Prediction of The Mortality from Heart Failure

Background and Aim

Heart failure is a disease greatly and negatively impact the quality of life and its prevailation and death rate is gradually increasing year by year. This project aims to predict the mortality from heart failure and extract its most important associative factors through multiple classificational algorithms.

Data Collection

```
In [1]: # import what we need here
        import numpy as np
        import pandas as pd
        import os
In [2]: # the data source
        # PLEASE put the corresponding csv dataset into the root directory of the colab!!!
        # the corresponding file is available at https://www.kaggle.com/datasets/andrewmvd/
        df = pd.read csv('heart failure clinical records dataset.csv')
In [3]: # explore data
        df.head()
Out[3]:
                anaemia creatinine_phosphokinase diabetes ejection_fraction high_blood_pressur
                       0
                                                         0
        0 75.0
                                              582
                                                                         20
                       0
         1 55.0
                                             7861
                                                         0
                                                                         38
         2 65.0
                       0
                                                         0
                                                                         20
                                              146
```

```
      2
      65.0
      0
      146
      0
      20

      3
      50.0
      1
      111
      0
      20

      4
      65.0
      1
      160
      1
      20
```

```
In [4]: # see the completness and more of this dataframe
    df.info()
# there are only 299 records in this dataset
```

```
0
    age
                          299 non-null
                                       float64
    anaemia
                          299 non-null int64
2 creatinine_phosphokinase 299 non-null int64
                        299 non-null int64
3 diabetes
4 ejection_fraction
                        299 non-null int64
5 high_blood_pressure
                        299 non-null int64
                        299 non-null float64
6 platelets
   serum_creatinine 299 non-null float64
7
8 serum_sodium
                        299 non-null int64
                        299 non-null int64
    sex
10 smoking
                        299 non-null int64
                         299 non-null int64
11 time
12 DEATH_EVENT
                         299 non-null int64
dtypes: float64(3), int64(10)
memory usage: 30.5 KB
```

In [5]: # check the unique value of each feature
 pd.set_option('display.max_rows', None) # in case if there are too many features
 df.nunique()

With the consideration of the clinical data property, it can be inferred that ana
and DEATH_EVENT are categorical variables

Out[5]: age 47 2 anaemia creatinine_phosphokinase 208 diabetes 2 ejection_fraction 17 high_blood_pressure 2 platelets 176 serum_creatinine 40 27 serum_sodium sex 2 smoking 2 time 148 DEATH EVENT 2 dtype: int64

