

¹ Imposed form in the Early Acheulean? Evidence from Gona, ² Afar, Ethiopia

Dietrich Stout* Cheng Liu† Antoine Muller‡ Michael J. Rogers§
Silesh Semaw¶

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*Department of Anthropology, Emory University, Atlanta, GA, USA; dwstout@emory.edu

[†]Department of Anthropology, Emory University, Atlanta, GA, USA; raylc1996@outlook.com

[‡]Institute of Archaeology, Mount Scopus, The Hebrew University of Jerusalem, Jerusalem, Israel; antoine.muller@mail.huji.ac.il

[§]Department of Anthropology, Southern Connecticut State University, New Haven, CT, USA; rogersml@southernct.edu

¹Centro Nacional de Investigación sobre la Evolución Humana (CENIEH), Burgos, Spain; sileshi.semaw@cenieh.es

26 **1 Introduction**

27 The imposition of intended form on artifacts has long been viewed as a watershed in human
28 cognitive and cultural evolution and is most commonly associated with the emergence of “Large
29 Cutting Tools” (LCTs) in the Early Acheulean (Holloway, 1969; Isaac, 1976; Kuhn, 2021). However,
30 this interpretation of Acheulean LCTs as intentionally designed artifacts remains controversial.
31 Alternative proposals range from the possibility that LCTs were unintended by-products of flake
32 production (Moore & Perston, 2016; Noble & Davidson, 1996) to the suggestion that their form
33 was “at least partly under genetic control” (Corbey et al., 2016). Even accepting that LCT form
34 was to some extent intended, there is substantial disagreement over the specificity of design.
35 Some analyses have indicated that shape variation in Acheulean handaxes is largely a result
36 of resharpening (Iovita & McPherron, 2011; McPherron, 2000) whereas others find form to be
37 unrelated to reduction intensity and more likely to reflect normative expectations of what han-
38 daxes should look like (García-Medrano et al., 2019; Shipton & Clarkson, 2015b; Shipton & White,
39 2020). Such debates about shape of Acheulean LCTs may appear narrowly technical but have
40 broad relevance for evolutionary questions including the origins of human culture (Corbey et
41 al., 2016; Shipton & Clarkson, 2015b; Tennie et al., 2017), language (Stout & Chaminade, 2012),
42 teaching (Gärdenfors & Höglberg, 2017), brain structure (Erin E. Hecht et al., 2015), and cognition
43 (Stout et al., 2015; Wynn & Coolidge, 2016). To examine these questions, we studied the complete
44 collection of Early Acheulean flaked pieces from 5 sites at Gona Project Area and compared them
45 with Oldowan cores from 2 published sites at Gona. By comparing shape variation to measures of
46 flaking intensity and patterning, we sought to identify technological patterns that might reveal
47 intent.

48 **1.1 Identifying design**

49 There is a broad consensus that refined handaxes and cleavers from the later Acheulean resulted
50 from procedurally elaborate, skill intensive, and socially learned production strategies (Caruana,
51 2020; García-Medrano et al., 2019; Moore, 2020; Sharon, 2009; Shipton, 2019; Stout et al., 2014)
52 although debate over the presence of explicit, culturally transmitted shape preferences continues
53 (Iovita & McPherron, 2011; Moore, 2020; Shipton & White, 2020; Wynn & Gowlett, 2018). There is
54 much less agreement regarding the less heavily worked and formally standardized LCTs typical
55 of the earliest Acheulean (Beyene et al., 2013; Diez-Martín et al., 2015; Lepre et al., 2011; Semaw

56 et al., 2018; Torre & Mora, 2018). Such forms continue to occur with variable frequency in later
57 time periods (McNabb & Cole, 2015), and may be especially prevalent in eastern Asia (Li et al.,
58 2021). Although formal types have been recognized in the Early Acheulean and are commonly
59 used to describe assemblages, many workers now see a continuum of morphological variation
60 (Duke et al., 2021; Kuhn, 2021; Presnyakova et al., 2018) including the possibility that simple
61 flake production remained an important (Shea, 2010) or even primary (Moore & Perston, 2016)
62 purpose of Early Acheulean large core reduction.

63 Typologically, LCTs are differentiated from Mode 1 pebble cores on the basis of size (>10cm) and
64 shape (elongation and flattening) (e.g., Isaac, 1977). This consistent production of large, flat, and
65 elongated cores in the Achuelean has long been thought to reflect the pursuit of desired functional
66 and ergonomic properties for hand-held cutting tools (Wynn & Gowlett, 2018). Unplanned
67 flaking can sometimes produce cores that fall into the LCT shape range (Moore & Perston, 2016)
68 and this is one possible explanation of the relatively small “probifaces” that occur in low
69 frequencies in Oldowan assemblages (Isaac & Isaac, 1997). However, the Early Acheulean is
70 clearly distinguished from the Oldowan by the production of larger artifacts necessitating the
71 procurement and exploitation of larger raw material clasts. Although studies of handaxe variation
72 often focus on shape rather than size, this shift is an important aspect of artifact design with
73 relevance to both production and function.

74 Production of larger tools was accomplished either through a novel process of detaching and
75 working Large Flake Blanks (LFBs) from boulder cores or simply by using larger cobble and slab
76 cores (Isaac, 1969; Semaw et al., 2018; Torre & Mora, 2018). Both may involve similar flaking
77 “strategies” (e.g., bifacial or multifacial exploitation) to those present in the Oldowan (Duke et al.,
78 2021) but require more forceful percussion to detach larger flakes. This increases the perceptual
79 motor difficulty of the task (Stout, 2002) and in many cases may have been accomplished using
80 different percussive techniques and supports (Semaw et al., 2009). These new challenges would
81 have increased raw material procurement (Shea, 2010) and learning costs (Pargeter et al., 2019) as
82 well as the risk of serious injury (Gala et al., 2023) associated with tool production. This strongly
83 implies intentional pursuit of offsetting functional benefits related to size increase. These likely
84 included tool ergonomics and performance (Key & Lycett, 2017) as well as flake generation,
85 resharpening, and reuse potential (Shea, 2010). Early Acheulean LCT production is thus widely
86 seen as a part of shifting hominin behavioral ecological strategies including novel resources and

87 mobility patterns (Linares Matás & Yravedra, 2021; Rogers et al., 1994).

88 The degree of intentional design reflected in the shape of Early Acheulean LCTs is more difficult to
89 determine. For example, LFB production using a simple “least effort” bifacial/discoidal strategy
90 will tend to generate predominantly elongated (side or end struck) flakes (Toth, 1982) whether
91 or not this is an intentional design target. Similarly, the difficulty of flaking relatively spherical
92 cobbles (Toth, 1982) might bias initial clast selection and subsequent reduction toward flat and
93 elongated shapes even in the absence of explicit design targets. On the other hand, it has been
94 argued that the shape of Early Acheulean LFBs was intentionally predetermined using core
95 preparation techniques (Torre & Mora, 2018) and many researchers perceive efforts at intentional
96 shaping in the organization of flake scars on Early Acheulean handaxes and picks (Beyene et
97 al., 2013; Diez-Martín et al., 2015; Duke et al., 2021; Lepre et al., 2011; Semaw et al., 2009; Torre
98 & Mora, 2018). To date, however, the identification of Early Acheulean shaping has generally
99 relied on qualitative assessment by lithic analysts. Such assessment may in fact be reliable, but is
100 subject to concerns about potential selectivity, bias, and/or overinterpretation (Davidson, 2002;
101 Moore & Perston, 2016). Notably, a 3-dimensional morphometric (3DGM) study by Presnyakova,
102 et al. (2018) concluded that LCT shape variation in the Okote Member (~1.4 mya) at Koobi Fora
103 was largely driven by reduction intensity rather than different knapping strategies. However, this
104 study did not directly address the presence/absence of design targets constraining the observed
105 range of variation.

