

# Face Recognition using Principal Component Analysis

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# Face Recognition

- **Face recognition** is the challenge of classifying whose face is in an input image.
- A naïve way of accomplishing this is to take the new image, flatten it into a vector, and compute the Euclidean distance between it and all of the other flattened images in our database. Obvious Drawback is speed

Say you have a image of size  $8*8 \sim 64 * 1$

If it needs to be compared with 200 images in the database, then the approach is unrealistic

Number of computations =  $200 * 64 \sim 12,800$  computations

# Problems with Distance classifier

- It cannot capture inherent noise in image (translation, rotation, illumination variations ) in an image



# Can we improve classifier?

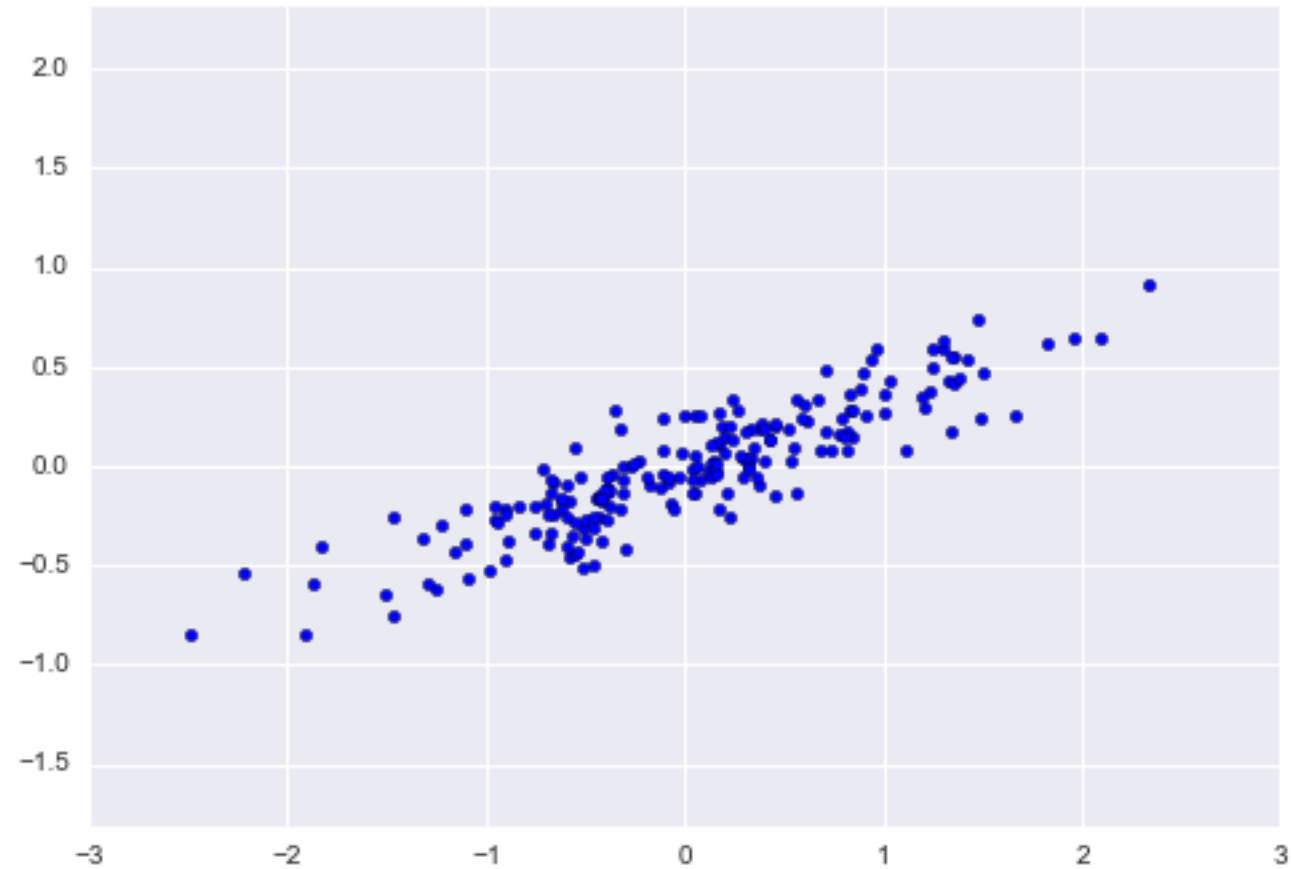
- Advanced Classifiers such as Neural Networks can be used. But still the network would suffer from curse of dimensionality

