Inferring cultural reproduction from lithic data: A critical review

Cheng Liu* Dietrich Stout[†]

2022-01-04

5 Abstract

The cultural reproduction of lithic technology, long an implicit assumption of archaeological theories, has garnered increasing attention over the past decades. Major debates ranging from the origins of the human culture capacity to the interpretation of spatiotemporal patterning now make explicit reference to social learning mechanisms and cultural evolutionary dynamics. This burgeoning literature has produced important insights and methodological innovations. However, this rapid growth has sometimes also led to confusion and controversy due to an under-examination of methodological assumptions and/or inconsistent use of terminology. The time is thus ripe for an assessment of recent progress in the study of the cultural reproduction of lithic technology. Here we review three central research topics: 1) culture origins, and the identification and interpretation of patterning at 2) intra-site, and 3) inter-site levels. This is followed by further thoughts on how to proceed from the current state of debate with theoretical and methodological pluralism.

Keywords: Cultural transmission, Social learning, Lithic technology, Archaeological evidence

Contents

10

11

12

13

14

15

16

17

18

19

21	1	Introduction	2
22		1.1 A brief history of cultural evolution	3
		1.2 Culture as Information	
24	2	The origins of human culture	ç
25		2.1 Requirements for CCE	1(
26		2.2 What is cumulative evolution?	12
27	3	Identifying cultural reproduction at the intra-site level	
28		3.1 The accumulated copying error model	14
29		3.2 The skill level approaches	18
30	4	Identifying cultural reproduction at the inter-site level	20
31		4.1 Cultural and demic diffusion	2]
32		4.2 Convergence in lithic technology	23

^{*}Department of Anthropology, Emory University, Atlanta, GA, USA; raylc1996@outlook.com

[†]Department of Anthropology, Emory University, Atlanta, GA, USA; dwstout@emory.edu

33	5	Conclusions	25
34	6	Table	26
35	Re	ferences	2.7

36 1 Introduction

From its earliest origins, archaeology has been concerned with identifying, documenting, and understanding past human cultures and their patterns of change through space and time. How-38 ever, there has been little enduring consensus of what "culture" actually is or the processes by which it changes. Indeed, the history of the discipline has been one of ever-changing paradigm shifts, ranging from the early debate between migrationism and diffusionism in cultural history 41 to the functionalism of processual archaeology and on to more recent evolutionary approaches. 1 Despite this fundamental ambiguity, the culture concept continues to lie at the heart of basic units of archaeological taxonomy (e.g., cultures, techno-complexes, industries, traditions, facies, etc.) across micro and macro levels. At the micro-level, shared practices in material culture within 45 a population make it possible for some artifact assemblages to be identified as comparable units. At the macro-level, such sharing is the mechanistic underpinning of cross-unit cultural dynamics from both spatial (isolation and interaction/contact) and temporal (continuity and discontinuity) perspectives. Although it is unlikely that a lasting consensus on the nature and workings of human culture will be achieved any time soon, recent archaeological approaches have been heavily influenced 51 by the development of cultural evolutionary theory^{2,3} and psychological approaches to social learning.^{4,5} These influences have been immensely productive, but the rapid expansion of contemporary evolutionary archaeology has not been without growing pains and points of theoretical, methodological, and terminological confusion. The time is thus ripe for systematic review and assessment of the state of the field. To this end, we provide a critical overview of evolutionary archaeology theory and review its application to three key research topics in lithic technology: 1) culture origins, and the identification and interpretation of patterning at 2) intra-site, and 3) inter-site levels.

1.1 A brief history of cultural evolution

Contemporary evolutionary archaeology is largely an outgrowth of formal approaches to cultural 61 evolution (hereafter "cultural evolutionary theory" or CET) developed in the 1980s through the application of mathematical models borrowed from population genetics. Cavalli-Sforza and Feldman³ first systematically advocated the comparability between genetic and cultural inheritance systems in their groundbreaking work, Cultural Transmission and Evolution: A Quantitative Approach. In this book, they argued that the transmission of cultural knowledge is analogous to genetic inheritance in that it involves copying (reproduction) with the potential for modification (mutation), thus leading to variation and the potential for both random (drift) and adaptive (selection) evolution. They also considered important disanalogies. This included the distinction between the Darwinian selection of organisms through differential survival and reproduction (fitness) and the *cultural* selection of traits through individual decisions with respect to some form of cultural fitness (glossed as "appeal," p. 19). However, the bulk of the book was devoted to exploring the disanalogy between the (almost) exclusively vertical transmission of genetic material from parents to offspring and the rampant horizontal (peer-to-peer) and oblique (non-parental elder to juvenile) transmission additionally present in cultural evolution.

Cavalli-Sforza and Feldman's original models were later modified and adopted in the ethnographic case study of Aka foragers, in which oblique transmission was subsumed under horizontal and two new channels of one-to-many and many-to-one were introduced.⁶ This updated model generated clear expectations (**Table 1**) that could be readily translated into measurable traits in artifact assemblages and thus served as the theoretical foundation for archaeological studies of cultural transmission.⁷

Concepts of cultural fitness and adaptation were more fully explored by Robert Boyd and Peter Richerson in their landmark *Culture and Evolutionary Processes*.² In this book, Boyd and Richerson developed the Dual Inheritance Theory (DIT, now commonly known as gene-culture coevolution theory) as a framework for considering potential interactions between cultural and biological evolution. In DIT, culture change can happen in two ways. First, *natural* selection can act on culture-bearing individuals, in which case it is generally expected to increase the frequency of adaptive culture traits. Second, *cultural* selection can occur on the traits themselves, in which case biologically non-adaptive or even maladaptive traits can be favored. Cultural selection occurs through the adoption choices of individuals, and biases affecting these choices (i.e., the

"cultural fitness" of variants) need not align with biological fitness. Boyd and Richerson thus conceptualize cultural fitness in terms of psychological processes or dispositions they term "transmission biases" that affect the likelihood of individuals adopting particular cultural traits. These include "direct" biases, also known as content-based biases, due to inherent features (e.g., effectiveness, memorability) of the trait, "indirect" biases based on characteristics of the model demonstrating the trait (e.g., success, prestige), and frequency-dependent biases such as a preference to copy the most common trait (conformity). The latter two categories are merged under the name context-based biases in more recent CET literature.⁸

DIT holds that cultural evolution need not increase biological fitness in all cases, but nevertheless posits that it frequently does. In fact, cultural evolution has been held up as the critical "secret" 100 to the demographic expansion and adaptive potential of our species. 9,10 There has thus been 101 substantial interest in modeling conditions under which various transmission biases (often now 102 termed social learning strategies)⁸ would be expected to produce biological fitness enhancement. 103 For example, Boyd and Richerson² showed that conformity and prestige biases can increase 104 the probability of individuals selecting locally adaptive traits even in the absence of any direct evaluation of trait merits. Under appropriate conditions, natural selection would thus favor these 106 transmission biases, leading them to become species-typical features of human psychology that 107 help to ensure the adaptive nature of cultural evolution. However, these biases would still be 108 insufficient to explain the production of locally adaptive variants to copy in the first place.

Boyd and Richerson address this with the concept of "guided variation," now more frequently termed individual learning. It is controversial to what extent individual learning relies on "blind" 111 trial and error vs. directed experimentation based on some form of causal understanding, 11 but 112 in any case it is expected to guide variation toward desired outcomes. If, in line with Human 113 Behavioral Ecology theory, 12 it is further assumed that humans generally act as biological fitness 114 maximizers then such learning will be biased toward the production of adaptive variants so long 115 as individuals have the cognitive, perceptual, and experiential capacity to identify the associated 116 fitness benefits. 13 However, it is not clear that individual-learning objectives are necessarily any more likely to be related to biological fitness than are social-learning adoption choices, and the 118 concept of fitness as applied to cultural evolution remains under-theorized.¹⁴ 110

The quantitative evolutionary approach pioneered by researchers like Cavalli-Sforza, Feldman,
Boyd, and Richerson has been immensely influential and productive for the study of human

culture and cognition in general^{10,15,16} and for archaeological approaches to understanding past culture change in particular.^{7,17–20} However, applying the abstract, formal models of cultural evolutionary theory to real-world archaeological data is not a straightforward process,²¹ and cultural evolutionary theory has itself continued to evolve. It is thus important to review potential points of confusion and/or refinement to this theoretical bedrock of contemporary evolutionary archaeology. Many of these relate to the underlying "culture as information" paradigm that cultural evolutionary theory inherited from its population genetics origins.

