

¹ Imposed form in the Early Acheulean? Evidence from Gona,
² Afar, Ethiopia

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26 **1 Introduction**

27 The imposition of intended form on artifacts has long been viewed as a watershed in human
 28 cognitive and cultural evolution and is most commonly associated with the emergence of “Large
 29 Cutting Tools” (LCTs; cf. Long Core Tools ([Shea, 2013](#))) in the Early Acheulean ([Holloway, 1969](#);
 30 [Isaac, 1976](#); [Kuhn, 2021](#)). However, this interpretation of Acheulean LCTs as intentionally de-
 31 signed artifacts remains controversial. Alternative proposals range from the possibility that LCTs
 32 were unintended by-products of flake production ([Noble and Davidson, 1996](#); [Moore and Per-](#)
 33 [ston, 2016](#)) to the suggestion that their form was “at least partly under genetic control” ([Corbey](#)
 34 [et al., 2016](#): 6). Even accepting that LCT form was to some extent intended, there is substantial
 35 disagreement over the specificity of design. Some analyses have indicated that shape variation
 36 in Acheulean handaxes is largely a result of function-driven resharpening ([McPherron, 2000](#);
 37 [Iovita and McPherron, 2011](#)) whereas others find it more likely to reflect normative expectations
 38 of what handaxes should look like ([Shipton and Clarkson, 2015a](#); [García-Medrano et al., 2019](#);
 39 [Shipton and White, 2020](#)). Such debates about the shape of Acheulean LCTs may appear nar-
 40 rowly technical but have broad relevance for evolutionary questions, including the origins of
 41 human culture ([Shipton and Clarkson, 2015a](#); [Corbey et al., 2016](#); [Tennie et al., 2017](#); [Liu and](#)
 42 [Stout, 2023](#)), language ([Stout and Chaminade, 2012](#)), teaching ([Gärdenfors and Höglberg, 2017](#)),
 43 brain structure ([Hecht et al., 2015](#)), and cognition ([Stout et al., 2015](#); [Wynn and Coolidge, 2016](#)).
 44 To examine these questions, we studied the complete collection of Early Acheulean (ca. 1.7–1.2
 45 Ma) flaked pieces from four sites (BSN17, DAN5, OGS12, and OGS5) in the Gona Project Area
 46 and compared them with all of the flaked pieces from two published Oldowan (>2.5 Ma) sites
 47 at Gona (OGS7 and EG10; [Semaw \(2000\)](#); [Semaw et al. \(2003\)](#); [Stout et al. \(2010\)](#)). By compar-
 48 ing variation in overall artifact shape (or “Bauplan” ([Lycett and Gowlett, 2008](#))) to measures of
 49 flaking intensity and coverage, we sought to identify technological patterns that might reveal

50 intent. The possibilities we considered include: a) intentional shape standardization reflecting
51 normative expectations of what handaxes should look like, b) regularities of overall form arising
52 as by-products of the intentional pursuit of particular morpho-functional attributes, and c) the
53 absence of any intentional form imposition as in the case of simple debitage.

54 **1.1 Identifying design**

55 There is a broad consensus that refined handaxes and cleavers known from some later
56 Acheulean (<1.0 Ma) contexts resulted from procedurally elaborate, skill intensive and socially
57 learned production strategies (Sharon, 2009; Stout et al., 2014; García-Medrano et al., 2019;
58 Shipton, 2019; Caruana, 2020; Moore, 2020), although debate over the presence of explicit,
59 culturally transmitted shape preferences not determined by function continues (Iovita and
60 McPherron, 2011; Wynn and Gowlett, 2018; Moore, 2020; Shipton and White, 2020). How-
61 ever, archaeologists have also noted the presence of less heavily worked and morphologically
62 standardized LCTs (e.g., handaxes, cleavers, picks) in earlier Acheulean contexts (Leakey, 1951;
63 Kleindienst, 1962; Wynn, 1979; Gowlett, 1986; Clark, 1994; Ludwig and Harris, 1998; Stout, 2011;
64 Presnyakova et al., 2018). Such forms are typical of Acheulean assemblages in Africa prior to
65 1.0 Ma (e.g., Kleindienst, 1962; Ludwig and Harris, 1998; Lepre et al., 2011; Beyene et al., 2013;
66 Diez-Martín et al., 2015; Presnyakova et al., 2018; Semaw et al., 2018; Torre and Mora, 2018),
67 but continue to occur with variable frequency in later time periods (McNabb and Cole, 2015)
68 and may be especially prevalent in eastern Asian (Li et al., 2021). Although formal tool types
69 are commonly used to describe such early Acheulean assemblages, many workers now see a
70 continuum of morphological variation (Presnyakova et al., 2018; Duke et al., 2021; Kuhn, 2021)
71 including the possibility that simple flake production remained an important (Shea, 2010) or
72 even primary (Moore and Perston, 2016) purpose of reduction.

73 Typologically, LCTs are differentiated from Mode 1 pebble cores on the basis of size (>10cm)
74 and shape (elongation and flattening) (e.g., Isaac, 1977). This consistent production of large,
75 flat, and elongated cores in the Achuelean has long been thought to reflect the pursuit of desired
76 functional and ergonomic properties for hand-held cutting tools (Wynn and Gowlett, 2018). Un-
77 planned flaking can sometimes produce cores that fall into the LCT shape range (Moore and
78 Perston, 2016) and this is one possible explanation of the relatively small “protobifaces” that
79 occur in low frequencies in Oldowan assemblages (Isaac and Isaac, 1997). However, the Early

80 Acheulean is clearly distinguished from the Oldowan by the production of larger artifacts ne-
81 cessitating the procurement and exploitation of larger raw material clasts. Although studies of
82 handaxe variation often focus on shape rather than size, this shift is an important aspect of arti-
83 fact design with relevance to both production and function.

84 Production of larger tools was accomplished either through a novel process of detaching and
85 working Large Flake Blanks (LFBs) from boulder cores or simply by using larger cobble and slab
86 cores (Isaac, 1969; Semaw et al., 2018; Torre and Mora, 2018). Both may involve similar flaking
87 “strategies” (e.g., bifacial or multifacial exploitation) to those present in the Oldowan (Duke et
88 al., 2021) but require more forceful percussion to detach larger flakes. This increases the percep-
89 tual motor difficulty of the task (Stout, 2002) and in many cases may have been accomplished
90 using different percussive techniques and supports (Semaw et al., 2009). These new challenges
91 would have increased raw material procurement (Shea, 2010) and learning costs (Pargeter et
92 al., 2019) as well as the risk of serious injury (Gala et al., 2023) associated with tool production.
93 This strongly implies intentional pursuit of offsetting functional benefits related to size increase.
94 These likely included tool ergonomics and performance (Key and Lycett, 2017) as well as flake
95 generation, resharpening, and reuse potential (Shea, 2010). Early Acheulean LCT production is
96 thus widely seen as a part of shifting hominin behavioral ecological strategies including novel
97 resources and mobility patterns (Rogers et al., 1994; Linares Matás and Yravedra, 2021).

98 The degree of intentional design reflected in the shape of Early Acheulean LCTs is more diffi-
99 cult to determine. For example, LFB production using a simple “least effort” bifacial/discoidal
100 strategy will tend to generate predominantly elongated (side or end struck) flakes (Toth, 1982)
101 whether or not this is an intentional design target. Similarly, the difficulty of flaking relatively
102 spherical cobbles (Toth, 1982) might bias initial clast selection and subsequent reduction toward
103 flat and elongated shapes even in the absence of explicit design targets. On the other hand, it has
104 been argued that the shape of Early Acheulean LFBs was intentionally predetermined using core
105 preparation techniques (Torre and Mora, 2018) and many researchers perceive efforts at inten-
106 tional shaping in the organization of flake scars on Early Acheulean handaxes and picks (Semaw
107 et al., 2009; Lepre et al., 2011; Beyene et al., 2013; Diez-Martín et al., 2015; Torre and Mora, 2018;
108 Duke et al., 2021). To date, however, the identification of Early Acheulean shaping has gener-
109 ally relied on qualitative assessment by lithic analysts. Such assessment may in fact be reliable,
110 but is subject to concerns about potential selectivity, bias, and/or overinterpretation (Davidson,

¹¹¹ 2002; Moore and Perston, 2016). Notably, a 3-dimensional morphometric (3DGM) study by Pres-
¹¹² nyakova, et al. (2018) concluded that LCT shape variation in the Okote Member (~1.4 mya) at
¹¹³ Koobi Fora was largely driven by reduction intensity rather than different knapping strategies.
¹¹⁴ However, this study did not directly address the presence/absence of design targets constraining
¹¹⁵ the observed range of variation.