106 In later Acheulean contexts, reduction intensity effects are commonly equated with resharpening
107 and seen as an alternative to intentional form imposition (McPherron, 2000). Across heavily-
108 worked and relatively standardized LCT assemblages (e.g., Shipton & White, 2020), a *lack* of asso-
109 ciation between morphology and reduction intensity has been used as an argument-by-elimination
110 for the presence of imposed morphological norms or “mental templates” (García-Medrano et
111 al., 2019; Shipton et al., 2023; Shipton & Clarkson, 2015b). However, in the less heavily-worked
112 and more heterogeneous assemblages typical of the early Acheulean (Kuhn, 2021), it is equally
113 plausible that increasing reduction intensity would reflect degree of primary reduction rather
114 than subsequent resharpening (Archer & Braun, 2010). In this case, reduction intensity effects
115 on morphology would have the opposite interpretation: more reduction should result in closer
116 approximation of a desired form if such were present. For example, Beyene, et al. (2013) found
117 that increasing flake scar counts were associated with increasing handaxe refinement through

¹¹⁸ time at Konso, Ethiopia, which may reflect a more general trend in the African Acheulean ([Shipton, 2018](#)).¹¹⁹

¹²⁰ Interpretive approaches address this quandary by “reading” the organization of scars on individual pieces to infer intent, but an adequate method to objectively quantify these insights has yet to be developed. Current measures of reduction intensity, such as the scar density index (SDI) ([Clarkson, 2013](#)), are designed to estimate total mass removed from a core and are reasonably effective ([Lombao et al., 2023](#)). However, mass removal was not the objective of Paleolithic flaking. Indeed, knapping efficiency is usually conceived as generating an outcome while *minimizing* required mass removal. This is true whether the desired outcome is a useful flake, a rejuvenated edge, or a particular core morphology. In simple flake production, mass removed is probably a good reflection of the completeness of exploitation (“exhaustion”) of cores and may have implications for required skill ([Pargeter et al., 2023; Toth, 1982](#)) as well as raw material economy ([Reeves et al., 2021; Shick, 1987](#)). However, in core shaping and resharpening, mass removal would typically represent an energetic and raw material cost to be minimized, and might even interfere with function ([Key, 2019](#)). Without further information, relationships between artifact shape and reduction intensity are thus open to conflicting interpretations as evidence of intentional design or its absence.¹³⁴

¹³⁵ Li, et al. ([2015](#)) proposed a Flaked Area Index (FAI) as an alternative to SDI as a measure of reduction intensity, arguing that its validity is supported by an observed correlation ($r=0.424$) with SDI. However, they also explain that “flaked area does not necessarily relate to the number of flake scars...a small number of large scars can produce a large area of scar coverage, and conversely, a large number of small scars can produce a small area of scar coverage.” (p. 6). We suggest that what FAI actually captures is the spatial extent modifications to the surface of a core. It is thus complementary to the measure of volume reduction provided by SDI and provides additional information to inform technological interpretations. For example, a correlation between FAI and artifact form without any effect of SDI would suggest a focus on “least-effort” shape imposition whereas the opposite pattern would be consistent with relatively intense resharpening of spatially restricted areas on the core. A lack of shape correlation with either measure would be expected for simple debitage with no morphological targets whereas a strong correlation with both would indicate a highly “designed” form achieved through extensive morphological and volumetric transformation. In the current study we thus considered SDI and FAI together in order to evaluate¹⁴⁸

¹⁴⁹ evidence of intentional shaping in the early Acheulean of Gona.

¹⁵⁰ **1.2 Measuring artifact form and modification**

¹⁵¹ Three-dimensional scanning and geometric morphometric (3DGM) methods are increasingly
¹⁵² common in the study of LCT form and reduction intensity ([Archer & Braun, 2010](#); [Caruana, 2020](#);
¹⁵³ [Li et al., 2015, 2021](#); [Lycett et al., 2006](#); [Presnyakova et al., 2018](#); [Shipton & Clarkson, 2015b](#)).
¹⁵⁴ These methods provide high-resolution, coordinate-based descriptions of artifact form including
¹⁵⁵ detailed information about whole object geometric relations that is not captured by conventional
¹⁵⁶ linear measures ([Shott & Trail, 2010](#)). This includes measures of surface area used to compute
¹⁵⁷ both SDI and FAI measures ([Clarkson, 2013](#); [Li et al., 2015](#)). At the time of writing, however, 3D
¹⁵⁸ scans are available for only a small number of Gona artifacts, including 33 of the Oldowan and
¹⁵⁹ Acheulean flaked pieces used in this study ([Figure 1](#)). Despite continuing improvements, 3DGM
¹⁶⁰ methods still impose additional costs in terms of data collection and processing time as well as
¹⁶¹ required equipment, software, and training. Importantly, 3DGM methods cannot be applied to
¹⁶² pre-existing photographic and metric data sets (e.g., [Marshall et al., 2002](#)), including available
¹⁶³ data from Gona. For this reason, and to better understand the relative costs and benefits of
¹⁶⁴ 3DGM more generally, we sought to test the degree to which conventional measurements can
¹⁶⁵ approximate 3DGM methods and produce reliable results by directly comparing our conventional
¹⁶⁶ measures with 3DGM analysis of the 33 available scans.