1.2 Culture as Information

120

Evolutionary archaeology¹⁹ follows cultural evolutionary theory (CET) in conceptualizing culture as information held in the minds of individuals. As phrased by Richerson and Boyd: 22:5 "Culture 131 is information capable of affecting individuals' behaviors that they acquire from other members 132 of their species through teaching, imitation, and other forms of social transmission." This concep-133 tion echoes the "genes as information" ²³ paradigm that characterized the mid-century Modern Synthesis (MS) of evolutionary biology and which itself reflected the contemporaneous ascen-135 dance of computer science and information theory. In cultural anthropology, this computational 136 zeitgeist was expressed in symbolic approaches to culture as "an information-holding system with functions similar to that of cellular DNA" such that "the instructions needed for coping with 138 the environment and performing specialized roles is provided by learned information, which 139 is symbolically encoded and culturally transmitted."24: 198 However, such symbolic approaches soon fell out of favor and were replaced by more enactive and embodied conceptions of culture as 141 something people actually do.²⁵ This approach has been especially popular among archaeologists 142 interested in apprenticeship and the co-production of material, mental, and social structures 143 more generally,²⁶ but the informational conception of culture as content to be transmitted or copied has remained dominant in CET and evolutionary archaeology. 145

This is somewhat ironic, as CET has now become an important part of a so-called "Extended Evolutionary Synthesis" (EES) that explicitly questions the MS conception of biological evolution as the transmission and expression of genetic information.²⁷ Whereas the MS defines biological evolution as changes in the frequency of gene variants in a population, and CET correspondingly conceives cultural evolution as "changes within a population of the relative frequencies of the forms of a cultural trait,"^{3: 5} the EES contends that "phenotypes are not inherited, they are reconstructed in development."^{27: 5} This active reconstruction (literally, re-production) is itself a source of adaptive variation and thus breaks down the classic MS distinction between "proximate" (e.g., ontogenetic) factors that merely inflect the expression of inherited genetic information and "ultimate" (e.g., natural selection) causes explaining their origins. This leads EES to emphasize a wider range of evolutionary causes (e.g., niche construction, developmental processes, non-genetic inheritance) beyond mutation, selection, drift, and gene flow. Applied to culture, such logic calls for attention to diverse causes of cultural reproduction and change beyond the social transmission of cultural information.

This is clearly exemplified by technology, which is arguably the most studied cultural domain for 160 both CET and evolutionary archaeology. Causal mechanisms potentially contributing to techno-161 logical stability and change extend beyond learning processes per se to include relative costs and 162 benefits in particular behavioral systems and ecologies, ²⁸ social structure ²¹ and institutions, ²⁹ 163 intrinsic features of ¹³ and/or interactions between ³⁰ technologies, and potential coevolution-164 ary relationships between these diverse factors. 31 Indeed, the CET literature is already replete 165 with examples of material and social causes of technological stability and change, including functional design demands, inflexible production processes and technological entrenchment, 167 innovation cascades, market integration, environmental change, and more reviewed by Mesoudi 168 et al.^{32: Table 11.2} However, in the information transmission paradigm, such particular features 160 are viewed as proximate mechanisms inflecting local rates and patterns of change rather than 170 ultimate explanations for the origin of cultural diversity and adaptation. 171

An alternative, EES-inspired, approach would be to emphasize the causal power of such "proxi-172 mate" mechanisms to actually drive evolutionary change. For example, there is some debate in 173 the CET literature over whether technological innovation is usually blind and random (i.e., like 174 genetic mutation) with optimization due to selective retention/copying (due to various biases 175 discussed \$1.1), or whether individual learning commonly acts to guide variation toward desired 176 outcomes and allow for optimization even in the absence of selection. 11 A largely neglected third possibility in this debate is that proximate material and social conditions can also guide variation and affect retention. A simple non-human example is the way in which the durability of artifacts 179 and locations associated with some forms of primate tool use can facilitate the reproduction of 180 tool behavior.³³ In humans, ecology, ideology, and economics can affect the nature, frequency, 183 and retention of innovations³⁴ and particular technologies may be more or less evolvable due to

the modularity vs. interdependence of component parts or procedures. 35

201

203

204

205

206

207

210

211

As with the EES more broadly, this should not be construed as a repudiation of past work or 184 even as presenting previously unrecognized mechanisms and/or empirical findings. In fact, 185 one of the reasons the EES has been controversial is that its primary contribution is theoretical 186 or even philosophical rather than empirical. The EES takes a stance on the nature and goals of evolutionary explanation whose relevance and appeal will depend on the questions and 188 objectives of different research programs.³⁶ This is equally true with respect to the study of 189 cultural evolution. Richerson and Boyd^{22: 259} explicitly state that their definition of culture as 190 information is a pragmatic one intended to promote productive research, rather than the only 191 possible one. In this respect, it has clearly been successful. As with gene-centered approaches to 192 biological evolution, the power of this informational approach stems from its relative simplicity, 193 broad generalizability, and amenability to formal modeling. However, these broad strengths 194 may be less well suited to explaining the precise causal-historical details of particular cases, 195 especially when variables employed in formal models are difficult to relate to empirical measures 196 of real-world data.²¹ This parallels the case with the EES, which may be most relevant and helpful 197 to researchers interested in detailed explanations of particular evolutionary histories.³⁶ Such 198 a focus is more typically of evolutionary archaeology than it is of CET in general, but this has 199 seldom been reflected in the theory and practice of the field. 200

For example, EES themes of developmental reconstruction and reciprocal organism-environment causation bear a conceptual similarity to the enactive and embodied approaches in cultural anthropology. However, the social theory of Bourdieu²⁵ and others has not generally been seen as amenable to practical application in evolutionary archaeology. One notable exception is Ingold's^{37: 158} concept of a "taskscape," as "an array of related activities" carrying forward social life that is inextricably bound with the landscape. Building on this concept, Tostevin^{1: 85} coined the term taskscape visibility to refer to "the relationship between where, when, and with whom a cultural trait, such as a flintknapping behavior, is performed and the possible cultural transmission modes available for promulgating the trait into the next generation." This concept is then combined with social intimacy to predict certain aspects of lithic technology, mainly blank production, can only be visible and thus learned within socially intimate people like those living in the same camp. On the contrary, tool kit morphology is visible to more socially distant people such as two hunter-gatherers shortly meeting each other when their foraging landscape is

overlapping. This approach adds a concrete technological and ecological particularism to the more abstracted investigation of population size and structure effects that is well developed in CET,²¹ but is correspondingly difficult to generalize and has not been widely adopted.

Another potential theoretical resource for evolutionary archaeology is the Cultural Attraction The-217 ory (CAT) developed by Sperber and colleagues.³⁸ Again evoking EES themes, the core premise of CAT is that cultural traits are not straightforwardly transmitted or copied between individuals but 219 must be actively reproduced. The particular processes and contexts of reproduction may then act 220 as "factors of attraction" biasing the outcome in a particular direction ("convergent transforma-221 tion") and resulting in either stabilization or directional change. Such convergent transformation 222 would include the guided variation (trial and error learning) of Boyd and Richerson² but is a more inclusive concept that need not involve psychological factors affecting individual learning or lead to goal-directed enhancement.³⁹ Critically, CAT explicitly includes ecological (physical and 225 social context) as well as psychological factors of attraction and theorizes culture change and 226 stability as products of complex causal chains rather than biased information transmission.³⁸ As 227 such it would seem to be well suited to accommodate evolutionary archaeology interest in topics 228 such as the way that specific artifact production techniques, perceptual-motor constraints, social 220 contexts, and ecological interactions can affect cultural evolution. This potential has yet to be 230 realized, however, as CAT work to date has tended to focus on communicative (e.g., songs, jokes, 231 stories) culture and psychological factors of attraction rather than broader ecological causes,³⁸ 232 and on explaining stability rather than change. Modeling has supported the in-principle potential 233 of convergent transformation to supplement CET as an additional mechanism of cultural stabi-234 lization, but it remains an "abstract notion" ^{39: 3} yet to produce concrete archaeological predictions 235 and applications comparable to CET.⁷ 236

Currently, then, there remains a disjunction between CET, with the broad explanatory scope and methodological advantages allowed by its simplifying focus on social transmission, and the more complex and particularistic array of causes and interactions relevant to understanding specific archaeological cases of culture change or stability. Bridging this gap with a more extended synthesis of cultural and evolutionary theory will be a major undertaking and an important priority for future work in evolutionary archaeology. In the remaining sections of this review, we consider current confusions and controversies arising from this theoretical disjunction and provide modest suggestions toward resolving them. These issues are perhaps most salient in

237

238

242

debates over the evolutionary origins of human culture.

2 The origins of human culture

247

249

250

251

252

253

254

255

256

257

250

260

261

262

264

265

267

268

271

272

According to CET, many animals have culture in a minimal sense (behavioral variation acquired and maintained by social learning) but humans are distinguished by our capacity for cumulative cultural evolution (CCE).⁴¹ This capacity, thought to be rooted in unique human psychological adaptations for high fidelity social learning, 5,16,42 allows for iterative improvement over generations eventually resulting in "well-adapted tools, beliefs, and practices that are too complex for any single individual to invent during their lifetime."9: 10920 CCE capacity has been proposed as the secret of our success (i.e. geographic, demographic, and ecological expansion) as a species and its origin characterized as a "key event" or crossing of an evolutionary Rubicon that put humans on a novel gene-culture coevolutionary trajectory ultimately explaining "how our ancestors made the journey from apes scavenging a living on ants, tubers, and nuts, to modern humans able [to] compose symphonies, recite poetry, perform ballet, and design particle accelerators." 16: 2-3 There is thus intense interest in determining the timing and context for the onset of CCE in human evolution. However, many uncertainties remain, ranging from the definition of CCE^{13,14} to archaeological criteria for diagnosing its presence. 43,44 These uncertainties reflect the challenges of applying abstract CET concepts and models to interpret concrete and particular archaeological patterns.