¹¹⁶ In later Acheulean contexts, reduction intensity effects are commonly equated with resharpening and seen as an alternative to intentional form imposition (McPherron, 2000). Across
¹¹⁷ heavily-worked and relatively standardized LCT assemblages (e.g., Shipton and White, 2020),
¹¹⁸ a *lack* of association between morphology and reduction intensity has been used as an argument-
¹¹⁹ by-elimination for the presence of imposed morphological norms or “mental templates” (Ship-
¹²⁰ ton and Clarkson, 2015a; García-Medrano et al., 2019; Shipton et al., 2023). However, in the
¹²¹ less heavily-worked and more heterogeneous assemblages typical of the early Acheulean (Kuhn,
¹²² 2021), it is equally plausible that increasing reduction intensity would reflect degree of primary
¹²³ reduction rather than subsequent resharpening (Archer and Braun, 2010). In this case, reduction
¹²⁴ intensity effects on morphology would have the opposite interpretation: more reduction should
¹²⁵ result in closer approximation of a desired form if such were present. For example, Beyene, et
¹²⁶ al. (2013) found that increasing flake scar counts were associated with increasing handaxe re-
¹²⁷ finement through time at Konso, Ethiopia, which may reflect a more general trend in the African
¹²⁸ Acheulean (Shipton, 2018).

¹³⁰ Interpretive approaches address this quandary by “reading” the organization of scars on individ-
¹³¹ ual pieces to infer intent, but an adequate method to objectively quantify these insights has yet
¹³² to be developed. Current measures of reduction intensity, such as the scar density index (SDI)
¹³³ (Clarkson, 2013), are designed to estimate total mass removed from a core and are reasonably ef-
¹³⁴ fective (Lombao et al., 2023). However, mass removal was not the objective of Paleolithic flaking.
¹³⁵ Indeed, knapping efficiency is usually conceived as generating an outcome while *minimizing*
¹³⁶ required mass removal. This is true whether the desired outcome is a useful flake, a rejuvenated
¹³⁷ edge, or a particular core morphology. In simple flake production, mass removed is probably
¹³⁸ a good reflection of the completeness of exploitation (“exhaustion”) of cores and may have im-
¹³⁹ plications for required skill (Toth, 1982; Pargeter et al., 2023) as well as raw material economy
¹⁴⁰ (Shick, 1987; Reeves et al., 2021). However, in core shaping and resharpening, mass removal
¹⁴¹ would typically represent an energetic and raw material cost to be minimized, and might even

¹⁴² interfere with function (Key, 2019). Without further information, relationships between artifact
¹⁴³ shape and reduction intensity are thus open to conflicting interpretations as evidence of inten-
¹⁴⁴ tional design or its absence.

¹⁴⁵ Li, et al. (2015) proposed a Flaked Area Index (FAI) as an alternative to SDI as a measure of reduc-
¹⁴⁶ tion intensity, arguing that its validity is supported by an observed correlation ($r=0.424$) with SDI.
¹⁴⁷ However, they also explain that “flaked area does not necessarily relate to the number of flake
¹⁴⁸ scars... a small number of large scars can produce a large area of scar coverage, and conversely,
¹⁴⁹ a large number of small scars can produce a small area of scar coverage.” (p. 6). We suggest that
¹⁵⁰ what FAI actually captures is the spatial extent modifications to the surface of a core. It is thus
¹⁵¹ complementary to the measure of volume reduction provided by SDI and provides additional
¹⁵² information to inform technological interpretations. For example, a correlation between FAI
¹⁵³ and artifact form without any effect of SDI would suggest a focus on “least-effort” shape impo-
¹⁵⁴ sition whereas the opposite pattern would be consistent with relatively intense resharpening of
¹⁵⁵ spatially restricted areas on the core. A lack of shape correlation with either measure would be
¹⁵⁶ expected for simple debitage with no morphological targets whereas a strong correlation with
¹⁵⁷ both would indicate a highly “designed” form achieved through extensive morphological and
¹⁵⁸ volumetric transformation. (Figure 1) In the current study we thus considered SDI and FAI to-
¹⁵⁹ gether in order to evaluate evidence of intentional shaping in the early Acheulean of Gona.

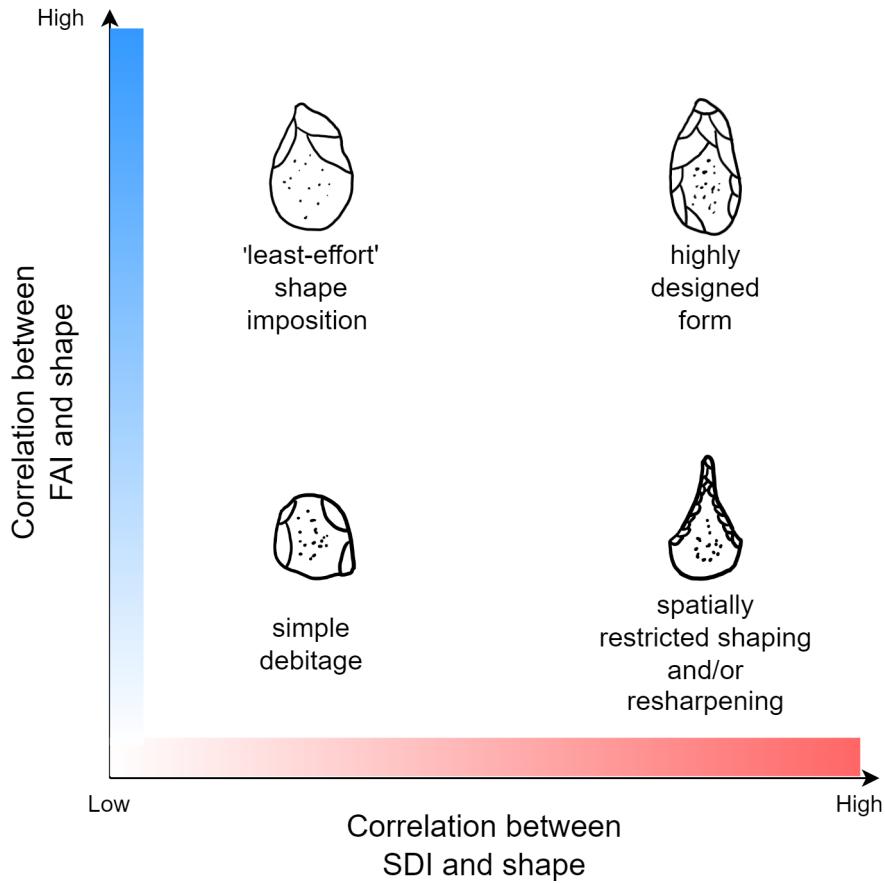


Figure 1: A conceptual model of inferring intention from artifact morphology.

160 1.2 Measuring artifact form and modification

161 Three-dimensional scanning and geometric morphometric (3DGM) methods are increasingly
 162 common in the study of LCT form and reduction intensity (Lycett et al., 2006; Archer and Braun,
 163 2010; Li et al., 2015, 2021; Shipton and Clarkson, 2015a; Presnyakova et al., 2018; Caruana, 2020).
 164 These methods provide high-resolution, coordinate-based descriptions of artifact form includ-
 165 ing detailed information about whole object geometric relations that is not captured by con-
 166 ventional linear measures (Shott and Trail, 2010). This includes measures of surface area used
 167 to compute both SDI and FAI measures (Clarkson, 2013; Li et al., 2015). At the time of writing,
 168 however, 3D scans are available for only a small number of Gona artifacts, including 33 of the
 169 Oldowan and Acheulean flaked pieces used in this study (Figure 2). Despite continuing improve-
 170 ments, 3DGM methods still impose additional costs in terms of data collection and processing
 171 time as well as required equipment, software, and training. Importantly, 3DGM methods cannot

¹⁷² be applied to pre-existing photographic and metric data sets (e.g., [Marshall et al., 2002](#)), includ-
¹⁷³ ing available data from Gona. For this reason, and to better understand the relative costs and
¹⁷⁴ benefits of 3DGM more generally, we sought to test the degree to which conventional measure-
¹⁷⁵ ments can approximate 3DGM methods and produce reliable results by directly comparing our
¹⁷⁶ conventional measures with 3DGM analysis of the 33 available scans.

