

Artificial Intelligence

6. Automated Reasoning

Prof. Bojana Dalbelo Bašić
Assoc. Prof. Jan Šnajder

University of Zagreb
Faculty of Electrical Engineering and Computing

Academic Year 2019/2020

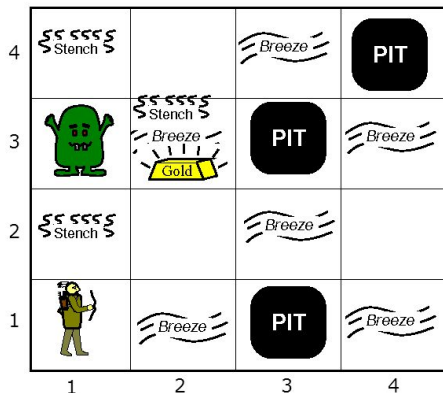


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2.3

Motivation: The world of Wumpus

The Wumpus World



Percepts (facts):

$$\neg B_{1,1}$$

$$\neg B_{1,2}$$

$$B_{2,1}$$

$$\neg P_{1,1}$$

Knowledge (rules):

$$B_{2,1} \leftrightarrow (P_{1,1} \vee P_{2,2} \vee P_{3,1})$$

New knowledge (conclusions):

$$P_{2,2} \vee P_{3,1}$$

Example: Customs officers and diplomats



Premises

Customs officers searched everyone who entered the country but wasn't a diplomat. Some smugglers who entered the country were searched only by smugglers. No smuggler is a diplomat.

Conclusion

Some customs officers are smugglers.

Conclusion = logical consequence?

- The conclusion (newly derived knowledge) is nothing but the **logical consequence** of the premises (existing knowledge)
- Thus, deriving new knowledge amounts to proving logical consequences of existing knowledge

existing knowledge \models new knowledge

- However, in practice we run into problems. . .

Problems with proving semantic consequences

(1) Intractability:

- ▶ We need to check 2^n interpretations
- ▶ Imagine we wish to prove $F_1, \dots, F_{100} \models F_1$. Assuming also $n = 100$, we should check 1.27×10^{30} interpretations. This is impossible!
- ▶ Moreover, in this particular case it seems an overkill because it is *evident* that the semantic consequence relation holds

(2) Undecidability:

- ▶ In FOL, the number of interpretations is infinite, thus we have no chance to check all interpretations
- ▶ Actually, FOL is **semi-decidable**: we can prove validity if it holds, but if it doesn't, we cannot always prove that it doesn't

- Is there a way out of these problems? Yes, to some extent
- Instead to deal with interpretations and models (i.e., semantics), we should shift to using rules of inference (i.e, proof theory)

Outline

- 1 Proof theory
- 2 Resolution in propositional logic (PL)
- 3 Refutation resolution in propositional logic (PL)
- 4 Resolution in first-order logic (FOL) – prep
- 5 Resolution in first-order logic (FOL) – method and examples

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Proof theory

- People don't really draw the conclusions by proving semantic consequences (it's not that they try to find interpretations that satisfy F , but don't satisfy G)!
- Instead of this, they try to show that G can be **derived** from the premises using a number of **inference rules**
- Each rule needs to be *justified* and simple
- Rules of inference enable us to derive new formulas from given premises, without making any explicit reference to the semantics of logic (the truth values of propositional variables)



Proof theory

- Thus, proof theory offers two benefits over proving semantic consequences:
 - ▶ **efficiency:** instead of searching exhaustively through all the interpretations, we can prove consequences faster (especially when using a smart proof strategy). Note, however, that we cannot escape undecidability of FOL
 - ▶ **interpretability:** we can explain why something follows from the premises (by appealing to the rules of inference). We get a *proof*

Deductive consequence

Deductive consequence

Formula G is a **deduction** or **deductive consequence** of formulas F_1, F_2, \dots, F_n if and only if G can be **derived** from the premises F_1, F_2, \dots, F_n using rules of inference.

We write $F_1, F_2, \dots, F_n \vdash G$ and read “ F_1, \dots, F_n **derives** or **deductively entails** G ”.

Theorem

Formula G is a **theorem** if and only if $\vdash G$ holds, i.e., formula G can be derived from an empty set of premises.

- Proving $F \vdash G$ is equivalent to proving $\vdash F \rightarrow G$
- This is why we talk of **theorem proving** instead of deriving deductions

Rules of inference

- An example of an inference rule:
"If two propositions are true, then their conjunction is true as well"

Conjunction introduction rule

$$\frac{A \quad B}{A \wedge B} \quad \text{or} \quad A, B \vdash A \wedge B$$

- What about the following rule?

$$A \vee B \vdash A$$

- Intuitively, the first rule is **semantically correct**, whereas the second rule isn't
- For a rule to be correct, its deductive consequence must also be the **semantic consequence** of the premises

Soundness and completeness

Soundness

An inference rule is **sound** if, when applied to a set of premises, derives a formula that is a **logical consequence** of these premises.

Formally, a rule of inference r is sound if and only if

$$\text{if } F_1, \dots, F_n \vdash_r G \text{ then } F_1, \dots, F_n \models G$$

Completeness

A set of rules R is **complete** if and only if it can be used to derive all logical consequences:

$$\text{if } F_1, \dots, F_n \models G \text{ then } F_1, \dots, F_n \vdash_R G$$

Soundness and completeness tie together semantics and proof theory (they provide a two-way link between \vdash and \models)

Soundness and completeness – an example

- Let's prove that $F \rightarrow G, F \vdash G$ (**modus ponens**) is a sound rule.
We must prove $F \rightarrow G, F \models G$. Direct proof:

F	G	$F \rightarrow G$	$(F \rightarrow G) \wedge F$	$((F \rightarrow G) \wedge F) \rightarrow G$
\perp	\perp	\top	\perp	\top
\perp	\top	\top	\perp	\top
\top	\perp	\perp	\perp	\top
\top	\top	\top	\top	\top

- Let's prove that $F \rightarrow G, G \vdash F$ (**abduction**) is not sound.
We must prove $F \rightarrow G, G \not\models F$. Direct proof:

F	G	$F \rightarrow G$	$(F \rightarrow G) \wedge G$	$((F \rightarrow G) \wedge G) \rightarrow F$
\perp	\perp	\top	\perp	\top
\perp	\top	\top	\top	\perp
\top	\perp	\perp	\perp	\top
\top	\top	\top	\top	\top

Proving theorems

- In AI, we are interested in doing theorem proving automatically
- This is what **automated reasoning** and **automated theorem proving (ATP)** are about
- A tool that implements a proof method is called a **theorem prover**
- What theorem provers derive must, of course, be **semantically correct**, i.e., justifiable in semantic terms

Proof methods

- Proof theory has provided us with many **proof methods**
- The method must be semantically sound, and preferably also complete
 - ▶ natural deduction systems
 - ▶ axiomatic (Hilbert-style) systems
 - ▶ sequent calculi
 - ▶ tableau method
 - ▶ **resolution method**
- We will focus on the resolution method. This method is sound and complete, and can easily be implemented on a computer