Sex - Gender of patient Male = 1, Female =0

Age - Age of patient

Diabetes - 0 = No, 1 = Yes

Anaemia - 0 = No, 1 = Yes

 $High_blood_pressure - 0 = No, 1 = Yes$

Smoking - 0 = No, 1 = Yes

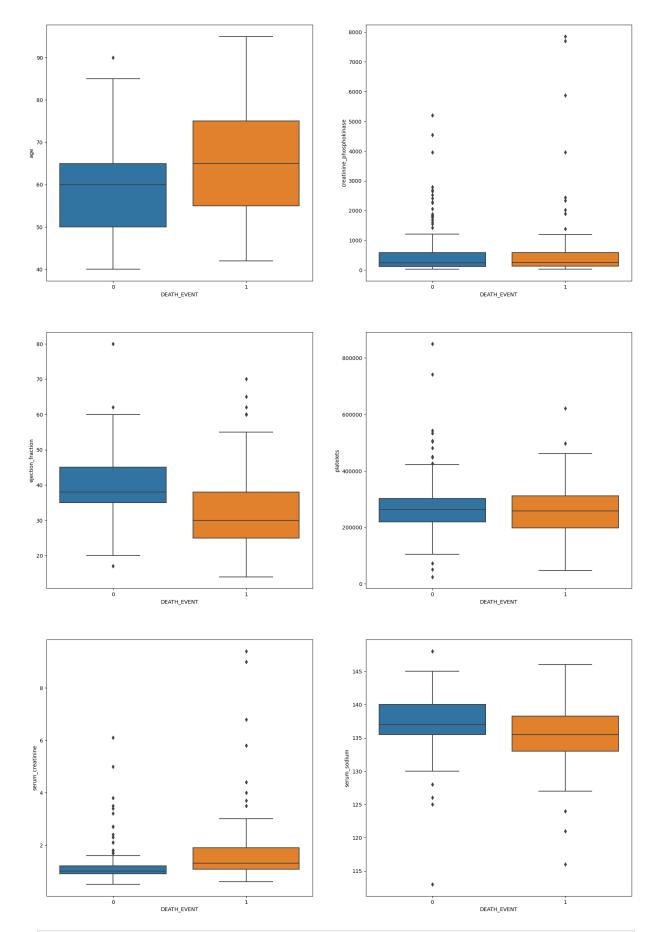
DEATH EVENT - 0 = No, 1 = Yes

Reference: https://www.kaggle.com/datasets/andrewmvd/heart-failure-clinical-data/discussion/181241, by Yankun Song

```
In [6]: # check missing value
         df.isnull().sum() # very lucky to have no missing value here
 Out[6]: age
                                       0
         anaemia
                                       0
         creatinine_phosphokinase
                                       0
         diabetes
                                       0
         ejection_fraction
                                       0
         high_blood_pressure
                                       0
         platelets
                                       0
         serum_creatinine
                                       0
         serum_sodium
                                       0
         sex
                                       0
         smoking
                                       0
         time
                                       0
         DEATH EVENT
                                       0
         dtype: int64
 In [7]: # check duplicated record
         df.duplicated().where(df.duplicated() != False).count()
         # no duplication
 Out[7]: 0
 In [8]: # get target variable
         y = df['DEATH_EVENT']
 In [9]: # descriptive statistics of the continuous variables
         df[['age', 'creatinine_phosphokinase', 'ejection_fraction', 'platelets', 'serum_cre
          # The reason why I did not include time is, the time stands for following up period
         # It seems to be not sensible to say that "the longer we follow-up, the greater the
         # the median ejection fraction is only 38 (%), indicating that at least half of the
 Out[9]:
                       age creatinine_phosphokinase ejection_fraction
                                                                           platelets serum_creati
          count 299.000000
                                          299.000000
                                                          299.000000
                                                                         299.000000
                                                                                           299.00
          mean
                  60.833893
                                          581.839465
                                                           38.083612 263358.029264
                                                                                             1.39
            std
                 11.894809
                                          970.287881
                                                           11.834841
                                                                       97804.236869
                                                                                             1.03
           min
                 40.000000
                                           23.000000
                                                           14.000000
                                                                       25100.000000
                                                                                             0.50
           25%
                 51.000000
                                          116.500000
                                                           30.000000 212500.000000
                                                                                             0.90
           50%
                  60.000000
                                          250.000000
                                                            38.000000 262000.000000
                                                                                             1.10
           75%
                 70.000000
                                          582.000000
                                                           45.000000 303500.000000
                                                                                             1.4(
                 95.000000
                                         7861.000000
                                                           80.000000 850000.000000
                                                                                             9.40
           max
In [10]: # visulization of the data
          import matplotlib.pyplot as plt
          import seaborn as sns
In [11]: __,axss = plt.subplots(3,2, figsize=[20,30]) # set canvas
```

```
sns.boxplot(x='DEATH_EVENT', y ='age', data=df, ax=axss[0][0])
sns.boxplot(x='DEATH_EVENT', y ='creatinine_phosphokinase', data=df, ax=axss[0][1])
sns.boxplot(x='DEATH_EVENT', y ='ejection_fraction', data=df, ax=axss[1][0])
sns.boxplot(x='DEATH_EVENT', y ='platelets', data=df, ax=axss[1][1])
sns.boxplot(x='DEATH_EVENT', y ='serum_creatinine', data=df, ax=axss[2][0])
sns.boxplot(x='DEATH_EVENT', y ='serum_sodium', data=df, ax=axss[2][1])
```

Out[11]: <Axes: xlabel='DEATH_EVENT', ylabel='serum_sodium'>



In [12]: # get statistic value of the numerical variables above
import scipy.stats as st

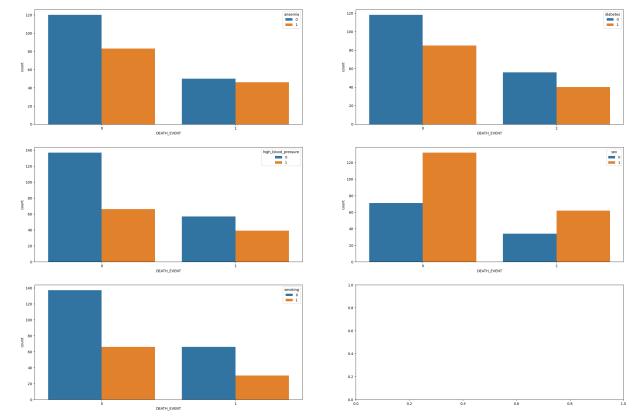
```
# t-test for age, and platelets
         ttest features = ['age', 'platelets']
         i = 1
         for feature in ttest_features:
           statistic, pvalue = st.ttest_ind(df[feature].where(df['DEATH_EVENT'] == 1).dropna
                                            df[feature].where(df['DEATH_EVENT'] == 0).dropna
           print(f'{i}. ', f'For {feature}, t = {round(statistic, 3)}, p-value = {round(pval)
           print(f'{feature} is statistically significantly different between two groups\n')
           else print(f'{feature} is NOT statistically significantly different between two g
           i += 1
         # ranked test for other continuous vars ('creatinine_phosphokinase', 'ejection_frac
         ranked test features = ['creatinine phosphokinase', 'ejection fraction', 'serum cre
         i = 3
         for feature in ranked_test_features:
           statistic, pvalue = st.mannwhitneyu(df[feature].where(df['DEATH_EVENT'] == 1).dro
                                         df[feature].where(df['DEATH_EVENT'] == 0).dropna())
           print(f'{i}. ', f'For {feature}, U = {round(statistic, 3)}, p-value = {round(pval)
           print(f'{feature} is statistically significantly different between two groups\n')
           else print(f'{feature} is NOT statistically significantly different between two g
           i += 1
         # It a bit messy, yet according to the statistic results, age, ejection_fraction, s
         # feature for model, yet platelets and creatinine phosphokinase are possibly not
         # therefore, we might drop 'platelets', 'creatinine_phosphokinase', 'time' before m
       1. For age, t = 4.521, p-value = 0.0
        age is statistically significantly different between two groups
       2. For platelets, t = -0.848, p-value = 0.397
       platelets is NOT statistically significantly different between two groups
       3. For creatinine_phosphokinase, U = 10028.0, p-value = 0.684
       creatinine_phosphokinase is NOT statistically significantly different between two gr
       4. For ejection_fraction, U = 6311.5, p-value = 0.0
       ejection_fraction is statistically significantly different between two groups
       5. For serum_creatinine, U = 14190.0, p-value = 0.0
       serum_creatinine is statistically significantly different between two groups
       6. For serum_sodium, U = 7226.5, p-value = 0.0
       serum_sodium is statistically significantly different between two groups
In [13]: # Description of Categorical Feature (anaemia, diabetes, high blood pressure, sex,
         _,axss = plt.subplots(3,2, figsize=[30,20])
```

```
In [13]: # Description of Categorical Feature (anaemia, diabetes, high blood pressure, sex,
    _,axss = plt.subplots(3,2, figsize=[30,20])

sns.countplot(x='DEATH_EVENT', hue='anaemia', data=df, ax=axss[0][0])
sns.countplot(x='DEATH_EVENT', hue='diabetes', data=df, ax=axss[0][1])
```

```
sns.countplot(x='DEATH_EVENT', hue='high_blood_pressure', data=df, ax=axss[1][0])
sns.countplot(x='DEATH_EVENT', hue='sex', data=df, ax=axss[1][1])
sns.countplot(x='DEATH_EVENT', hue='smoking', data=df, ax=axss[2][0])
# Seeming that only anaemia, and hypertension are relevant to the mortality from HF
```