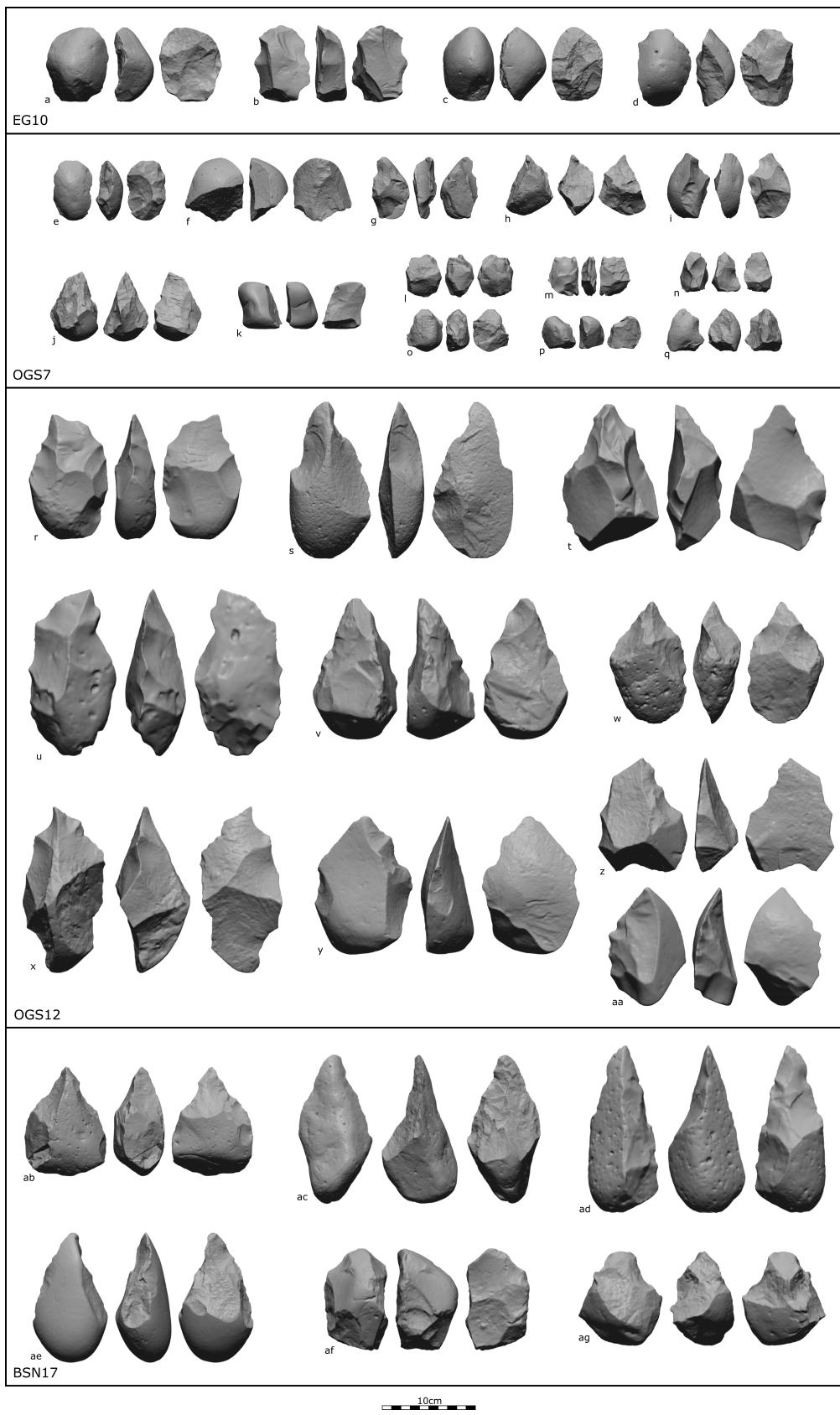


Figure 2: 3D Artifact scans from Gona used in this study.

177 For our study, we are specifically concerned with the accurate description of morphological vari-
178 ation and estimation of artifact surface and flaked areas. With respect to morphology, we were
179 encouraged by the fact that aspects of form variation relevant to our research question (i.e.,
180 core elongation, flattening, and pointedness) are relatively simple to describe using sparse data.
181 3DGM studies of LCTs collect vast amounts of shape data but may discard upward of 50% of the
182 observed variation in order to focus on two or three interpretable principal components. Across
183 studies, these PCs consistently correspond to basic features like elongation, relative thickness,
184 pointedness, and position of maximum thickness that also emerge from lower-resolution spatial
185 data (Lycett et al., 2006; Archer and Braun, 2010; García-Medrano et al., 2019) and studies em-
186 ploying linear measures rather than spatial coordinates (Crompton and Gowlett, 1993; Pargeter
187 et al., 2019). There is less evidence that conventional methods can accurately estimate artifact
188 surface and flaked areas. Clarkson (2013) advocated the use of 3D surface area measures as more
189 accurate than estimation from linear measures (e.g., surface area of a rectangular prism defined
190 by artifact dimensions). However, he also found that the error introduced by the linear approach
191 was a highly systematic, isometric overestimation of surface area and that results correlated with
192 direct 3D measures with an impressive $r^2 = 0.944$ and no effect of variation in core shape. Insofar
193 as it is variation in the relationship between surface area and flaking intensity that is of inter-
194 est, rather than absolute artifact size, such systematic overestimation may not be problematic.
195 Similar concerns apply to the estimation of flaked area. Traditionally, such estimates have been
196 done “by eye” as a percentage of the total artifact surface (e.g., Dibble et al., 2005). Such estima-
197 tions have been found to be reasonably accurate when compared to 3D methods, but with the
198 potential for substantial error on individual artifacts (Lin et al., 2010). The accuracy of by-eye
199 estimation has yet to be systematically studied in Early Stone Age cores like those from Gona.

200 1.3 The Early Acheulean at Gona

201 Early Acheulean sites in the Gona Project area ([Figure ??](#)) are distributed over a wide area within
202 the Dana Auole North (DAN), Ounda Gona South (OGS), and Busidima North (BSN) drainages in
203 the Busidima Formation ([Quade et al., 2004](#)) and range in age from approximately 1.7 to 1.2 mya
204 ([Semaw et al., 2018](#)). The specific sites included in the current analysis are DAN-5, OGS-5, OGS-
205 12, and BSN-17, all estimated to ca. 1.7 – 1.4 mya by stratigraphic position in the Gona sequence
206 ([Quade et al., 2008; Semaw et al., 2020](#)). The Busidima Formation accumulated through fluvial

207 deposition by the ancestral Awash River (Type I context) and its smaller tributary channels (Type
208 II context) (Quade et al., 2004, 2008). Oldowan sites at Gona all occur in Type I sediments, in-
209 dicating channel bank/margin (OGS-7) or proximal floodplain (EG-10, EG-12) contexts close to
210 the large, hetero-lithic clasts available from point bars in the axial river channel (Quade et al.,
211 2004; Stout et al., 2005). Acheulean sites continue to occur in Type I contexts (BSN-17, DAN-5)
212 but are also found in Type II sediments (OGS-5, OGS-12,) reflecting increased utilization of large
213 perennial tributaries to the ancestral Awash River (Quade et al., 2008). Clasts locally available in
214 these tributaries were relatively small, implying that the large flakes and cobbles used to produce
215 Acheulean artifacts were initially sourced from the axial river. A similar pattern of habitat diver-
216 sification and increasing lithic transport distances has been described at other sites and may
217 be typical of the early Acheulean (Hay, 1976; Rogers et al., 1994; Linares Matás and Yravedra,
218 2021). As with other early (i.e. >1.0 mya (Stout, 2011; Presnyakova et al., 2018)) Acheulean as-
219 semblages, the Gona collections include numerous “crudely made” handaxes and picks on large
220 flake blanks and cobbles, as well as large (> 10cm) unmodified flakes, flaked pieces interpreted
221 typo-technologically as Mode 1 cores (see Figure 1af), and smallerdebitage (Semaw et al., 2018;
222 Semaw et al., 2020).

223 2 Materials and Methods

224 2.1 Materials

225 2.1.1 Archaeological Sample

226 Artifact collections analyzed here include *in situ* pieces excavated from intact stratigraphic con-
227 texts and surface pieces systematically collected from the sediments eroding from these layers.
228 Surface pieces are included because the current technological analysis does not require more
229 precise spatial association, stratigraphic, and chronological control. Our sample comprises the
230 total collection of flaked pieces (Isaac and Isaac, 1997) and large (>10 cm) detached pieces from
231 each site, regardless of typo-technological interpretation.

²³² **2.2 Methods**

²³³ **2.2.1 Artifact Classification**

²³⁴ Artifacts were classified according to initial form (pebble/cobble, detached piece, or indeterminate), presence/absence of retouch, technological interpretation (“Mode 1” core vs. “Mode 2” LCT), and archaeological context (Oldowan vs. Early Acheulean sites). LCTs were additionally classified as handaxes, knives, or picks following definitions from Kleindienst (1962). The validity of technological interpretations and typological classifications was assessed through cluster analysis based on artifact shape and reduction intensity variables.

²⁴⁰ **2.2.2 Artifact Measurement**

²⁴¹ Conventional linear measures capture the direction (e.g., length > breadth) but not the location of geometric relations (e.g., position of maximum breadth). We address this by collecting linear measures defined by homologous semi-landmarks. All artifacts were oriented along their maximum dimension, which was measured and defined as “length”. The next largest dimension orthogonal to length was used to define the plane of “breadth”, with the dimension orthogonal to this plane defined as “thickness” Width (W_1, W_2, W_3) and thickness (T_1, T_2, T_3) measures were then collected at 25%, 50%, and 75% of length, oriented so that 25% Width > 75% Width.

²⁴⁸ To partition variation in shape from variation in size, we divided all linear measures by the geometric mean (Lycett et al., 2006). GM-transformed variables were then submitted to a Principal Components Analysis (covariance matrix) to identify the main dimensions of shape variation.

²⁵¹ Our semi-landmark measurement system allowed us to improve on the prism-based surface area formula ($2LW + 2LT + 2WT$) by using our 7 recorded dimensions to more tightly fit three prisms around the artifact: $SA = W_1T_1 + 2(.33L * W_1) + 2(.33L * T_1) + 2(.33L * W_2) + 2(.33L * T_2) + 2(.33L * W_3) + 2(.33L * T_3) + W_3T_3$. Surface area calculated in this way correlates with mass^{2/3} at $r^2 = 0.947$ in our sample. Calculated surface area was then used to derive the Scar Density Index: SDI = number of flake scars > 1cm per unit surface area (Clarkson, 2013; Shipton and Clarkson, 2015b). The Flaked Area Index (FAI: flaked area divided by total surface area) (Li et al., 2015) was estimated directly “by eye” as a percentage of the total artifact surface.