if the image is flattened into  $mn * 1$  vector; then the network requires more than  $mn$  images which is not realistic for images (as it would depend on the storage space, computational complexity)

# Principal Component Analysis

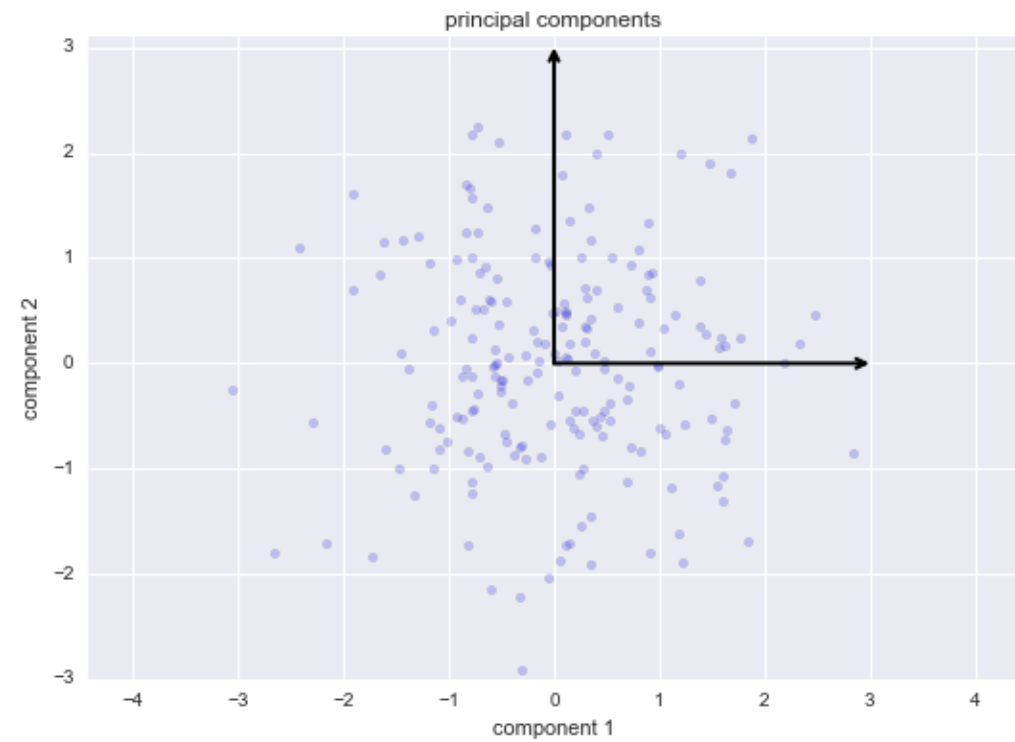
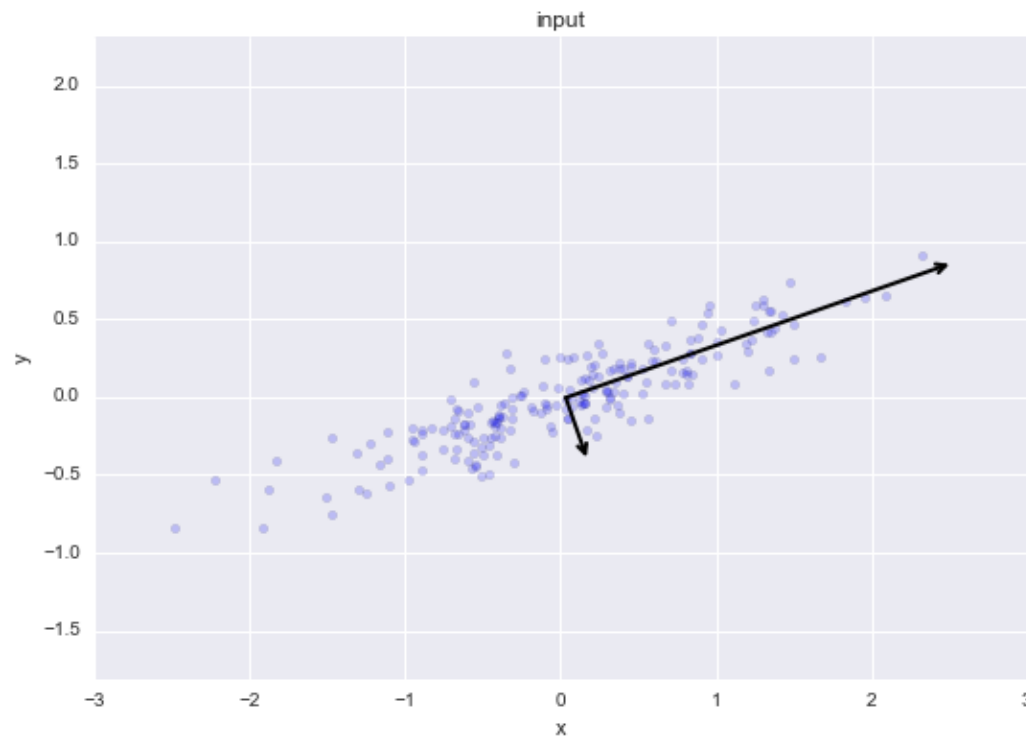
- Reduce the size of the image (not blindly)
- Can we have a technique which can map the face to smaller dimension but retains most of the important parts in it
- Principal Component Analysis is one such technique which helps in mapping the datapoints to lower dimensions.

