



# Design and Analysis of Algorithms

## Lecture - 14

Success is always inevitable with Hard Work and Perseverance

N. Ravitha Rajalakshmi

# Learning Objective

- Discuss Heap Sort
  - Heap , Property and its Construction

# Sorting

**Input:** An array A with n elements.

**Output:** Permutation of Array A where elements are arranged in non-decreasing order.

Initial  
Array

130	10	40	8	20	200
-----	----	----	---	----	-----

Sorted  
Array

8	10	20	40	130	200
---	----	----	----	-----	-----

# Heap Sort

Array  
Elements

130	10	40	8	20	200
-----	----	----	---	----	-----

- Transform and Conquer

Change the representation of input data

200	20	130	8	10	40
-----	----	-----	---	----	----

Heap

- Identify and remove Maximum element recursively to find the sorted order

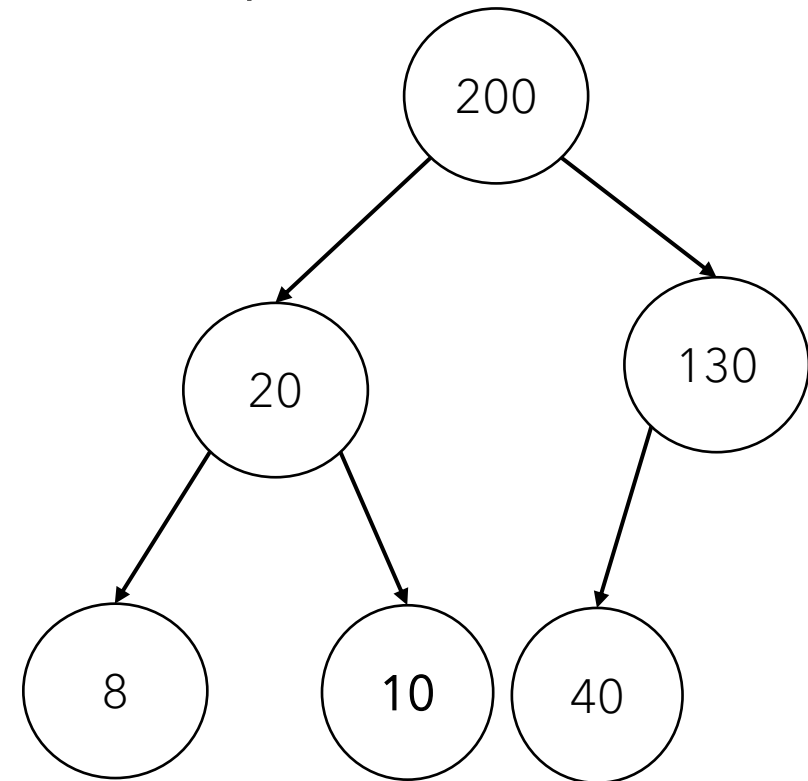
8	10	20	40	130	200
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# Heap

- Array object visualized as a binary tree
- Nearly complete binary tree (all levels are filled except at the last level)
- Two important attributes of heap
  - Length - Length of the entire array
  - Heap size - Number of elements in the heap