²⁵⁹ To assess the adequacy of shape descriptions based on our linear measures, we directly com-

260 pared these with shape as quantified by 3D methods on the 33 artifacts for which scans are avail-
261 able. GM-transformed linear measures from these 33 artifacts were submitted to a variance-
262 covariance matrix PCA. PCs with an eigenvalue greater than the mean were retained for analysis
263 and the results compared qualitatively (morphological interpretation of PCs) and quantitatively
264 (correlation of artifact factor scores) to 3D results. Accuracy of surface area and flaked area esti-
265 mates was also assessed by correlation with 3D results.

266 **2.2.3 3D Methods**

267 3DGM analysis was conducted using the *AGMT3-D* program of Herzlinger and Grosman (2018).
268 Artifacts were automatically oriented according to the axis of least asymmetry, then manually
269 oriented following the same orthogonal conventions described in section 2.3. Then, a grid of 200
270 homologous semi-landmarks were overlain on each artifact's surface. Generalized Procrustes
271 and Principal Component analyses were then undertaken to explore the shape variability of
272 the sample. The surface area of each artifact was calculated using the *Artifact3-D* program of
273 Grosman et al. (2022). *Artifact3-D* was also used to automatically identify the flake scar bound-
274aries and compute each scar's surface area, using the scar analysis functions of Richardson et al.
275 (2014).

276 **3 Results**

277 **3.1 Measurement Validation**

278 A PCA on GM transformed linear measures of the 33 artifacts identified two PCs accounting for
279 75.6% of the variance. Linear-PC1 (Eigenvalue = 0.189) explained 55.2% of the variance. Factor
280 loadings (**Table 1**) for Linear-PC1 reflect artifact elongation (i.e., an anti-correlation of length
281 vs. distal width and thickness). This A PCA on GM transformed linear measures the 33 arti-
282 facts identified two PCs accounting for 75.6% of the variance. Linear-PC1 (Eigenvalue = 0.189)
283 explained 55.2% of the variance. Factor loadings (**Table 1**) for Linear-PC1 reflect artifact elonga-
284 tion (i.e., an anti-correlation of length vs. distal width and thickness). This closely parallels the
285 length vs. width and thickness tradeoff captured by 3DGM-PC1 (**Figure 3**) and is reflected in a
286 tight correlation of artifact scores produced by the two PCs ($r = 0.903$, $p < 0.001$, **Figure 4A**). A sec-

Table 1: Component loadings for linear metric PCs on scanned sample.

Linear.metrics..GM.transformed.	Linear.PC1	Linear.PC2
Length	0.989	-0.107
W1	0.303	0.350
W2	0.403	0.767
W3	-0.176	0.790
T1	-0.135	-0.679
T2	-0.369	-0.623
T3	-0.607	-0.282

287 ond factor (Linear-PC2, eigenvalue = 0.07) explained an additional 20.4% of variance. This factor
 288 was less strongly correlated with its 3DGM counterpart (3DGM-PC2; $r = 0.344$, $p = 0.050$) prob-
 289 ably because Linear-PC2 describes anticorrelated variation in width and thickness (i.e., broad
 290 and flat vs. thick and pointed; **Table 1**) whereas 3DGM-PC2 more purely isolates convergence
 291 (**Figure 3**). The remainder of the shape variability explained by Linear-PC2 is captured by higher
 292 order 3DGM-PCs 3 through 5, which comprise the contribution of the left and right lateral mar-
 293 gins to relative thickness. Use of high-resolution, coordinate-based scan data thus generates
 294 PCs that identify more specific shape attributes, but the underlying morphological variability
 295 captured by the linear and 3D analyses remains similar. Together, 3DGM-PC2 ($r = 0.344$, $p =$
 296 0.050), 3DGM-PC3 ($r = -0.416$, $p = 0.016$), 3DGM-PC4 ($r = 0.458$, $p = 0.007$), and 3DGM-PC5 ($r =$
 297 -0.352 , $p = 0.044$) correlate well with Linear-PC2, cumulatively capturing whether the items are
 298 broad and flat or thick and pointed. A stepwise regression ($r^2=0.625$, $F(4,28)=11.697$, $p<0.001$,
 299 Probability-of-F-to-enter ≤ 0.050 ; Probability-of-F-to-remove ≥ 0.100) with Linear-PC2 as the
 300 dependent variable retained all four of these 3DGM-PCs as significant predictors.

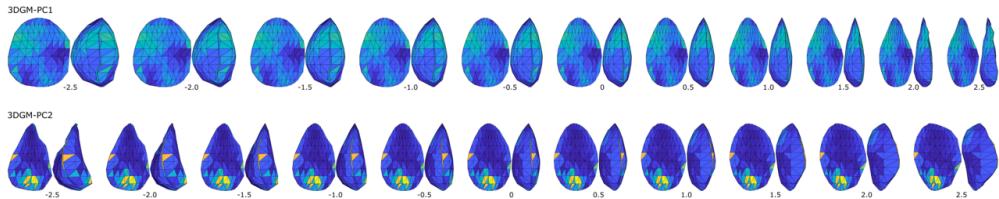


Figure 3: 3D models displaying the first two PCs.

Table 2: Component loadings for linear metric PCs in the full sample.

Linear.metrics..GM.transformed.	PC1	PC2
Length	0.905	-0.414
W1	0.635	0.299
W2	0.680	0.571
W3	0.486	0.761
T1	-0.510	-0.526
T2	-0.684	-0.525
T3	-0.719	0.023

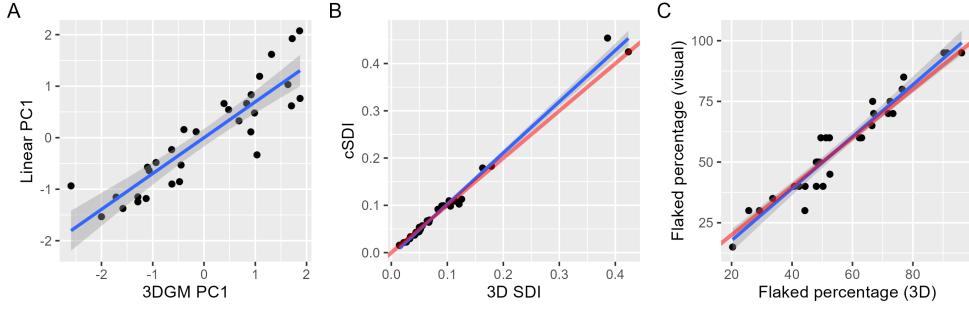


Figure 4: Comparison between linear measurement and 3d measurement.

301 We thus concluded that linear measures are adequate to capture relevant shape variation and
 302 proceeded with a PCA on our full sample. We identified two PCs accounting for 80.0% of the
 303 variance. PC1 (Eigenvalue = 0.216) explained 56.4% of the variance. Factor loadings (**Table 2**)
 304 for PC1 reflect artifact flatness (i.e., an anti-correlation of length and width vs. thickness) such
 305 that higher values indicate relatively thinner pieces. PC2 (Eigenvalue = 0.090) explained 23.6%
 306 of the variance. Factor loadings (**Table 2**) show that PC2 captures artifact convergence or “point-
 307 edness” (i.e., an anti-correlation of tip width with length and butt thickness) such that higher
 308 values indicate shorter, less pointed forms.
 309 We also tested the validity of our two reduction measures, SDI and FAI. In agreement with Clark-
 310 son (2013), we found that surface area estimated from caliper measures displayed a strong corre-
 311 lation with ($r^2=0.975$, $p < 0.001$) but linear over-estimation of ($\beta = 1.58$) 3D surface area. This re-
 312 sults in a systematic underestimation of SDI that scales with core size. However, a simple correc-
 313 tion of the caliper estimate (dividing by the slope, 1.58) eliminates surface area over-estimation
 314 (mean difference = $256mm^2$ [$<1.7\%$ of mean], $p=0.040$) and produces SDI values that agree with
 315 3D values ($r^2=0.975$, $p < 0.001$, $\beta = 0.98$) (**Figure 4B**). We thus proceeded to apply this correction

³¹⁶ to surface area estimates in the full sample. Insofar as these relationships are driven by basic
³¹⁷ geometry, we expect these methods (including correction) to be generalizable to other ESA as-
³¹⁸ semblages.

³¹⁹ Visual estimation of flaked area approximated 3DGM measurement very closely ($r^2=0.932$, $p <$
³²⁰ 0.001 , $\beta = 1.051$; **Figure 4C**) and without any systematic bias (paired t-test: mean difference =
³²¹ -0.015% , 95% CI = 2.02% to 1.99% , $p = 0.987$). Individual errors ranged between -10.46% and
³²² 14.24% . We thus considered visual estimation to be reliable in our sample.