CCE theory is deeply rooted in the culture-as-information paradigm and in particular the idea that such information is costly to generate through individual learning but cheap to store, replicate, and transmit socially once acquired.^{2: 35} Boyd and Richerson⁴¹ showed that mixed strategies of individual (guided variation) and social (observational copying) learning can over generations lead to the evolution of fitness-enhancing skills that would have been beyond the inventive capacity (the "reaction norm") of individuals in the first generation (i.e., CCE). Drawing on literature from comparative psychology,⁵ these models assume that observational learning of such complex skills is behaviorally cheap but reliant on developmentally/neurobiologically costly psychological mechanisms such as imitation and the shared intentionality that allows teaching. These costs create a barrier to the initiation of CCE in the form of an "adaptive valley" that must be crossed before CCE can start to produce the body of complex, difficult-to-learn, and useful cultural content that would allow these expensive mechanisms to pay for themselves. This

potentially explains the rarity of CCE in nature and leads to the expectation that its emergence in
 humans was a threshold event initiating a process of sustained biocultural feedback.

Archaeologically, the crossing of this threshold would be indicated by the appearance of individual 277 behaviors⁴³ or suites of behaviors¹⁰ demonstrably beyond the inventive capacity of individu-278 als. It would also be expected to produce evidence of increased rates of culture change and diversification^{22,43} and obligate reliance on teaching and/or imitation as mechanisms of cultural reproduction.^{5,42} However, there are challenges in applying expectations derived from this formal 281 version of CCE to concrete archaeological data. These include pragmatic problems with actually 282 demonstrating that a given behavior could not possibly be invented by an unassisted individual 283 given sufficient time and opportunity¹⁴ and, conversely, the fact that demonstrating that individ-284 ual reinvention of a behavior is possible (for modern apes or humans) does not actually show 285 that this is how the behavior was learned in the past.⁴⁴ Other issues are more conceptual and theoretical. 287

88 2.1 Requirements for CCE

As framed, the formal CCE concept depends on a presumed dichotomy between observational 289 learning (cognitively expensive, behaviorally cheap) and individual trial and error (cognitively 290 cheap, behaviorally expensive) that may not be supported. Indeed, there is substantial evidence 291 that observational and individual learning rely on shared neurocognitive mechanisms. 15 This weakens the assumption that all instances of CCE require costly special-purpose cognitive mech-293 anisms for social learning. Conversely, many complex fitness-enhancing skills cannot be learned 294 purely through "cheap" observational learning but must be reconstructed through costly individual practice in supportive material and social contexts. 45 In fact, such individual reconstruction may actually enhance the fidelity of cultural reproduction, 46 which is a key factor promoting 297 CCE. 16 Transmission chain experiments on CCE have similarly shown that the importance of different information sources (e.g., experiential, observational, artifactual) depends on the par-299 ticular task and context being studied.⁴⁷ All of this calls into question the expectation that CCE 300 capacity emerged in a single threshold event marked by archaeological evidence of teaching, 301 imitation, and behaviors "beyond the inventive capacity of individuals."

The presumed importance of imitation and teaching to CCE capacity derives from the assumption that particular learning processes have an intrinsically high vs. low reproductive fidelity

independent of specific circumstances. This is reflected in the ranked taxonomy of social learning mechanisms (e.g., stimulus enhancement < emulation < imitation) that has informed many theo-306 retical, experimental, and archaeological approaches to CCE origins, 44,48,49 including especially the "Zone of Latent Solutions" (ZLS) hypothesis, 42,50 which seeks to explain Paleolithic technolo-308 gies in the absence of CCE capacity. 43 As originally framed, 42 the ZLS hypothesis distinguishes 309 between non-human ("minimal") cultural traditions maintained by convergent individual learn-310 ing and low-fidelity social learning mechanisms, such as the direction of attention (stimulus 311 enhancement) and product copying (emulation), and human cumulative culture, which requires 312 "high-fidelity" process copying (imitation) and/or active teaching and norm enforcement. Note 313 that this strict use of "imitation" to refer to precise body movement reenactment differs from the looser use of the term as a synonym for social learning in the early cultural evolution literature.⁵¹ 315 It is now recognized that imitation in this narrow sense may be necessary for the reproduction of 316 arbitrary communicative or ritual behaviors but has relatively little utility for the reproduction of real-world technological skills, ^{44,51} in which the emulation of products and outcomes (cf. "goals") 318 may be more important than the reproduction of idiosyncratic body movements. Consequently, 319 the ZLS hypothesis has been modified⁵⁰ to move away from the problematic high vs. low fidelity distinction in favor of a dichotomy between "copying" and "non-copying" forms of social learning, 321 with the former now including the copying of artifact forms (i.e., end-state emulation or "product 322 copying," previously considered low-fidelity). 323

This begins to approximate more traditional archaeological criteria^{44: 335} for identifying cultural 324 reproduction on the basis of shared artifact morphology or production processes but remains 325 committed to the classic CET dichotomy of individual vs. observational learning. Thus, only 326 observational copying is sufficient to support CCE whereas non-copying forms of social learning 327 (e.g., stimulus enhancement, or exposure to situations and materials) can only facilitate individual 328 reinvention without cumulative potential. As discussed above, this assumption is questionable. Formally, all that CCE requires is the accurate reproduction of behaviors for a sufficient duration¹⁶ 330 to allow innovation (guided variation) to accumulate. 41 Whether this is accomplished through 331 observational copying or the persistence of structured trial and error learning situations 13,46,52 is 332 beside the point. In fact, the intentional provision of practice opportunities and direction of learn-333 ers' attention can also be considered as forms of teaching⁵³ and appear to be important for the reproduction of skills in hunter-gatherer societies⁵⁴ and of stone tool making in particular.⁵⁵ An 335 explicit intention to scaffold learning in this way might depend on novel human social cognition,⁵

but such processes can also occur unintentionally and might be a plausible mechanism for some cases of CCE.

In line with broader EES themes, it is increasingly recognized that learning occurs in constructed 330 niches including the inheritance of material artifacts, physical contexts, and social situations ¹³ as well as "information." Such ecological inheritance is explicitly excluded from the CET culture concept,2: 35-36 but factors such as the material transfer of tools or the evolution of social institutions for the specialization of labor may be equally important to the emergence of cultural 343 traits "beyond the inventive capacity of individuals." 10,13 It is without question that humans culturally reproduce many such complex behaviors whereas these are rare or absent in other animals, 56 but it is not obvious that a process of iterated observational copying and trial and 346 error learning is both necessary and sufficient to explain everything from bows and arrows to 347 symphonies and particle accelerators. From this perspective, CCE may not be a unitary process or capacity with a discrete evolutionary origin so much as a particular kind of outcome that may 340 involve diverse processes and causes across different instances. To address this complexity in the 350 archaeological record, Stout et al. 44: 311-312 advocated a stepwise research program proceeding from the empirical assessment of reproductive fidelity for specific behaviors (e.g. reconstructed 352 knapping techniques) through the use of ecologically-valid experimental studies to infer learning 353 processes and ultimately to the reconstruction of biocultural evolutionary processes affecting the 354 behavior in question. However, even this approach to CCE as a product rather than a mechanism confronts important theoretical issues with defining the concept of "cumulative" evolution. 356

2.2 What is cumulative evolution?

The word cumulative means "increasing by successive additions," but it is unclear exactly what is increasing in CCE. The original model of Boyd and Richerson⁴¹ explicitly focused on increasing biological fitness but incorporated a limit (reaction norm) to fitness increase through individual learning that was verbally justified by appealing to the (assumed) complexity of fitness-enhancing skills. This established an implicit link between biological fitness and behavioral complexity consistent with a more generic sense of cultural "improvement" rather than mere increase in some particular variable. Subsequently, the CCE concept has often shifted to focus on increasing complexity per se⁵⁶ or been applied more broadly to discuss "improvement in performance as a proxy for genetic and/or cultural fitness." This elision from "increase" to "improvement" is

dangerous for archaeology given the discipline's long history of promoting racist and progressivist colonial hierarchies.⁵⁷

There is a principled sense in which increasing biological fitness could be termed "improvement" 360 but the same is not generically true of increasing complexity or maximization of particular 370 performance characteristics. As Mesoudi and Thornton¹⁴ discuss, the CCE concept is often applied to cultural traits with no apparent benefit to the bearer's inclusive fitness. They thus 372 suggest it may be more appropriate to think of improvement in terms of "cultural fitness" as 373 indicated by proxies such as wealth or status. However, if such proxies are not related to biological fitness, then characterizing maximization as improvement is only possible relevant to a particular 375 cultural value system. Unless motivated by careful ethnographic work, the concept of cultural 376 fitness risks being either circular (fit traits are those that reproduce successfully) or a naïve extension of researcher values. Such external evidence of cultural values is often unavailable to 378 archeologists. 370

The recognition of a distinct form of "cumulative" culture evolution emerged as a useful marker in a debate over the possibility of fitness-enhancing gene-culture coevolution⁴¹ but, in hindsight, it 381 is not clear that the CCE concept captures anything that is not already encompassed by concepts 382 of inheritance, adaptation, and persistent evolutionary trends that have already been extensively theorized in evolutionary biology. CCE does involve a particular form of behavior-led evolution in 384 that individual learning generates "guided variation" which is then subject to selection, however 385 this is now encompassed by the broader EES concept of constructive development.²⁷ Given the danger of progressivist misinterpretation of the term "cumulative" and its established implication 387 of improvement, it might be preferable to drop the C and just speak of cultural evolution in all its 388 complexity and diversity. 389

In Paleolithic archaeology, the implicit (or explicit) framing of CCE as improvement produces an expectation that it should always occur when possible. The long term stasis of technologies such as Acheulean handaxe production thus becomes a "problem" requiring special explanation, for example as due to a lack of CCE capacity, 43,49 the genetic encoding of technological behavior, 22,58 or frequent transmission failures in small, dispersed populations. However, it is not entirely clear that CCE predominates even in recent human evolution. An alternative to this deficit model is to consider that stasis might also reflect locally optimal adaptation. In fact, archaeologists often consider stabilizing influences (cf. "factors of attraction" in CAT), such as design constraints 60 or

the role of tools in larger behavioral ecological strategies. ²⁸ These perspectives generally expect successful strategies to be stable and thus focus more on explaining episodes of change in terms of extrinsic causes such as climate-driven habitat shifts. ⁶¹ Finally, there are evolving organismal factors of attraction such as more general perceptual-motor and cognitive capacities ^{44,62} or biomechanics and manipulative capacities ⁶³ that might affect the relative costs and benefits of particular technologies. Most likely, each of these mechanisms and more have been relevant at different times and places in the Paleolithic and would have interacted in complex and historically contingent ways to produce the observed archaeological record.