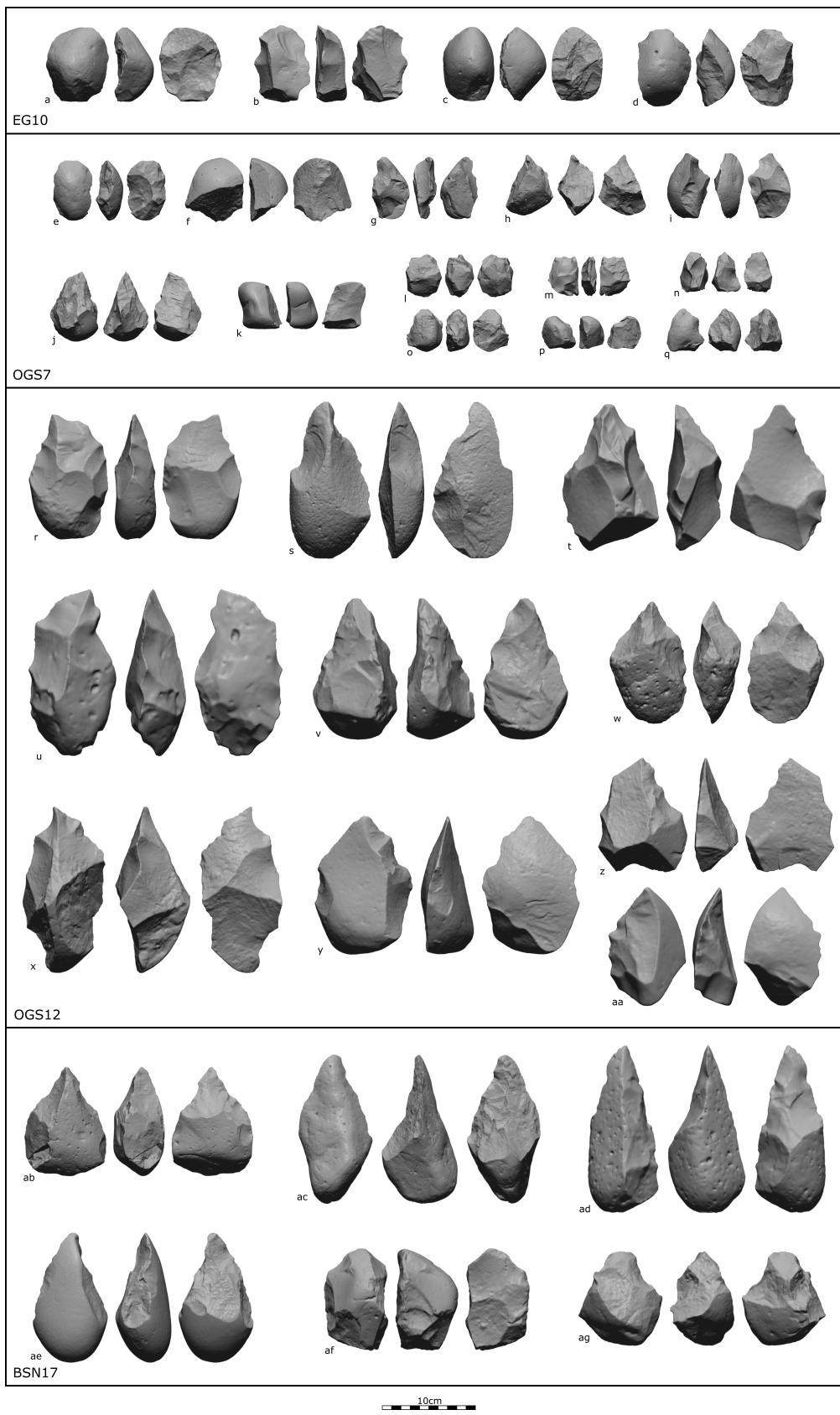


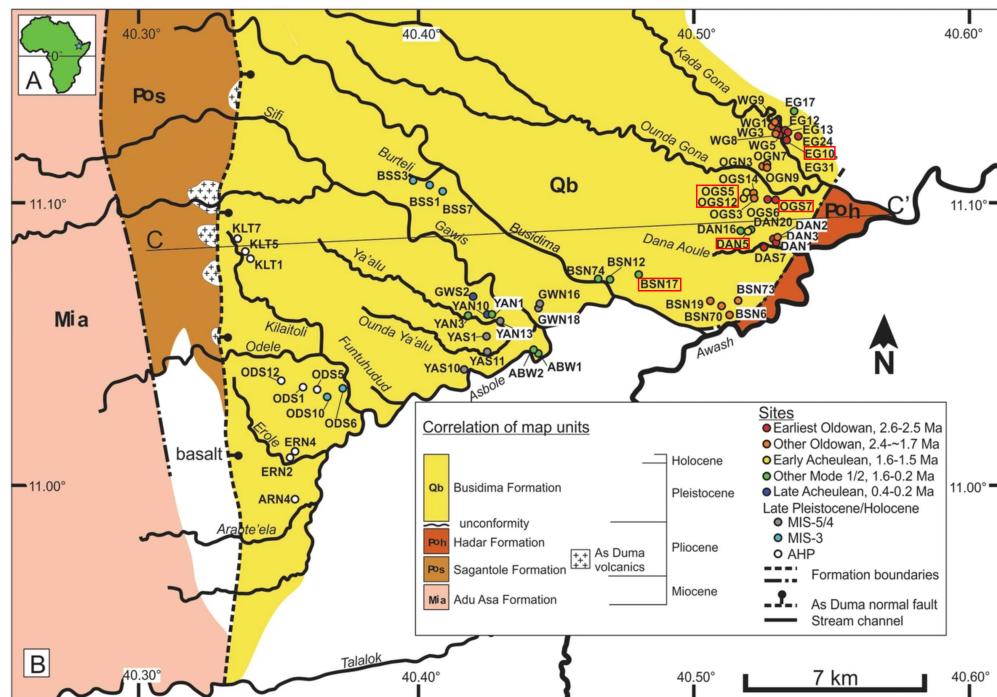
Figure 1: 3D Artifact scans from Gona used in this study.

167 For our study, we are specifically concerned with the accurate description of morphological
168 variation and estimation of artifact surface and flaked areas. With respect to morphology, we
169 were encouraged by the fact that aspects of form variation relevant to our research question (i.e.,
170 core elongation, flattening, and pointedness) are relatively simple to describe using sparse data.
171 3DGM studies of LCTs collect vast amounts of shape data but may discard upward of 50% of the
172 observed variation in order to focus on two or three interpretable principal components. Across
173 studies, these PCs consistently correspond to basic features like elongation, relative thickness,
174 pointedness, and position of maximum thickness that also emerge from lower-resolution spatial
175 data (Archer & Braun, 2010; García-Medrano et al., 2019; Lycett et al., 2006) and studies employing
176 linear measures rather than spatial coordinates (Crompton & Gowlett, 1993; Pargeter et al., 2019).
177 There is less evidence that conventional methods can accurately estimate artifact surface and
178 flaked areas. Clarkson (2013) advocated the use of 3D surface area measures as more accurate
179 than estimation from linear measures (e.g., surface area of a rectangular prism defined by artifact
180 dimensions). However, he also found that the error introduced by the linear approach was a
181 highly systematic, isometric overestimation of surface area and that results correlated with direct
182 3D measures with an impressive $r^2 = 0.944$ and no effect of variation in core shape. Insofar as
183 it is variation in the relationship between surface area and flaking intensity that is of interest,
184 rather than absolute artifact size, such systematic overestimation may not be problematic. Similar
185 concerns apply to the estimation of flaked area. Traditionally, such estimates have been done “by
186 eye” as a percentage of the total artifact surface (e.g., Dibble et al., 2005). Such estimations have
187 been found to be reasonably accurate when compared to 3D methods, but with the potential for
188 substantial error on individual artifacts (Lin et al., 2010). The accuracy of by-eye estimation has
189 yet to be systematically studied in Early Stone Age cores like those from Gona.

190 1.3 The Early Acheulean at Gona

191 Early Acheulean sites in the Gona Project area (Figure 2) are distributed over a wide area within
192 the Dana Auole North (DAN), Ounda Gona South (OGS), and Busidima North (BSN) drainages
193 in the Busidima Formation (Quade et al., 2004) and range in age from approximately 1.7 to 1.2
194 mya (Semaw et al., 2018). The specific sites included in the current analysis are DAN-5, OGS-5,
195 OGS-12, and BSN-17, all estimated to ca. 1.7 – 1.4 mya by stratigraphic position in the Gona
196 sequence (Quade et al., 2008; Semaw et al., 2020). The Busidima Formation accumulated through
197 fluvial deposition by the ancestral Awash River (Type I context) and its smaller tributary channels

198 (Type II context) (Quade et al., 2004, 2008). Oldowan sites at Gona all occur in Type I sediments,
 199 indicating channel bank/margin (OGS-7) or proximal floodplain (EG-10, EG-12) contexts close
 200 to the large, hetero-lithic clasts available from point bars in the axial river channel (Quade et al.,
 201 2004; Stout et al., 2005). Acheulean sites continue to occur in Type I contexts (BSN-17, DAN-5)
 202 but are also found in Type II sediments (OGS-5, OGS-12,) reflecting increased utilization of large
 203 perennial tributaries to the ancestral Awash River (Quade et al., 2008). Clasts locally available
 204 in these tributaries were relatively small, implying that the large flakes and cobbles used to
 205 produce Acheulean artifacts were initially sourced from the axial river. A similar pattern of habitat
 206 diversification and increasing lithic transport distances has been described at other sites and
 207 may be typical of the early Acheulean (Hay, 1976; Linares Matás & Yravedra, 2021; Rogers et
 208 al., 1994). As with other early (i.e. >1.0 mya (Presnyakova et al., 2018; Stout, 2011)) Acheulean
 209 assemblages, the Gona collections include numerous “crudely made” handaxes and picks on large
 210 flake blanks and cobbles, as well as large (> 10cm) unmodified flakes, flaked pieces interpreted
 211 typo-technologically as Mode 1 cores (see Figure 1af), and smaller debitage (Semaw et al., 2018;
 212 Semaw et al., 2020).



213 **2 Materials and Methods**

214 **2.1 Materials**

215 **2.1.1 Archaeological Sample**

216 Artifact collections analyzed here include *in situ* pieces excavated from intact stratigraphic
217 contexts and surface pieces systematically collected from the sediments eroding from these
218 layers. Surface pieces are included because the current technological analysis does not require
219 more precise spatial association, stratigraphic, and chronological control. Our sample comprises
220 the total collection of flaked pieces (Isaac & Isaac, 1997) and large (>10 cm) detached pieces from
221 each site, regardless of typo-technological interpretation.

222 **2.2 Methods**

223 **2.2.1 Artifact Classification**

224 Artifacts were classified according to initial form (pebble/cobble, detached piece, or indetermi-
225 nate), presence/absence of retouch, technological interpretation (“Mode 1” core vs. “Mode 2”
226 LCT), and archaeological context (Oldowan vs. Early Acheulean sites). LCTs were additionally
227 classified as handaxes, knives, or picks following definitions from Kleindienst (1962). The validity
228 of technological interpretations and typological classifications was assessed through cluster
229 analysis based on artifact shape and reduction intensity variables.

230 **2.2.2 Artifact Measurement**

231 Conventional linear measures capture the direction (e.g., length > breadth) but not the location of
232 geometric relations (e.g., position of maximum breadth). We address this by collecting linear mea-
233 sures defined by homologous semi-landmarks. All artifacts were oriented along their maximum
234 dimension, which was measured and defined as “length.” The next largest dimension orthogonal
235 to length was used to define the plane of “breadth,” with the dimension orthogonal to this plane
236 defined as “thickness” Width (W_1, W_2, W_3) and thickness (T_1, T_2, T_3) measures were then collected
237 at 25%, 50%, and 75% of length, oriented so that 25% Width > 75% Width. To partition variation
238 in shape from variation in size, we divided all linear measures by the geometric mean (Lycett et
239 al., 2006). GM-transformed variables were then submitted to a Principal Components Analysis
240 (covariance matrix) to identify the main dimensions of shape variation.

241 Our semi-landmark measurement system allowed us to improve on the prism-based surface area
242 formula ($2LW + 2LT + 2WT$) by using our 7 recorded dimensions to more tightly fit three prisms
243 around the artifact: $SA = W_1 T_1 + 2(.33L * W_1) + 2(.33L * T_1) + 2(.33L * W_2) + 2(.33L * T_2) + 2(.33L * W_3) + 2(.33L * T_3) + W_3 T_3$. Surface area calculated in this way correlates with mass^{2/3} at $r^2 = 0.947$
244 in our sample. Calculated surface area was then used to derive the Scar Density Index: SDI =
245 number of flake scars > 1cm per unit surface area ([Clarkson, 2013](#); [Shipton & Clarkson, 2015a](#)).
246 The Flaked Area Index (FAI: flaked area divided by total surface area) ([Li et al., 2015](#)) was estimated
247 directly “by eye” as a percentage of the total artifact surface.