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Resolution method

- **Resolution method** is used in propositional logic and first-order predicate logic
- First proposed by J. A. Robinson in 1965
- The method consists of a single inference rule:

Resolution rule

$$\frac{A \vee F \quad \neg A \vee G}{F \vee G} \quad \text{or} \quad A \vee F, \neg A \vee G \vdash F \vee G$$

which is equivalent to:

$$\neg F \rightarrow A, A \rightarrow G \vdash \neg F \rightarrow G$$

- The advantage here is that we're working with a single rule, which greatly simplifies automated reasoning

Resolution rule – soundness

- Let's convince ourselves that the resolution rule is **sound**
- We must prove that the deductive consequence derived with the resolution rule also is a semantic consequence. I.e., we must prove:

$$A \vee F, \neg A \vee G \models F \vee G$$

- For example, using the direct method:

A	F	G	$\overbrace{A \vee F}^P$	$\neg A$	$\overbrace{\neg A \vee G}^Q$	$P \wedge Q$	$\overbrace{F \vee G}^R$	$(P \wedge Q) \rightarrow R$
T	T	T	T	\perp	T	T	T	T
T	T	\perp	T	\perp	\perp	\perp	T	T
T	\perp	T	T	\perp	T	T	T	T
T	\perp	\perp	T	\perp	\perp	\perp	\perp	T
\perp	T	T	T	T	T	T	T	T
\perp	T	\perp	T	T	T	T	T	T
\perp	\perp	T	\perp	T	T	\perp	T	T
\perp	\perp	\perp	\perp	T	T	\perp	\perp	T

Clause

- Resolution rule can only be applied to premises that are disjunctions
- If we wish to be able to apply the resolution rule many times over, all premises must be in a form of disjunctions of literals. This form is called a **clause**

Clause

A **literal** is an atom or its negation. A **clause** is a disjunction of finitely many literals G_i :

$$G_1 \vee G_2 \vee \cdots \vee G_n, \quad n \geq 0$$

A clause containing a single literal is called a **unit clause**.

- Examples of literals: $A, F, \neg A, \neg F, G, \neg G$
- Examples of clauses: $A \vee F, \neg A \vee G, A \vee \neg B \vee C \vee \neg D, F$

Resolution on clauses

Resolution rule on PL clauses

$$\frac{F_1 \vee \dots \vee \textcolor{red}{F_i} \vee \dots \vee F_n \quad G_1 \vee \dots \vee \textcolor{red}{G_i} \vee \dots \vee G_m}{F_1 \vee \dots \vee F_{i-1} \vee F_{i+1} \vee \dots \vee F_n \vee G_1 \vee \dots \vee G_{i-1} \vee G_{i+1} \vee \dots \vee G_m}$$

where F_i and G_i are **complementary literals** (one is the negation of the other). The premises are called the **input clauses** and the deduction is called the **resolvent**.

- Examples:

$$A \vee \textcolor{red}{B} \vee \neg C, D \vee \neg \textcolor{red}{B} \vee E \vdash A \vee \neg C \vee D \vee E$$

$$\neg \textcolor{red}{A} \vee B, \textcolor{red}{A} \vdash B$$

$$A \vee \textcolor{red}{B}, A \vee \neg \textcolor{red}{B} \vdash A \vee A$$

$$\textcolor{red}{A} \vee B, \neg \textcolor{red}{A} \vee \neg B \vdash B \vee \neg B$$

$$A \vee \textcolor{red}{B}, \neg A \vee \neg \textcolor{red}{B} \vdash A \vee \neg A$$

Conjunctive normal form

- If the premises are clauses, the set of premises is a **conjunction of clauses** (the premises are implicitly conjoined with \wedge)
- **Q:** Does this restrict the application of resolution? **A:** No!
- Every formula can be represented as a conjunction of clauses by conversion into the **conjunctive normal form**

Conjunctive normal form (CNF)

Formula F is in a **conjunctive normal form** iff F is in the form

$$F_1 \wedge F_2 \wedge \cdots \wedge F_n$$

where F_i is in the form

$$G_{i1} \vee G_{i2} \vee \cdots \vee G_{im}$$

where G_{ij} are literals (atoms or their negations).

Conversion into CNF

- Every formula can be converted into CNF in four sequential steps:

Conversion into CNF

- (1) Equivalence elimination: $F \leftrightarrow G \equiv (\neg F \vee G) \wedge (\neg G \vee F)$
- (2) Implication elimination: $F \rightarrow G \equiv \neg F \vee G$
- (3) Moving negations onto atoms: $\neg(F \vee G) \equiv \neg F \wedge \neg G$
 $\neg(F \wedge G) \equiv \neg F \vee \neg G$
- (4) Applying distributive law: $F \vee (G \wedge H) \equiv (F \vee G) \wedge (F \vee H)$

Each step is applied repeatedly until the result no longer changes.

Involution $\neg\neg F \equiv F$ is applied in each step whenever possible.

Conversion into CNF – an example

$$(C \vee D) \rightarrow (\neg A \leftrightarrow B)$$

(1) Eliminate equivalences:

$$\begin{aligned}(C \vee D) &\rightarrow (\neg A \leftrightarrow B) \\ (C \vee D) &\rightarrow ((\neg\neg A \vee B) \wedge (\neg B \vee \neg A))\end{aligned}$$

(2) Eliminate implications:

$$(C \vee D) \rightarrow ((A \vee B) \wedge (\neg B \vee \neg A))$$

(3) Move negations in:

$$\neg(C \vee D) \vee ((A \vee B) \wedge (\neg B \vee \neg A))$$

(4) Apply distributive laws:

$$\begin{aligned}&(\neg C \wedge \neg D) \vee ((A \vee B) \wedge (\neg B \vee \neg A)) \\&((\neg C \wedge \neg D) \vee (A \vee B)) \wedge ((\neg C \wedge \neg D) \vee (\neg B \vee \neg A)) \\&((\neg C \vee A \vee B) \wedge (\neg D \vee A \vee B)) \wedge ((\neg C \wedge \neg D) \vee (\neg B \vee \neg A)) \\&((\neg C \vee A \vee B) \wedge (\neg D \vee A \vee B)) \wedge ((\neg C \vee \neg B \vee \neg A) \wedge (\neg D \vee \neg B \vee \neg A)) \\&(\neg C \vee A \vee B) \wedge (\neg D \vee A \vee B) \wedge (\neg C \vee \neg B \vee \neg A) \wedge (\neg D \vee \neg B \vee \neg A)\end{aligned}$$

Clausal form

- A CNF formula can be represented as a set of clauses implicitly conjoined with \wedge
- Each clause can be represented as set of literals implicitly conjoined with \vee
- Thus, a formula can be represented as a **set of sets of literals**
- This is called the **clausal form**

