(MaxPooling2D(pool_size=(3, 3)))

model1.add(Convolution2D(15, (5, 5), strides=(3,3)))
model1.add(Activation('relu'))
model1.add(MaxPooling2D(pool_size=(2, 2)))

model1.add(Flatten())
model1.add(Dense(64))
model1.add(Activation('relu'))

model1.add(Dense(num_classes))
model1.add(Activation('softmax'))

```



Test Loss: 1.6207740306854248  
 Test accuracy: 0.46875

Model: "sequential\_4"

Layer (type)	Output Shape	Param #
=====		
conv2d_8 (Conv2D)	(None, 128, 128, 5)	380
max_pooling2d_8 (MaxPooling2D)	(None, 42, 42, 5)	0
conv2d_9 (Conv2D)	(None, 13, 13, 15)	1890
activation_12 (Activation)	(None, 13, 13, 15)	0
max_pooling2d_9 (MaxPooling2D)	(None, 6, 6, 15)	0
flatten_4 (Flatten)	(None, 540)	0
dense_8 (Dense)	(None, 64)	34624
activation_13 (Activation)	(None, 64)	0
dense_9 (Dense)	(None, 7)	455
activation_14 (Activation)	(None, 7)	0
=====		
Total params: 37,349		
Trainable params: 37,349		
Non-trainable params: 0		

# Model with dropouts

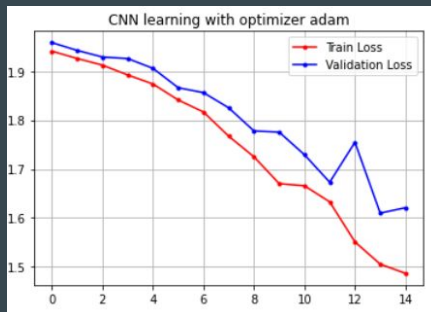
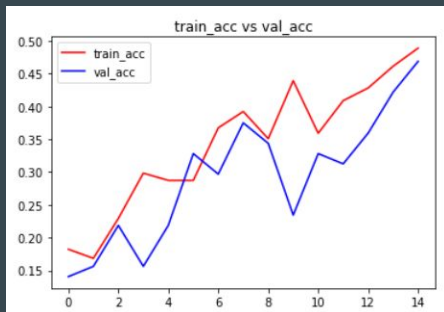
```
model2 = Sequential()
model2.add(Convolution2D(5, (5, 5), strides=(1,1),\
                        input_shape=input_shape,\
                        padding='same', activation='relu'))
model2.add(MaxPooling2D(pool_size=(3, 3)))

model2.add(Dropout(0.25))
model2.add(Convolution2D(15, (5, 5), strides=(3,3)))
model2.add(Activation('relu'))
model2.add(MaxPooling2D(pool_size=(2, 2)))

model2.add(Convolution2D(80, 5, 5))
model2.add(Activation('relu'))
model2.add(Dropout(0.25))

model2.add(Flatten())
model2.add(Dense(64))
model2.add(Activation('relu'))

model2.add(Dense(num_classes))
model2.add(Activation('softmax'))
```



Model: "sequential\_7"

Layer (type)	Output Shape	Param #
conv2d_16 (Conv2D)	(None, 128, 128, 5)	380
max_pooling2d_14 (MaxPooling)	(None, 42, 42, 5)	0
dropout_4 (Dropout)	(None, 42, 42, 5)	0
conv2d_17 (Conv2D)	(None, 13, 13, 15)	1890
activation_24 (Activation)	(None, 13, 13, 15)	0
max_pooling2d_15 (MaxPooling)	(None, 6, 6, 15)	0
conv2d_18 (Conv2D)	(None, 1, 1, 80)	30080
activation_25 (Activation)	(None, 1, 1, 80)	0
dropout_5 (Dropout)	(None, 1, 1, 80)	0
flatten_7 (Flatten)	(None, 80)	0
dense_14 (Dense)	(None, 64)	5184
activation_26 (Activation)	(None, 64)	0
dense_15 (Dense)	(None, 7)	455
activation_27 (Activation)	(None, 7)	0

Total params: 37,989

Trainable params: 37,989

Non-trainable params: 0

Test Loss: 1.6207740306854248  
Test accuracy: 0.46875

# Best model

```

model = Sequential()
model.add(Convolution2D(6, (5, 5), input_shape=input_shape, padding='same'))
model.add(Activation('relu'))
model.add(MaxPooling2D(pool_size=(2, 2)))

model.add(Convolution2D(16, (5, 5), padding='same'))
model.add(Activation('relu'))
model.add(MaxPooling2D(pool_size=(2, 2)))

model.add(Convolution2D(120, (5, 5)))
model.add(Activation('relu'))
model.add(Dropout(0.25))
#After convolution blocks we need feed-forward network to perform classification
model.add(Flatten())
model.add(Dense(84))
model.add(Activation('relu'))
model.add(Dropout(0.5))
model.add(Dense(num_classes))
model.add(Activation('softmax'))