249 **2.2.3 3D Methods**

250 Artifact scans (N=33) were cleaned, smoothed, and re-meshed using MeshLab. The 3D triangular
251 mesh of each scan was computed using Kazhdan and Hoppe’s ([2013](#)) method of screened Poisson
252 surface reconstruction in MeshLab. 3DGM analysis was conducted using the *AGMT3-D* program
253 of Herzlinger and Grosman ([2018](#)). Artifacts were automatically oriented according to the axis
254 of least asymmetry, then manually oriented in the interests of standardization with the length,
255 width, and thickness dimensions defined by the longest axis, followed by the next two longest
256 axes perpendicular to the first. The wider end of the artifacts was positioned as the proximal
257 end (base), and more protruding surfaces were oriented towards the user in the first orthogonal
258 view. Then, a grid of 200 homologous semi-landmarks were overlain on each artifact’s surface.
259 Generalized Procrustes and Principal Component analyses were then undertaken to explore
260 the shape variability of the sample. The surface area of each artifact was calculated using the
261 *Artifact3-D* program of Grosman et al. ([2022](#)). *Artifact3-D* was also used to automatically identify
262 the flake scar boundaries and compute each scar’s surface area, using the scar analysis functions
263 of Richardson et al. ([2014](#)).

264 **2.2.4 Analyses**

265 Measurement Validation: To assess the adequacy of shape descriptions based on our linear
266 measures, we directly compared these with shape as quantified by 3D methods on the 33 artifacts
267 for which scans are available. GM-transformed linear measures from these 33 artifacts were
268 submitted to a variance-covariance matrix PCA. PCs with an eigenvalue greater than the mean
269 were retained for analysis and the results compared qualitatively (morphological interpretation

²⁷⁰ of PCs) and quantitatively (correlation of artifact factor scores) to 3D results. Accuracy of surface
²⁷¹ area and flaked area estimates was also assessed by correlation with 3D results.

²⁷² 3 Results

²⁷³ 3.1 Measurement Validation

²⁷⁴ A PCA on GM transformed linear measures the 33 artifacts identified two PCs accounting for 75.6%
²⁷⁵ of the variance. Linear-PC1 (Eigenvalue = 0.189) explained 55.2% of the variance. Factor loadings
²⁷⁶ (**Table 1**) for Linear-PC1 reflect artifact elongation (i.e., an anti-correlation of length vs. distal
²⁷⁷ width and thickness). This A PCA on GM transformed linear measures the 33 artifacts identified
²⁷⁸ two PCs accounting for 75.6% of the variance. Linear-PC1 (Eigenvalue = 0.189) explained 55.2%
²⁷⁹ of the variance. Factor loadings (**Table 1**) for Linear-PC1 reflect artifact elongation (i.e., an anti-
²⁸⁰ correlation of length vs. distal width and thickness). This closely parallels the length vs. width
²⁸¹ and thickness tradeoff captured by 3DGM-PC1 (Figure 3a) and is reflected in a tight correlation
²⁸² of artifact scores produced by the two PCs ($r = 0.903$, $p < 0.001$, Figure 3c). Comparison with
²⁸³ conventional shape ratios (Table 2) similarly indicates that both Linear-PC1 and 3DGM-PC1
²⁸⁴ largely capture variation in artifact elongation. A second factor (Linear-PC2, eigenvalue = 0.07)
²⁸⁵ explained an additional 20.4% of variance. This factor was less strongly correlated with its
²⁸⁶ 3DGM counterpart (3DGM-PC2; $r = 0.344$, $p = 0.050$) probably because Linear-PC2 describes
²⁸⁷ anticorrelated variation in width and thickness (i.e., broad and flat vs. thick and pointed; Table 1)
²⁸⁸ whereas 3DGM-PC2 more purely isolates convergence (Figure 3a). The remainder of the shape
²⁸⁹ variability explained by Linear-PC2 is captured by higher order 3DGM-PCs like 3DGM-PC3 to 5,
²⁹⁰ which comprise the contribution of the left and right lateral margins to relative thickness. Use
²⁹¹ of high-resolution, coordinate-based scan data thus generates PCs that identify more specific
²⁹² shape attributes, but the underlying morphological variability captured by the linear and 3D
²⁹³ analyses remains similar. Together, 3DGM-PC2 ($r = 0.344$, $p = 0.050$), 3DGM-PC3 ($r = -0.416$, $p =$
²⁹⁴ 0.016), 3DGM-PC4 ($r = 0.458$, $p = 0.007$), and 3DGM-PC5 ($r = -0.352$, $p = 0.044$) correlate well with
²⁹⁵ Linear-PC2 (Figure 3c), cumulatively capturing whether the items are broad and flat or thick and
²⁹⁶ pointed. A stepwise regression ($r^2=0.625$, $F(4,28)=11.697$, $p<0.001$, Probability-of-F-to-enter \leq
²⁹⁷ 0.050; Probability-of-F-to-remove \geq 0.100) with Linear-PC2 as the dependent variable retained
²⁹⁸ all four of these 3DGM-PCs as significant predictors.

Table 1: Component loadings for linear metric PCs .

Linear.metrics..GM.transformed.	Linear.PC1	Linear.PC2
Length	0.989	-0.107
W1	0.303	0.350
W2	0.403	0.767
W3	-0.176	0.790
T1	-0.135	-0.679
T2	-0.369	-0.623
T3	-0.607	-0.282

299 We thus concluded that linear measures are adequate to capture relevant shape variation and
 300 proceeded with a PCA on our full sample. We identified two PCs accounting for 80.0% of the
 301 variance. PC1 (Eigenvalue = 0.216) explained 56.4% of the variance. Factor loadings (Table 2) for
 302 PC1 reflect artifact flatness (i.e., an anti-correlation of length and width vs. thickness) such that
 303 higher values indicate relatively thinner pieces. PC2 (Eigenvalue = 0.090) explained 23.6% of the
 304 variance. Factor loadings (Table 2) show that PC2 captures artifact convergence or “pointedness”
 305 (i.e., an anti-correlation of tip width with length and butt thickness) such that higher values
 306 indicate shorter, less pointed forms.

307 We also tested the validity of our two reduction measures, SDI and FAI. In agreement with Clarkson
 308 (2013), we found that surface area estimated from caliper measures displayed a strong correlation
 309 with ($r^2=0.975$, $p < 0.001$) but linear over-estimation of ($\beta = 1.58$) 3DGM surface area (Figure 4a).
 310 This results in a systematic underestimation of SDI that scales with core size (Figure 4b). However,
 311 a simple correction of the caliper estimate (dividing by the slope, 1.58) eliminates surface area
 312 over-estimation (mean difference = 256mm² [$<1.7\%$ of mean], $p=0.040$) and produces SDI values
 313 that agree with 3DGM ($r^2=0.975$, $p < 0.001$, $\beta = 0.98$) (Figure 4c). We thus proceeded to apply this
 314 correction to surface area estimates in the full sample. Insofar as these relationships are driven by
 315 basic geometry, we expect these methods (including correction) to be generalizable to other ESA
 316 assemblages.

317 3.2 Classification Validation

318 We first conducted a stepwise DFA on all flaked pieces (n=192) with inferred technological Mode
 319 (one vs. two) as the grouping variable and PCs 1 and 2, corrected SDI (cSDI), and FAI as the
 320 independent variables. All variables were retained, yielding one canonical DF (eigenvalue=1.825,

321 Wilks Lambda = 0.354, p< 0.001) which correctly classified 93.8% of artifacts. We thus accepted
322 the validity of classification by Mode in our sample and employed this distinction in subsequent
323 analyses. There was no discernable difference in discriminant scores for Mode 1 cores from
324 Oldowan (n=37) vs. Acheulean (n=39) contexts (, p = 0.746). Mode 1 cores from Oldowan contexts
325 (n=37) do include 10 (27%) small, retouched flakes. Only one retouched flake from an Acheulean
326 context was classified by the DFA as a Mode 1 core. This piece, typologically classified as a “knife”,
327 is the smallest (93mm) retouched flake in the Acheulean sample. When retouched flakes are
328 excluded from the comparison, there are no significant differences in shape between Mode 1 cores
329 from Oldowan and Achuelean contexts. Interestingly, however, Acheulean Mode 1 cobble-cores
330 are much larger (mean weight 480g vs. 186g, Cohen's d=1.137, p<0.001) and less heavily reduced
331 (mean cSDI 0.057 vs. 0.103, Cohen's d = -0.884, p < 0.001) despite having similar FAI (mean 0.52
332 vs. 0.46, Cohen's d=0.271, p=0.266).