Heap size  $\leq$  length

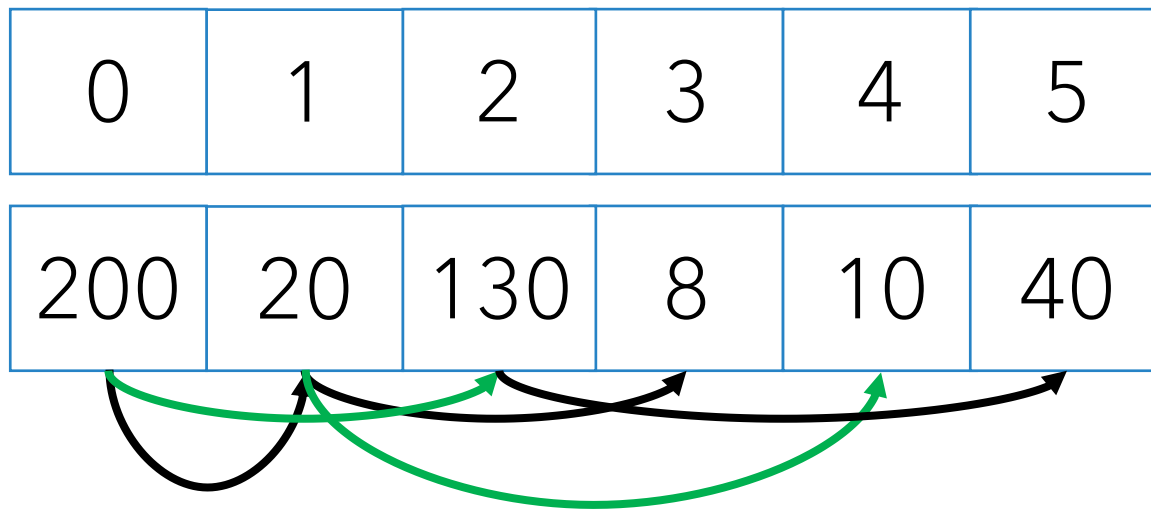
200	20	130	8	10	40
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# Pause & Think

- Element at 0 will be the root node in the tree
- How the elements are related?

Given an element at  $i^{\text{th}}$  position, what will be position of parent, left child and right child



# Pause & Think

Function Parent(i)

*return (i-1)/2*

Function left(i)

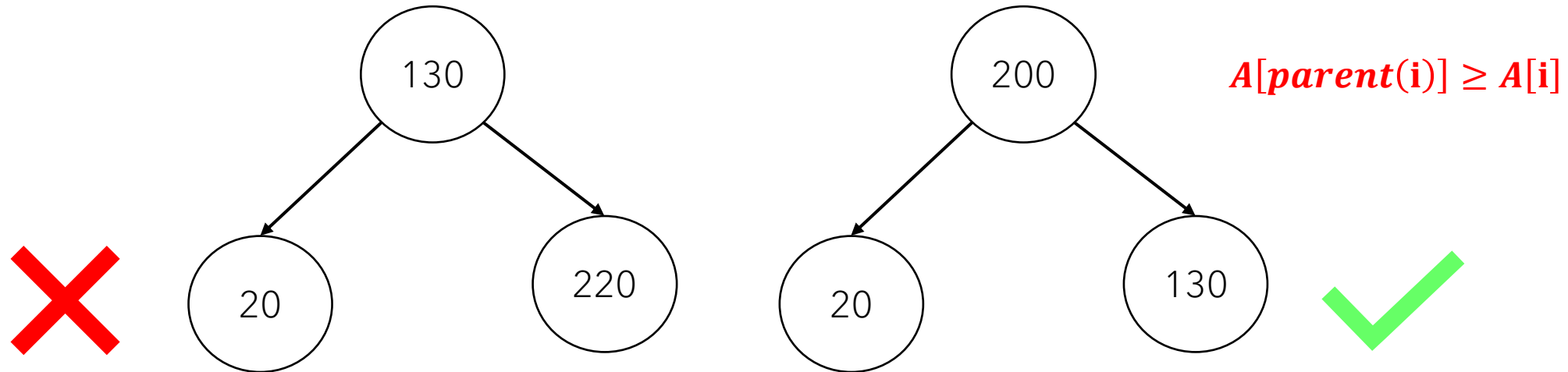
*return (i\*2)+1*

Function right(i)

*return (i\*2)+2*

# Heap property

- Values in the nodes should satisfy heap property
- Two kinds of heaps : min heap and max heap
- Max heap - Parent should hold larger value compared to its children