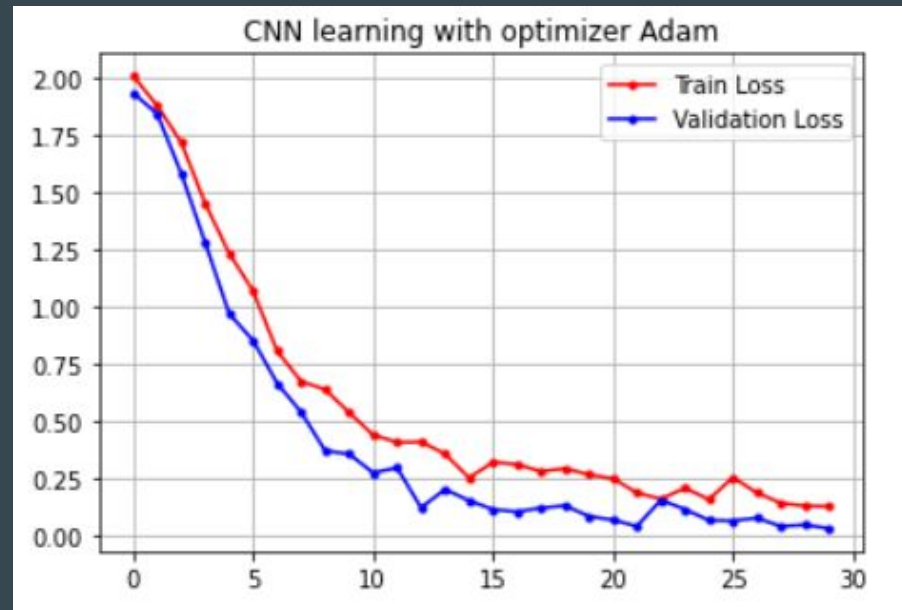
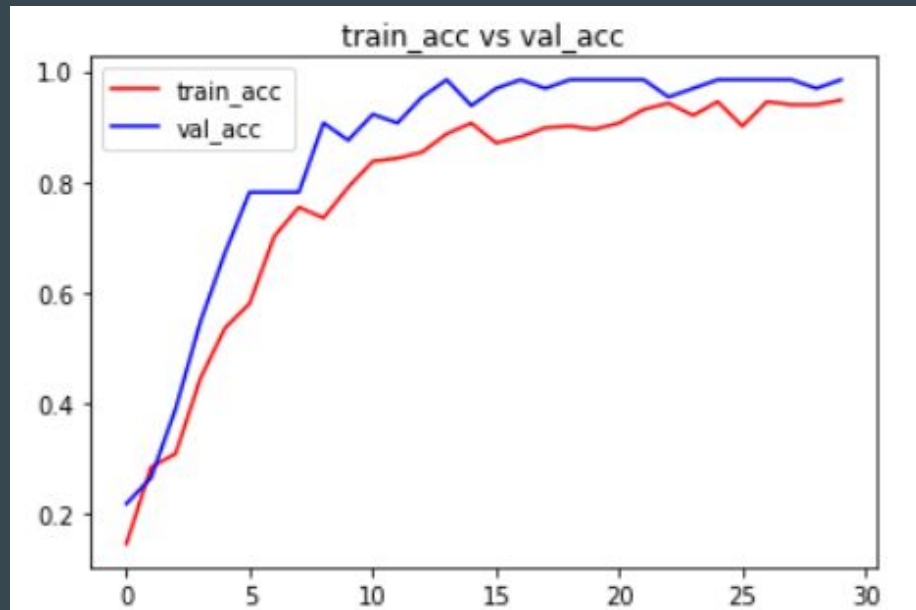
```

Model: "sequential"

Layer (type)	Output Shape	Param #
=====		
conv2d (Conv2D)	(None, 128, 128, 6)	456
activation (Activation)	(None, 128, 128, 6)	0
max_pooling2d (MaxPooling2D)	(None, 64, 64, 6)	0
conv2d_1 (Conv2D)	(None, 64, 64, 16)	2416
activation_1 (Activation)	(None, 64, 64, 16)	0
max_pooling2d_1 (MaxPooling2D)	(None, 32, 32, 16)	0
conv2d_2 (Conv2D)	(None, 28, 28, 120)	48120
activation_2 (Activation)	(None, 28, 28, 120)	0