333 Next, we conducted a stepwise DFA on all flaked Mode 2 pieces (i.e. excluding unmodified large
334 flakes, n = 115) with typology (handaxe, pick, knife) as the grouping variable and the same four
335 independent variables. Both shape PCs and FAI were retained, while cSDI was not entered.
336 This produced two DFs (DF1: Eigenvalue=1.536, 91.3% of variance; DF2: Eigenvalue = 0.146,
337 8.7% of variance; Wilks Lambda = 0.344; p<0.001) which correctly classified 71.3% of artifacts.
338 Inspection of DF coefficients shows that DF1 captures an inverse correlation between flaked area
339 and pointedness (Linear-PC2) whereas DF2 captures a positive relationship between flaked area
340 and elongation (Linear-PC2). A bivariate plot (Figure 5) illustrates the fact that DF1 captures
341 a range of variation from pointed, heavily-worked picks, through handaxes, to knives, with
342 substantial overlap between adjacent types (Table 2). As an intermediate type, “handaxes” were
343 correctly classified only 43.9% of the time. In agreement with others ([Duke et al., 2021](#); [Kuhn,](#)
[2021](#); [Presnyakova et al., 2018](#)) we conclude that these typological labels artificially partition a
345 continuum of variation and abandon them in subsequent analyses.

346 3.3 Effects of Reduction on Shape

347 To assess the influence of flake removals on core form, we examined the association between
348 our reduction measures (cSDI and FAI) and core shape (PC1, PC2). In the complete sample of
349 flaked pieces (n=192), we observed weak but significant effects of cSDI ($r=-0.294$, $p < 0.001$) and
350 FAI ($r=-0.294$, $p < 0.001$) on PC1(flatness) and of FAI only on PC2(pointedness) ($r=-0.436$, $p < 0.001$).

351 However, it is clear that these overall effects conflate different trends in Mode 1 vs. Mode 2 cores,
352 as well as in cores executed on flake vs. pebble/cobble bases. Within categories, we observed no
353 significant effects of cSDI whereas FAI had variable relationships with core form.

354 In Mode 1 cores, a weak negative effect of FAI on PC2(pointedness) ($n = 76$, $\beta = -0.006$, $r=0.240$,
355 $p= 0.037$) suggests that increasing extent of modification tends to slightly increase pointedness
356 (Figure 6a). No effects of FAI on PC1 (flatness) or of SDIc on either PC approach significance. FAI
357 and SDIc are moderately correlated ($\beta=0.001$, $r=0.524$, $p<0.001$), an effect that reflects increases
358 in the upper limit of scar density values as flaked area increases (i.e., flaked area constrains
359 maximum cSDI: Figure 6b).

360 In Mode 2 cores ($n=115$), reduction measures have different effects depending on initial blank
361 form. In general, the contrast between flat, lightly-worked flake bases and rounder, more-heavily
362 worked cobble bases tends to inflate relationships between FAI and core shape (Figure 7c,d).
363 Mode 2 cobble cores ($n=37$) display a negative effect of FAI on PC1(Flatness) ($\beta=-0.011$, $r=0.367$, p
364 = 0.026), no effect of FAI on pointedness, and no association between FAI and cSDI ($r=0.141$, $p =$
365 0.406). Flake cores ($n=69$) show negative effects of FAI on PC1(Flatness) ($\beta=-0.007$, $r=0.284$, $p =$
366 0.018) and, more strongly, PC2(pointedness) ($\beta=-0.019$, $r=0.443$, $p < 0.001$). Flake cores show a
367 small but significant positive effect of FAI on cSDI that, in contrast to Mode 1 cores, represents
368 actual covariance rather than simply a relaxation of constraint (i.e. both upper and lower limits of
369 cSDI increase with FAI).

370 Comparison of Mode 2 flake cores with unmodified large flakes from Achuelean contexts ($n=35$)
371 shows that ranges of shape variation substantially overlap but that flaking generally reduces
372 both PC1(flatness) and PC2(pointedness). In fact, regressions of PC1 and PC2 on FAI show y-
373 intercepts that closely approximate unmodified flake mean values (0.852 vs. 0.774 and 0.693
374 vs. 0.594, respectively) and significantly negative slopes as FAI increases (Figure 9).

375 A small number of Mode 2 cores ($n=9$) were so heavily modified (mean FAI=89%, range 65-100)
376 that the blank form could not be determined. These heavily worked “indeterminate” cores tend
377 to show similar PC1(flatness) and PC2(pointedness) values to cobble-base cores (i.e. thicker and
378 more pointed than flake cores; Figure x). They also appear to follow general trends of shape
379 change with increasing FAI observed across base types (Figure 10).

380 **4 Discussion**

381 Our analyses of flaked pieces from Oldowan and Early Acheulean contexts at Gona support the
382 presence of two distinct reduction modes (1 and 2) that can be reliably discriminated using
383 measures of artifact shape, flaking intensity, and extent of surface modification. However, they
384 fail to support further sub-division between conventional Mode 2 tool types (handaxe, pick,
385 knife). Following criteria proposed in the Introduction, we find strong evidence of imposed form
386 in the retouched large flakes from Acheulean contexts at Gona, weak evidence of shaping in
387 Mode 2 cobble cores from Acheulean contexts, and little to no evidence of shaping in Mode 1
388 cores from both Acheulean and Oldowan contexts. Our framing of this research question and its
389 proposed test criteria follows the preponderance of archaeological literature in conceptualizing
390 artifact design as a binary presence/absence of intentionally imposed form ([Dibble et al., 2017](#)).
391 However, we will now argue that our results are better interpreted as reflecting variation in the
392 degree and nature of design expressed by ESA toolmakers. This shift in perspective recognizes
393 that the purposeful production of desired morphological and functional features can be achieved
394 by different combinations of raw material selection, blank production, and flaking strategies,
395 including the preservation of function through patterned changes in morphology ([Kuhn, 2021](#)).

396 **4.1 Large Flakes**

397 As we argued in the Introduction, the systematic production of large flakes indicates attention to
398 artifact size as a desired design feature. Many of these flakes would have possessed substantial
399 lengths of sharp edge and could have been immediately useful as cutting tools without further
400 retouch. In fact, 33% of the large flakes in our total sample are unretouched. Notably, this is also
401 true of the sub-sample from sites (OGS-5 and OGS-12) located in Type II, “tributary” sediments
402 (29 of 82 unmodified, 35%). This likely reflects transport and discard of unmodified flakes from
403 production sites closer to the axial river system sources of large clasts, consistent with the idea
404 that such flakes were themselves treated as useful tools. We consider the alternative possibility,
405 that unmodified flakes were unintended byproducts of local LCT production from transported
406 boulder cores, to be less likely considering the energetic inefficiency of this strategy as well as the
407 absence of large boulder cores in the assemblages (but see discussion of LCTs on cobble cores
408 below).

409 Whether or not unmodified flakes were themselves desired “end products”, a majority of large

410 flakes in the sample were retouched to some extent. Our analyses indicate that this flaking was
411 performed in a manner that produced systematic and directional shape changes. Specifically,
412 increasing FAI is associated with progressive reduction in relative breadth (increasing relative
413 thickness and elongation; PC1) and increasing convergence (PC2). In contrast, variation in cSDI is
414 not associated with artifact shape. These effects of surface modification extent but not reduction
415 intensity indicate that it is the size and non-overlapping placement of flake removals that drives
416 directional shape change, rather than the removal of mass in general. The observed correlation
417 of cSDI with FAI further indicates that each new scar tends to remove additional unflaked area,
418 consistent with the observed pattern of small numbers of relatively large and spatially distributed
419 flake removals. This clearly differs from classic re-sharpening models, which emphasize shape
420 change with decreasing artifact size as a byproduct of concentrated and repeated mass removal
421 from particular areas of the artifact, such as working edges (Dibble, 1987; McPherron, 2003). It
422 also clearly differs from the expected effects of un-patterned debitage on flake blanks, which
423 does tend to increase relative thickness but also decreases elongation and is not associated with
424 convergence (Moore & Perston, 2016).