# Heap property

- Min heap – Parent should hold smaller value compared to its children

$$A[\textit{parent}(\mathbf{i})] \leq A[\mathbf{i}]$$

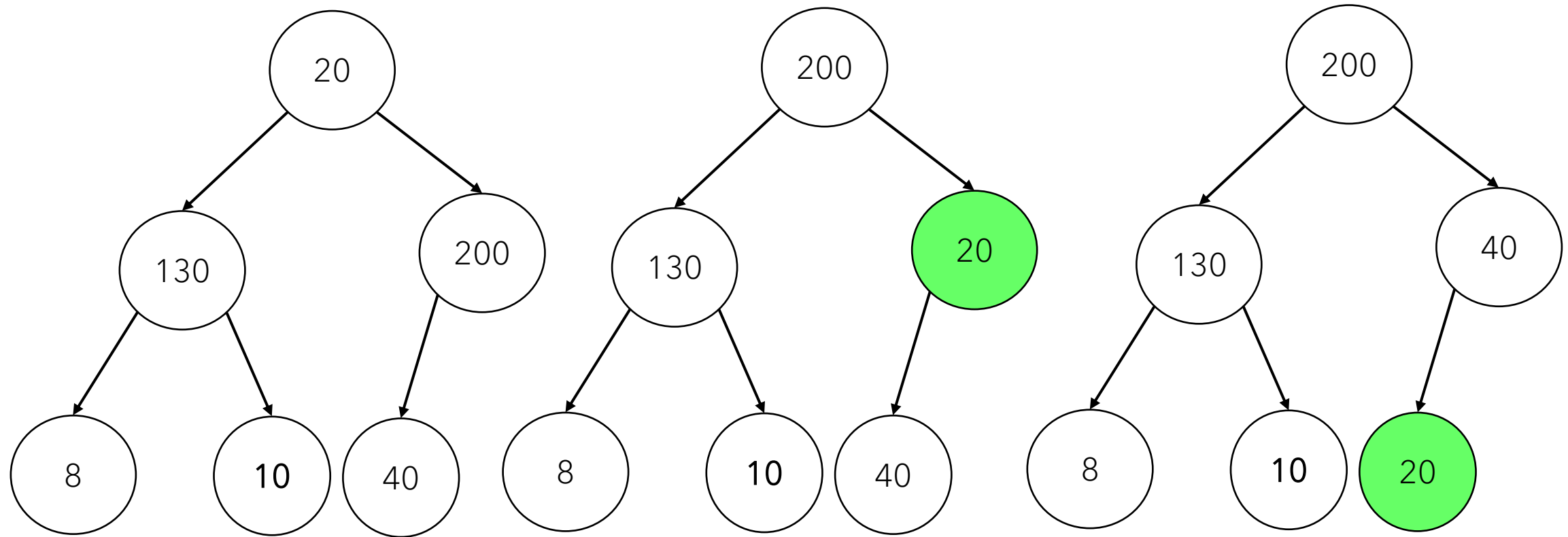
- If heap property is violated at any node in the tree, heapify procedure is used.

# Max-Heapify

- When a node  $i$  violates the heap property then heapify is applied

$$A[\textit{parent}(i)] \geq A[i]$$

- Either the right child (or) left child contains a larger value
- Swap the value of parent and child (with larger value)
- Is that sufficient??



## Function Max-Heapify(i)

```
l = Left(i)  
r = right(i)  
gt = i # index of largest element  
if(A[gt] < A[l] && l < heapsize)  
    gt = l  
if(A[gt] < A[r] && r < heapsize)  
    gt = r  
if(gt != i){  
    swap (A[i], A[gt])  
    Max-Heapify(gt)  
}
```

# Heap Sort

Array  
Elements

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-----	----	----	---	----	-----