Out[13]: <Axes: xlabel='DEATH_EVENT', ylabel='count'>



In [14]: # chi-square test for categorical vars cat_vars = ['anaemia', 'diabetes','high_blood_pressure','sex','smoking'] i = 1for feature in cat_vars: # establish a contigency table contigency = pd.crosstab(df[feature],df['DEATH_EVENT']) print(contigency) # see if there is anything wrong with the contigency table statistic, pvalue, dof, expected_freq = st.chi2_contingency(contigency) print(f'{i}. For {feature}, chi = {round(statistic, 3)}, p-value = {round(pvalue, # statistically sig or not if alpha = 0.05 if pvalue < 0.05:</pre> print(f'{feature} distribution is statistically significant between two outcome else: print(f'{feature} distribution is NOT statistically significant between two out i += 1# Therefore, this project will drop 'diabetes', 'smoking'

```
DEATH_EVENT 0 1
anaemia
0 120 50
1 83 46
1. For anaemia, chi = 1.042, p-value = 0.307
```

anaemia distribution is NOT statistically significant between two outcomes.

```
DEATH EVENT
              0 1
diabetes
            118 56
             85 40
2. For diabetes, chi = 0.0, p-value = 1.0
diabetes distribution is NOT statistically significant between two outcomes.
DEATH_EVENT
high_blood_pressure
                    137 57
                      66 39
1
3. For high_blood_pressure, chi = 1.543, p-value = 0.214
high blood pressure distribution is NOT statistically significant between two outcom
es.
DEATH_EVENT
              0 1
sex
0
             71 34
            132 62
4. For sex, chi = 0.0, p-value = 1.0
sex distribution is NOT statistically significant between two outcomes.
DEATH EVENT
              0 1
smoking
0
            137 66
             66 30
1
5. For smoking, chi = 0.007, p-value = 0.932
smoking distribution is NOT statistically significant between two outcomes.
```

Feature Preprocessing

cat_cols = ['anaemia', 'high_blood_pressure','sex']

```
In [15]: # Drop useless features
          to_drop = ['platelets', 'creatinine_phosphokinase', 'time', 'DEATH_EVENT', 'diabete
          x = df.drop(to\_drop, axis = 1)
In [16]: x.head()
Out[16]:
             age anaemia ejection_fraction high_blood_pressure serum_creatinine serum_sodium
          0 75.0
                         0
                                         20
                                                               1
                                                                               1.9
                                                                                             130
          1 55.0
                                         38
                                                               0
                                                                               1.1
                                                                                             136
                         0
                                                                                             129
          2 65.0
                                         20
                                                               0
                                                                               1.3
          3 50.0
                                         20
                                                               0
                                                                               1.9
                                                                                             137
          4 65.0
                         1
                                         20
                                                               0
                                                                               2.7
                                                                                             116
In [49]: # change categorical vars into objects
```

```
for cat in cat_cols:
           x[cat] = x[cat].astype('object')
         x.info()
        <class 'pandas.core.frame.DataFrame'>
        RangeIndex: 299 entries, 0 to 298
        Data columns (total 7 columns):
             Column
                                  Non-Null Count Dtype
             ----
                                  -----
        ---
                                                  ----
                                  299 non-null
                                                  float64
         0
             age
         1
             anaemia
                                  299 non-null
                                                  object
         2
             ejection_fraction
                                  299 non-null
                                                  float64
            high_blood_pressure 299 non-null
                                                  object
             serum_creatinine
                                  299 non-null
                                                  float64
         5
             serum_sodium
                                  299 non-null
                                                  float64
         6
                                  299 non-null
                                                  object
             sex
        dtypes: float64(4), object(3)
        memory usage: 16.5+ KB
In [18]: # for binary variables, ordinary encoder is enough
         from sklearn.preprocessing import OrdinalEncoder
          enc_oe = OrdinalEncoder()
         for cat in cat_cols:
           enc_oe.fit(x[[cat]])
           x[[cat]] = enc_oe.transform(x[[cat]])
         x.head()
Out[18]:
             age anaemia ejection_fraction high_blood_pressure serum_creatinine serum_sodium
          0 75.0
                       0.0
                                        20
                                                           1.0
                                                                            1.9
                                                                                          130
          1 55.0
                       0.0
                                                           0.0
                                        38
                                                                            1.1
                                                                                          136
          2 65.0
                       0.0
                                        20
                                                           0.0
                                                                            1.3
                                                                                          129
          3 50.0
                       1.0
                                        20
                                                           0.0
                                                                            1.9
                                                                                          137
          4 65.0
                       1.0
                                        20
                                                           0.0
                                                                            2.7
                                                                                          116
In [19]: # standarize continuous data
         from sklearn.preprocessing import StandardScaler
          num_cols = ['age', 'ejection_fraction', 'serum_creatinine', 'serum_sodium']
          scaler = StandardScaler()
          scaler.fit(x[num_cols])
         x[num_cols] = scaler.transform(x[num_cols])
         x.head()
Out[19]:
                  age anaemia ejection_fraction high_blood_pressure serum_creatinine serum_sod
             1.192945
                            0.0
                                      -1.530560
                                                                1.0
                                                                            0.490057
                                                                                          -1.504
          0
```

1	-0.491279	0.0	-0.007077	0.0	-0.284552	-0.141
2	0.350833	0.0	-1.530560	0.0	-0.090900	-1.73
3	-0.912335	1.0	-1.530560	0.0	0.490057	280.0
4	0.350833	1.0	-1.530560	0.0	1.264666	-4.682

Model Training & Evaluation

```
In [20]: # Train-Test Split
    from sklearn import model_selection

# Reserve 20% for testing
# stratify example:
# 100 -> y: 80 '0', 20 '1' -> 4:1
# 80% training 64: '0', 16:'1' -> 4:1
# 20% testing 16:'0', 4: '1' -> 4:1
x_train, x_test, y_train, y_test = model_selection.train_test_split(x, y, test_size # the dataset is relatively small, hence this project use 20% data on testing

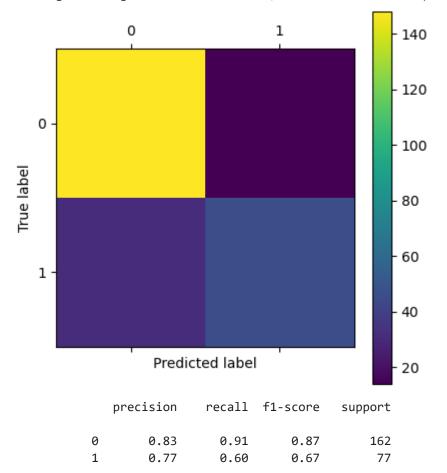
print('training data has ' + str(x_train.shape[0]) + ' observation with ' + str(x_test.shape[0]) + ' observation with ' + str(x_test.shape[0])
```