425 It is possible that the observed pattern reflects the intentional pursuit of an explicit morpholog-
426 ical target or “mental template.” This is generally seen as a strong claim implying rich mental
427 representation and manipulation of spatial features (Wynn, 2002), cultural mechanisms for the
428 intersubjective sharing of ideas of “appropriate” form (Wynn, 1995), and social reproduction
429 of the skills required to reliably achieve these forms (Liu et al., 2023). If there is such a target,
430 however, it would seem to be a very loose one considering the observed range of shape variation
431 in retouched flakes (Figure 5). A more cognitively and culturally “lean” interpretation is that the
432 pattern reflects a procedural and/or functional bias toward establishing (or rejuvenating original)
433 cutting edges at one end of the long axis. In the absence of effective thinning and volume manage-
434 ment techniques, such a bias would naturally lead to narrowing/thickening of the piece overall
435 and especially at the “tip,” as is seen in our sample. This bias might itself be a socially learned
436 behavioral convention or “habit” (sensu Isaac, 1986), but could plausibly emerge directly from
437 individual responses to the morphology of large flake blanks. Such flakes are typically elongated
438 and thus present: 1) two longer edges along the maximum dimension that are a natural focus for
439 retouch, and 2) an ergonomic polarity defined by a thick (platform and percussion bulb) “butt”
440 vs. a feather terminated “tip” (cf. Key et al., 2016; Wynn & Gowlett, 2018). Even with this minimal
441 interpretation, however, we would argue that LFB flaking strategies at Gona present evidence of

⁴⁴² design in the sense of being intended to produce and/or maintain desired functional features
⁴⁴³ of the LCT. This contrasts with patterns observed in cores classified as Mode 1 in our analyses
⁴⁴⁴ which, as expected, appear to reflect simple debitage without intended morphological targets.

⁴⁴⁵ 4.2 Mode 1 Cores

⁴⁴⁶ A Discriminant Function Analysis strongly supported the presence of two distinct morpholog-
⁴⁴⁷ ical types in our sample (based on overall shape and flake scar characteristics), which we had
⁴⁴⁸ previously typo-technologically interpreted as reflecting Mode 1 (debitage) and 2 (façonnage)
⁴⁴⁹ flaking strategies. Consistent with this interpretation, cores assigned to Mode 1 showed little or
⁴⁵⁰ no evidence of core shape management. One potential exception is an unexpected tendency of
⁴⁵¹ increasing FAI to be associated with increasing convergence. However, this effect is an order of
⁴⁵² magnitude weaker than that seen in LFB Acheulean cores and may be an artifact of our orienting
⁴⁵³ protocol, which aligns cores such that width at 25% length is always less than width at 75% length.
⁴⁵⁴ If reduction tends to increase asymmetry from initially rounded cobble forms, this could account
⁴⁵⁵ for the weak convergence effect we observe. In support of this interpretation, Mode 1 cores
⁴⁵⁶ display a relatively strong correlation between FAI and cSDI driven by an increase in the upper
⁴⁵⁷ bound of cSDI values as FAI increases. In other words, we find that greater flaked area on Mode 1
⁴⁵⁸ cores can, but does not necessarily, accommodate a greater number of flake scars. This is what
⁴⁵⁹ would be expected from knapping unconstrained by any systematic core-shaping strategy.

⁴⁶⁰ The presence (n=14) of relatively large (> 100mm) Mode 1 cores at Gona Acheulean sites raises
⁴⁶¹ the further possibility that at least some of these might be depleted cores from LFB production.
⁴⁶² However, none of these display scars > 90mm (mean = 51.8 mm), most are very lightly reduced
⁴⁶³ (mean = 5.7 scars), and none meet the 150mm length cut-off used by Sharon (2009) to identify
⁴⁶⁴ “large” cores suitable for LFB production. The largest Mode I core in our sample is 137 mm long,
⁴⁶⁵ has five scars ranging from 25- 85 mm long, and an FAI of 25%. We thus consider it unlikely
⁴⁶⁶ that large Mode I cores from Acheulean contexts were used for LFB production. The behavioral
⁴⁶⁷ significance of relatively large, lightly-worked Mode 1 cores in Acheulean contexts is a question
⁴⁶⁸ for future research.

469 **4.3 Mode 2 Cores**

470 Our most complicated results come from Mode 2 cobble cores. Evidence of intentional shaping is
471 limited: FAI has a moderate effect on PC1 such that increasing flaked area produces relatively
472 shorter, thicker, and narrower pieces. This violates expectations that intentional LCT shaping
473 should increase elongation and convergence. However, it also fails to align with a reported
474 tendency for un-patterned debitage to decrease the thickness and increase the elongation of
475 cobble cores (Moore & Perston, 2016). This may reflect the fact that Mode 2 cobble cores at
476 Gona begin and remain relatively elongated (Table 3: mean $L/W = 1.68$) throughout reduction
477 compared to starting ($n=29$, mean $L/W = 1.29$) and ending (mean $L/W = 1.34$) cobble cores in the
478 experiment of Moore and Perston (2016). The moderate trend toward thickening and shortening
479 of cores with increasing FAI might plausibly be an effect of particularly elongated initial core form.
480 In the absence of intentional thinning techniques (Stout et al., 2014) flaking around the perimeter
481 of an oblong core would be expected to preferentially reduce length and especially breath (due to
482 the long axis providing more potential platforms, especially if knappers seek to maximize flake
483 size) while preserving thickness. Along these lines, Toth (1985) reported that “roller” shaped
484 cobbles tended to produce bifacial choppers in his unstructured debitage experiments.

485 Whether or not shaping occurred, it is clear that the elongated Mode 2 cobble cores at Gona
486 do provide evidence of initial size and shape selection within the range of rounded cobbles
487 available from Type 1 channels in the Busidima Formation (Quade et al., 2004). This pattern is not
488 simply an analytical artifact of classifying elongated cores as “Mode 2” while selectively omitting
489 rounded forms: the complete sample of large ($>=750g$) cores in our sample ($n=25$) is dominated
490 by relatively elongated forms (median $L/W = 1.66$). The small number ($n=5$) of large, rounded
491 ($L/W < 1.33$) cores in the sample are also anomalous in being very lightly worked compared to
492 more elongated large cores (mean scar count = 4.2 vs. 10.45, $p < 0.001$; mean cSDI = 0.14 vs. 0.31,
493 $p = 0.002$; mean FAI = 36 vs. 65, $p = 0.016$).

494 This could reflect the intentional selection of large, elongated blanks for LCT production, as has
495 been proposed at other early Acheulean sites (Duke et al., 2021; Harmand, 2009; Texier, 2018).
496 We would characterize such selection as a design choice even in the absence of subsequent
497 shaping. However, large-cobble shape preferences might equally reflect biases related to LFB
498 production. Geometrically, elongated cobbles afford greater potential maximum dimensions
499 for detached flakes than do rounder cobbles of a similar mass. Flaking along the long axis of

500 elongated cobbles enables the production of elongated, side-struck flakes (Torre & Mora, 2018)
501 that are typical of the LFB Acheulean and which provide longer and more ergonomic (cf. Wynn
502 & Gowlett, 2018) cutting edges than do round flakes. Flatter and more elongated cobbles are
503 also generally easier to open and exploit (Texier, 2018; Toth, 1982; Whittaker, 1994). This is an
504 increasingly important consideration as size increases due to the increased percussive force
505 needed to detach larger flakes and the challenges of supporting and positioning larger cores
506 (Semaw et al., 2009), particularly with cobbles that are large but not sufficiently massive to act
507 as stationary targets for thrown hammerstones (Toth & Schick, 2019). We thus find it plausible
508 that at least some typological picks, handaxes, and knives made on cobble cores at Gona could
509 have been by-products of large flake production rather than intended forms. Toth and Schick
510 (2019: 741) similarly note that “heavily reduced boulder cores can assume the form of smallish
511 discoids and polyhedrons [and] may not be identified as sources of large flake blanks by many
512 archaeologists”. If this is true, such cores are distinguished from classic Mode 1 cores by allometric
513 effects on raw material choice and flake placement rather than a shift to deliberate shaping.

514 The possibility that some Mode 2 cobble cores at Gona might be the remains of cores used for
515 large flake production was initially suggested by informal, “experiential” knapping with Gona
516 cobbles, during which attempts at LFB production produced pick-like forms as a byproduct.
517 Consistent with this hypothesis, the mean (152.9mm) and median (156mm) length of Mode 2
518 cobble cores are both above the 150mm cut-off proposed by Sharon (2009) and the maximum scar
519 length on each Mode 2 cobble core substantially overlaps with the size range of unmodified large
520 flakes (Table 3). Importantly, this is also true of the largest retouched flakes at Gona, nine of which
521 exhibit flake scars >100mm and thus could have been used as LFB cores. This possibility has also
522 been suggested at Koobi Fora (Presnyakova et al., 2018) and might be relevant to understanding
523 very large LCTs on flakes at other sites (e.g., Beyene et al., 2013).