³²³ 3.2 Classification Validation

³²⁴ We first conducted a stepwise DFA on all flaked pieces ($n=192$) with inferred technological Mode
³²⁵ (one vs. two) as the grouping variable and PCs 1 and 2, corrected SDI (cSDI), and FAI as the in-
³²⁶ dependent variables. All variables were retained, yielding one canonical DF (eigenvalue=1.825,
³²⁷ Wilks Lambda = 0.354, $p < 0.001$) which correctly classified 93.8% of artifacts. We thus accepted
³²⁸ the validity of classification by Mode in our sample and employed this distinction in subse-
³²⁹ quent analyses. There was no discernable difference in discriminant scores for Mode 1 cores
³³⁰ from Oldowan ($n=37$) vs. Acheulean ($n=39$) contexts (, $p = 0.746$). Mode 1 cores from Oldowan
³³¹ contexts ($n=37$) do include 10 (27%) small, retouched flakes. Only one retouched flake from an
³³² Acheulean context was classified by the DFA as a Mode 1 core. This piece, typologically classified
³³³ as a “knife”, is the smallest (93mm) retouched flake in the Acheulean sample. When retouched
³³⁴ flakes are excluded from the comparison, there are no significant differences in shape between
³³⁵ Mode 1 cores from Oldowan and Acheulean contexts. Interestingly, however, Acheulean Mode
³³⁶ 1 cobble-cores are much larger (mean weight 480g vs. 186g, Cohen's $d=1.137$, $p < 0.001$) and less
³³⁷ heavily reduced (mean cSDI 0.057 vs. 0.103, Cohen's $d = -0.884$, $p < 0.001$) despite having similar
³³⁸ FAI (mean 0.52 vs. 0.46, Cohen's $d=0.271$, $p=0.266$).

³³⁹ Next, we conducted a stepwise DFA on all flaked Mode 2 pieces (i.e. excluding unmodified large
³⁴⁰ flakes, $n = 115$) with typology (handaxe, pick, knife) as the grouping variable and the same four
³⁴¹ independent variables. Both shape PCs and FAI were retained, while cSDI was not entered. This
³⁴² produced two DFs (DF1: Eigenvalue=1.536, 91.3% of variance; DF2: Eigenvalue = 0.146, 8.7% of
³⁴³ variance; Wilks Lambda = 0.344; $p < 0.001$) which correctly classified 71.3% of artifacts. Inspec-
³⁴⁴ tion of DF coefficients shows that DF1 captures an inverse correlation between flaked area and
³⁴⁵ pointedness (Linear-PC2) whereas DF2 captures a positive relationship between flaked area and

346 elongation (Linear-PC2). A bivariate plot (Figure 5) illustrates the fact that DF1 captures a range
347 of variation from pointed, heavily-worked picks, through handaxes, to knives, with substantial
348 overlap between adjacent types (Table 2). As an intermediate type, “handaxes” were correctly
349 classified only 43.9% of the time. In agreement with others (Presnyakova et al., 2018; Duke et al.,
350 2021; Kuhn, 2021) we conclude that these typological labels artificially partition a continuum of
351 variation and abandon them in subsequent analyses.

352 3.3 Effects of Reduction on Shape

353 To assess the influence of flake removals on core form, we examined the association between our
354 reduction measures (cSDI and FAI) and core shape (PC1, PC2). In the complete sample of flaked
355 pieces (n=192), we observed weak but significant effects of cSDI ($r=-0.294$, $p < 0.001$) and FAI
356 ($r=-0.294$, $p < 0.001$) on PC1(flatness) and of FAI only on PC2(pointedness) ($r=-0.436$, $p < 0.001$).
357 However, it is clear that these overall effects conflate different trends in Mode 1 vs. Mode 2 cores,
358 as well as in cores executed on flake vs. pebble/cobble bases. Within categories, we observed no
359 significant effects of cSDI whereas FAI had variable relationships with core form.

360 In Mode 1 cores, a weak negative effect of FAI on PC2(pointedness) ($n = 76$, $\beta = -0.006$, $r=0.240$,
361 $p = 0.037$) suggests that increasing extent of modification tends to slightly increase pointedness
362 (Figure 6a). No effects of FAI on PC1 (flatness) or of SDIc on either PC approach significance. FAI
363 and SDIc are moderately correlated ($\beta=0.001$, $r=0.524$, $p < 0.001$), an effect that reflects increases
364 in the upper limit of scar density values as flaked area increases (i.e., flaked area constrains max-
365 imum cSDI: Figure 6b).

366 In Mode 2 cores ($n=115$), reduction measures have different effects depending on initial blank
367 form. In general, the contrast between flat, lightly-worked flake bases and rounder, more-heavily
368 worked cobble bases tends to inflate relationships between FAI and core shape (Figure 5). Mode
369 2 cobble cores ($n=37$) display a negative effect of FAI on PC1(Flatness) ($\beta=-0.011$, $r=0.367$, $p =$
370 0.026), no effect of FAI on pointedness, and no association between FAI and cSDI ($r=0.141$, $p =$
371 0.406). Flake cores ($n=69$) show negative effects of FAI on PC1(Flatness) ($\beta=-0.007$, $r=0.284$, $p =$
372 0.018) and, more strongly, PC2(pointedness) ($\beta=-0.019$, $r=0.443$, $p < 0.001$). Comparison of Mode
373 2 flake cores with unmodified large flakes from Acheulean contexts ($n=35$) shows that ranges of
374 shape variation substantially overlap but that flaking generally reduces both PC1 and PC2. In
375 fact, regressions of PC1 and PC2 on FAI show y-intercepts that closely approximate unmodified

376 flake mean values (0.852 vs. 0.774 and 0.693 vs. 0.594, respectively) and significantly negative
 377 slopes as FAI increases (**Figure 6**). Flake cores show a small but significant positive effect of
 378 FAI on cSDI that, in contrast to Mode 1 cores, represents actual covariance rather than simply a
 379 relaxation of constraint (i.e. both upper and lower limits of cSDI increase with FAI).

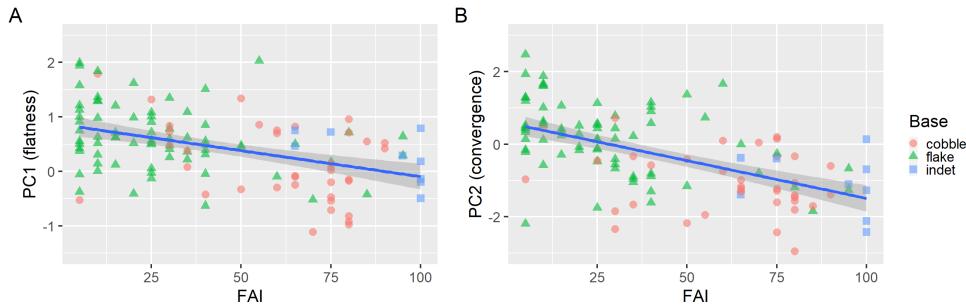


Figure 5: Mode 2 trends across blank types.

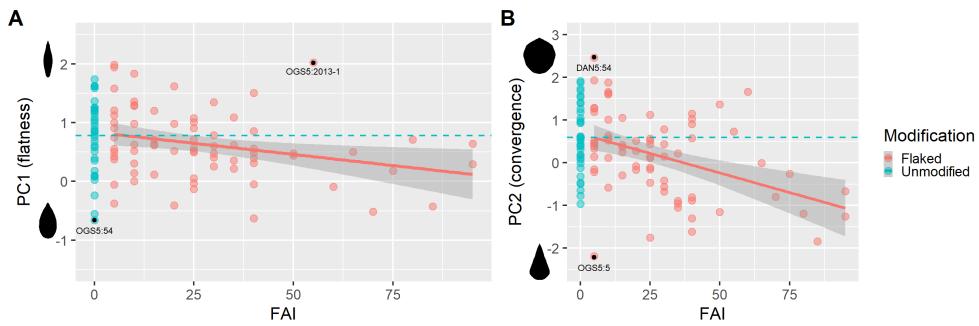


Figure 6: Effect of FAI compared to unmodified large flakes from Acheulean contexts.

380 A small number of Mode 2 cores (n=9) were so heavily modified (mean FAI=89%, range 65-100)
 381 that the blank form could not be determined. These heavily worked “indeterminate” cores tend
 382 to show similar PC1 (flatness) and PC2 (pointedness) values to cobble-base cores (i.e. thicker
 383 and more pointed than flake cores) and follow general trends of shape change with increasing
 384 FAI observed across base types (**Figure 5**).

385 4 Discussion

386 Our analyses of flaked pieces from Oldowan and Early Acheulean contexts at Gona support the
 387 presence of two distinct reduction modes (1 and 2) that can be reliably discriminated using mea-
 388 sures of artifact shape, flaking intensity, and extent of surface modification. However, they fail

389 to support further sub-division between conventional Mode 2 tool types (handaxe, pick, knife).
390 Following criteria proposed in the Introduction, we find strong evidence of imposed form in the
391 retouched large flakes from Acheulean contexts at Gona, weak evidence of shaping in Mode 2
392 cobble cores from Acheulean contexts, and little to no evidence of shaping in Mode 1 cores from
393 both Acheulean and Oldowan contexts. Our framing of this research question and its proposed
394 test criteria follows the preponderance of archaeological literature in conceptualizing artifact
395 design as a binary presence/absence of intentionally imposed form (Dibble et al., 2017). How-
396 ever, we will now argue that our results are better interpreted as reflecting variation in the de-
397 gree and nature of design expressed by ESA toolmakers. This shift in perspective recognizes that
398 the purposeful production of desired morphological and functional features can be achieved
399 by different combinations of raw material selection, blank production, and flaking strategies,
400 including the preservation of function through patterned changes in morphology (Kuhn, 2021).