# Sample Data and its Principal Components



# Contd..

Observation : In the First Figure, Principal Vector captures most of the variance in the data.



# Projection of Data point to a vector

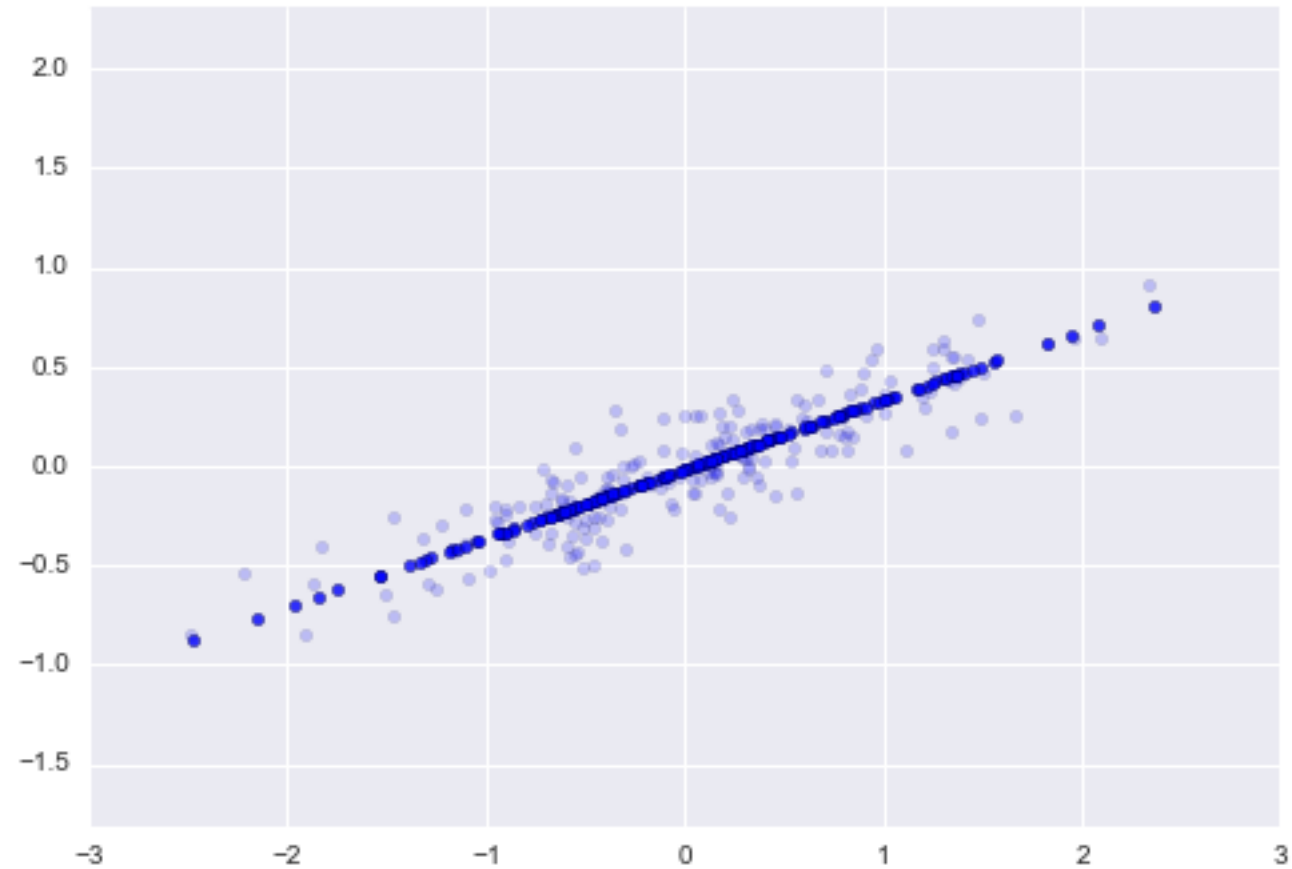
- Data Point  $[3,2]$
- Vector  $[4\ 5]$