- For example:

$$(\neg C \vee A \vee B) \wedge (\neg D \vee A \vee B) \wedge (\neg C \vee \neg B) \Rightarrow \\ \{\{\neg C, A, B\}, \{\neg D, A, B\}, \{\neg C, \neg B\}\}$$

- We also often write the clauses one below the other:

$$\neg C \vee A \vee B$$

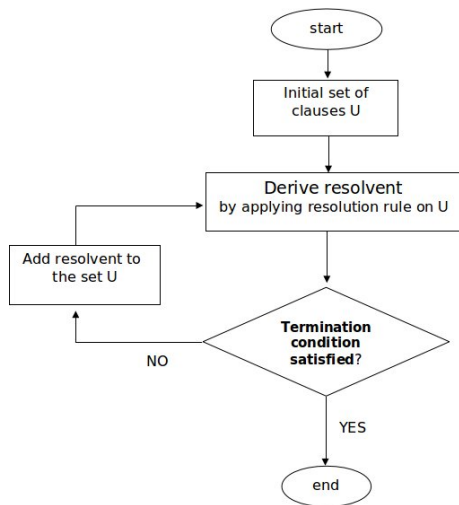
$$\neg D \vee A \vee B$$

$$\neg C \vee \neg B$$

Resolution

The inference step is repeated until:

- (1) the goal formula is derived
- (2) no further formulae can be derived
- (3) computational resources are exhausted



Resolution – an example

- Let us use resolution to prove: $A \rightarrow B, B \rightarrow C, A \vdash C$
- Premises in clausal form:
 - (1) $\neg A \vee B$
 - (2) $\neg B \vee C$
 - (3) A
- Derivation:
 - (4) $\neg A \vee C$ (from 1 & 2)
 - (5) C (from 3 & 4)
- Alternative derivation:
 - (4') B (from 1 & 3)
 - (5') C (from 2 & 4')

Incompleteness of resolution

- We've proved that the resolution rule is sound. But is it complete?
- We can easily show that the resolution rule is not complete
- E.g., let us consider the deduction $F \vdash F \vee G$
- We cannot derive this using the resolution rule (why not?)
- But $F \models F \vee G$ does hold (check this!)
- Since $F \models F \vee G$ holds, but we cannot derive $F \vdash F \vee G$ using the resolution rule, it follows that the resolution rule cannot derive all logical consequences, and hence the **resolution rule is not complete**

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Direct vs. refutation resolution

- The resolution procedure we've been using thus far is the **direct resolution**, which tries to derive G from F_1, \dots, F_n
- There also exists **refutation resolution**, which instead tries to prove that $F_1 \wedge \dots \wedge F_n \wedge \neg G$ is inconsistent
- Direct resolution is **incomplete**, but refutation resolution is **complete**

Refutation resolution (1)

- Instead of trying to prove $F_1, \dots, F_n \vdash G$, we try to prove that $F_1 \wedge \dots \wedge F_n \wedge \neg G$ is inconsistent
- As a special case of the resolution rule we have:

$$\frac{A \quad \neg A}{\text{NIL}}$$

- NIL denotes the empty clause whose semantic value is \perp
- If the resolution procedure derives NIL, then this means that the premises are inconsistent (because the resolution rule is sound)

Refutation resolution (2)

- It has been proven that, whenever the set of clauses is inconsistent, resolution will derive the NIL clause (**ground resolution theorem**)
- Thus we can always prove the inconsistency of a set of clauses
- This also means that we can prove all logical consequences. **Q:** Why?
- **A:** Because we can prove $F \models G$ using the refutation method, by proving that $F \wedge \neg G$ is inconsistent
- This then means that refutation resolution is complete, because we can use it prove all logical consequences
- Therefore, refutation resolution is both **sound and complete!**

Refutation resolution – example 1

- Let us show that we can use refutation resolution to prove $F \vdash F \vee G$:
- Negation of the goal formula: $\neg(F \vee G) \equiv \neg F \wedge \neg G$
- Set of clauses:
 - (1) F
 - (2) $\neg F$
 - (3) $\neg G$
- From (1) and (2) we derive NIL
- This proves that the set of premises is inconsistent, i.e., that $F \vee G$ is the deductive/logical consequence of F

Refutation resolution – example 2

A diplomatic problem

As a Protocol Representative, you're in charge of sending out invitations for the Annual Diplomats Ball. You are to take into account the following:

- (1) The ambassador wants you to also invite UK, if you invite Turkey.
- (2) The vice-ambassador wants you to invite Turkey or Argentina.
- (3) Due to a recent diplomatic incident, you cannot invite both UK and Argentina.

Whom to invite?

Let's prove: *"If I invite Turkey, I will not invite Argentina"*

- Logical representation of the problem:
 $(T \rightarrow U) \wedge (T \vee A) \wedge \neg(U \wedge A)$
- We need to prove $T \rightarrow \neg A$ (the goal)



Refutation resolution – example 2

- Conversion into clauses:

(1) $\neg T \vee U$

(2) $T \vee A$

(3) $\neg U \vee \neg A$

- Negation of the goal: $\neg(T \rightarrow \neg A) \equiv \neg(\neg T \vee \neg A) \equiv T \wedge A$

- Adding new clauses:

(4) T

(5) A

- Resolution procedure:

(6) U (from 1 & 4)

(7) $\neg A$ (from 3 & 6)

(8) NIL (from 5 & 7)

Factorization

- Refutation resolution is complete, provided the clauses are **factorized**
- Factorization uses equivalence $G \vee G \equiv G$ to eliminate multiple occurrences of a literal in a formula
- For example:
 $\neg A \vee \neg A, A \vee A \vdash A \vee \neg A$
- The set of clauses is inconsistent, but we've derived a valid formula
- **Q:** Is this sound? **A:** Of course it is. A valid formula is a logical consequence of any formula. But this is not very useful.
- However, if we first factorize, we get:
 $\neg A, A \vdash \text{NIL}$
- To retain completeness, we must apply factorization whenever possible

Refutation resolution algorithm

Refutation resolution algorithm (for propositional logic)

```
function plResolution( $F, G$ )  
   $clauses \leftarrow \text{cnfConvert}(F \wedge \neg G)$   
   $new \leftarrow \emptyset$   
  loop do  
    for each  $(c_1, c_2)$  in selectClauses( $clauses$ ) do  
       $resolvents \leftarrow \text{plResolve}(c_1, c_2)$   
      if  $\text{NIL} \in resolvents$  then return true  
       $new \leftarrow new \cup resolvents$   
  if  $new \subseteq clauses$  then return false  
   $clauses \leftarrow clauses \cup new$ 
```

- `cnfConvert` – converts a formula into a CNF
- `selectClauses` – selects a set of clause pairs to resolve
- `plResolve` – resolves two clauses and returns a set of resolvents