401 **4.1 Large Flakes**

402 As we argued in the Introduction, the systematic production of large flakes indicates attention to
403 artifact size as a desired design feature. Many of these flakes would have possessed substantial
404 lengths of sharp edge and could have been immediately useful as cutting tools without further
405 retouch. In fact, 33% of the large flakes in our total sample are unretouched. Notably, this is also
406 true of the sub-sample from sites (OGS-5 and OGS-12) located in Type II, “tributary” sediments
407 (29 of 82 unmodified, 35%). This likely reflects transport and discard of unmodified flakes from
408 production sites closer to the axial river system sources of large clasts, consistent with the idea
409 that such flakes were themselves treated as useful tools. We consider the alternative possibility,
410 that unmodified flakes were unintended byproducts of local LCT production from transported
411 boulder cores, to be less likely considering the energetic inefficiency of this strategy as well as the
412 absence of large boulder cores in the assemblages (but see discussion of LCTs on cobble cores
413 below).

414 Whether or not unmodified flakes were themselves desired “end products”, a majority of large
415 flakes in the sample were retouched to some extent. Our analyses indicate that this flaking was
416 performed in a manner that produced systematic and directional shape changes. Specifically,
417 increasing FAI is associated with progressive reduction in relative breadth (increasing relative
418 thickness and elongation; PC1) and increasing convergence (PC2). In contrast, variation in cSDI

419 is not associated with artifact shape. These effects of surface modification extent but not re-
420 duction intensity indicate that it is the size and non-overlapping placement of flake removals
421 that drives directional shape change, rather than the removal of mass in general. The observed
422 correlation of cSDI with FAI further indicates that each new scar tends to remove additional un-
423 flaked area, consistent with the observed pattern of small numbers of relatively large and spa-
424 tially distributed flake removals. This clearly differs from classic re-sharpening models, which
425 emphasize shape change with decreasing artifact size as a byproduct of concentrated and re-
426 peated mass removal from particular areas of the artifact, such as working edges (Dibble, 1987;
427 McPherron, 2003). It also clearly differs from the expected effects of un-patterned debitage on
428 flake blanks, which does tend to increase relative thickness but also decreases elongation and is
429 not associated with convergence (Moore and Perston, 2016).

430 It is possible that the observed pattern reflects the intentional pursuit of an explicit morpholog-
431 ical target or “mental template.” This is generally seen as a strong claim implying rich mental
432 representation and manipulation of spatial features (Wynn, 2002), cultural mechanisms for the
433 intersubjective sharing of ideas of “appropriate” form (Wynn, 1995), and social reproduction of
434 the skills required to reliably achieve these forms (Liu et al., 2023). If there is such a target, how-
435 ever, it would seem to be a very loose one considering the observed range of shape variation in
436 retouched flakes (Figure 5). A more cognitively and culturally “lean” interpretation is that the
437 pattern reflects a procedural and/or functional bias toward establishing (or rejuvenating origi-
438 nal) cutting edges at one end of the long axis. In the absence of effective thinning and volume
439 management techniques, such a bias would naturally lead to narrowing/thickening of the piece
440 overall and especially at the “tip,” as is seen in our sample. This bias might itself be a socially
441 learned behavioral convention or “habit” (sensu Isaac, 1986), but could plausibly emerge di-
442 rectly from individual responses to the morphology of large flake blanks. Such flakes are typi-
443 cally elongated and thus present: 1) two longer edges along the maximum dimension that are
444 a natural focus for retouch, and 2) an ergonomic polarity defined by a thick (platform and per-
445 cussion bulb) “butt” vs. a feather terminated “tip” (cf. Key et al., 2016; Wynn and Gowlett, 2018).
446 Even with this minimal interpretation, however, we would argue that LFB flaking strategies at
447 Gona present evidence of design in the sense of being intended to produce and/or maintain de-
448 sired functional features of the LCT. This contrasts with patterns observed in cores classified as
449 Mode 1 in our analyses which, as expected, appear to reflect simple debitage without intended
450 morphological targets.

451 **4.2 Mode 1 Cores**

452 A Discriminant Function Analysis strongly supported the presence of two distinct morpholog-
453 ical types in our sample (based on overall shape and flake scar characteristics), which we had
454 previously typo-technologically interpreted as reflecting Mode 1 (debitage) and 2 (façonnage)
455 flaking strategies. Consistent with this interpretation, cores assigned to Mode 1 showed little or
456 no evidence of core shape management. One potential exception is an unexpected tendency of
457 increasing FAI to be associated with increasing convergence. However, this effect is an order of
458 magnitude weaker than that seen in LFB Acheulean cores and may be an artifact of our orient-
459 ing protocol, which aligns cores such that width at 25% length is always less than width at 75%
460 length. If reduction tends to increase asymmetry from initially rounded cobble forms, this could
461 account for the weak convergence effect we observe. In support of this interpretation, Mode 1
462 cores display a relatively strong correlation between FAI and cSDI driven by an increase in the
463 upper bound of cSDI values as FAI increases. In other words, we find that greater flaked area
464 on Mode 1 cores can, but does not necessarily, accommodate a greater number of flake scars.
465 This is what would be expected from knapping unconstrained by any systematic core-shaping
466 strategy.

467 The presence (n=14) of relatively large (> 100mm) Mode 1 cores at Gona Acheulean sites raises
468 the further possibility that at least some of these might be depleted cores from LFB production.
469 However, none of these display scars > 90mm (mean = 51.8 mm), most are very lightly reduced
470 (mean = 5.7 scars), and none meet the 150mm length cut-off used by Sharon (2009) to identify
471 “large” cores suitable for LFB production. The largest Mode I core in our sample is 137 mm long,
472 has five scars ranging from 25- 85 mm long, and an FAI of 25%. We thus consider it unlikely
473 that large Mode I cores from Acheulean contexts were used for LFB production. The behavioral
474 significance of relatively large, lightly-worked Mode 1 cores in Acheulean contexts is a question
475 for future research.

476 **4.3 Mode 2 Cores**

477 Our most complicated results come from Mode 2 cobble cores. Evidence of intentional shaping
478 is limited: FAI has a moderate effect on PC1 such that increasing flaked area produces relatively
479 shorter, thicker, and narrower pieces. This violates expectations that intentional LCT shaping

480 should increase elongation and convergence. However, it also fails to align with a reported ten-
481 dency for un-patterned debitage to decrease the thickness and increase the elongation of cobble
482 cores (Moore & Perston, 2016). This may reflect the fact that Mode 2 cobble cores at Gona begin
483 and remain relatively elongated (Table 3: mean L/W = 1.68) throughout reduction compared to
484 starting (n=29, mean L/W = 1.29) and ending (mean L/W = 1.34) cobble cores in the experiment
485 of Moore and Perston (2016). The moderate trend toward thickening and shortening of cores
486 with increasing FAI might plausibly be an effect of particularly elongated initial core form. In
487 the absence of intentional thinning techniques (Stout et al., 2014) flaking around the perime-
488 ter of an oblong core would be expected to preferentially reduce length and especially breath
489 (due to the long axis providing more potential platforms, especially if knappers seek to maxi-
490 mize flake size) while preserving thickness. Along these lines, Toth (1985) reported that “roller”
491 shaped cobbles tended to produce bifacial choppers in his unstructured debitage experiments.

492 Whether or not shaping occurred, it is clear that the elongated Mode 2 cobble cores at Gona do
493 provide evidence of initial size and shape selection within the range of rounded cobbles avail-
494 able from Type 1 channels in the Busidima Formation (Quade et al., 2004). This pattern is not
495 simply an analytical artifact of classifying elongated cores as “Mode 2” while selectively omitting
496 rounded forms: the complete sample of large (≥ 750 g) cores in our sample (n=25) is dominated
497 by relatively elongated forms (median L/W = 1.66). The small number (n=5) of large, rounded
498 (L/W < 1.33) cores in the sample are also anomalous in being very lightly worked compared to
499 more elongated large cores (mean scar count = 4.2 vs. 10.45, $p < 0.001$; mean cSDI = 0.14 vs. 0.31,
500 $p = 0.002$; mean FAI = 36 vs. 65, $p = 0.016$).

501 This could reflect the intentional selection of large, elongated blanks for LCT production, as
502 has been proposed at other early Acheulean sites (Harmand, 2009; Texier, 2018; Duke et al.,
503 2021). We would characterize such selection as a design choice even in the absence of subse-
504 quent shaping. However, large-cobble shape preferences might equally reflect biases related to
505 LFB production. Geometrically, elongated cobbles afford greater potential maximum dimen-
506 sions for detached flakes than do rounder cobbles of a similar mass. Flaking along the long axis
507 of elongated cobbles enables the production of elongated, side-struck flakes (Torre and Mora,
508 2018) that are typical of the LFB Acheulean and which provide longer and more ergonomic (cf.
509 Wynn and Gowlett, 2018) cutting edges than do round flakes. Flatter and more elongated cob-
510 bles are also generally easier to open and exploit (Toth, 1982; Whittaker, 1994; Texier, 2018).