training data has 239 observation with 7 features test data has 60 observation with 7 features

```
In [22]: #@title build models
         # There are three models we are going to use during this project
         from sklearn.ensemble import RandomForestClassifier
         from sklearn.neighbors import KNeighborsClassifier
         from sklearn.linear_model import LogisticRegression
         from sklearn.svm import SVC
         from sklearn.ensemble import GradientBoostingClassifier
         # This is for confusion matrix
         from sklearn import metrics, model_selection
         # Logistic Regression
         classifier_logistic = LogisticRegression()
         # K Nearest Neighbors
         classifier_KNN = KNeighborsClassifier()
         # Random Forest
         classifier_RF = RandomForestClassifier()
         # Support Vector Classification
         classifier_SVC = SVC(probability=True)
         # GB classifier
         classifier_GB = GradientBoostingClassifier()
```

```
In [23]: #@title Logistic Regressional Classifier & evaluation (by default)
         classifier logistic.fit(x train, y train) # train model
         y_predict = classifier_logistic.predict(x_train) # predict results
         # too stochastic, so I don't use point estimation to measure such a result
         # res_1 = classifier_logistic.score(x_train, y_train)
         # print(f'The acc for logistic classifier is {round(res_1 * 100, 3)}%')
         # cross validation
         scores = model_selection.cross_val_score(classifier_logistic, x_train, y_train, cv
         print(f'For Logistic Regressional Classifier, the acc is {round(scores.mean() * 100
           ({round(scores.mean() * 100 - scores.std() * 100 * 1.96, 2)}\
           ~ {round(scores.mean() * 100, 2) + round(scores.std() * 100 * 1.96, 2)}) %')
         # Confusion Matrix
         cm = metrics.confusion_matrix(y_train, y_predict)
         plt.matshow(cm)
         plt.colorbar()
         plt.ylabel('True label')
         plt.xlabel('Predicted label')
         plt.show()
         print(metrics.classification_report(y_train, y_predict))
```

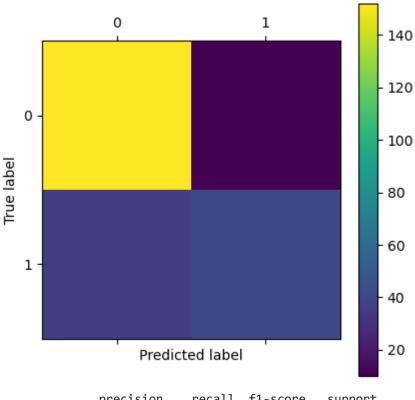
For Logistic Regressional Classifier, the acc is 78.68 (63.07 ~ 94.29) %



```
accuracy 0.81 239
macro avg 0.80 0.76 0.77 239
weighted avg 0.81 0.81 0.80 239
```

```
In [24]: #@title KNN Classifier
         classifier_KNN.fit(x_train, y_train) # train model
         y_predict = classifier_KNN.predict(x_train) # predict results
         # cross validation
         scores = model_selection.cross_val_score(classifier_KNN, x_train, y_train, cv = 10)
         print(f'For KNN, the acc is {round(scores.mean() * 100, 2)} \
           ({round(scores.mean() * 100 - scores.std() * 100 * 1.96, 2)}\
           ~ {round(scores.mean() * 100, 2) + round(scores.std() * 100 * 1.96, 2)}) %')
         # Confusion Matrix
         cm = metrics.confusion_matrix(y_train, y_predict)
         plt.matshow(cm)
         plt.colorbar()
         plt.ylabel('True label')
         plt.xlabel('Predicted label')
         plt.show()
         print(metrics.classification_report(y_train, y_predict))
```

For KNN, the acc is 74.51 (61.81 ~ 87.2100000000000) %

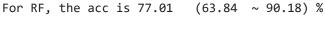


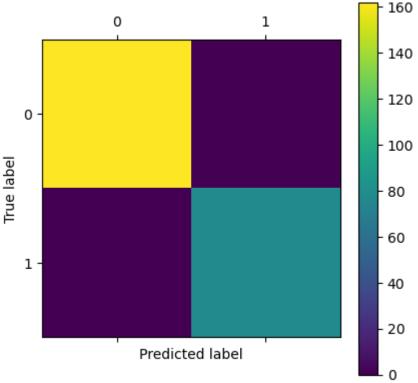
	precision	recall	f1-score	support
0	0.81	0.94	0.87	162
1	0.80	0.53	0.64	77
accuracy			0.81	239
macro avg	0.81	0.74	0.75	239

weighted avg 0.81 0.81 0.80 239

Random Forest

```
In [25]: #@title Random Forest
         classifier_RF.fit(x_train, y_train) # train model
         y_predict = classifier_RF.predict(x_train) # predict results
         # cross validation
         scores = model_selection.cross_val_score(classifier_RF, x_train, y_train, cv = 10)
         print(f'For RF, the acc is {round(scores.mean() * 100, 2)} \
           ({round(scores.mean() * 100 - scores.std() * 100 * 1.96, 2)}\
           ~ {round(scores.mean() * 100, 2) + round(scores.std() * 100 * 1.96, 2)}) %')
         # Confusion Matrix
         cm = metrics.confusion_matrix(y_train, y_predict)
         plt.matshow(cm)
         plt.colorbar()
         plt.ylabel('True label')
         plt.xlabel('Predicted label')
         plt.show()
         print(metrics.classification_report(y_train, y_predict))
         # It is all correct in training dataset, is that overfitting?
```





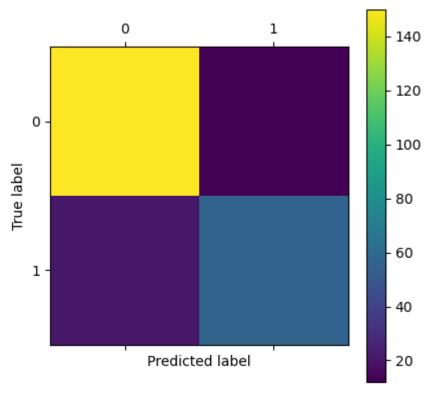
	precision	recall	f1-score	support
0	1.00	1.00	1.00	162
1	1.00	1.00	1.00	77

```
accuracy 1.00 239
macro avg 1.00 1.00 1.00 239
weighted avg 1.00 1.00 239
```