Refutation resolution algorithm – remarks

- Applying the resolution rule on a pair of clauses may result in more than one resolvent, hence the algorithm uses a set of resolvents
- To retain completeness, **factorization** should be applied on each derived resolvent
- The number of possible distinct clauses is finite (when factorized), thus the algorithm certainly terminates in a finite number of steps
- No pair of clauses should be resolved more than once
- Deriving a NIL clause from a set of clauses is a **search problem**: in each step we must choose which pair of clauses to resolve
- So we need a **search strategy**, which in the context of resolution is called a **resolution strategy**

Resolution strategies

- There are two types of **resolution strategies**:
 - ▶ simplification strategies
 - ▶ control strategies
- **Simplification strategies** are used to delete redundant or irrelevant clauses generated during the proof procedure, avoiding unnecessary steps later on
- **Control strategies** determine the order in which clauses are resolved
- The strategy needs to be **complete**: it must derive NIL when given a set of inconsistent clauses
- This is not to be confused with completeness of inference rules (in general, for a proof procedure to be complete, it must combine complete inference rules with a complete search strategy)

Simplification strategy

Deletion strategy

Removal of **redundant** clauses:

- A clause that is **subsumed** by another clause may be deleted
- Based on the absorption equivalence: $F \wedge (F \vee G) \equiv F$
- If the set contains a pair of clauses C_1 and C_2 such that $C_1 \subseteq C_2$, then clause C_2 may be removed from the set (clauses are represented as sets of literals)

Removal of **irrelevant** clauses:

- Every **valid** clause (tautology) is irrelevant (why?)
- If the resolvent is a valid clause, it may be removed immediately
- Checking the validity of a clause is simple: a clause is valid iff it contains a complementary pair of literals F_i and $\neg F_i$

Control resolution strategies

Level saturation strategy

- Resolvents are derived level-by-level (as in breadth-first search): we resolve all pairs of first-level clauses (initial set of clauses), then we resolve second-level clauses, etc.
- At i -th level, the input clauses come from level 1 down to level $(i - 1)$
- This strategy is complete, but very inefficient (the problem of combinatorial explosion)

Control resolution strategies

Set-of-support strategy (SoS)

- Builds on the assumption that the set of input premises is **consistent**
- For if it were not consistent, it would logically entail any formula!
- Thus, to prove inconsistency in refutation resolution, we have to combine the input premises' clauses with clauses from the negated goal, or with the newly derived clauses
- **Set of support (SoS)**: the clauses obtained from the negated goal as well as all subsequently derived clauses
- **Set-of-support strategy**: **at least one** parent clause always comes from the SoS
- SoS increases as we derive more clauses
- SoS strategy is complete and in principle more efficient than level saturation strategy (especially if the SoS is small)

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Example: Customs officers and diplomats



Premises

Customs officers searched everyone who entered the country but wasn't a diplomat. Some smugglers who entered the country were searched only by smugglers. No smuggler is a diplomat.

Conclusion

Some customs officers are smugglers.

A simpler example

- (1) *Every student attends lectures.*
- (2) *John is a student.*
- ⊢ *John attends the lectures.*

Inference using **natural deduction**:

- (1) $\forall x(S(x) \rightarrow L(x))$
- (2) $S(John)$
- (3) $S(John) \rightarrow L(John)$ (from 1 by rule of **universal instantiation**)
- (4) $L(John)$ (from 2 and 3 by rule of **modus ponens**)

Universal instantiation + modus ponens = **generalized modus ponens**

How can we derive this using **resolution rule**?

Resolution in FOL – a sketch

- 1 Convert the formulas (premises and negated goal) into clausal form:

$$\forall x (S(x) \rightarrow L(x)) \quad \Rightarrow \quad \neg S(x) \vee L(x)$$

$$S(John) \quad \Rightarrow \quad S(John)$$

$$L(John) \quad \Rightarrow \quad \neg L(John)$$

- 2 Match complementary literals:

$$\neg S(x) \quad \Leftrightarrow \quad S(John) \quad \text{if } x \leftarrow John$$

$$L(x) \quad \Leftrightarrow \quad L(John) \quad \text{if } x \leftarrow John$$

\Rightarrow operation of **unification**, resulting in variable **substitution**

- 3 Resolve clauses according to the obtained substitution:

$$\neg S(x) \vee L(x), S(John) \quad \vdash \quad L(John)$$

$$L(John), \neg L(John) \quad \vdash \quad \text{NIL}$$

Conversion into clausal form

- As in PL, resolution in FOL requires the formula to be in the **clausal form**
- It is implicitly assumed that:
 - ▶ all variables are **universally quantified**
 - ▶ clauses are conjoined into disjunctions
- Furthermore, all FOL clauses need to be **standardized** –
- Conversion into clausal form is done in **10 sequential steps**

Conversion into clausal form

- **Step 1: Equivalence elimination**

$$F \leftrightarrow G \equiv (\neg F \vee G) \wedge (\neg G \vee F)$$

- **Step 2: Implication elimination**

$$F \rightarrow G \equiv \neg F \vee G$$

- **Step 3: Pushing negations onto atoms**

$$\neg(F \vee G) \equiv \neg F \wedge \neg G$$

$$\neg(F \wedge G) \equiv \neg F \vee \neg G$$

$$\neg\forall x F(x) \equiv \exists x(\neg F(x))$$

$$\neg\exists x F(x) \equiv \forall x(\neg F(x))$$

- In each of the steps above, whenever applicable use **involution**
 $\neg\neg F \equiv F$ to eliminate double negation

Conversion into clausal form

- **Step 4: Variable renaming** so that every quantifier binds a unique variable

$$(\forall x F(x) \vee \forall x G(x)) \equiv (\forall x F(x) \vee \forall y G(y))$$

$$(\forall x F(x) \vee \exists x G(x)) \equiv (\forall x F(x) \vee \exists y G(y))$$

$$(\exists x F(x) \vee \forall x G(x)) \equiv (\exists x F(x) \vee \forall y G(y))$$

$$(\exists x F(x) \vee \exists x G(x)) \equiv (\exists x F(x) \vee \exists y G(y))$$

$$(\forall x F(x) \wedge \forall x G(x)) \equiv (\forall x F(x) \wedge \forall y G(y))$$

$$(\forall x F(x) \wedge \exists x G(x)) \equiv (\forall x F(x) \wedge \exists y G(y))$$

$$(\exists x F(x) \wedge \forall x G(x)) \equiv (\exists x F(x) \wedge \forall y G(y))$$

$$(\exists x F(x) \wedge \exists x G(x)) \equiv (\exists x F(x) \wedge \exists y G(y))$$

Conversion into clausal form – skolemization

- **Step 5: Skolemization** – replacement of all existentially quantified variables with **Skolem expressions**
- If the existentially quantified variable does not depend on other variables: replace with a **Skolem constant**

$$\begin{aligned} & \exists x \text{SISTER}(x, \text{John}) \\ \Rightarrow & \text{SISTER}(\underbrace{\text{Anna}}_{\text{Skolem constant}}, \text{John}) \end{aligned}$$

- If the existentially quantified variable depends on universally quantified variables: replace with a **Skolem function**

$$\begin{aligned} & \forall x \exists y \text{MOTHER}(y, x) \\ \Rightarrow & \text{MOTHER}(\underbrace{f(x)}_{\text{Skolem function}}, x) \end{aligned}$$

Conversion into clausal form – skolemization

- The arguments of a Skolem function are all those universally quantified variables whose scope includes the existentially quantified variables being replaced

$$\begin{aligned} & \exists u \forall v \forall w \exists x \forall y \exists z F(u, v, w, x, y, z) \\ \Rightarrow & \forall v \forall w \forall y F(a, v, w, f(v, w), y, g(v, w, y)) \end{aligned}$$

None of the symbols a , f , and g must occur in the original formula

Thoralf Albert Skolem (1887–1963)



Norwegian mathematician and logician, one of the founders of model theory.