511 This is an increasingly important consideration as size increases due to the increased percus-
512 sive force needed to detach larger flakes and the challenges of supporting and positioning larger
513 cores ([Semaw et al., 2009](#)), particularly with cobbles that are large but not sufficiently massive
514 to act as stationary targets for thrown hammerstones ([Toth and Schick, 2019](#)). We thus find it
515 plausible that at least some typological picks, handaxes, and knives made on cobble cores at
516 Gona could have been by-products of large flake production rather than intended forms. Toth
517 and Schick ([2019: 741](#)) similarly note that “heavily reduced boulder cores can assume the form
518 of smallish discoids and polyhedrons [and] may not be identified as sources of large flake blanks
519 by many archaeologists”. If this is true, such cores are distinguished from classic Mode 1 cores
520 by allometric effects on raw material choice and flake placement rather than a shift to deliberate
521 shaping.

522 The possibility that some Mode 2 cobble cores at Gona might be the remains of cores used for
523 large flake production was initially suggested by informal, “experiential” knapping with Gona
524 cobbles, during which attempts at LFB production produced pick-like forms as a byproduct.
525 Consistent with this hypothesis, the mean (152.9mm) and median (156mm) length of Mode 2
526 cobble cores are both above the 150mm cut-off proposed by Sharon ([2009](#)) and the maximum
527 scar length on each Mode 2 cobble core substantially overlaps with the size range of unmodified
528 large flakes (Table 3). Importantly, this is also true of the largest retouched flakes at Gona, nine
529 of which exhibit flake scars >100mm and thus could have been used as LFB cores. This possi-
530 bility has also been suggested at Koobi Fora ([Presnyakova et al., 2018](#)) and might be relevant to
531 understanding very large LCTs on flakes at other sites (e.g., [Beyene et al., 2013](#)).

532 Nevertheless, the fact remains that the preponderance of scars on large cobble cores are from
533 the removal of smaller flakes. Although these smaller scars could record preparatory flaking for
534 LFB predetermination ([Torre and Mora, 2018](#)) and/or a subsequent stage of small flake debitage
535 ([Shea, 2010](#)), it is difficult to rule out a role in shaping. Indeed, some pieces (e.g., Figure 1 ac,
536 ad, ae) exhibit delicate points and sharp edges that are strongly suggestive of intentional shap-
537 ing. If these pieces did in fact begin as cores for LFB production, it is possible that they were
538 subsequently shaped into cutting tools in their own right. Such lithic “upcycling” of depleted
539 LFB cores would help to explain the transport of these pieces away from the axial river system.
540 However, we should also be cautious not to overinterpret a small number of suggestive pieces
541 pulled from a wider range of variation, as they may simply represent low frequency “spandrels”

542 of un-structured debitage (Moore and Perston, 2016; Moore, 2020). These various possibilities
543 remain to be tested, but we note that there is no a priori reason to think that Acheulean tool
544 makers would have neglected potentially useful cores because they “belonged” to a particular
545 reduction sequence or technological type.

546 4.4 Implications

547 Early Acheulean technology is differentiated from the preceding Oldowan by changes in artifact
548 size as well as morphology (Isaac, 1977). However, discussion of the technological, cognitive,
549 and cultural implications of this transition has often focused on the emergence (or not) of inten-
550 tional shape imposition (Holloway, 1969; Isaac, 1976; Gowlett, 1986; Wynn, 1995, 2002; Roche,
551 2005; e.g., Duke et al., 2021). Our results suggest that this emphasis may be misplaced, and that
552 distinctive Early Acheulean artifact forms might represent secondary accommodations to the
553 primary goal of increasing tool size. From this perspective, Early Acheulean shape imposition is
554 best understood as reflecting an interaction between functional design goals and technological
555 constraints (Wynn and Gowlett, 2018; Kuhn, 2021).

556 The consensus view is that Oldowan flaking goals focused on the production of sharp, cutting
557 flakes through least effort debitage (Toth, 1985). Somewhat more controversially, Oldowan flak-
558 ing may have included preferred debitage patterns (Stout et al., 2019) and/or intentional core
559 maintenance and rejuvenation strategies (Delagnes and Roche, 2005). Our failure to find sys-
560 tematic effects of reduction on Oldowan core shape at Gona is consistent with this broad char-
561 acterization. Starting from this Oldowan baseline, the production of larger cutting tools could in
562 principle be achieved by increasing the size of cores and detached flakes and/or by attempting
563 to produce and maintain cutting edges on cores themselves rather than on the smaller pieces
564 detached from them. Both strategies are evident in the Early Acheulean at Gona.

565 At Gona, large flakes appear to have been transported across the landscape and discarded either
566 in their original form or after relatively light modification to impose desired cutting edges. This
567 behavior combines the two size-maximizing strategies identified above by increasing flake size
568 and then using these large detached pieces as supports for retouched edges. On the other hand,
569 large cobble cores at Gona display shape variation more consistent with debitage than shap-
570 ing. This leads us to suggest that these pieces might be depleted cores from large flake produc-
571 tion (size-maximizing strategy 1) that have been “upcycled” as large core tools (size-maximizing

572 strategy 2). In this way, the full range of artifact types and patterns of shape variation in the
573 Gona Early Acheulean can be parsimoniously accounted for as the expression of two strategies
574 for increasing cutting tool size.

575 This interpretation has three main implications. First, it supports the view that the Acheulean
576 initially emerged as a set of technological strategies for increasing cutting tool size, especially by
577 striking large flakes (Isaac, 1969). Hypothetically, this size increase might have been favored by
578 novel functional priorities, such as extended use-life, enhanced transportability, and utility for
579 heavy-duty cutting (Shea, 2010; Toth and Schick, 2019). It may also have been motivated and/or
580 enabled by increases in hominid body size. These overlapping possibilities appear likely to be
581 mutually reinforcing rather than mutually exclusive. In fact, the appearance of the Acheulean
582 starting 1.95 mya (Mussi et al., 2023) is roughly contemporaneous with an increase in the num-
583 ber, size, and ecogeographic range of stone tool sites in general (Plummer, 2004), as well as evi-
584 dence of habitat diversification and greater lithic transport distances for Acheulean sites specifi-
585 cally (Hay, 1976; Rogers et al., 1994; Quade et al., 2008; Torre et al., 2008), increasing emphasis on
586 large animal butchery (Linares Matás and Yravedra, 2021), and increases in hominid brain and
587 body size (Antón et al., 2014) including the first appearance of *Homo erectus* (Mussi et al., 2023).
588 Increased cutting tool size is a plausible response and/or enabling factor to many of these shifts
589 and is in that sense appears “overdetermined” by available evidence. Importantly, the current
590 study does not resolve the extent to which the production and transport of Early Acheulean tools
591 around the landscape (Presnyakova et al., 2018) occurred through planned logistical (Binford,
592 1980) activities of individuals and/or collaborating groups vs. the cumulative effects of indepen-
593 dent transport and recycling events over time (Reeves et al., 2023). Implications for planning
594 and prospection capacities (Szpunar et al., 2014) thus remain unclear.

595 Second, it reinforces a growing consensus (Presnyakova et al., 2018; e.g., Duke et al., 2021; Kuhn,
596 2021) that early Acheulean LCT types artificially partition a continuum of variation rather than
597 representing distinct target forms. More specifically, we propose that these forms emerge as
598 the expression of a generic goal of cutting tool size maximization implemented across vary-
599 ing material constraints and opportunities. This is particularly relevant to the interpretation
600 of “picks,” which have long been viewed as a morphologically distinct but functionally myste-
601 rious tool type. Toth and Schick (2019) note that picks do not appear designed to provide good
602 cutting edges but also do not show use-wear consistent with digging. This leads them to spec-

ulate that picks may have been specialized weapons used to dispatch large, wounded animals with a blow to the head. However, picks are a common, persistent, and morphologically variable artifact type in the Early Acheulean, which argues against such a narrow function. We suggest that typological picks may lump together a variable mix of depleted LFB cores and large cutting tools made on relatively thick (cobble or flake) blanks, possibly including upcycled LFB cores. Within this interpretation, picks would be part of a continuum of morphological variation produced by different raw material forms and complex reduction histories, rather than a distinct tool type designed for novel function. Due to such constraints, picks as a class may appear less well designed for cutting than LCTs produced on thinner blanks. However, the relationship between tool morphology and cutting efficiency is complex and multivariate (Key, 2016), and the relative utility of short and/or higher-angle edges on a more massive tool is not well understood across diverse cutting tasks. Picks as an artifact class have not received the same attention as handaxes and cleavers and we are unaware of any broad comparative synthesis. Beyene et al. (2013) do report that picks at Konso are relatively conservative in shape and scar counts over a period from 1.75-1.2 Ma during which associated handaxes show clear increases in refinement. They thus suggest that pick function may already have been effectively optimized by 1.75-1.6 Ma whereas the cutting function of handaxes continued to be enhanced. This is broadly consistent with the current suggestion that typological picks identify a morphological extreme produced by raw material features (esp. thickness) that constrain or discourage the refinement that might be developed on other blanks. From 1.75-1.2 Ma, these ad hoc and/or less refined cutting tools appear to have remained a stable element in the tool kit. However, they are nearly absent at 0.85 Ma, coincident with the appearance of “considerably refined” handaxes. Indeed, picks are not commonly reported to co-occur with refined handaxes in later Acheulean contexts anywhere. On a traditional functional interpretation, this would imply that their originally designed function also became less important in these contexts. The alternative possibility suggested here is that increased investment in handaxe refinement decreased the perceived value of producing and using ad hoc core tools for similar functions.