3 Identifying cultural reproduction at the intra-site level

Unlike the fierce debate over the learning capacities of early members within the hominin lineage and their material correlates, the research attention in later prehistory has been largely 408 shifted to the inference of social learning strategies and the modes of cultural transmission 400 from stone artifacts. Social learning strategies (SLSs) refer to "flexible rules that specify or bias 410 when or how individuals should use social information, under various circumstances, to meet functional goals."8: See Fig. 1 for a detailed classification scheme As mentioned earlier, this concept and the 412 term transmission bias are usually used in an interchangeable manner, which is directly derived 413 from the pioneering work of Boyd and Richerson.² On the other hand, the mode of cultural transmission (Table 1) is deeply rooted in the research tradition developed by Cavalli-Sforza 415 and Feldman,³ designed for identifying the social relationships between the demonstrators and 416 learners (vertical/horizontal/one-to-many/many-to-one) and predicting the dynamics and pace 417 of cultural evolution under these different channels. It is worth noting that these two dimensions 418 are discussed together here because they are theoretically interlocked and rarely separated in 419 empirical studies using the accumulated copying error (ACE) model, which represents by far the 420 most successful application of CET in archaeological research.

3.1 The accumulated copying error model

422

The central idea of the ACE model is straightforward. It states that small mistakes will be generated during the process of copying another's actions, either because of the imperceptible magnitude of the difference or the physical limits of perfect imitation even given correct perception. Through repeated cultural reproduction processes, these copying errors will result in a noticeable dif-

ference between the original artifact and later replicas. ^{18,19} Following the huge success of CET, the attractiveness of the ACE model lies in the fact that it generates clear predictions that can be tested directly against easily accessible lithic metric data, ensuring its broad adoption in archaeological research. In the meantime, it also suffers from its simplified assumptions on the agency of learners, the role of demography, and its negligence of technological attributes beyond gross morphology (e.g., outline form) as well as the possibility of mixing SLSs.

Many empirical cases within this line of inquiry studied projectile point technologies in North 433 America, which are ideal research subjects of the ACE model because of their morphological 434 stability and representativeness in the prehistory of the Western Hemisphere. Bettinger and 435 Eerkens's^{64,65} pioneering research comparing the regional morphological variation of Rosegate 436 Points (1,350-650 B.P.) between central Nevada and eastern California is among the first at-437 tempts to identify SLSs in lithic assemblages based on CET. It is generally believed that this type 438 of projectile points represents bow-and-arrow technology as opposed to atlatl-and-dart (Elko 439 Corner-notched Point, 3,150-1,350 B.P.) technology, and their minimally overlapping chronolo-440 gies indicate a rapid replacement of the latter with the former and a rather powerful mechanism of cultural transmission. Bettinger and Eerkens found the metric attributes of Rosegate points 442 in central Nevada were highly correlated with each other, which was interpreted as a result of 443 indirect bias in cultural transmission, namely wholesale copying from a single successful or prestigious model. On the other hand, the poor correlations between length, width, thickness, weight, and shoulder angle in eastern California were a product of guided variation, or individual 446 trial-and-error experimentation. A tentative explanation on the regional difference of SLSs given by them is that groups living in east California may have acquired this new bow-and-arrow technology from people with large social distance, "possibly a different linguistic unit occasionally 440 contacted through trade."65: 238 450

Mesoudi and O'Brien^{66,67} further investigated the effects of SLS variation through behavioral experiments and agent-based modeling. In their studies, they asked human participants to modify five attributes (length, width, thickness, shape, and color) of "virtual projectile points" to adapt the changing "virtually hunting environments" under different learning conditions, including copying the most successful, individual learning, and horizontal transmission. For simulated agents, all others being equal, they have also added the strategies of copying at random, copying the majority, as well as copying the average. First and foremost, their simulations did confirm

Bettinger and Eerkens's⁶⁵ results that indirect bias will generate significantly higher correlations between attributes compared with guided variation. More interestingly, they also found that 459 correlations between variables in "model-based" strategies (copying the most successful and 460 copying at random) are generally higher than those in "trait-based strategies (copying the majority 461 and copying the average)," but they doubt if this difference is visible in archaeological records 462 solely based on attribute correlations or measures of variation. Therefore, they proposed that 463 the criterion of fitness should also be included in consideration, meaning the strategy that can help one better survive in the changing environments has the highest possibility of being 465 adopted. Their modeling results suggested that copying the most successful outperforms all 466 other SLSs. Again, due to the difficulty of defining and operationizing the concept of fitness using archaeological data as compared with model or experimental data, this proposal was hardly 468 adopted in subsequent studies. 469

Another research by Eerkens and Bettinger⁶⁸ reflected on the choice of statistics and argued 470 that CV is a more robust statistical technique for measuring morphological variability in the 471 cases of cross-assemblage comparison and assemblages with small sample size. They have also introduced the concept of Weber's fraction from psychology, a threshold of human perception of 473 differences between two visible traits such as length, weight, or area. In particular, two constants 474 in CV were provided as a reference framework of artifact standardization or variability: 1.7% as the highest degree of standardization through human's manual production and 57.7% as generated under the random uniform distribution. A value lower than 1.7% would suggest the use of external 477 aid such as a machine, while a value higher than 57.7% means artifacts within an assemblage 478 are deliberately made to be distinct from each other. It is worthwhile to mention that a simpler 479 version of 3% errors of artifact reproduction is commonly cited, based on which the minimal CV 480 of 1.7% is calculated.⁶⁹ 481

Garvey's⁴⁰ recent analysis of Washita points from the Henderson site (A.D. 1,250-1,350) located in southeastern New Mexico provides a good example of the application of this approach in a well-motivated, context-specific manner of the kind we are advocating here. Based on the extant research on settlement and subsistence patterns of Henderson, especially zooarchaeological data, Garvey argued that bison was central to the local economy and social organization and thus could be related to certain successful hunters' reputational capital, forming the basis of indirect bias. This leads to the prediction that many community members learned projectile

point manufacturing technology from very few models, resulting in low morphological variability. Alternatively, Garvey suggested that the location of Henderson in a boundary zone between 490 Pueblo farmers and mobile hunters of the southern High Plains and Edwards Plateau might promote group-affiliative norms, or within-household vertical transmission. This alternative 492 predicts a higher degree of morphological variability due to a larger pool of models. To test these 493 predictions, Garvey first simulated the copying errors of projectile points under the conditions of 100 households and 4 generations of learning, which are based on the numbers of dwellings and 495 site occupation time (100 years) inferred from radiocarbon data. Three levels of copying errors 496 were simulated based on Weber's fraction (CV=3%, 5%, 10%). The comparison of simulated and 497 archaeological data distribution supported the latter hypothesis of within-household vertical transmission. Like many other studies using the ACE model, its exclusive use of outline form 490 ignores the effect of limited design space and prevents Garvey from exploring many interesting 500 dimensions of technological learning ranging from raw material selection to platform preparation 501 to functional preferences. The reconstruction of these details in skill reproduction requires 502 careful examinations of debitage and debris, which often dominate a given lithic assemblage, and 503 multiple lines of analyses including provenience, use-wear analysis, etc.

There are three limitations within this series of studies identifying the mode or bias of cultural 505 transmission. First, be it correlation coefficient or CV, the analyses presented above depend on 506 the morphometric measurements of formal tools' outline form exclusively. Due to the limited design space of artifact morphology, it is difficult to rule out the possibility of convergence without 508 cultural interactions, which was never raised as a formal hypothesis for testing in studies focusing 500 on projectile points presented above. To address this question, a more holistic approach taking the 510 technological characteristics embodied in debitage into consideration is desired as advocated by 511 Tostevin. Second, a relatively simplistic and static narrative of SLSs was implied in those studies 512 as if learners can only be subject to one type of transmission bias and there are no noisy signals at the population level. It is common that only two distinct SLSs are set up as mutually exclusive 514 hypotheses, such as model-based copying versus guided variation⁶⁵ or model-based copying 515 versus vertical transmission. 40 Realistically, human beings constantly get feedback on learning 516 results and accordingly switch their learning strategies, and individual learning is almost always 517 necessary, ^{13,46} especially for physical skills. It has also been formally shown that the flexibility of 518 decision-making heuristics behind these changes can be highly adaptive in both mathematical 519 models⁴¹ and experiments.⁷⁰ Therefore, the identification of mixed SLSs represents an important

future direction in this field.⁸ Third, as demonstrated by Premo⁷¹ using agent-based modeling, the population size was not but should be considered as a key factor in the interpretation of the 522 coefficient of variation of a given continuous trait like lithic metric attributes, since different 523 combinations of population size and transmission mechanisms can produce the same CV values. 524 More importantly, it points out the issue of equifinality in social and behavioral sciences, meaning 525 the same behavioral pattern can be achieved through different processes and mechanisms. Given 526 the inherently low resolution of archaeological data, it is a salient question in the inference of 527 cultural reproduction that can only be partly reconciled through the methodological pluralisms 528 as we advocated in this piece. Attention to the social reproduction of knapping skill, rather than 529 artifact outline form per se, is one step in this direction.