Conversion into clausal form – skolemization

- How is skolemization justified? What are we allowed to replace an existentially quantified variable with an arbitrary constant?

$$\exists x \text{SISTER}(x, John) \stackrel{???}{\equiv} \text{SISTER}(Anna, John)$$

- The above equivalence generally doesn't hold, but that's not the point
- The point is that **skolemization does not affect the satisfiability of a formula!**

$$\begin{array}{ll} \text{If} & \exists x \text{SISTER}(x, John) \equiv \perp \\ \text{then} & \text{SISTER}(Anna, John) \equiv \perp \end{array}$$

- What this means is that, if the premises and the negated conclusion are inconsistent, they will remain so after skolemization
- This is all we need for refutation resolution to work

Conversion into clausal form

- **Step 6: Prenex normal form** – moving out all universal quantifiers to the left-hand side of the formula, thereby preserving the original order of the quantifiers

$$\forall x F(x) \vee \forall y G(y) \equiv \forall x \forall y (F(x) \vee G(y))$$

$$\forall x F(x) \wedge \forall y G(y) \equiv \forall x \forall y (F(x) \wedge G(y))$$

$$\forall x F(x) \vee H\{x\} \equiv \forall x (F(x) \vee H\{x\})$$

$$\forall x F(x) \wedge H\{x\} \equiv \forall x (F(x) \wedge H\{x\})$$

- The sequence of quantifiers on the left-hand side is called a **prefix**
- The right-hand side of the formula, which now is quantifier-free, is called a **matrix**

Conversion into clausal form

- **Step 7: Prefix elimination.** Only the matrix remains, for which all variables are implicitly universally quantified
- **Step 8: Conversion to CNF** using distributivity

$$\begin{aligned}(F \vee (G \wedge H)) &\equiv ((F \vee G) \wedge (F \vee H)) \\ ((F \wedge G) \vee H) &\equiv ((F \vee H) \wedge (G \vee H))\end{aligned}$$

- **Step 9: Conversion into a set of clauses** by eliminating the \wedge operator, which is implicitly assumed between the clauses
- **Step 10: Clause standardization** by renaming variables so that no two clauses contain variables of the same name, using:

$$\forall x(F(x) \wedge G(x)) \equiv \forall x \forall y(F(x) \wedge G(y))$$

NB: We are not renaming the same variable within the same clause!
Namely, it does not generally hold:

$$\begin{aligned}\forall x P(x, x) &\not\equiv \forall x \forall y P(x, y) \\ \forall x (P(x) \vee Q(x)) &\not\equiv \forall x \forall y (P(x) \vee Q(y))\end{aligned}$$

Conversion into clausal form – example

$$\forall y \forall z \left(\exists u (P(y, u) \vee P(z, u)) \rightarrow \exists u \forall Q(y, z, u) \right)$$

- Step 1: Equivalence elimination \Rightarrow OK
- Step 2: Implication elimination

$$\forall y \forall z \left(\neg (\exists u (P(y, u) \vee P(z, u))) \vee \exists u Q(y, z, u) \right)$$

- Step 3: Pushing negations onto atoms

$$\forall y \forall z \left((\forall u (\neg P(y, u) \wedge \neg P(z, u))) \vee \exists u Q(y, z, u) \right)$$

- Step 4: Variable renaming

$$\forall y \forall z \left((\forall u (\neg P(y, u) \wedge \neg P(z, u))) \vee \exists v Q(y, z, v) \right)$$

Conversion into clausal form – example

- Step 5: Skolemization

$$\forall y \forall z (\forall u (\neg P(y, u) \wedge \neg P(z, u)) \vee Q(y, z, f(y, z)))$$

- Step 6: Prenex normal form

$$\forall y \forall z \forall u ((\neg P(y, u) \wedge \neg P(z, u)) \vee Q(y, z, f(y, z)))$$

- Step 7: Prefix elimination

$$(\neg P(y, u) \wedge \neg P(z, u)) \vee Q(y, z, f(y, z))$$

- Step 8: Conversion to CNF

$$(\neg P(y, u) \vee Q(y, z, f(y, z))) \wedge (\neg P(z, u) \vee Q(y, z, f(y, z)))$$

Conversion into clausal form – example

- Step 9: Conversion into a set of clauses

$$\{\neg P(y, u) \vee Q(y, z, f(y, z)), \neg P(z, u) \vee Q(y, z, f(y, z))\}$$

- Step 10: Standardization (with $u \rightarrow v$, $y \rightarrow w$, $z \rightarrow x$)

$$\{\neg P(y, u) \vee Q(y, z, f(y, z)), \neg P(x, v) \vee Q(w, x, f(w, x))\}$$

Unification

- The operation of **converting two expressions into the same form**
- All variables in a clause are universally quantified, so the **rule of universal instantiation** (\forall elimination) holds: if a variable is substituted by any term, the resulting formula will be the logical consequence of the original formula

Examples

- ▶ $S(x) \Rightarrow S(John) \Leftarrow S(John)$, by **substitution** of variable x with the term $John$, which we denote as $\{John/x\}$
 - ▶ $S(x) \Rightarrow S(z) \Leftarrow S(y)$, with $\{z/x, z/y\}$
 - ▶ $Q(x, a) \Rightarrow Q(f(y), a) \Leftarrow Q(f(y), z)$, with $\{f(y)/x, a/z\}$
 - ▶ $Q(f(x), x) \Rightarrow Q(f(a), a) \Leftarrow Q(y, a)$, with $\{f(a)/y, a/x\}$ resulting from a **composition** of substitutions, $\{f(x)/y\} \circ \{a/x\} = \{f(a)/y, a/x\}$
- Unification **need not always succeed!**
E.g., expressions $P(a)$ and $P(f(x))$ cannot be unified

Substitution

Substitution

Let x_i be FOL **variables** and t_i FOL **terms**. The set of pairs

$$\alpha = \{t_1/x_1, t_2/x_2, \dots, t_n/x_n\}$$

is a **substitution** of variables x_i with terms t_i , provided $x_i \neq x_j$ for $i \neq j$ and $t_i \neq x_i$ for $i = 1, \dots, n$.