524 Nevertheless, the fact remains that the preponderance of scars on large cobble cores are from the
525 removal of smaller flakes. Although these smaller scars could record preparatory flaking for LFB
526 predetermination (Torre & Mora, 2018) and/or a subsequent stage of small flake debitage (Shea,
527 2010), it is difficult to rule out a role in shaping. Indeed, some pieces (e.g., Figure 1 ac, ad, ae)
528 exhibit delicate points and sharp edges that are strongly suggestive of intentional shaping. If these
529 pieces did in fact begin as cores for LFB production, it is possible that they were subsequently
530 shaped into cutting tools in their own right. Such lithic “upcycling” of depleted LFB cores would

531 help to explain the transport of these pieces away from the axial river system. However, we should
532 also be cautious not to overinterpret a small number of suggestive pieces pulled from a wider
533 range of variation, as they may simply represent low frequency “spandrels” of un-structured
534 debitage (Moore, 2020; Moore & Perston, 2016). These various possibilities remain to be tested,
535 but we note that there is no a priori reason to think that Acheulean tool makers would have
536 neglected potentially useful cores because they “belonged” to a particular reduction sequence or
537 technological type.

538 4.4 Implications

539 Early Acheulean technology is differentiated from the preceding Oldowan by changes in artifact
540 size as well as morphology (Isaac, 1977). However, discussion of the technological, cognitive, and
541 cultural implications of this transition has often focused on the emergence (or not) of intentional
542 shape imposition (e.g., Duke et al., 2021; Gowlett, 1986; Holloway, 1969; Isaac, 1976; Roche, 2005;
543 Wynn, 1995, 2002). Our results suggest that this emphasis may be misplaced, and that distinctive
544 Early Acheulean artifact forms might represent secondary accommodations to the primary goal of
545 increasing tool size. From this perspective, Early Acheulean shape imposition is best understood
546 as reflecting an interaction between functional design goals and technological constraints (Kuhn,
547 2021; Wynn & Gowlett, 2018).

548 The consensus view is that Oldowan flaking goals focused on the production of sharp, cutting
549 flakes through least effort debitage (Toth, 1985). Somewhat more controversially, Oldowan
550 flaking may have included preferred debitage patterns (Stout et al., 2019) and/or intentional core
551 maintenance and rejuvenation strategies (Delagnes & Roche, 2005). Our failure to find systematic
552 effects of reduction on Oldowan core shape at Gona is consistent with this broad characterization.
553 Starting from this Oldowan baseline, the production of larger cutting tools could in principle be
554 achieved by increasing the size of cores and detached flakes and/or by attempting to produce
555 and maintain cutting edges on cores themselves rather than on the smaller pieces detached from
556 them. Both strategies are evident in the Early Acheulean at Gona.

557 At Gona, large flakes appear to have been transported across the landscape and discarded either
558 in their original form or after relatively light modification to impose desired cutting edges. This
559 behavior combines the two size-maximizing strategies identified above by increasing flake size
560 and then using these large detached pieces as supports for retouched edges. On the other hand,

561 large cobble cores at Gona display shape variation more consistent with debitage than shaping.
562 This leads us to suggest that these pieces might be depleted cores from large flake production
563 (size-maximizing strategy 1) that have been “upcycled” as large core tools (size-maximizing
564 strategy 2). In this way, the full range of artifact types and patterns of shape variation in the Gona
565 Early Acheulean can be parsimoniously accounted for as the expression of two strategies for
566 increasing cutting tool size.

567 This interpretation has three main implications. First, it supports the view that the Acheulean
568 initially emerged as a set of technological strategies for increasing cutting tool size, especially by
569 striking large flakes (Isaac, 1969). Hypothetically, this size increase might have been favored by
570 novel functional priorities, such as extended use-life, enhanced transportability, and utility for
571 heavy-duty cutting (Shea, 2010; Toth & Schick, 2019). It may also have been motivated and/or
572 enabled by increases in hominid body size. These overlapping possibilities appear likely to be
573 mutually reinforcing rather than mutually exclusive. In fact, the appearance of the Acheulean
574 starting 1.95 mya (Mussi et al., 2023) is roughly contemporaneous with an increase in the number,
575 size, and ecogeographic range of stone tool sites in general (Plummer, 2004), as well as evidence
576 of habitat diversification and greater lithic transport distances for Acheulean sites specifically
577 (Hay, 1976; Quade et al., 2008; Rogers et al., 1994; Torre et al., 2008), increasing emphasis on large
578 animal butchery (Linares Matás & Yravedra, 2021), and increases in hominid brain and body size
579 (Antón et al., 2014) including the first appearance of *Homo erectus* (Mussi et al., 2023). Increased
580 cutting tool size is a plausible response and/or enabling factor to many of these shifts and is in
581 that sense appears “overdetermined” by available evidence. Importantly, the current study does
582 not resolve the extent to which the production and transport of Early Acheulean tools around the
583 landscape (Presnyakova et al., 2018) occurred through planned logistical (Binford, 1980) activities
584 of individuals and/or collaborating groups vs. the cumulative effects of independent transport
585 and recycling events over time (Reeves et al., 2023). Implications for planning and prospection
586 capacities (Szpunar et al., 2014) thus remain unclear.

587 Second, it reinforces a growing consensus (e.g., Duke et al., 2021; Kuhn, 2021; Presnyakova et
588 al., 2018) that early Acheulean LCT types artificially partition a continuum of variation rather
589 than representing distinct target forms. More specifically, we propose that these forms emerge as
590 the expression of a generic goal of cutting tool size maximization implemented across varying
591 material constraints and opportunities. This is particularly relevant to the interpretation of

592 “picks,” which have long been viewed as a morphologically distinct but functionally mysterious
593 tool type. Toth and Schick (2019) note that picks do not appear designed to provide good cutting
594 edges but also do not show use-wear consistent with digging. This leads them to speculate that
595 picks may have been specialized weapons used to dispatch large, wounded animals with a blow
596 to the head. However, picks are a common, persistent, and morphologically variable artifact
597 type in the Early Acheulean, which argues against such a narrow function. We suggest that
598 typological picks may lump together a variable mix of depleted LFB cores and large cutting tools
599 made on relatively thick (cobble or flake) blanks, possibly including upcycled LFB cores. Within
600 this interpretation, picks would be part of a continuum of morphological variation produced
601 by different raw material forms and complex reduction histories, rather than a distinct tool
602 type designed for novel function. Due to such constraints, picks as a class may appear less well
603 designed for cutting than LCTs produced on thinner blanks. However, the relationship between
604 tool morphology and cutting efficiency is complex and multivariate (Key, 2016), and the relative
605 utility of short and/or higher-angle edges on a more massive tool is not well understood across
606 diverse cutting tasks. Picks as an artifact class have not received the same attention as handaxes
607 and cleavers and we are unaware of any broad comparative synthesis. Beyene et al. (2013) do
608 report that picks at Konso are relatively conservative in shape and scar counts over a period
609 from 1.75-1.2 Ma during which associated handaxes show clear increases in refinement. They
610 thus suggest that pick function may already have been effectively optimized by 1.75-1.6 Ma
611 whereas the cutting function of handaxes continued to be enhanced. This is broadly consistent
612 with the current suggestion that typological picks identify a morphological extreme produced
613 by raw material features (esp. thickness) that constrain or discourage the refinement that might
614 be developed on other blanks. From 1.75-1.2 Ma, these ad hoc and/or less refined cutting tools
615 appear to have remained a stable element in the tool kit. However, they are nearly absent at 0.85
616 Ma, coincident with the appearance of “considerably refined” handaxes. Indeed, picks are not
617 commonly reported to co-occur with refined handaxes in later Acheulean contexts anywhere. On
618 a traditional functional interpretation, this would imply that their originally designed function
619 also became less important in these contexts. The alternative possibility suggested here is that
620 increased investment in handaxe refinement decreased the perceived value of producing and
621 using ad hoc core tools for similar functions.

