

Jane Swindle

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Working Experience

Deloitte Touche Tohmatsu – Audit & Assurance Senior (Financial Services)

Jan 2017 – Present

Major Engagement:

Morgan Stanley (*NYSE: MS*)

- Act as a senior-in-charge of final audit engagement for the Hong Kong components, including securities brokerage entity and investment bank
- Liaise with Product Controllers and Legal Entities Controllers to perform walkthrough and test of controls in specific business process, such as investment banking, private wealth management, equity trading and settlement
- Coordinate with other regional teams such as North America Region and EMEA for issuing cross boarder audit clearance for their statutory audits (reports issued in accordance to GAAP and IFRS)
- Perform Financial Returns review and report to SFC, knowledgeable in the compliance of financial resources rules, client securities rules and client money rules

Ernst & Young – Audit & Assurance Staff Accountant to Senior

Sep 2014 – Jan 2017

Major Engagement:

Chen Hsong Holdings Limited

(*HKEX: 00057.HK*)

Dolce & Gabbana Hong Kong Limited

- Perform audit in compliance with the Hong Kong Listing Rules
- Site visit to Mainland and perform forensics data analysis procedures on specific accounts

Professional Qualification

- HKICPA (Certified Public Accountant)
- CFA Level I Candidate

Education

Hong Kong University of Science and Technology

Sep 2011 – Jul 2014

Bachelor of Business Administration – Major in Professional Accounting and Management

Honour: 2nd Upper Class

Peking University

Jan 2013 – Jun 2013

Exchange student

Hang Seng School of Commerce

Sep 2009 – Jun 2011

HKALE: 2A3C

Summary of Skills

- Language: Native in Cantonese; Fluent in English and Mandarin
- Computer Skills: Excel (VLookup, PivotTable) and Powerpoint