- Application of substitution α to an expression K : all occurrences of the variable x_i in K is replaced with the expression t_i
- The resulting expression, denoted $K\alpha$, is an **instance** of expression K . E.g., $K = P(x, f(y))$, $\alpha = \{a/x, b/y\}$: $K\alpha = P(a, f(b))$
- For an **empty substitution** ε , we have $K\varepsilon = K$
- **NB:** Only variables can be substituted!

Composition of substitutions

- **Composition of substitutions** α and β , denoted $\alpha \circ \beta$, is a substitution that satisfies $K(\alpha \circ \beta) = (K\alpha)\beta$ for all K

Deriving the composition of substitutions

Given two substitutions:

$\alpha = \{t_1/x_1, t_2/x_2, \dots, t_n/x_n\}$ and $\beta = \{s_1/y_1, s_2/y_2, \dots, s_m/y_m\}$.

Construct the following sets:

$$S_1 = \{t_1\beta/x_1, t_2\beta/x_2, \dots, t_n\beta/x_n, \underbrace{s_1/y_1, s_2/y_2, \dots, s_m/y_m}_{\beta}\}$$

$$S_2 = \{t_i\beta/x_i \mid t_i\beta/x_i \in S_1, t_i\beta = x_i\}$$

$$S_3 = \{s_i/y_i \mid s_i/y_i \in S_1, y_i \in \{x_1, \dots, x_n\}\}$$

Composition of substitutions is given by:

$$\alpha \circ \beta = S_1 \setminus S_2 \setminus S_3$$

Composition of substitutions – example

- We are given the substitutions:

$$\alpha = \{z/u, h(u)/w\}$$

$$\beta = \{a/u, z/w, u/z\}$$

- Let's derive the composition $\alpha \circ \beta$:

$$S_1 = \{z\beta/u, h(u)\beta/w, a/u, z/w, u/z\}$$

$$= \{u/u, h(a)/w, a/u, z/w, u/z\}$$

$$S_2 = \{u/u\}$$

$$S_3 = \{a/u, z/w\}$$

$$\alpha \circ \beta = S_1 \setminus S_2 \setminus S_3 = \{h(a)/w, u/z\}$$

- Let's show that for $K = P(u, w, f(z))$ we have $K(\alpha \circ \beta) = (K\alpha)\beta$:

$$P(u, w, f(z))(\alpha \circ \beta) = P(u, h(a), f(u))$$

$$(P(u, w, f(z))\alpha)\beta = P(z, h(u), f(z))\beta = P(u, h(a), f(u))$$

Unification

- Expressions K_1 and K_2 can be converted to the same form if a substitution γ exists such that:

$$K_1\gamma = K_2\gamma$$

- Substitution γ is called a **unifier**, and we say that K_1 and K_2 have been **unified** by γ
- Expression $K_1\gamma$ or $K_2\gamma$ is called a **common instance**
- Two expressions can have more than one unifier

Example

Atoms $P(x)$ and $P(y)$ have the following unifiers:

- $\gamma_1 = \{b/x, b/y\}$, which gives the common instance $P(b)$
- $\gamma_2 = \{z/x, z/y\}$, which gives the common instance $P(z)$

Instance $P(z)$ is a **more general common instance** than $P(b)$. Why?

Most general unifier (MGU)

- We are after unifiers that give **the most general common instance**, because this makes the conclusion more general, and consequently ensures the completeness of the inference method

Most general unifier (MGU)

Substitution δ is the **most general unifier** (MGU) iff for every unifier γ of K_1 and K_2 there is a substitution θ for which $\gamma = \delta \circ \theta$ holds.

- Intuitively, γ is a less general unifier that can be obtained from the most general unifier δ by additional substitution θ

Example

Unifiers for $P(x)$ and $P(y)$:

- $\delta = \{y/x\}$ (MGU)
- $\gamma = \{b/x, b/y\} = \delta \circ \theta$, where $\theta = \{b/y\}$

MGU algorithm – example

- Find the MGU of the following expressions:

$$K_1 = P(g(u), z, f(z)) \quad K_2 = P(x, y, f(b))$$

- Step 1:

- ▶ $\{g(u)/x\}$ unifies the first subexpressions of K_1 and K_2 that don't agree
- ▶ $K_1\{g(u)/x\} = P(g(u), z, f(z))$
- ▶ $K_2\{g(u)/x\} = P(g(u), y, f(b))$

- Step 2:

- ▶ $\{y/z\}$ unifies the next subexpressions that don't agree
- ▶ composition $\{g(u)/x\} \circ \{y/z\} = \{g(u)/x, y/z\}$
- ▶ $K_1\{g(u)/x, y/z\} = P(g(u), y, f(y))$
- ▶ $K_2\{g(u)/x, y/z\} = P(g(u), y, f(b))$

- Step 3:

- ▶ $\{b/y\}$ unifies the last subexpressions that don't agree
- ▶ composition $\{g(u)/x, y/z\} \circ \{b/y\} = \{g(u)/x, b/z, b/y\} = \delta$
- ▶ $K_1\delta = P(g(u), b, f(b))$
- ▶ $K_2\delta = P(g(u), b, f(b))$

MGU algorithm

- **Input:** two expressions, K_1 and K_2
- **Output:** the most general unifier, if K_1 and K_2 can be unified, otherwise an error
- We'll consider the recursive algorithm **MGUNIFIER** (Luger, Stubblefield, 1993; Shinghal, 1992)
- The algorithm encodes expressions as nested lists:

$$P(a, b) \Rightarrow [P, a, b]$$

$$P(f(a), g(x, y)) \Rightarrow [P, [f, a], [g, x, y]]$$

- The first element of a list is called the **head**, the rest is called **tail**

$$K = [P, [f, a], [g, x, y]]$$

$$\text{head}(K) = P$$

$$\text{tail}(K) = [[f, a], [g, x, y]]$$

The MGUNIFIER algorithm

```
function mgUnifier( $K_1, K_2$ )  
  if var( $K_1$ ) or fun( $K_1$ ) or pred( $K_1$ ) or  $K_1 = []$  or  
    var( $K_2$ ) or fun( $K_2$ ) or pred( $K_2$ ) or  $K_2 = []$  then  
    if  $K_1 = K_2$  then return  $\emptyset$   
    if  $K_1 = []$  or  $K_2 = []$  then return fail  
    if var( $K_1$ ) then  
      if  $K_1 \in K_2$  then return fail else return  $\{K_2/K_1\}$   
    if var( $K_2$ ) then  
      if  $K_2 \in K_1$  then return fail else return  $\{K_1/K_2\}$   
    return fail      -- neither  $K_1$  nor  $K_2$  is a variable  
else  
   $\alpha \leftarrow$  mgUnifier(head( $K_1$ ), head( $K_2$ ))  
  if  $\alpha =$  fail then return fail  
   $\beta \leftarrow$  mgUnifier(tail( $K_1$ ) $\alpha$ , tail( $K_2$ ) $\alpha$ )  
  if  $\beta =$  fail then return fail  
  return  $\alpha \circ \beta$ 
```