3.2 The skill level approaches

531

Beyond the CET-informed studies on stone artifacts, there is a long-established research tradition 532 in lithic analysis revolving around the apprenticeship and the evaluation of technical expertise of the knapper.^{26,72} Despite the fact that it is not directly rooted in formal cultural evolutionary 534 models, it has the potential to be incorporated into the EES framework since studies within this 535 pluralistic tradition often emphasizes idiosyncratic and contextualized causes and interactions within a technological system. More specifically, researchers pursuing this line of inquiry develop different frameworks for the identification and measurement of knapping errors and/or 538 standardized morphology. Occasionally, it also attempts to quantify the complexity of certain technologies, varying case by case. Emphasizing the embodiment of technology over the "culture 540 as information" perspective, some recent studies focusing on skill levels managed to reconstruct 541 the learning behaviors of past knappers through situating the close-reading of stone tools in a 542 broader technological system, albeit in an ad-hoc manner.

For instance, the technological analysis of an Acheulo-Yabrudian assemblage in Qesem cave,
Israel, suggested that some cores went through two phases of flake removals.⁷³ The first phase is
characterized by a series of successful blade removals without creating hinges, while the second
phase features hinges, steps, crushing signs as well as short removals. This phenomenon was
interpreted as core sharing, where inexperienced knappers worked on cores previously produced
by those experienced to better acquire the knowledge of stone tool making. It is a rather explicit
form of scaffolding, emphasizing the direct interaction of demonstrator and learner aiming at

facilitating the learning processes of the latter. However, another possible mechanism behind these two-phase cores could be that the difficulty of flake removal significantly increases when the 552 core is reduced to a certain size threshold, leading to frequent failures even for expert knappers. 553

554

556

557

558

559

560

561

563

564

566

567

568

571

572

573

578

579

Castaneda⁷⁴ identified three levels of knapping skills, namely expert, advanced apprentice, and novice, based on a series of criteria on selection and execution errors at a Neolithic flint mine in Spain. Interestingly, multiple cores reflecting high skill level were abandoned for no apparent reasons or long before full exploitation were identified, which were interpreted by the author as a way of demonstrating the early steps of knapping techniques in a digestible manner to novices. Compared with other works using a similar approach, Castaneda's study emphasizes the role of raw material selection in the knowledge system and includes some new standards such as the convexity of the working surface. In the meantime, her approach lacks a clear measurement and quantification system of errors and thereby relies heavily on the analyst's subjective experience. Another major insight of this study is that a flint quarry would be an ideal place to study the reproduction of lithic technology given the relatively sparse distribution of appropriate knapping materials on the landscape and the immense cost of transporting them. This conclusion was confirmed by Goldstein's⁷⁵ knapping error frequency analysis of multiple obsidian blade assemblages from early pastoralist sites in Kenya, where assemblages closer to the quarry show higher error rate as manifested by the greater occurrence of bulb/platform errors, termination errors, blade asymmetry, as well as unusual morphology.

At last, a rather recent effort by Maloney⁷⁶ focusing on the quantification of time investment as a proxy of technological complexity is also worth attention. More specifically, he applied the concept of "procedural unit," defined as "mutually exclusive manufacturing steps that make a distinct contribution to the finished form of a technology" according to Perrault et al., 77: S398 into the analyses of Kimberly Point's reduction sequence as compared with direct percussion point, 574 showing the significant higher time cost in the former technology. Nevertheless, no experimental 575 or ethnographic data were given to justify the time estimation of each procedural unit identified. 576 Drawing upon the classical models of technological organization, Maloney also made a series of predictions on how different combinations of raw materials cost and technological complexity will affect the mechanisms of social learning. First, there will be a positive relationship between the dependence of social learning and technological complexity. When raw material cost is high, the innovation rate is always low. When raw materials cost is low, complex technologies will

possibly generate a higher innovation rate while easy technologies feature low error transfer of knowledge.

As compared with case studies guided by the ACE model, the skill level approaches incorporate more factors such as raw material economy, 74,76 land-use strategies, 75 and various technological 585 components of artifacts. They also avoid the heavy reliance on formal tools as reflected in the former approach, which often account for only a small part of the whole lithic assemblage. 587 Instead, cores^{73,74} and blanks⁷⁵ are often given more attention since they are believed to be more 588 informative in terms of the actual knapping process. Nonetheless, the absence of a standardized 580 framework, at least for the same technological system, calls its replicability and generalizability into question, impeding its wide adoption in large-scale comparative analyses for the study of 591 macroevolutionary processes. To some extent, the contrast between the skill level approaches 592 and the ACE model recapitulates the diverging research interests of EES and CET. 593

4 Identifying cultural reproduction at the inter-site level

594

The identification of cultural reproduction processes at the inter-site level is an exceptionally 595 challenging task as multiple factors need to be carefully analyzed, especially the possibility of 596 convergent evolution that will be discussed in more detail later. Nevertheless, it can generally be dismantled into two scenarios that are not mutually exclusive, namely cultural diffusion (horizontal transmission across space) and demic diffusion (vertical transmission across space). 590 Based on a classical CET-informed study of contemporary cultural variation in Africa, 78 it is generally expected that the similarity in lithic assemblages caused by cultural diffusion should be 601 more distance-dependent, with the assumption that the closest neighboring community should 602 display the highest level of similarity, and vice versa. Conversely, demic diffusion predicts that 603 the variability in stone tools should be correlated to the variability of ethnolinguistic groups. Leaving the rigor of this framework aside for now, it is commonplace to see the data required for inferring the demic diffusion is unavailable in archaeological contexts. In fact, the cultural diffusion hypothesis is often tested again alternative hypotheses like environmental constraint or land-use strategies in archaeological case studies. This fact again points to the complexity of translating insights derived from formal models to real-world empirical studies given the low 609 quality of most archaeological data.⁷⁹

4.1 Cultural and demic diffusion

For instance, Buchanan and Collard¹⁷ analyzed a continent-wide dataset of near-complete Early 612 Paleoindian (ca. 11,500–10,500 B.P.) projectile points using cladistics and tested several competing 613 hypotheses on the formation of inter-assemblage variability of projectile points. First, the site 614 type hypothesis predicts the correlation between projectile point morphology and site function 615 (habitation, butchery, cache). Second, the cultural diffusion hypothesis expects the correlation 616 of projectile point shape with geographic distance as a result of the horizontal transmission of technology among neighboring groups. Third, the environmental adaptation hypothesis posits 618 that similar projectile points will occur in similar environments as a result of adaptation. To 619 test these hypotheses, this study used landmark-based geometric morphometrics to reconstruct different cladograms under various hypotheses, suggesting that the cultural diffusion model is 621 the most parsimonious one. The combination of geometric morphometrics and phylogenetic 622 analyses of projectile points also represent one of the most studied and published topics in the inference of inter-site cultural transmission.^{80,81} However, it inevitably shares some similar 624 limitations as the ACE model in terms of its heavy reliance on formal tool morphological data as 625 we argued earlier. 626

The consequences of spatial proximity on material culture and their implications to reverse 627 inference have always been the central issue of studies on the diffusion of culture. Mackay et al.⁸² adopted a somewhat similar approach when testing the role of cultural diffusion and 620 environmental adaptation in the generation of lithic variability in LSA southernmost Africa. 630 They proposed that the source of innovation should represent the maximum diversity of tool 631 forms, and there should be a negative relationship between the distance of two sites and their cultural similarity. If the environment is the dominant factor, the tool form is expected to track 633 the environmental variation according to the previous point. Drawing on the formal modeling 634 results, ^{20,83} they have also explicitly laid out the expectations of these two hypotheses at the singlesite level. To be more specific, the frequency distribution of a supposedly stone tool innovation 636 across archaeological layers should follow the sigmoid-shaped uptake curves when it is culturally 637 transmitted, featuring a slow initial reception and then a rapid growth until saturation. When 638 this innovation is adaptively neutral, it should follow a "battleship" curve derived from a ceramic drift model. Nonetheless, their work only presents a verbal model and no actual frequency curve 640 data was tested against these formal hypotheses. In addition to the detailed phylogenetic or

general comparative analysis of lithic assemblages across sites, archaeologists have also identified the diffusion of certain technology⁶⁰ and even the possible routes of transmission based on the chronology of its first appearance at certain sites and the distance between these sites, such as Acheulian⁸⁴ and Gravettian,⁸⁵ although it has been debated which modeling method is the most appropriate one in this type of analysis.⁸⁶