622 Lastly, our interpretation suggests that LCT variation at Gona probably does not reflect intentional
623 imposition of morphological norms (cf. Holloway, 1969) or detailed mental templates (Deetz,

624 1967). Instead, we would focus attention on the cognitive and cultural implications of size
625 maximization strategies. As reviewed by Stout (2011), these stem from 1) the physical and
626 strategic challenges of quarrying large flakes and 2) the increased complexity of knapping action
627 hierarchies resulting from the addition of novel sub-goals (e.g. create a cutting edge) involved in
628 shaping large flake and cobble cores into useful tools. Systematic large flake production strategies
629 and techniques are qualitatively different from small-flake debitage (Isaac, 1969; Toth & Schick,
630 2019) and thus add to the volume of technical knowledge and know-how that must be acquired
631 by individuals. This is directly relevant for inferring learning demands and the possible role of
632 social support in Acheulean skill reproduction (Pargeter et al., 2019). Stout (2002) provides a
633 modern example of large flake production that illustrates the potential scope of such demands.
634 Interestingly, much earlier large flake production has been reported at the 3.3 Ma site of Lomekwi
635 3 but is argued to be poorly controlled with minimal core reduction, numerous steps and hinges,
636 and extensive platform battering. Although concerns have been raised regarding the dating and
637 context of this site (Archer et al., 2020; Dominguez-Rodrigo & Alcalá, 2019), it may provide an
638 interesting technological comparison to Early Acheulean large flake production.

639 With respect to knapping action organization, the intentional placement of flake removals to
640 generate desired core morphologies demonstrates a more complex goal structure than is required
641 for simple, least-effort debitage. Such complexity has implications for assessing cognitive de-
642 mands including relational integration, temporal abstraction, and goal abstraction (Stout, 2011).
643 For this reason, our study was designed to address concerns that intentional shaping might not
644 actually be characteristic of Early Acheulean technology (e.g., Moore & Perston, 2016). We did
645 find evidence for (at least) the imposition of cutting edges on LFBs, and offer this a foundation
646 for “minimum necessary competence” (sensu Killin & Pain, 2021) cognitive interpretations. We
647 remain agnostic as to whether similar knapping complexity was demonstrated in the preceding
648 Oldowan, but note that the rare and un-standardized (Gallotti, 2018) occurrence of debitage on
649 flakes prior to 2.0 mya does not provide evidence of intentional shaping. Stout (2011) argued that
650 elaborated small flake debitage methods contemporary with the Early Acheulean, such as single
651 platform Karari scrapers (Isaac & Isaac, 1997) and hierarchical centripetal cores (Torre et al., 2003),
652 document complex goal structures including the intentional modification of the core morphology
653 to enable subsequent flake detachments. Others have seen evidence of such intentions in earlier
654 bifacial (Duke et al., 2021) and unifacial (Delagnes & Roche, 2005) debitage strategies. However,
655 all of these interpretations are based on qualitative assessments of flake scar patterning and/or

656 refitting and remain open to critique from quantitative and experimentally-based approaches
657 contending that similar knapping patterns can emerge without “top down” intentions (Moore,
658 2020; Moore & Perston, 2016; Toth, 1985).

659 However these debates are eventually resolved, we would stress that the earliest demonstration
660 of a particular capacity is unlikely to represent its earliest presence. Logically, the minimum
661 capacities required to support a novel behavior must be present before the behavioral innova-
662 tion can occur (Stout & Hecht, 2023) and may predate it substantially. We would thus argue
663 that technological innovation in the Early Acheulean was more likely stimulated by changing
664 behavioral and ecological strategies that placed a premium on cutting tool size, rather than by
665 the sudden emergence of new cognitive capacities. In this context, it is important to note that the
666 preponderance of cognitive and especially neuroarchaeological investigations of handaxe manu-
667 facture (Erin Elisabeth Hecht et al., 2023; Stout et al., 2008; Stout, 2011; Stout et al., 2015) have
668 studied refined, later Acheulean forms and should not be generalized to discussions regarding
669 the emergence of the Early Acheulean (cf. Duke et al., 2021). These neuroarchaeological studies
670 were made possible by a robust understanding of the specific knapping behaviors being modeled
671 (Stout & Hecht, 2023). Similar studies modeling Early Acheulean technology are clearly needed
672 and we hope that investigations like the current one can help to provide the necessary behavioral
673 foundation.

674 4.5 Generalizability

675 It remains to be seen to what extent current results can be generalized to other Early Acheulean
676 sites. It is clear that LFB production as seen at Gona is typical (Isaac, 1969) of the African
677 Acheulean from its first known appearance (Mussi et al., 2023). Similarly, the transport and
678 discard of unmodified large flakes has also been reported at Peninj (Torre et al., 2008) and Koobi
679 Fora (Presnyakova et al., 2018) and may be more widespread than has been specifically noted in
680 publication. The general pattern of light, non-invasive retouch we observe also appears typical of
681 Early Acheulean sites (reviewed by Presnyakova et al., 2018). However, the effects of reduction on
682 LCT shape have not been systematically tested across sites in ways comparable to the current
683 analyses. This makes it difficult to determine if rare examples of “well shaped” Early Acheulean
684 LCTs (e.g., Diez-Martín et al., 2019) represent distinct technological behaviors or extreme points
685 along a continuum of variation. Presnyakova et al. (2018) argue for the latter, supported by shape

686 effects of reduction intensity identified using artifact size and edge angle as proxies. However,
687 these authors do not consider SDI or FAI and their analyses excluded any flaked pieces without
688 “clearly defined tips and bases,” thus limiting comparability with current results.

689 The occurrence of numerous large cobble cores (typological LCTs on cobble bases) at Gona is
690 atypical for East Africa and may reflect local raw materials availability. Kuman (2007) reports that
691 river cobbles from the nearby Blaauwbank gravels are the dominant blank form in Gauteng “Cradle
692 of Humankind” Early Acheulean collections, possibly due to the rarity of larger boulder-size
693 clasts. Relatively high frequencies of LCTs on cobbles have also been reported from Gadeb in
694 Ethiopia (Torre, 2011). However, the large cobble cores at these sites have not been systematically
695 studied as a distinct technological component or potential source of LFBs. Substantial use of large
696 slabs/blocks for LCT production has been reported at Kokiselei 4 (Harmand, 2009) and Olduvai
697 Gorge (Torre & Mora, 2005) but again it is not clear whether these could also have been sources
698 for LFBs during some part of their reduction sequence. Interestingly, Presnyakova et al. (2018: Fig.
699 8) illustrate a boulder core with refitting large flake from FxJj65 that appears to be comparable
700 in size (~150mm long), shape ($L/W \approx 1.5$), and reduction intensity to some of the more lightly
701 worked cobble cores at Gona, providing at least anecdotal support for our interpretations. In sum,
702 however, systematic comparison across published Early Acheulean sites is not currently possible
703 due to differences in research questions, methods, and data reporting practices. Clearly much
704 work is needed to enable such comparison, but we do find some encouragement in the current
705 finding that conventional linear measures, simple scar counts, and visual flaked area estimations
706 are sufficient to describe relevant variation in shape and reduction

707 5 Conclusion

708 Characteristic Early Acheulean artifact forms including large retouched flakes and core tools
709 began to appear ca. 2.0 Ma (Mussi et al., 2023) as part of a pervasive ecological and behavioral
710 shift encompassing changing diet, ranging patterns, and habitat usage; increasing site frequency,
711 density, and ecogeographic distribution; and the first appearance of *Homo erectus*. Although
712 the cognitive and cultural significance of this new lithic industry is often framed in terms of the
713 emergence of discrete tool types and/or intentionally imposed morphological norms, we find
714 little evidence at Gona to support this. Instead, we observe systematic patterns of raw material
715 selection and core surface modification organized around the production and maintenance of

716 useful cutting edges on large(r) supports. Such larger cutting tools may have been prioritized
717 in newly emerging hominid behavioral adaptations due to their extended use-life, enhanced
718 transportability, and/or suitability for heavy-duty cutting.

719 This interpretation is consistent with a characterization of Early Acheulean tool forms as emerging
720 from a set of “design imperatives” for large hand-held cutting tools (Gowlett, 2006; Wynn &
721 Gowlett, 2018), specifically including elongation and ergonomic positioning of cutting edges
722 [@key2016; @keyIntegratingMechanicalErgonomic2016]. Our results thus provide support for
723 the presence of imposed form in the broad theoretical sense developed by Kuhn (2021): not as
724 the realization of a “holographic model” in the mind of the maker, but rather as the outcome of
725 technological choices made in view of material constraints and affordances (Moore, 2020). To the
726 extent that some, but not all (Muller et al., 2022), later Acheulean assemblages provide stronger
727 evidence of normative form imposition (García-Medrano et al., 2019; Liu et al., 2023; Shipton
728 & White, 2020) this underlines the heterogeneity of lithic technologies subsumed within the
729 “Acheulean Industry” and argues for more context-specific approaches to evaluating behavioral,
730 cognitive, and cultural implications.

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