Unification failing

- MGUNIFIER returns an error in cases when unification is not possible
- E.g.:

K_1	K_2	Unification error
$P(a)$	$P(b)$	Constant symbols don't agree
$P(f(x))$	$P(g(b))$	Function symbols don't agree
$P(x)$	$Q(y)$	Predicate symbols don't agree
$P(a)$	$P(x, b)$	Arities don't match
$P(x)$	$P(f(x))$	Substitution of a variable with a term that contains that very variable

Occurs check

- MGUNIFIER performs the so-called **occurs check**: checks whether the term that substitutes for a variable contains that very variable ($K_1 \in K_2$ and $K_2 \in K_1$ in the pseudocode)
- Without this check unification may produce a circular substitution which yields an infinitely nested expression
- E.g., $K_1 = P(x, x)$ and $K_2 = P(f(y), y)$

$$\alpha = \{f(y)/x\} \Rightarrow K_1\alpha = P(f(y), f(y))$$

$$K_2\alpha = P(f(y), y)$$

$$\alpha = \{f(y)/y\} \Rightarrow K_1\alpha = P(f(f(\cdots f(y)\cdots)), f(f(\cdots f(y)\cdots)))$$

$$K_2\alpha = P(f(f(\cdots f(y)\cdots)), f(\cdots f(y)\cdots))$$

- Not doing the occurs check makes **the resolution rule unsound**
- E.g., one could prove $\forall x\exists yP(x, y) \vdash \exists y\forall xP(x, y)$, although $\forall x\exists yP(x, y) \not\models \exists y\forall xP(x, y)$

Unification of literals

- To do resolution, we'll have to be able to unify literals

Unifying literals

Two literals can be **unified** iff

- ▶ either both are negative or both are positive
- ▶ the atoms themselves can be unified

- E.g., $K_1 = P(x)$ and $K_2 = P(y)$ or $K_1 = \neg P(x)$ and $K_2 = \neg P(y)$

Unifying complementary literals

Two literals can be **complementary unified** iff

- ▶ one of them is negative and the other is positive
- ▶ the atoms themselves can be unified

- E.g., $K_1 = P(x)$ and $K_2 = \neg P(y)$ or $K_1 = \neg P(x)$ and $K_2 = P(y)$

Outline

- 1 Proof theory
- 2 Resolution in propositional logic (PL)
- 3 Refutation resolution in propositional logic (PL)
- 4 Resolution in first-order logic (FOL) – prep
- 5 Resolution in first-order logic (FOL) – method and examples

Resolution rule for FOL

- Similar to resolution in PL, with addition of unification
- Refutation resolution works in the same way as in PL
- Parent clauses need to be **standardized**

Resolution rule on FOL clauses

$$\frac{F_1 \vee \dots \vee F_i \vee \dots \vee F_n \quad G_1 \vee \dots \vee G_j \vee \dots \vee G_m}{F_1\delta \vee \dots \vee F_{i-1}\delta \vee F_{i+1}\delta \vee \dots \vee F_n\delta \vee G_1\delta \vee \dots \vee G_{j-1}\delta \vee G_{j+1}\delta \vee \dots \vee G_m\delta}$$

where F_i and G_j are literals that can be **complementary unified** and δ is the corresponding most general unifier (MGU).

The resolvent is the disjunction of all the remaining literals from the parent clauses, with **substitution** δ being applied to every literal.

Resolving two unit clauses yields the **empty clause** NIL.

Example 1

- Find the resolvent of:

$$(1) \quad P(g(y), x, f(z)) \vee Q(z, b) \vee R(x)$$

$$(2) \quad S(x, y) \vee \neg P(x, y, f(a))$$

- Clauses **are not standardized**: let's rename the variables in the first clause using the substitution $\{w/x, u/y\}$
- Standardized clauses:

$$(1) \quad P(g(u), w, f(z)) \vee Q(z, b) \vee R(w)$$

$$(2) \quad S(x, y) \vee \neg P(x, y, f(a))$$

- Resolving by complementary literals with MGU

$\delta = \{g(u)/x, y/w, a/z\}$ gives:

$$(3) \quad S(g(u), y) \vee Q(a, b) \vee R(y)$$

Example 2

- (1) *Every student attends lectures.*
- (2) *John is a student.*
- ⊢ *John attends the lectures.*

Conversion into clausal form and refutation resolution:

- (1) $\neg S(x) \vee L(x)$
- (2) $S(John)$
- (3) $\neg L(John)$ (negated goal)
- (4) $\neg S(John)$ (from 1 and 3 with $\delta = \{John/x\}$)
- (5) NIL (from 2 and 4 with $\delta = \emptyset$)

Factorizing FOL clauses

- As in PL, clauses in FOL have to be **factorized** for refutation resolution to be **complete**
- An example of how not doing factorization ruins completeness:

- (1) $P(u) \vee P(w)$
- (2) $\neg P(x) \vee \neg P(y)$
- (3) $P(w) \vee \neg P(y)$ (from 1 and 2 with $\delta = \{u/x\}$)

(we obtain a similar result by resolving on other literals)

- A clause can be factorized iff it contains literals that can be unified
- A clause factorized in that way is called a **factor clause**

- (1) $P(u) \vee P(w)$
- (2) $\neg P(x) \vee \neg P(y)$
- (1') $P(w)$ (factor clause of 1 with $\delta = \{w/u\}$)
- (2') $\neg P(y)$ (factor clause of 2 with $\delta = \{y/x\}$)
- (3) NIL (from 1' and 2' with $\delta = \{w/y\}$)

Factorizing FOL clauses

Factor clause

Let clause

$$F_1 \vee \dots \vee \dots \vee F_i \vee \dots \vee F_j \vee \dots \vee F_n$$

contain literals F_i and F_j whose most general unifier is δ . The **factor clause** of this clause is the clause

$$F_1\delta \vee \dots \vee F_i\delta \vee \dots \vee F_n\delta$$

- E.g., for the clause

$$P(x, y, f(b)) \vee S(x, y) \vee P(g(u), w, f(z))$$

the factor clause is

$$P(g(u), y, f(b)) \vee S(g(u), y) \quad (\text{with } \delta = \{g(u)/x, y/w, b/z\})$$

- **NB:** One clause can have more than one factor clause

Resolution rule in FOL – refined

- To **retain completeness** of refutation resolution, resolution has to be carried out on both factorized and non-factorized parent clauses (all combinations!)