SVC

```
In [26]:
         #@title SVC
         classifier_SVC.fit(x_train, y_train) # train model
         y_predict = classifier_SVC.predict(x_train) # predict results
         # cross validation
         scores = model_selection.cross_val_score(classifier_SVC, x_train, y_train, cv = 10)
         print(f'For SVC, the acc is {round(scores.mean() * 100, 2)} \
           ({round(scores.mean() * 100 - scores.std() * 100 * 1.96, 2)}\
           ~ {round(scores.mean() * 100, 2) + round(scores.std() * 100 * 1.96, 2)}) %')
         # Confusion Matrix
         cm = metrics.confusion_matrix(y_train, y_predict)
         plt.matshow(cm)
         plt.colorbar()
         plt.ylabel('True label')
         plt.xlabel('Predicted label')
         plt.show()
         print(metrics.classification_report(y_train, y_predict))
```

For SVC, the acc is 76.99 $(63.74 \sim 90.24)$ %

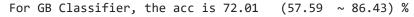


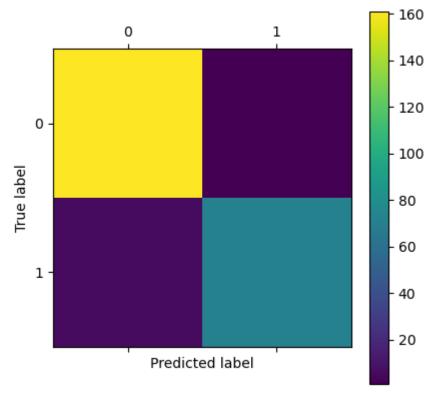
support	f1-score	recall	precision	р
162	0.90	0.93	0.88	0
77	0.77	0.73	0.82	1

```
accuracy 0.86 239
macro avg 0.85 0.83 0.84 239
weighted avg 0.86 0.86 0.86 239
```

GB Classifier

```
In [27]:
         #@title GB Classifier
         classifier_GB.fit(x_train, y_train) # train model
         y_predict = classifier_GB.predict(x_train) # predict results
         # cross validation
         scores = model_selection.cross_val_score(classifier_GB, x_train, y_train, cv = 10)
         print(f'For GB Classifier, the acc is {round(scores.mean() * 100, 2)} \
           ({round(scores.mean() * 100 - scores.std() * 100 * 1.96, 2)}\
           ~ {round(scores.mean() * 100, 2) + round(scores.std() * 100 * 1.96, 2)}) %')
         # Confusion Matrix
         cm = metrics.confusion_matrix(y_train, y_predict)
         plt.matshow(cm)
         plt.colorbar()
         plt.ylabel('True label')
         plt.xlabel('Predicted label')
         plt.show()
         print(metrics.classification_report(y_train, y_predict))
```





	precision	recall	f1-score	support
0	0.96	0.99	0.98	162
1	0.99	0.92	0.95	77

```
accuracy 0.97 239
macro avg 0.98 0.96 0.97 239
weighted avg 0.97 0.97 0.97 239
```

Optimize Hyperparameters

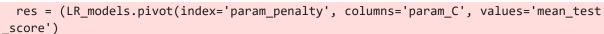
```
In [28]: #@title Prelude
from sklearn.model_selection import GridSearchCV

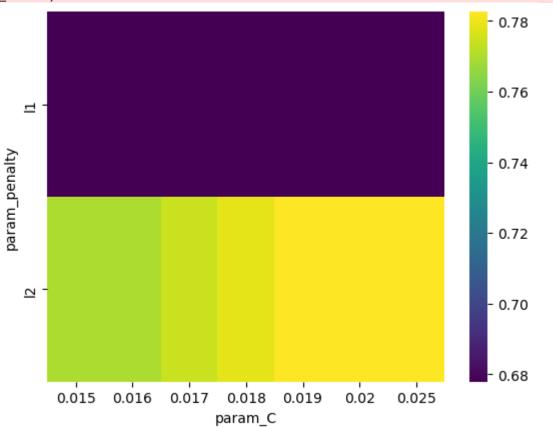
# helper function for printing out grid search results
def print_grid_search_metrics(gs):
    print ("Best score: " + str(gs.best_score_))
    print ("Best parameters set:")
    best_parameters = gs.best_params_
    for param_name in sorted(best_parameters.keys()):
        print(param_name + ':' + str(best_parameters[param_name]))
```

Model 1 - Logistic Regression

```
In [29]: #@title Logistic Regression Optimization
         parameters = {
             'penalty':('12','11'),
             'C':(0.015, 0.016, 0.017, 0.018, 0.019, 0.02, 0.025)
         Grid_LR = GridSearchCV(LogisticRegression(solver='liblinear'),parameters, cv = 10)
         Grid_LR.fit(x_train, y_train)
         # the best hyperparameter combination
         \# C = 1/Lambda
         print_grid_search_metrics(Grid_LR) # C:(around) 0.19, penality, l2
       Best score: 0.7826086956521741
       Best parameters set:
       C:0.019
       penalty:12
In [30]: # Use the LR model with the "best" parameter
         best_LR_model = Grid_LR.best_estimator_
         best_LR_model.predict(x_test)
         print('The test acc of the "best" model for logistic regression is', best_LR_model.
         # mapping the relationship between each parameter and the corresponding acc
         LR_models = pd.DataFrame(Grid_LR.cv_results_)
         res = (LR_models.pivot(index='param_penalty', columns='param_C', values='mean_test_
         _ = sns.heatmap(res, cmap='viridis')
       The test acc of the "best" model for logistic regression is 70.0 %
```

C:\Users\Raymo\AppData\Local\Temp\ipykernel_23304\314962870.py:10: FutureWarning: In a future version, the Index constructor will not infer numeric dtypes when passed ob ject-dtype sequences (matching Series behavior)