Perpendicular Projection of data point onto the vector

Dot Product between the vectors will help in projection of point onto a vector.



# Transformation of data points onto the Principal Vector



# Mathematical Approach

- Consider an image of size 4 \* 4. They can be represented as row vector

$$x = \{x_1, x_2, x_3, x_4 \cdots x_{16}\}$$

- Observation matrix is constructed, where images are encoded as columnar vectors

$$\begin{bmatrix} x_{11} & \cdots & x_{1,16} \\ \vdots & \ddots & \vdots \\ x_{1,16} & \cdots & x_{n,16} \end{bmatrix}$$

- Mean subtract the data (across the rows)

# Contd..

- Compute the covariance matrix

$$C = \frac{1}{M-1} (x - \bar{x})(x - \bar{x})^T$$

Where M represents the number of samples (images)

$\bar{x}$  indicates the mean vector

- Compute eigen values and eigen vectors for the matrix (Eigen vectors are special vectors which when incident on a matrix, it never modify the orientation)

$Cv = \lambda v$  which implies

$$C - \lambda I = 0$$

# Contd..

- Solving the equation we will obtain the unknown quantity  $\lambda$  (eigen value) and  $v$  (eigen vector)

Find the principal component for the following data points

$$\bullet x_1 = \begin{bmatrix} 2 \\ 1 \end{bmatrix} x_2 = \begin{bmatrix} 3 \\ 4 \end{bmatrix} x_3 = \begin{bmatrix} 5 \\ 0 \end{bmatrix} x_4 = \begin{bmatrix} 7 \\ 6 \end{bmatrix} x_5 = \begin{bmatrix} 9 \\ 2 \end{bmatrix}$$

Observation Matrix

$$x = \begin{bmatrix} 2 & 3 & 5 & 7 & 9 \\ 1 & 4 & 0 & 6 & 2 \end{bmatrix}$$

Mean Vector

$$\bar{x} = \begin{bmatrix} (2 + 3 + 5 + 7 + 9)/5 \\ (1 + 4 + 0 + 6 + 2)/5 \end{bmatrix} = \begin{bmatrix} 5.2 \\ 2.6 \end{bmatrix}$$