Resolution on FOL clauses

Let F and G be **parent clauses** (clauses that contain literals that can be complementary unified). The **resolvent** of these clauses is any resolvent obtained by:

- (1) resolving F and G
- (2) resolving F and factor of G
- (3) resolving factor of F and G
- (4) resolving factor of F and factor of G

- **NB:** If a parent clause has more than one factor clauses, then all combinations have to be considered

Refutation resolution completeness and FOL undecidability

- As in PL, **refutation resolution is sound and complete**
 - ▶ **Sound:** if $F \wedge \neg G$ derives NIL, then F semantically entails G
 - ▶ **Complete:** if F semantically entails G , then $F \wedge \neg G$ derives NIL
- Thus, logical and deductive consequence are really the same thing
- Completeness was proven by J. A. Robinson (1965), but K. Gödel has earlier proven the existence of a complete method for FOL (1929)
- However, unlike PL, FOL **is not decidable!**

Undecidability of validity in FOL

There exists no algorithm that, given FOL formula F as input, will return “yes” if F is valid and “no” if F is not valid for all FOL formulas.

- FOL undecidability was proven by A. Church i A. Turing (1935)
⇒ there is no algorithm for proving all **logical consequences**
 - ▶ namely, by **semantic deduction theorem**, $F \models G$ iff $\models F \rightarrow G$

Semi-decidability of FOL

- More precisely, FOL is **semi-decidable**:
 - ▶ there exists algorithms that return “yes” if F is valid, but if F is not valid, the algorithm may never terminate
- Refutation resolution is one such algorithm
- Semi-decidability limits the power of refutation resolution:
 - ▶ If F **does** semantically entail G , then it will derive **NIL**
 - ▶ If F **does not** semantically entail G , it **may never terminate**

Example

It is the case

$$\forall x(\forall y P(y) \rightarrow P(x)) \not\models \forall x P(x)$$

but refutation resolution can't prove this (it doesn't terminate).

Example: Robot and packages

- A robot is delivering packages. The robot knows that all packages in room 27 are smaller than any of the packages in room 28. A and B are packages. Package A is in room 27 or 28, but the robot doesn't know where exactly. Package B is in room 27 and is not smaller than package A.
- Use refutation resolution to show how the robot can conclude that package A is in room 27
- Knowledge representation:



$$(1) \forall x \forall y \left((P(x) \wedge P(y) \wedge I(x, 27) \wedge I(y, 28)) \rightarrow S(x, y) \right)$$

$$(2) P(A) \wedge P(B)$$

$$(3) I(A, 27) \vee I(A, 28)$$

$$(4) I(B, 27) \wedge \neg S(B, A)$$

$$\vdash I(A, 27)$$

Example: Robot and packages

- Premises and the negated goal in clausal form:

$$(1) \neg P(x) \vee \neg P(y) \vee \neg I(x, 27) \vee \neg I(y, 28) \vee S(x, y)$$

$$(2) P(A)$$

$$(3) P(B)$$

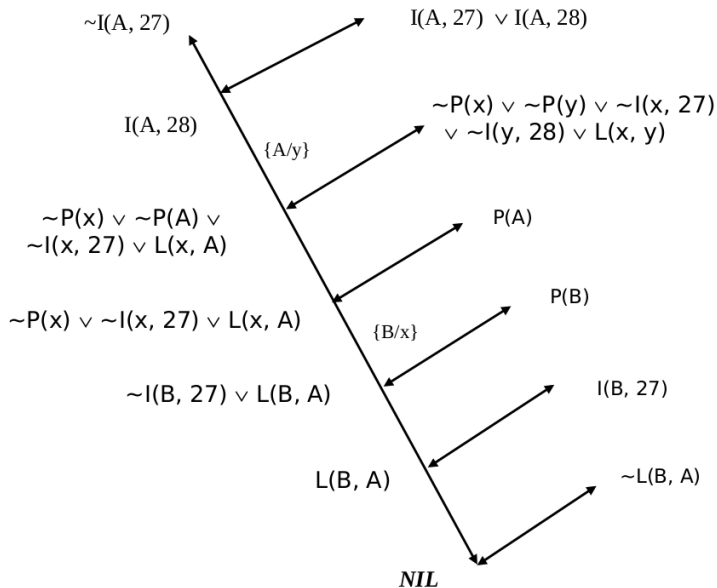
$$(4) I(A, 27) \vee I(A, 28)$$

$$(5) I(B, 27)$$

$$(6) \neg S(B, A)$$

$$(7) \neg S(A, 27) \quad (\text{negated goal})$$

Example: Robot and packages



Example: Customs officers and diplomats



Premises

Customs officers searched everyone who entered the country but wasn't a diplomat. Some smugglers who entered the country were searched only by smugglers. No smuggler is a diplomat.

Conclusion

Some customs officers are smugglers.

Example: Customs officers and diplomats

$$(1) \forall x \left((E(x) \wedge \neg D(x)) \rightarrow \exists y (O(y) \wedge S(y, x)) \right)$$

$$(2) \exists x \left(M(x) \wedge E(x) \wedge \forall y (S(y, x) \rightarrow M(y)) \right)$$

$$(3) \forall x (M(x) \rightarrow \neg D(x))$$

$$\vdash \exists x (O(x) \wedge M(x))$$

$$(1) \neg E(x) \vee D(x) \vee O(f(x))$$

$$(2) \neg E(z) \vee D(z) \vee S(f(z), z)$$

$$(3) M(a)$$

$$(4) E(a)$$

$$(5) \neg S(y, a) \vee M(y)$$

$$(6) \neg M(v) \vee \neg D(v)$$

$$(7) \neg O(w) \vee \neg M(w)$$

Example: Customs officers and diplomats

- (8) $\neg E(x) \vee D(x) \vee \neg M(f(x))$ (from 1 and 7 with $\delta = \{f(x)/w\}$)
- (9) $D(a) \vee \neg M(f(a))$ (from 4 and 8 with $\delta = \{a/x\}$)
- (10) $\neg S(f(a), a) \vee D(a)$ (from 5 and 9 with $\delta = \{f(a)/y\}$)
- (11) $\neg E(a) \vee D(a) \vee D(a)$ (from 2 and 10 with $\delta = \{a/z\}$)
- (12) $D(a)$ (from 4 and 11 with $\delta = \emptyset$)
- (13) $\neg M(a)$ (from 6 and 12 with $\delta = \{a/v\}$)
- (14) NIL (from 3 and 13 with $\delta = \emptyset$)

Wrap-up

- Proof theory uses **rules of inference** to derive **deductive consequences**, without explicit reference to semantics of logic
- Rules of inference must be **sound** and preferably **complete**
- **Resolution rule** is a simple inference rule that is sound
- Formulas have to be converted to **clausal form** before using resolution
- To resolve FOL literals, we use **unification** to find **substitution** of variables that makes two expressions the same
- A **resolution strategy** simplifies or controls the process of derivation (e.g., **set-of-support strategy**)
- **Refutation resolution** (with standardization, factorization, and a complete strategy) is a sound and complete method for PL and FOL
- **Semi-decidability** of FOL limits the power of resolution



Next topic: Logic programming