Model 2 - KNN Model

```
In [31]: #@title Find the optimal hyperparameter of KNN model
        # Choose k and more
        parameters = {
            'n_neighbors':[7,8,9,10,11,12,13,14,15],
            'weights':['uniform', 'distance'],
            'leaf_size':[1,2,3,4,5,6,7],
        Grid_KNN = GridSearchCV(KNeighborsClassifier(),parameters, cv=10)
        Grid_KNN.fit(x_train, y_train)
        # the best hyperparameter combination
        print_grid_search_metrics(Grid_KNN) # n_neighbours: 13, leaf_size:1, weights:unifo
       Best score: 0.7782608695652173
       Best parameters set:
       leaf size:1
       n_neighbors:13
       weights:uniform
In [32]: best_KNN_model = Grid_KNN.best_estimator_
        best_KNN_model.predict(x_test)
        print('The test acc of the "best" model for KNN is', best_KNN_model.score(x_test, y
```

```
In [33]: #@title Find the optimal hyperparameter of RF
        # Possible hyperparamter options for Random Forest
        # Choose the number of trees
        parameters = {
            'n_estimators' : [65,66,67,68,69,70,71,72,73,74],
            'max_depth': [11,12,13,14]
        Grid RF = GridSearchCV(RandomForestClassifier(),parameters, cv=5)
        Grid_RF.fit(x_train, y_train)
        # the best hyperparameter combination
        print_grid_search_metrics(Grid_RF) # n_estimators:70, max_depth: 11
       Best score: 0.7910460992907801
       Best parameters set:
       max depth:11
       n_estimators:73
In [34]: best_RF_model = Grid_RF.best_estimator_
        best_RF_model.predict(x_test)
        print('The test acc of the "best" model for RF is', best_RF_model.score(x_test, y_t
       Model 4 - SVC
In [35]: #@title Find the optimal hyperparameter of SVC
        # Possible hyperparamter options for SVC
        parameters = {
            'C' : [0.5, 0.6, 0.7, 0.8, 0.9, 1.0],
            'degree': [0,1,2,3,4,5,6],
        Grid_SVC = GridSearchCV(SVC(probability = True), parameters, cv=5)
        Grid_SVC.fit(x_train, y_train)
        # the best hyperparameter combination
        print_grid_search_metrics(Grid_SVC) # C: 0.7, degree:0
       Best score: 0.7868794326241135
       Best parameters set:
       C:0.7
       degree:0
In [36]: best_SVC_model = Grid_SVC.best_estimator_
        best SVC model.predict(x test)
        print('The test acc of the "best" model for SVC is', best_SVC_model.score(x_test, y
       Model 5 - GB Classifier
```

```
In [37]: #@title Find the optimal hyperparameter of GB Classifier
         # Possible hyperparamter options for GB Classifier
         parameters = {
             'learning_rate' : [0.1, 0.2, 0.3],
             'n_estimators': [20, 30, 40, 50],
             'subsample': [0.7],
             'min_samples_split':[1,9, 2, 2.1]
         Grid_GB = GridSearchCV(GradientBoostingClassifier(), parameters, cv=10)
         Grid_GB.fit(x_train, y_train)
         # the best hyperparameter combination
         print_grid_search_metrics(Grid_GB) # learning_rate:0.3 min_samples_split:2 n_estim
       Best score: 0.7744565217391304
       Best parameters set:
       learning_rate:0.2
       min_samples_split:9
       n estimators:20
       subsample:0.7
       C:\Users\Raymo\anaconda3\lib\site-packages\sklearn\model_selection\_validation.py:37
       8: FitFailedWarning:
       120 fits failed out of a total of 480.
       The score on these train-test partitions for these parameters will be set to nan.
       If these failures are not expected, you can try to debug them by setting error_score
       ='raise'.
       Below are more details about the failures:
       120 fits failed with the following error:
       Traceback (most recent call last):
         File "C:\Users\Raymo\anaconda3\lib\site-packages\sklearn\model_selection\_validati
       on.py", line 686, in _fit_and_score
           estimator.fit(X_train, y_train, **fit_params)
         File "C:\Users\Raymo\anaconda3\lib\site-packages\sklearn\ensemble\_gb.py", line 42
       0, in fit
           self._validate_params()
         File "C:\Users\Raymo\anaconda3\lib\site-packages\sklearn\base.py", line 581, in _v
       alidate_params
           validate parameter constraints(
         File "C:\Users\Raymo\anaconda3\lib\site-packages\sklearn\utils\_param_validation.p
       y", line 97, in validate_parameter_constraints
           raise InvalidParameterError(
       sklearn.utils._param_validation.InvalidParameterError: The 'min_samples_split' param
       eter of GradientBoostingClassifier must be an int in the range [2, inf) or a float i
       n the range (0.0, 1.0]. Got 2.1 instead.
         warnings.warn(some_fits_failed_message, FitFailedWarning)
       C:\Users\Raymo\anaconda3\lib\site-packages\sklearn\model_selection\_search.py:952: U
       serWarning: One or more of the test scores are non-finite: [0.75778986 0.7615942 0.
       76177536 0.72826087 0.75326087 0.75344203
        0.75742754 0.75344203 0.75326087 0.76612319 0.7490942 0.75326087
                                     nan
                                              nan 0.75344203 0.71576087
        0.74094203 0.72826087 0.77445652 0.7451087 0.76177536 0.7451087
        0.75742754 0.73242754 0.75307971 0.72844203
                                                           nan
                          nan 0.7490942 0.74094203 0.74492754 0.7365942
```

```
0.72391304 0.71594203 0.74492754 0.74094203 0.71992754 0.72826087
0.74094203 0.7201087 nan nan nan nan]
warnings.warn(
```

```
In [38]: best_GB_model = Grid_GB.best_estimator_
    best_GB_model.predict(x_test)
print('The test acc of the "best" model for GB classifier is', best_GB_model.score(
```

The test acc of the "best" model for GB classifier is 58.3333333333333333 %

Model Evaluation - Confusion Matrix (Precision, Recall, Accuracy)

Precision(PPV, positive predictive value): tp / (tp + fp); High Precision means low fp