Mean Subtracted data

$$x - \bar{x} = \begin{bmatrix} -3.2 & -2.2 & -0.2 & 1.8 & 3.8 \\ -1.6 & 1.4 & -2.6 & 3.4 & -0.6 \end{bmatrix}$$

## Covariance Matrix

$$C = \frac{1}{4} \begin{bmatrix} 3.2 & -2.2 & -0.2 & 1.8 & 3.8 \\ -1.6 & 1.4 & -2.6 & 3.4 & -0.6 \end{bmatrix} \begin{bmatrix} 3.2 & -1.6 \\ -2.2 & 1.4 \\ -0.2 & -2 \cdot 6 \\ 1.8 & 3 \cdot 9 \\ 3.8 & -0 \cdot 6 \end{bmatrix}$$

$$C = \frac{1}{4} \begin{bmatrix} 32.8 & 6 \cdot 4 \\ 6.4 & 23.2 \end{bmatrix}$$

$$C = \begin{bmatrix} 8.2 & 1.6 \\ 1.6 & 5.8 \end{bmatrix}$$

# Finding Eigen Vectors

$$\begin{vmatrix} 8.2 & 1.6 \\ 1.6 & 5.8 \end{vmatrix} - \begin{vmatrix} \lambda & 0 \\ 0 & \lambda \end{vmatrix} = 0$$

$$\begin{vmatrix} 8.2 - \lambda & 1.6 \\ 1.6 & 5.8 - \lambda \end{vmatrix} = 0$$

$$\lambda^2 - 14\lambda + 45 = 0$$

$$(\lambda - 9)(\lambda - 5) = 0$$

$$\lambda = 9, \lambda = 5$$

Eigen vector for lambda 9

Substitute it in

$$\begin{vmatrix} 8.2 - \lambda & 1.6 \\ 1.6 & 5.8 - \lambda \end{vmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = 0$$

$$\begin{vmatrix} 8.2 - 9 & 1.6 \\ 1.6 & 5.8 - 9 \end{vmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = 0$$

Assume  $x_2=1$

$$-0.8x_1 + 1.6x_2 = 0$$

$$1.6x_1 + 3.2x_2 = 0$$

$$-0.8x_1 = -1.6 \Rightarrow x_1=2$$

$$\begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \frac{1}{\sqrt{2^2 + 1^2}} \begin{bmatrix} 2 \\ 1 \end{bmatrix} \approx \begin{pmatrix} 0.89 \\ 0.45 \end{pmatrix}$$

- Eigen vector for lambda 5
- Substitute it in

$$\begin{vmatrix} 8.2 - \lambda & 1.6 \\ 1.6 & 5.8 - \lambda \end{vmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = 0$$

$$\begin{vmatrix} 8.2 - 5 & 1.6 \\ 1.6 & 5.8 - 5 \end{vmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = 0$$

Assume  $x_2=1$

$$3.2x_1 + 1.6x_2 = 0$$

$$1.6x_1 + 0.8x_2 = 0$$

$$3.2x_1 = -1.6 \Rightarrow x_1 = -0.5$$

$$\begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \frac{1}{\sqrt{-0.5^2 + 1^2}} \begin{bmatrix} -0.5 \\ 1 \end{bmatrix} \approx \begin{bmatrix} -0.45 \\ 0.89 \end{bmatrix}$$



# Projecting Data points onto Principal Components

- Eigen Vectors  $v1 = \begin{pmatrix} 0.89 \\ 0.45 \end{pmatrix}$

$$v2 = \begin{bmatrix} -0.45 \\ 0.89 \end{bmatrix}$$

Convert data point (2 1) its mean centered value (-3.2 -1.6)

$$\text{Project } (-3.2 \ -1.6) \begin{pmatrix} 0.89 \\ 0.45 \end{pmatrix} = -3.568$$

Onto  $v1$

$$\text{Project } (-3.2 \ -1.6) \begin{bmatrix} -0.45 \\ 0.89 \end{bmatrix} = 0.016$$

Onto  $v2$

- <https://pythonmachinelearning.pro/face-recognition-with-eigenfaces/>