dropout (Dropout)	(None, 28, 28, 120)	0
flatten (Flatten)	(None, 94080)	0
dense (Dense)	(None, 84)	7902804
activation_3 (Activation)	(None, 84)	0
dropout_1 (Dropout)	(None, 84)	0
dense_1 (Dense)	(None, 7)	595
activation_4 (Activation)	(None, 7)	0
=====		
Total params: 7,954,391		
Trainable params: 7,954,391		
Non-trainable params: 0		

True

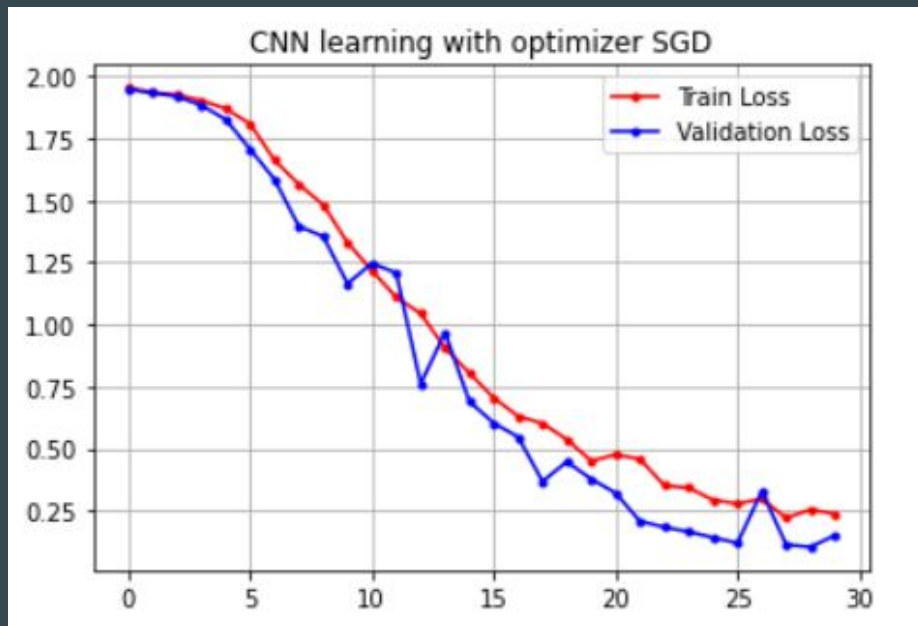
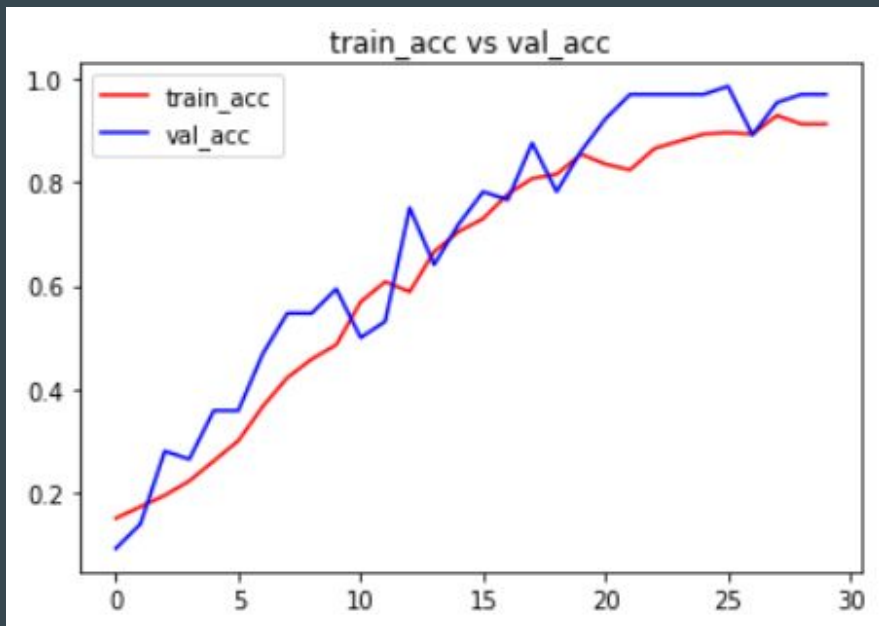
## Best model (Adam)



Test Loss: 0.02895314060151577  