Recall(sensitivity, hit rate, true positive rate): tp / (tp + fn)

```
In [39]: from sklearn.metrics import confusion_matrix
         from sklearn.metrics import classification_report
         from sklearn.metrics import precision_score
         from sklearn.metrics import recall_score
         # calculate accuracy, precision and recall, [[tn, fp],[]]
         def cal_evaluation(classifier, cm):
             tn = cm[0][0]
             fp = cm[0][1]
             fn = cm[1][0]
             tp = cm[1][1]
             accuracy = (tp + tn) / (tp + fp + fn + tn + 0.0)
             precision = tp / (tp + fp + 0.0)
             recall = tp / (tp + fn + 0.0)
             print (classifier)
             print ("Accuracy is: " + str(accuracy))
             print ("precision is: " + str(precision))
             print ("recall is: " + str(recall))
             print ()
         # print out confusion matrices
         def draw_confusion_matrices(confusion_matricies):
             class_names = ['Not','Churn']
             for cm in confusion matrices:
                 classifier, cm = cm[0], cm[1]
                 cal_evaluation(classifier, cm)
```

```
In [40]:
    confusion_matrices = [
          ("Random Forest", confusion_matrix(y_test,best_RF_model.predict(x_test))),
          ("Logistic Regression", confusion_matrix(y_test,best_LR_model.predict(x_test)))
          ("K nearest neighbor", confusion_matrix(y_test, best_KNN_model.predict(x_test)))
          ("SVC", confusion_matrix(y_test, best_SVC_model.predict(x_test))),
          ('GB Classifier', confusion_matrix(y_test, best_GB_model.predict(x_test)))
]
```

```
draw_confusion_matrices(confusion_matrices)
Random Forest
Accuracy is: 0.6833333333333333
precision is: 0.5
recall is: 0.42105263157894735
Logistic Regression
Accuracy is: 0.7
precision is: 0.6
recall is: 0.15789473684210525
K nearest neighbor
Accuracy is: 0.6833333333333333
precision is: 0.5
recall is: 0.21052631578947367
SVC
Accuracy is: 0.6333333333333333
precision is: 0.4
recall is: 0.3157894736842105
GB Classifier
Accuracy is: 0.5833333333333334
precision is: 0.3125
recall is: 0.2631578947368421
```

Model Evaluation - ROC & AUC

All the classifier used here have predict_prob() function, generating the corresponding prediction probability of the classification as category "1"

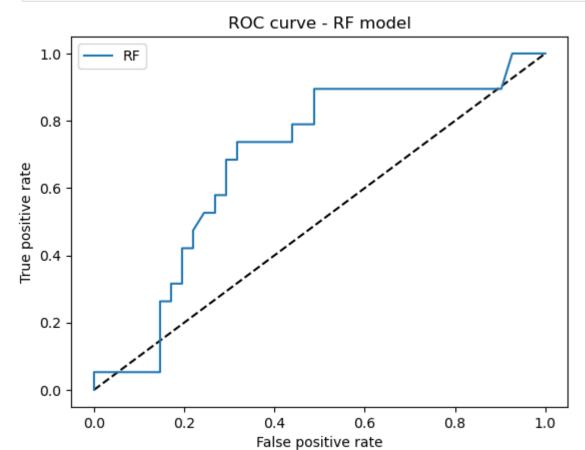
```
In [41]: from sklearn.metrics import roc_curve
    from sklearn import metrics
    import matplotlib.pyplot as plt
    from sklearn import metrics
```

ROC of Random Forest

```
In [42]: # Use predict_proba to get the probability results of Random Forest
y_pred_rf = best_RF_model.predict_proba(x_test)[:, 1]
fpr_rf, tpr_rf, _ = roc_curve(y_test, y_pred_rf)

# drawing ROC curve
plt.figure(1)
plt.plot([0, 1], [0, 1], 'k--')
plt.plot(fpr_rf, tpr_rf, label='RF')
plt.xlabel('False positive rate')
plt.ylabel('True positive rate')
plt.title('ROC curve - RF model')
plt.legend(loc='best')
plt.show()
```

```
# AUC
print('The AUC of RF model is', metrics.auc(fpr_rf,tpr_rf))
```



The AUC of RF model is 0.6835686777920411

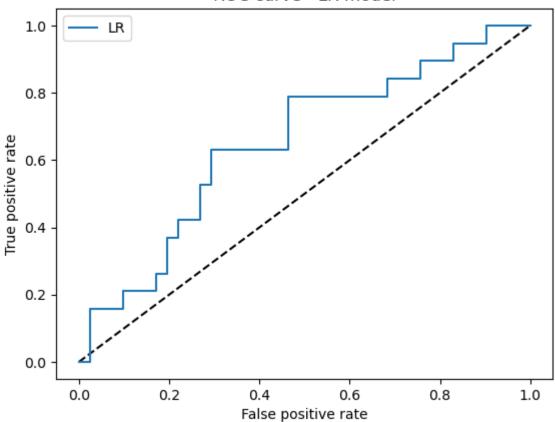
AUC for Logistic Regression Model

```
In [43]: # Use predict_proba to get the probability results of LR
y_pred_lr = best_LR_model.predict_proba(x_test)[:, 1]
fpr_lr, tpr_lr, _ = roc_curve(y_test, y_pred_lr)

# drawing ROC curve
plt.figure(1)
plt.plot([0, 1], [0, 1], 'k--')
plt.plot(fpr_lr, tpr_lr, label='LR')
plt.xlabel('False positive rate')
plt.ylabel('True positive rate')
plt.title('ROC curve - LR model')
plt.legend(loc='best')
plt.show()

# AUC
print('The AUC of LR model is', metrics.auc(fpr_lr,tpr_lr))
```

ROC curve - LR model



The AUC of LR model is 0.6508344030808729

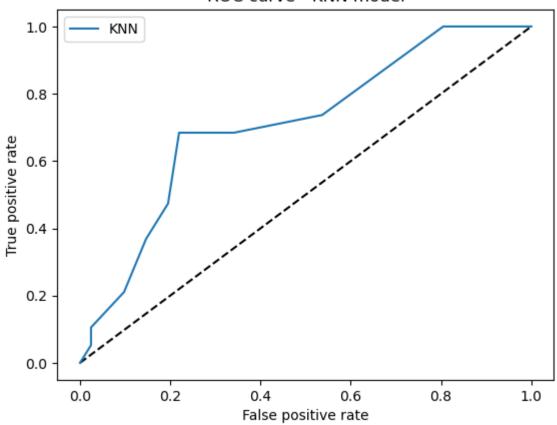
AUC for KNN

```
In [44]: # Use predict_proba to get the probability results of KNN
    y_pred_knn = best_KNN_model.predict_proba(x_test)[:, 1]
    fpr_knn, tpr_knn, _ = roc_curve(y_test, y_pred_knn)

# drawing ROC curve
    plt.figure(1)
    plt.plot([0, 1], [0, 1], 'k--')
    plt.plot(fpr_knn, tpr_knn, label='KNN')
    plt.xlabel('False positive rate')
    plt.ylabel('True positive rate')
    plt.title('ROC curve - KNN model')
    plt.legend(loc='best')
    plt.show()

# AUC
    print('The AUC of KNN model is', metrics.auc(fpr_knn,tpr_knn))
```