A common feature of the case studies presented above is that they are all large-scale syntheses 647 using second-hand data, but it is also possible to infer inter-site cultural reproduction from 648 detailed technologies studies of just a few assemblages. This approach is recently demonstrated 640 in an attempt to reconstruct the potential cultural transmission processes in different temporal 650 and spatial scales based on the 3-D analysis of cores by Valletta et al..⁸⁷ They defined three 651 quantifiable technological indices to identify cultural "lineages" and local learning communities, 652 among which two indices are particularly relevant here in that they reflect different levels of 653 transmission visibility. The first one is core reduction modality, measured as "the ratio between 654 width of the reduction surface and core thickness" and generally operationalized into two types 655 in this case study, namely the narrow-front and the wide-front. It represents the more visible trait that can be inferred from the end-product relatively easily, which is used to infer cultural 657 continuity through time. The second one is longitudinal profile, calculated as "the average angle 658 between the most regular portion of the relative striking platform and different, consecutive 659 portions of the blank scar surface."87: 150-152 This technological trait is argued to be only visible through the knapping actions and thereby indicating more strict contemporaneity and stronger 661 social intimacy of the demonstrators and learners, justifying its use in the identification of 662 different learning communities within a region. This study essentially shares the inner logic 663 of Tostevin's taskscape visibility approach, which includes a series of logically feasible but 664 empirically untested assumptions in terms of the differential visibility of various technological 665 traits. Nevertheless, determining what these traits actually indicate with respect to social intimacy and visibility requires more evidence from ecological valid experiments featuring real-world skills, 667 authentic raw materials, a realistic training period, and a naturalistic pedagogy. e.g., 62 668

The direct contrast between demic diffusion and cultural diffusion has been rarely made in the cases of lithic studies. However, Fort's⁸⁸ analysis of the spread of agriculture in Europe may be illuminating here. In his model, it was hypothesized that cultural diffusion and demic diffusion have differentiated speed in the spread of farming, where the former is slow while the latter is

fast. This is a reasonable hypothesis because it should take a longer time for hunter-gatherers to fully replace their old subsistence practice with farming marked with the long-term payoff 674 and delayed consumption. Meanwhile, farmers can quickly start to grow crops after moving 675 to a new place. It can also be generalized to many other cultural traits due to cultural inertia, 676 referring to a general preference of what already exists within a community and a resistance to 677 technological innovations or novel social relationships. By mapping the early Neolithic sites in Europe and simulating the transmission speed with radiocarbon dates, he suggested that cultural 679 diffusion was at work in Northern Europe, the Alpine region, and west of the Black Sea while 680 demic diffusion can best explain the introduction of farming in Balkans and Central Europe, 681 which was partially confirmed by ancient DNA data. A limitation of this method is that it requires large-scale high-resolution chronological data, making it difficult to be applied in Early and 683 Middle Paleolithic assemblages which are beyond the scope of radiocarbon dating.⁸⁹ 684

Perhaps for this reason there are no strong and direct empirical case studies in demic diffusion of 685 lithic technologies. Nevertheless, demic diffusion has been treated as a self-evident assumption 686 behind some popular narratives of the global dispersal of Anatomically Modern Human (AMH). To give an instance, it has been argued by Mellars⁹⁰ that the similarity between geometric backed 688 artifacts in the Howieson's Poort of South Africa, the Uluzzian culture in Southern Europe, and 680 multiple Late Pleistocene assemblages in South Asia is a result of colonization of Eurasia by 690 AMH around 50,000-45,000 years ago. This specific model of demic diffusion was critiqued by Clarkson and his colleagues, 91 among others. Likewise, there was a debate on whether the 692 presence of overshot flaking in both Solutrean and Clovis can indicate the initial peopling of North 693 American by Upper Paleolithic Europeans. 92,93 Therefore, this is a promising topic for Paleolithic archaeology but confronts the problem of data quality and the lack of empirical valid research 695 design. 696

4.2 Convergence in lithic technology

697

These disputes naturally re-situate convergence as a central issue in the study of diffusion, 94,95 reminding one of the early critiques towards the hyper-diffusionism and hyper-migrationism. Simply put, a similar cultural trait occurring in two different temporal and/or spatial frameworks can be a result of independent innovation instead of information exchange. Such convergence is expected to be common considering the reductive nature and the limited design space of stone

tool technologies. It is commonly assumed that convergence is the most plausible explanation for 703 two similar cultural traits that are extremely distant in time⁹⁶ and/or space.⁹² Another powerful 704 criterion for identifying independent local evolution is the presence of technological continuity 705 within a single archaeological sequence as, for example, in the case of the emerging presence 706 of Levallois products within Late Acheulian contexts at Nor Geghi 1, Armenia. 97 In contrast, 707 sharp technological discontinuities are more consistent with diffusion (demic and/or cultural). 708 Likewise, revealing the functional advantages of certain technologies like backed microliths and 700 the shared environmental pressures can also make a strong case for convergence, following 710 its original meaning in biology. 91 Nevertheless, a note of caution here is that the adaptiveness 711 of certain trait does not necessarily excluded the possibilities of diffusion any more than the possibility of individual re-invention precludes actual reliance on social learning. 44 Ultimately, a 713 broader geographical and chronological context is required for confident interpretation. 714

715

716

718

719

720

721

722

723

724

725

726

728

729

730

731

732

733

It should be also noted that various researchers use the concept to refer to cultural traits in different scales, including a generalized technology such as least effort (cf. "Oldowan") flake production, or a specific technological solution like backing, or the contour of a specific type of tools, which may vary drastically in the probability of being invented independently. To illustrate the issue of scale, a recent case study by Smallwood et al.⁹⁶ tested the hypothesis of convergent evolution of Dalton and Scallorn points, two types of serrated projectile points in central North America using phylogenetics and geometric morphometrics. This study has a rather unique research design as what they tested is a single tool attribute, which could be subject to more intuitively obvious adaptive explanations, rather than more general types of artifacts or reduction technologies. The Dalton point is the first serrated bifacial point in North America (ca. 12,500-11,300 B.P.), while the Scallorn point, a serrated triangular-shaped projectile point, appeared roughly 11,000 years later than the former. A conventional, multi-trait cladistic analysis revealed that these two types have a distant evolutionary relationship despite sharing the particular feature of serration. Accordingly, Smallwood et al. suggested that serration may be an example of convergent technological evolution driven by the functional effectiveness of this trait in effectively causing wound tearing that is useful both for generating blood trails to track smaller and quicker prey and facilitating the butchery of larger prey. Despite sharing a similar tool kit and research subject with several cases studies presented above, this study is distinct in that it focuses on a shared design feature contexualized by calculating the evolutionary distance of more neutral traits. We believe it has the potential of being applied to other regional and temporal contexts

vith minor modifications.

The boundaries between convergence, cultural diffusion, and demic diffusion can sometimes be rather fluid. Just like the deep homology in biological evolution, technological convergence 737 does not occur in a vacuum, meaning it has some deep roots in various cultural, ecological, and social inheritance systems. The product of convergence will in turn assert influence over the cultural reproduction processes. This essentially suggests the comparative nature of the concept of convergence since a technique that is locally evolved and argued to be a result of convergent 741 evolution can itself become an origin point of diffusion in the surrounding area. When the reference point changes, one might need to reconsider the use of convergence in explaining a 743 novel technological phenomenon. Unfortunately it is quite often that archaeologists only care 744 to demonstrate a new trait's independence to earlier contexts but not its potential connections with other contemporaneous or later contexts. Furthermore, it is unreasonable to claim that the similarity/dissimilarity among certain lithic assemblages can be exclusively explained by 747 cultural or demic diffusion. The complexity of assemblage formation requires one to carefully 748 evaluate the importance of various reproduction and non-reproduction factors ranging from paleotopography and land use strategies to population size and structure.

5 Conclusions

751

752

754

755

757

758

761

Inferring cultural reproduction from lithic artifacts is a profoundly challenging task due to the mismatch between the resolution⁷⁹ and estimand²¹ of theoretical model and empirical data, which is partly driven by the taphonomic processes and biases of archaeological sampling. Nonetheless, the successful identification of these obstacles can guide us to develop more appropriate models for archaeological research and better recognize the matching empirical observables informed by middle-range approaches like ethnography and experiments. The very first step here would be acknowledging the complexity of cultural reproduction and moving beyond the paradigm of "culture as information" since information copying as the central mechanism of "transmission" does not accurately capture the actual demands and processes of learning.¹³ This position motivates our terminological choice of reproduction over transmission in the title of this review paper.

At the methodological level, we beleive it is promising to promote the large-scale lab collaboration on ecologically valid experiments of knapping skill reproduction as advocated by the PaST.⁹⁸

Through these large-scale collaborative experiments, we can also compare the learnability, or the chance of being transmitted accurately from demonstrator to learner, of different morphological and technological traits. This solution also entails the need to move beyond morphometrics and develop more process-oriented approaches. To illustrate, the high similarity of flake morphometrics across different reduction sequences, from Acheulean to Clovis point, conducted by Eren et al. 99 probably suggested this is not the most useful method in inferring cultural reproduction. The identification of cultural reproduction processes is intrinsically bound with the measurement of similarity between two lithic assemblages. As presented above, the most common measurement is the outline form of certain types of diagnostic tools, which can be performed in a traditional manner or using geometric morphometrics. Another approach is to dismantle the reduction sequence into different domains such as platform maintenance, dorsal convexities management, elongated blanks production¹ and then make the comparison respectively. The data can be in simple presence/absence or continuous metric form. Both methods can be informative in many contexts. Another way to approach this issue could be the action grammar proposed by Stout et al. 100 With the aid of cutting-edge machine learning techniques, it is possible to perform the inverse inference from end-product to knapping actions and thus compare the structural similarity between assemblages. Combining these measurements, it is possible to increase the resolution of research in the cultural reproduction of lithic technologies. Again, the future of this field lies in the development of more theory-driven and empirically testable models of cultural reproduction, where computation modeling and middle-range approaches such as ethnography and experiment have huge potentials to be explored.