- Transform and Conquer

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Heap

- Identify and remove Maximum element recursively to find the sorted order

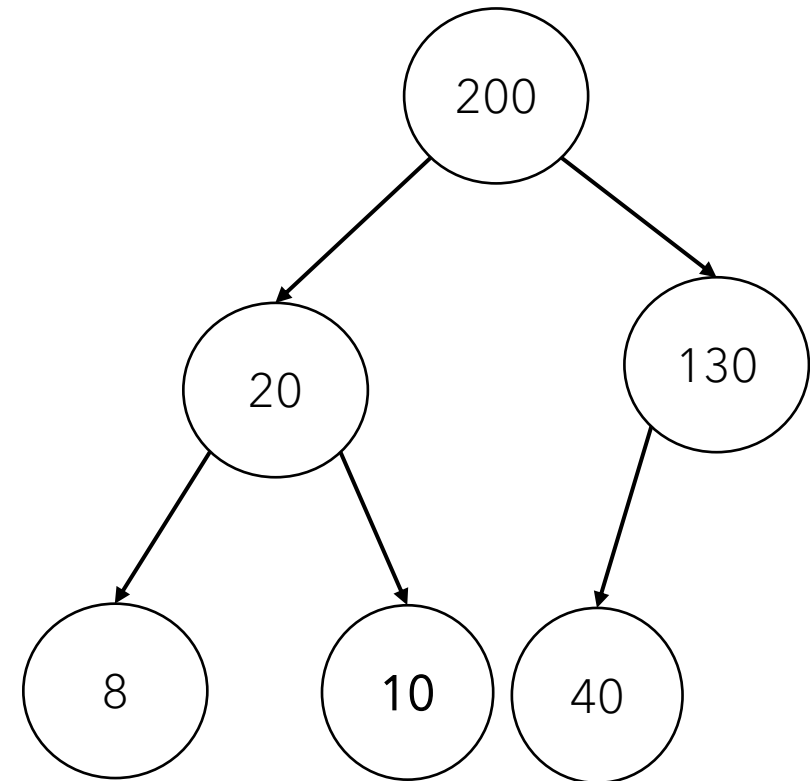
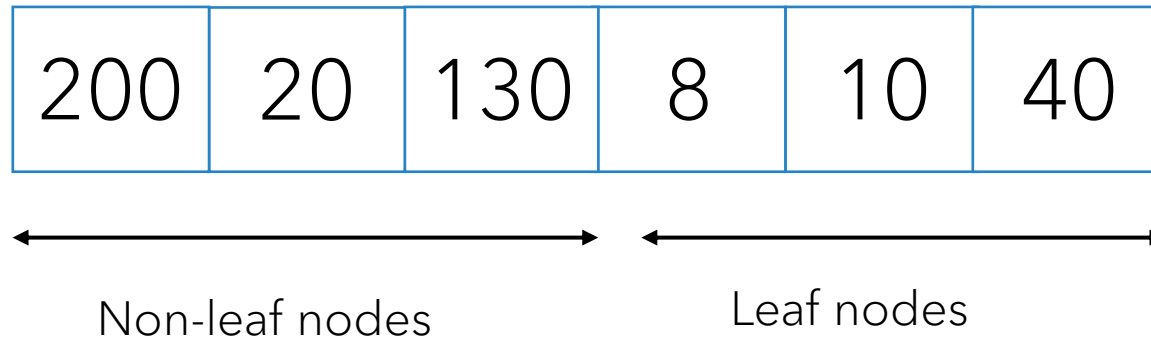
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# Build Max Heap

- Verify whether the property is satisfied for all nodes in the tree
- Top down vs Bottom up
- Bottom up heap construction
  - Verify the heap property starting from the last non-leaf node in a bottom up fashion
  - Apply heapify whenever the property is violated

# Pause & Think

- If there is an array with  $n$  nodes organized as a binary tree, how many non leaf nodes will be there in the tree?



## Function Build-Max-Heap(i)

*Heap\_size = n # all nodes are part of the heap*  
*for i in range(n/2 to 0)*  
    *Max-Heapify(A, i)*



# Summary

- Discussed on heap
- Learnt the procedure for transforming an array into heap

**Thank You**  
**Happy Learning**

**Success is always inevitable with Hard Work and Perseverance**