ROC curve - KNN model



The AUC of KNN model is 0.711168164313222

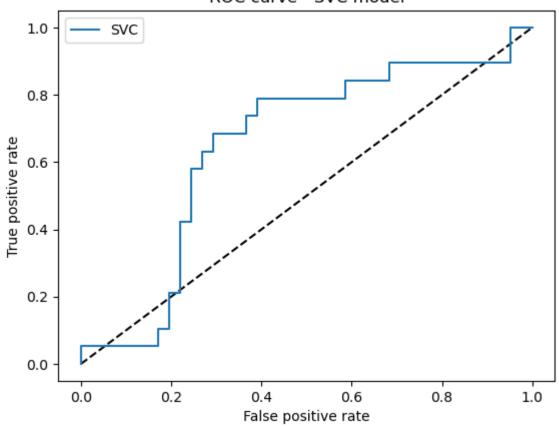
AUC for SVC

```
In [45]: # Use predict_proba to get the probability results of SVC
y_pred_svc = best_SVC_model.predict_proba(x_test)[:, 1]
fpr_svc, tpr_svc, _ = roc_curve(y_test, y_pred_svc)

# drawing ROC curve
plt.figure(1)
plt.plot([0, 1], [0, 1], 'k--')
plt.plot(fpr_svc, tpr_svc, label='SVC')
plt.xlabel('False positive rate')
plt.ylabel('True positive rate')
plt.title('ROC curve - SVC model')
plt.legend(loc='best')
plt.show()

# AUC
print('The AUC of SVC model is', metrics.auc(fpr_svc,tpr_svc))
```

ROC curve - SVC model



The AUC of SVC model is 0.6495507060333761

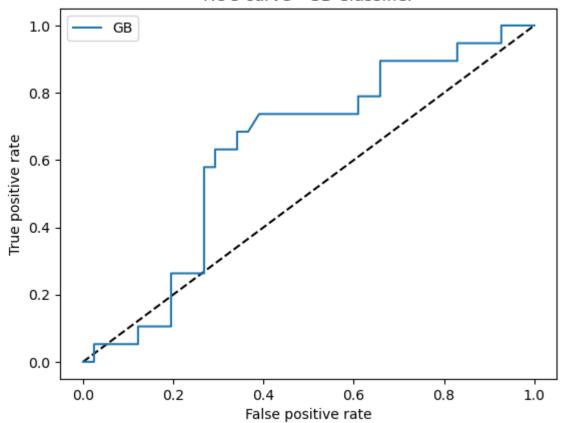
AUC for GB Classifier

```
In [46]: # Use predict_proba to get the probability results of GB Classifier
    y_pred_gb = best_GB_model.predict_proba(x_test)[:, 1]
    fpr_gb, tpr_gb, _ = roc_curve(y_test, y_pred_gb)

# drawing ROC curve
    plt.figure(1)
    plt.plot([0, 1], [0, 1], 'k--')
    plt.plot(fpr_gb, tpr_gb, label='GB')
    plt.xlabel('False positive rate')
    plt.ylabel('True positive rate')
    plt.title('ROC curve - GB Classifier')
    plt.legend(loc='best')
    plt.show()

# AUC
    print('The AUC of GB Classifier is', metrics.auc(fpr_gb,tpr_gb))
```

ROC curve - GB Classifier



The AUC of GB Classifier is 0.6296534017971759

serum_sodium : 0.1666

Despite relatively low acc, it seems that KNN performs relatively better when it comes to ROC (AUC = 0.71) Therefore, I decide to use KNN to explain the weight for each feature

RF - Feature Importance Discussion

Since the RF (2nd best model) can easily extract each feature's weight, here we take it as example to see why the original author think **serum creatinine** and **ejection fraction** are the sole features to predict the mortality from the HF.

```
importances = best_RF_model.feature_importances_
indices = np.argsort(importances)[::-1]

# Print the feature ranking
print("Feature importance ranking by RF:")
for ind in range(x.shape[1]):
    print ("{0} : {1}".format(x.columns[indices[ind]],round(importances[indices[ind]])

Feature importance ranking by RF:
    serum_creatinine : 0.2809
    ejection_fraction : 0.2496
    age : 0.2109
```

sex : 0.0315 anaemia : 0.0304

high blood pressure: 0.0301

Therefore, we can see that two features mentioned above are the most important factors contributed to the mortality of Heart Failure.

Apart from that, Age and Serum Sodium are also the important contributing factor to HF

Discussion

- 1. This project used multiple classifier models to predict the mortality from HF through limited but relatively clean data.
- 1. In terms of AUC, the K-Nearest-Neighbour is the best amongst 5 models used above (Logistic Regression, Random Forest Classifier, K-nearest-neighbour, Support Vector Classification, GB Classifier). Yet it may require more data to obtain a more accurrate result and the usability of the model
- 2. Clinically, 1) serum creatinine reflects kidney function, which affects blood pressure (heart workload) & heart function and may vise versa. 2) ejection fraction is the proportion of the blood with in the heart that could be pumped from the heart per blood ejection within heart, which is also a direct index to classify the heart failure grade (usually chronic) according to the New York Criteria. Apart from which listed in the original article, 3) seniority is often the direct risk factor associate with the mortality of HF, 4) high serum sodium often leads to blood pressure, leading to the high heart workload and left ventricular hypertrophy.
- 3. Limitation: 1) due to the limited size of the data, including N of record and the limited fundamental representative of the feature, the accurracy of the model is limited. 2) It seems that the performance of the model other than logistic regression is not significantly higher than that of LR, this might be the reason why clinicians prefer to use traditional regression models to observe risk factors since their datasets are usually limited, 3) As a future scope, there could be several explainable classifier models worth exploring.

Insight

For patient with heart failure, apart from controlling the process of HF by cardiological medicine, the maintainance of kidney function and low intake of sodium is also important to prolong the expected lifespan.