6 Table

765

766

767

768

770

771

772

774

775

777

778

780

781

782

784

Table 1: Models of cultural transmission. 6: 923

Features Modes	Vertical	Horizontal	One-to-many	Concerted or
	transmis-	transmis-		many to one
	sion	sion		
Transmitter	Parent(s)	Unrelated	Teacher/	Older members of
			leader/ media	social group

Features Modes	Vertical transmis- sion	Horizontal transmis- sion	One-to-many	Concerted or many to one
Transmittee	Child	Unrelated	Pupils/ citizens/ audience	Younger members of social group
Acceptance of innovation	Intermediate difficulty	Easy	Easy	Very difficult
Variation between individuals within population	High	Can be high	Low	Lowest
Variation between groups Cultural evolution	High Slow	Can be high Can be rapid	Can be high Most rapid	Smallest Most conservative

786 References

- ⁷⁸⁷ **1** Tostevin GB. 2012. Seeing lithics: A middle-range theory for testing for cultural transmission in the pleistocene. Oxford: Oxbow Books.
- 2 Boyd R, Richerson PJ. 1985. Culture and the Evolutionary Process. Chicago, IL: University of
 Chicago Press.
- 3 Cavalli-Sforza LL, Feldman MW. 1981. Cultural Transmission and Evolution: A Quantitative
 Approach. Princeton, NJ: Princeton University Press.
- ⁷⁹³ **4** Whiten A, Ham R. 1992. On the Nature and Evolution of Imitation in the Animal Kingdom:
- Reappraisal of a Century of Research. In: Slater PJB et al., editors. Academic Press. p 239–283.
- ₇₉₅ **5** Tomasello M et al. 1993. Cultural learning. Behavioral and Brain Sciences 16:495–511.
- 6 Hewlett BS, Cavalli-Sforza LL. 1986. Cultural Transmission Among Aka Pygmies. American
 Anthropologist 88:922–934.
- 7 Riede F et al. 2019. Reconciling material cultures in archaeology with genetic data requires robust cultural evolutionary taxonomies. Palgrave Communications 5:1–9.

- 800 **8** Kendal RL et al. 2018. Social Learning Strategies: Bridge-Building between Fields. Trends in Cognitive Sciences 22:651–665.
- 9 Boyd R et al. 2011. The cultural niche: Why social learning is essential for human adaptation.
- Proceedings of the National Academy of Sciences 108:10918–10925.
- 10 Henrich J. 2015. The Secret of Our Success: How Culture Is Driving Human Evolution, Domes-
- ticating Our Species, and Making Us Smarter. Princeton, NJ: Princeton University Press.
- 806 11 Mesoudi A. 2021. Cultural selection and biased transformation: two dynamics of cultural evo-
- lution. Philosophical Transactions of the Royal Society B: Biological Sciences 376:rstb.2020.0053,
- 808 20200053.
- 12 Winterhalder B, Smith EA. 2000. Analyzing adaptive strategies: Human behavioral ecology at
- twenty-five. Evolutionary Anthropology: Issues, News, and Reviews 9:51–72.
- 13 Stout D. 2021. The cognitive science of technology. Trends in Cognitive Sciences 25:964–977.
- 14 Mesoudi A, Thornton A. 2018. What is cumulative cultural evolution? Proceedings of the Royal
- Society B: Biological Sciences 285:20180712.
- 15 Heyes C. 2018. Cognitive gadgets: The cultural evolution of thinking. Cambridge, MA: Harvard
- 815 University Press.
- 816 Laland KN. 2017. Darwin's Unfinished Symphony: How Culture Made the Human Mind.
- Princeton, NJ: Princeton University Press.
- 17 Buchanan B, Collard M. 2007. Investigating the peopling of North America through cladistic
- analyses of Early Paleoindian projectile points. Journal of Anthropological Archaeology 26:366–
- 820 393.
- 18 Eerkens JW, Lipo CP. 2005. Cultural transmission, copying errors, and the generation of varia-
- tion in material culture and the archaeological record. Journal of Anthropological Archaeology
- 823 24:316–334.
- 19 Eerkens JW, Lipo CP. 2007. Cultural transmission theory and the archaeological record: Pro-
- viding context to understanding variation and temporal changes in material culture. Journal of
- 826 Archaeological Research 15:239274.
- 20 Neiman FD. 1995. Stylistic variation in evolutionary perspective: Inferences from decorative

- diversity and interassemblage distance in illinois woodland ceramic assemblages. American
 Antiquity 60:7–36.
- 21 Derex M, Mesoudi A. 2020. Cumulative Cultural Evolution within Evolving Population Structures. Trends in Cognitive Sciences 24:654–667.
- **22** Richerson PJ, Boyd R. 2005. Not By Genes Alone: How Culture Transformed Human Evolution.
- 833 Chicago, IL: University of Chicago Press.
- 23 Maynard Smith J. 2000. The concept of information in biology. Philosophy of Science 67:177–
 194.
- 24 d'Andrade RG. 1984. Cultural meaning systems. In: Adams RM et al., editors. Washington, DC:
 The National Academies Press. p 197–236.
- 25 Bourdieu P. 1977. Outline of a Theory of Practice. Cambridge: Cambridge University Press.
- 26 Apel J. 2001. Daggers, knowledge & power: The social aspects of flint-dagger technology in
 Scandinavia 2350-1500 cal BC. Uppsala: Uppsala University Dept. of Archaeology & Ancient
 History.
- 27 Laland KN et al. 2015. The extended evolutionary synthesis: Its structure, assumptions and
 predictions. Proceedings of the Royal Society B: Biological Sciences 282:20151019.
- 28 Režek Ž et al. 2018. Two million years of flaking stone and the evolutionary efficiency of stone tool technology. Nature Ecology & Evolution 2:628–633.
- 29 Roux V. 2009. Technological Innovations and Developmental Trajectories: Social Factors as
 Evolutionary Forces. In: O'Brien MJ, Shennan SJ, editors. Cambridge, MA: The MIT Press.
- 30 Kolodny O et al. 2015. Evolution in leaps: The punctuated accumulation and loss of cultural innovations. Proceedings of the National Academy of Sciences 112:E6762–E6769.
- 31 Kolodny O et al. 2016. Game-Changing Innovations: How Culture Can Change the Parameters of Its Own Evolution and Induce Abrupt Cultural Shifts. PLOS Computational Biology 12:e1005302.
- 32 Mesoudi A et al. 2013. The Cultural Evolution of Technology and Science. In: Richerson PJ,
 Christiansen MH, editors. Cambridge, MA: The MIT Press.

- 855 33 Fragaszy DM et al. 2013. The fourth dimension of tool use: Temporally enduring artefacts
- aid primates learning to use tools. Philosophical Transactions of the Royal Society B: Biological
- 857 Sciences 368:20120410.
- 34 Lew-Levy S et al. 2020. Where innovations flourish: An ethnographic and archaeological
- overview of huntergatherer learning contexts. Evolutionary Human Sciences 2:e31.
- 35 Mesoudi A, O'Brien MJ. 2008. The Learning and Transmission of Hierarchical Cultural Recipes.
- Biological Theory 3:63–72.
- 36 Welch JJ. 2017. What's wrong with evolutionary biology? Biology & Philosophy 32:263–279.
- 37 Ingold T. 1993. The temporality of the landscape. World Archaeology 25:152–174.
- 38 Scott-Phillips T et al. 2018. Four misunderstandings about cultural attraction. Evolutionary
- Anthropology: Issues, News, and Reviews 27:162–173.
- 866 **39** Acerbi A et al. 2021. Culture without copying or selection. Evolutionary Human Sciences 3.
- 40 Garvey R. 2018. Current and potential roles of archaeology in the development of cultural
- evolutionary theory. Philosophical Transactions of the Royal Society B: Biological Sciences
- 869 373:20170057.
- 41 Boyd R, Richerson PJ. 1996. Why Culture is Common, but Cultural Evolution is Rare. In:
- Runciman WG et al., editors. Oxford: Oxford University Press. p 77–93.
- 42 Tennie C et al. 2009. Ratcheting up the ratchet: On the evolution of cumulative culture.
- Philosophical Transactions of the Royal Society B: Biological Sciences 364:2405–2415.
- 43 Tennie C et al. 2017. Early stone tools and cultural transmission: Resetting the null hypothesis.
- 875 Current Anthropology 58:652–672.
- 44 Stout D et al. 2019. Archaeology and the origins of human cumulative culture: A case study
- from the earliest oldowan at gona, ethiopia. Current Anthropology 60:309–340.
- 45 Stout D, Hecht EE. 2017. Evolutionary neuroscience of cumulative culture. Proceedings of the
- National Academy of Sciences 114:7861–7868.
- 880 **46** Truskanov N, Prat Y. 2018. Cultural transmission in an ever-changing world: Trial-and-error
- copying may be more robust than precise imitation. Philosophical Transactions of the Royal
- 882 Society B: Biological Sciences 373:20170050.