Test accuracy: 0.984375



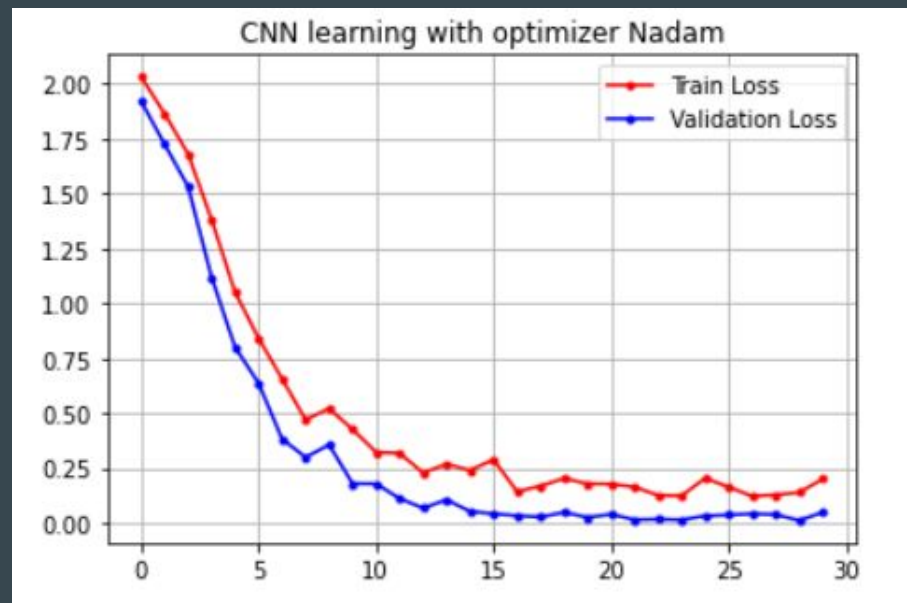
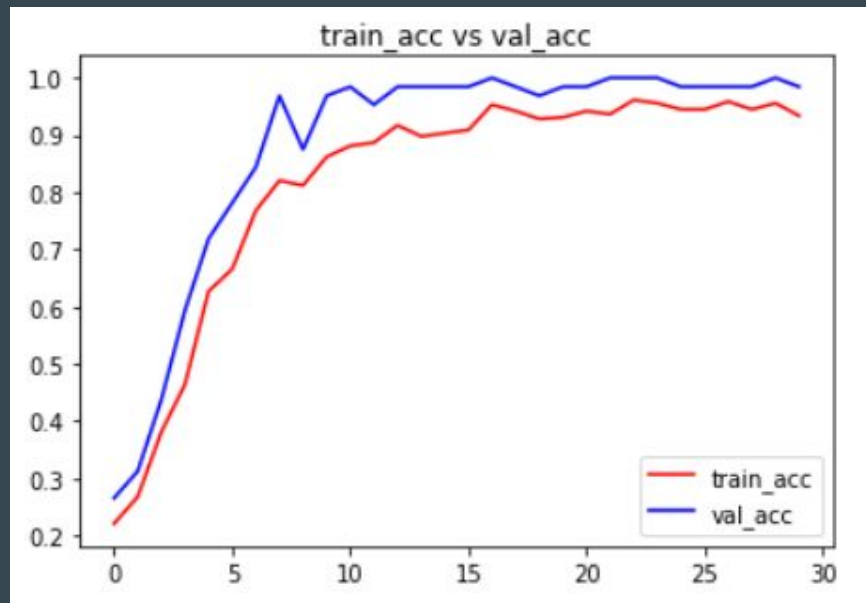
## Best model (SGD)



Test Loss: 0.15157084167003632  
Test accuracy: 0.96875

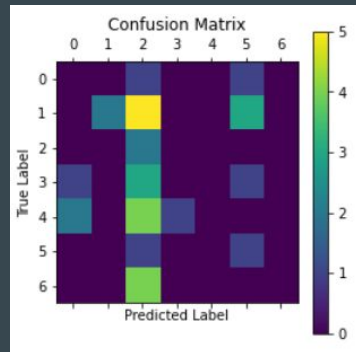


## Best model (Nadam)

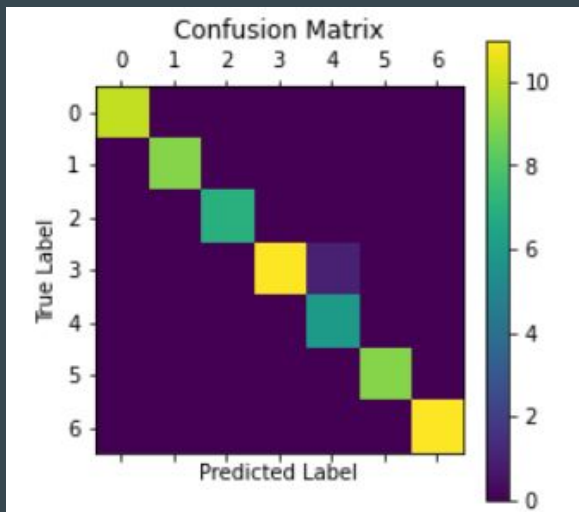


Test Loss: 0.04852263629436493  
Test accuracy: 0.984375

# Recognizing emotions



Summary



#Emotions

names = [ 'DISGUST', 'SURPRISE', 'FEAR', 'SAD', 'HAPPY', 'ANGRY', 'NEUTRAL' ]