Lastly, our interpretation suggests that LCT variation at Gona probably does not reflect intentional imposition of morphological norms (cf. Holloway, 1969) or detailed mental templates (Deetz, 1967). Instead, we would focus attention on the cognitive and cultural implications of size maximization strategies. As reviewed by Stout (2011), these stem from 1) the physical and strategic challenges of quarrying large flakes and 2) the increased complexity of knapping action

635 hierarchies resulting from the addition of novel sub-goals (e.g. create a cutting edge) involved in
636 shaping large flake and cobble cores into useful tools. Systematic large flake production strate-
637 gies and techniques are qualitatively different from small-flake debitage (Isaac, 1969; Toth and
638 Schick, 2019) and thus add to the volume of technical knowledge and know-how that must be
639 acquired by individuals. This is directly relevant for inferring learning demands and the pos-
640 sible role of social support in Acheulean skill reproduction (Pargeter et al., 2019). Stout (2002)
641 provides a modern example of large flake production that illustrates the potential scope of such
642 demands. Interestingly, much earlier large flake production has been reported at the 3.3 Ma site
643 of Lomekwi 3 but is argued to be poorly controlled with minimal core reduction, numerous steps
644 and hinges, and extensive platform battering. Although concerns have been raised regarding the
645 dating and context of this site (Dominguez-Rodrigo and Alcalá, 2019; Archer et al., 2020), it may
646 provide an interesting technological comparison to Early Acheulean large flake production.

647 With respect to knapping action organization, the intentional placement of flake removals to
648 generate desired core morphologies demonstrates a more complex goal structure than is re-
649 quired for simple, least-effort debitage. Such complexity has implications for assessing cogni-
650 tive demands including relational integration, temporal abstraction, and goal abstraction (Stout,
651 2011). For this reason, our study was designed to address concerns that intentional shaping
652 might not actually be characteristic of Early Acheulean technology (e.g., Moore and Perston,
653 2016). We did find evidence for (at least) the imposition of cutting edges on LFBs, and offer
654 this a foundation for “minimum necessary competence” (*sensu* Killin and Pain, 2021) cognitive
655 interpretations. We remain agnostic as to whether similar knapping complexity was demon-
656 strated in the preceding Oldowan, but note that the rare and un-standardized (Gallotti, 2018)
657 occurrence of debitage on flakes prior to 2.0 mya does not provide evidence of intentional shap-
658 ing. Stout (2011) argued that elaborated small flake debitage methods contemporary with the
659 Early Acheulean, such as single platform Karari scrapers (Isaac and Isaac, 1997) and hierarchical
660 centripetal cores (Torre et al., 2003), document complex goal structures including the inten-
661 tional modification of the core morphology to enable subsequent flake detachments. Others
662 have seen evidence of such intentions in earlier bifacial (Duke et al., 2021) and unifacial (De-
663 lagnes and Roche, 2005) debitage strategies. However, all of these interpretations are based on
664 qualitative assessments of flake scar patterning and/or refitting and remain open to critique from
665 quantitative and experimentally-based approaches contending that similar knapping patterns
666 can emerge without “top down” intentions (Toth, 1985; Moore and Perston, 2016; Moore, 2020).

667 However these debates are eventually resolved, we would stress that the earliest demonstration
668 of a particular capacity is unlikely to represent its earliest presence. Logically, the minimum ca-
669 pacities required to support a novel behavior must be present before the behavioral innovation
670 can occur ([Stout and Hecht, 2023](#)) and may predate it substantially. We would thus argue that
671 technological innovation in the Early Acheulean was more likely stimulated by changing behav-
672 ioral and ecological strategies that placed a premium on cutting tool size, rather than by the
673 sudden emergence of new cognitive capacities. In this context, it is important to note that the
674 preponderance of cognitive and especially neuroarchaeological investigations of handaxe man-
675 ufacture ([Stout et al., 2008; Stout, 2011; Stout et al., 2015; Hecht et al., 2023](#)) have studied refined,
676 later Acheulean forms and should not be generalized to discussions regarding the emergence of
677 the Early Acheulean (cf. [Duke et al., 2021](#)). These neuroarchaeological studies were made pos-
678 sible by a robust understanding of the specific knapping behaviors being modeled ([Stout and](#)
679 [Hecht, 2023](#)). Similar studies modeling Early Acheulean technology are clearly needed and we
680 hope that investigations like the current one can help to provide the necessary behavioral foun-
681 dation.

682 **4.5 Generalizability**

683 It remains to be seen to what extent current results can be generalized to other Early Acheulean
684 sites. It is clear that LFB production as seen at Gona is typical ([Isaac, 1969](#)) of the African
685 Acheulean from its first known appearance ([Mussi et al., 2023](#)). Similarly, the transport and dis-
686 card of unmodified large flakes has also been reported at Peninj ([Torre et al., 2008](#)) and Koobi
687 Fora ([Presnyakova et al., 2018](#)) and may be more widespread than has been specifically noted in
688 publication. The general pattern of light, non-invasive retouch we observe also appears typical
689 of Early Acheulean sites (reviewed by [Presnyakova et al., 2018](#)). However, the effects of reduction
690 on LCT shape have not been systematically tested across sites in ways comparable to the current
691 analyses. This makes it difficult to determine if rare examples of “well shaped” Early Acheulean
692 LCTs (e.g., [Diez-Martín et al., 2019](#)) represent distinct technological behaviors or extreme points
693 along a continuum of variation. Presnyakova et al. ([2018](#)) argue for the latter, supported by shape
694 effects of reduction intensity identified using artifact size and edge angle as proxies. However,
695 these authors do not consider SDI or FAI and their analyses excluded any flaked pieces without
696 “clearly defined tips and bases,” thus limiting comparability with current results.

697 The occurrence of numerous large cobble cores (typological LCTs on cobble bases) at Gona is
698 atypical for East Africa and may reflect local raw materials availability. Kuman (2007) reports
699 that river cobbles from the nearby Blaaubank gravels are the dominant blank form in Gauteng
700 “Cradle of Humankind” Early Acheulean collections, possibly due to the rarity of larger boulder-
701 size clasts. Relatively high frequencies of LCTs on cobbles have also been reported from Gadeb
702 in Ethiopia (Torre, 2011). However, the large cobble cores at these sites have not been system-
703 atically studied as a distinct technological component or potential source of LFBs. Substantial
704 use of large slabs/blocks for LCT production has been reported at Kokiselei 4 (Harmand, 2009)
705 and Olduvai Gorge (Torre and Mora, 2005) but again it is not clear whether these could also have
706 been sources for LFBs during some part of their reduction sequence. Interestingly, Presnyakova
707 et al. (2018: Fig. 8) illustrate a boulder core with refitting large flake from FxJj65 that appears
708 to be comparable in size (~150mm long), shape ($L/W \approx 1.5$), and reduction intensity to some of
709 the more lightly worked cobble cores at Gona, providing at least anecdotal support for our in-
710 terpretations. In sum, however, systematic comparison across published Early Acheulean sites
711 is not currently possible due to differences in research questions, methods, and data reporting
712 practices. Clearly much work is needed to enable such comparison, but we do find some en-
713 couragement in the current finding that conventional linear measures, simple scar counts, and
714 visual flaked area estimations are sufficient to describe relevant variation in shape and reduction

715 5 Conclusion

716 Characteristic Early Acheulean artifact forms including large retouched flakes and core tools be-
717 gan to appear ca. 2.0 Ma (Mussi et al., 2023) as part of a pervasive ecological and behavioral shift
718 encompassing changing diet, ranging patterns, and habitat usage; increasing site frequency,
719 density, and ecogeographic distribution; and the first appearance of *Homo erectus*. Although
720 the cognitive and cultural significance of this new lithic industry is often framed in terms of the
721 emergence of discrete tool types and/or intentionally imposed morphological norms, we find
722 little evidence at Gona to support this. Instead, we observe systematic patterns of raw material
723 selection and core surface modification organized around the production and maintenance of
724 useful cutting edges on large(r) supports. Such larger cutting tools may have been prioritized
725 in newly emerging hominid behavioral adaptations due to their extended use-life, enhanced
726 transportability, and/or suitability for heavy-duty cutting.

727 This interpretation is consistent with a characterization of Early Acheulean tool forms as emerg-
728 ing from a set of “design imperatives” for large hand-held cutting tools (Gowlett, 2006; Wynn and
729 Gowlett, 2018), specifically including elongation and ergonomic positioning of cutting edges
730 [key2016; keyIntegratingMechanicalErgonomic2016]. Our results thus provide support for
731 the presence of imposed form in the broad theoretical sense developed by Kuhn (2021): not
732 as the realization of a “holographic model” in the mind of the maker, but rather as the out-
733 come of technological choices made in view of material constraints and affordances (Moore,
734 2020). To the extent that some, but not all (Muller et al., 2022), later Acheulean assemblages pro-
735 vide stronger evidence of normative form imposition (García-Medrano et al., 2019; Shipton and
736 White, 2020; Liu et al., 2023) this underlines the heterogeneity of lithic technologies subsumed
737 within the “Acheulean Industry” and argues for more context-specific approaches to evaluating
738 behavioral, cognitive, and cultural implications.

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