- 47 Caldwell CA. 2020. Using experimental research designs to explore the scope of cumulative culture in humans and other animals. Topics in Cognitive Science 12:673–689.
- 48 Shipton C, Nielsen M. 2015. Before cumulative culture: The evolutionary origins of overimita tion and shared intentionality. Human Nature 26:331–345.
- 49 Morgan TJH et al. 2015. Experimental evidence for the co-evolution of hominin tool-making
 teaching and language. Nature Communications 6:6029.
- 50 Tennie C et al. 2020. The zone of latent solutions and its relevance to understanding ape cultures. Biology & Philosophy 35:55.
- **51** Heyes C. 2021. Imitation. Current Biology 31:R228–R232.
- 52 Nonaka T et al. 2010. How do stone knappers predict and control the outcome of flaking? Implications for understanding early stone tool technology. Journal of Human Evolution 59:155–

 167.
- 53 Kline MA. 2015. How to learn about teaching: An evolutionary framework for the study of teaching behavior in humans and other animals. Behavioral and Brain Sciences 38:e31.
- 54 Boyette AH, Hewlett BS. 2018. Teaching in Hunter-Gatherers. Review of Philosophy and
 Psychology 9:771–797.
- 55 Stout D. 2002. Skill and cognition in stone tool production: An ethnographic case study from
 irian jaya. Current Anthropology 43:693–722.
- 56 Dean LG et al. 2014. Human cumulative culture: a comparative perspective. Biological Reviews
 of the Cambridge Philosophical Society 89:284–301.
- 57 Athreya S, Ackermann RR. 2019. Colonialism and narratives of human origins in asia and
 africa. In: Porr M, Matthews JM, editors. London; New York: Routledge. p 72–95.
- 58 Corbey R et al. 2016. The acheulean handaxe: More like a bird's song than a beatles' tune?
 Evolutionary Anthropology: Issues, News, and Reviews 25:6–19.
- 59 Vaesen K, Houkes W. 2021. Is human culture cumulative? Current Anthropology 62:218–238.
- 60 Lycett SJ et al. 2016. Factors affecting Acheulean handaxe variation: Experimental insights, mi croevolutionary processes, and macroevolutionary outcomes. Quaternary International 411:386–
 401.

- 61 Antón SC et al. 2014. Evolution of early homo: An integrated biological perspective. Science
 345:1236828.
- 62 Pargeter J et al. 2020. Knowledge vs. know-how? Dissecting the foundations of stone knapping
 skill. Journal of Human Evolution 145:102807.
- 63 Karakostis FA et al. 2021. Biomechanics of the human thumb and the evolution of dexterity.
 Current Biology 31:1317–1325.e8.
- 64 Bettinger RL, Eerkens JW. 1997. Evolutionary Implications of Metrical Variation in Great Basin
 Projectile Points. Archeological Papers of the American Anthropological Association 7:177–191.
- 65 Bettinger RL, Eerkens JW. 1999. Point typologies, cultural transmission, and the spread of
 bow-and-arrow technology in the prehistoric great basin. American antiquity 64:231242.
- 66 Mesoudi A, O'Brien MJ. 2008. The cultural transmission of Great Basin projectile-point tech nology I: An experimental simulation. American Antiquity 73:3–28.
- 67 Mesoudi A, O'Brien MJ. 2008. The cultural transmission of Great Basin projectile-point tech nology II: An agent-based computer simulation. American Antiquity 73:627–644.
- 68 Eerkens JW, Bettinger RL. 2001. Techniques for Assessing Standardization in Artifact Assemblages: Can We Scale Material Variability? American Antiquity 66:493–504.
- 927 **69** Eerkens Jelmer W. 2000. Practice makes within 5. Current Anthropology 41:663–668.
- 70 Kameda T, Nakanishi D. 2003. Does social/cultural learning increase human adaptability?:
 Rogers's question revisited. Evolution and Human Behavior 24:242–260.
- 71 Premo LS. 2021. Population Size Limits the Coefficient of Variation in Continuous Traits Affected by Proportional Copying Error (and Why This Matters for Studying Cultural Transmission).
 Journal of Archaeological Method and Theory 28:512–534.
- 72 Klaric L, editor. 2018. The prehistoric apprentice: Investigating apprenticeship, know-how
 and expertise in prehistoric technologies. Brno: The Czech Academy of Sciences, Institute of
 Archaeology.
- 73 Assaf E et al. 2016. Knowledge transmission and apprentice flint-knappers in the Acheulo-Yabrudian: A case study from Qesem Cave, Israel. Quaternary International 398:70–85.

- ⁹³⁸ **74** Castañeda N. 2018. Apprenticeship in early neolithic societies: The transmission of technolog-
- ical knowledge at the flint mine of casa montero (madrid, spain), ca. 53005200 cal BC. Current
- 940 Anthropology 59:716–740.
- 941 **75** Goldstein ST. 2019. Knowledge Transmission Through the Lens of Lithic Production: a Case
- 942 Study from the Pastoral Neolithic of Southern Kenya. Journal of Archaeological Method and
- 943 Theory 26:679-713.
- ⁹⁴⁴ **76** Maloney TR. 2019. Towards quantifying teaching and learning in prehistory using stone artifact
- reduction sequences. Lithic Technology 44:36–51.
- 77 Perreault C et al. 2013. Measuring the complexity of lithic technology. Current Anthropology
- 947 54:S397-S406.
- ⁹⁴⁸ **78** Guglielmino CR et al. 1995. Cultural variation in Africa: role of mechanisms of transmission
- and adaptation. Proceedings of the National Academy of Sciences 92:7585–7589.
- 950 **79** Perreault C. 2019. The Quality of the Archaeological Record. Chicago, IL: University of Chicago
- 951 Press.
- 80 O'Brien MJ, Buchanan B. 2017. Cultural learning and the Clovis colonization of North America.
- Evolutionary Anthropology: Issues, News, and Reviews 26:270–284.
- 954 **81** Matzig DN et al. 2021. Design Space Constraints and the Cultural Taxonomy of European
- 955 Final Palaeolithic Large Tanged Points: A Comparison of Typological, Landmark-Based and
- 956 Whole-Outline Geometric Morphometric Approaches. Journal of Paleolithic Archaeology 4:27.
- 957 **82** Mackay A et al. 2014. Coalescence and fragmentation in the late Pleistocene archaeology of
- 958 southernmost Africa. Journal of Human Evolution 72:26–51.
- 959 **83** Henrich J. 2001. Cultural Transmission and the Diffusion of Innovations: Adoption Dynamics
- Indicate That Biased Cultural Transmission Is the Predominate Force in Behavioral Change.
- 961 American Anthropologist 103:992–1013.
- 962 84 Shipton C. 2020. The Unity of Acheulean Culture. In: Groucutt HS, editor. Cham: Springer
- 963 International Publishing. p 13–27.
- 85 Bicho N et al. 2017. Early Upper Paleolithic colonization across Europe: Time and mode of the
- 965 Gravettian diffusion. PLOS ONE 12:e0178506.

- 86 Reynolds N, Green C. 2019. Spatiotemporal modelling of radiocarbon dates using linear
- 967 regression does not indicate a vector of demic dispersal associated with the earliest Gravettian
- assemblages in Europe. Journal of Archaeological Science: Reports 27:101958.
- 969 87 Valletta F et al. 2021. Identifying Local Learning Communities During the Terminal Palaeolithic
- in the Southern Levant: Multi-scale 3-D Analysis of Flint Cores. Journal of Computer Applications
- in Archaeology 4:145168.
- 972 88 Fort J. 2012. Synthesis between demic and cultural diffusion in the Neolithic transition in
- ⁹⁷³ Europe. Proceedings of the National Academy of Sciences 109:18669–18673.
- 89 MacDonald K et al. 2021. Middle Pleistocene fire use: The first signal of widespread cultural
- of the National Academy of Sciences 118.
- 976 90 Mellars P. 2006. Going East: New Genetic and Archaeological Perspectives on the Modern
- 977 Human Colonization of Eurasia. Science 313:796–800.
- 91 Clarkson C et al. 2018. Small, Sharp, and Standardized: Global Convergence in Backed-
- 979 Microlith Technology. In: O'Brien MJ et al., editors. Cambridge, MA: The MIT Press. p 175–200.
- 980 **92** O'Brien MJ et al. 2014. On thin ice: Problems with Stanford and Bradley's proposed Solutrean
- colonisation of North America. Antiquity 88:606–613.
- 982 93 Stanford DJ, Bradley BA. 2012. Across Atlantic Ice: The Origin of America's Clovis Culture.
- 983 University of California Press.
- 984 O'Brien MJ et al., editors. 2018. Convergent Evolution in Stone-Tool Technology. Cambridge,
- 985 MA: MIT Press.
- 986 95 Groucutt HS, editor. 2020. Culture History and Convergent Evolution: Can We Detect Popula-
- tions in Prehistory? Cham: Springer International Publishing.
- 988 96 Smallwood AM et al. 2018. The Convergent Evolution of Serrated Points on the Southern
- PlainsWoodland Border of Central North America. In: O'Brien MJ et al., editors. Cambridge, MA:
- 990 The MIT Press. p 203–228.
- 991 97 Adler DS et al. 2014. Early Levallois technology and the Lower to Middle Paleolithic transition
- 992 in the Southern Caucasus. Science 345:1609–1613.
- 98 Ranhorn KL et al. 2020. Investigating the evolution of human social learning through col-

- laborative experimental archaeology. Evolutionary Anthropology: Issues, News, and Reviews
 29:53–55.
- 996 **99** Eren MI et al. 2018. Why Convergence Should Be a Potential Hypothesis for the Emergence
- ⁹⁹⁷ and Occurrence of Stone-Tool Form and Production Processes: An Illustration Using Replication.
- In: O'Brien MJ et al., editors. Cambridge, MA: The MIT Press. p 61–72.
- ⁹⁹⁹ **100** Stout D et al. 2021. The measurement, evolution, and neural representation of action grammars of human behavior. Scientific Reports 11:13720.