

Counting 2

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Pigeonhole Principle

If there are more pigeons than pigeonholes, then there must be at least one pigeonhole with at least two pigeons in it



The Pigeonhole Principle


- **The pigeonhole principle:** If $(k + 1)$ or more objects are placed into k boxes, then there is at least one box containing two or more of the objects.
- **Proof:** By Contraposition.
- Also called Dirichlet Drawer Principle after Lejuene Dirichlet who used this often in his work
- **Example 1:** If there are 11 players in a soccer team that wins 12-0, there must be at least one player in the team who scored at least twice.
- **Example 2:** If you have 6 classes from Monday to Friday, there must be at least one day on which you have at least two classes.

The Pigeonhole Principle

- **The generalized pigeonhole principle:** If N objects are placed into k boxes, then there is at least one box containing at least $\lceil N/k \rceil$ of the objects.

Proof: We will use a proof by contraposition. Suppose that none of the boxes contains more than $\lceil N/k \rceil - 1$ objects. Then, the total number of objects is at most

$$k \left(\left\lceil \frac{N}{k} \right\rceil - 1 \right) < k \left(\left(\frac{N}{k} + 1 \right) - 1 \right) = N,$$

where the inequality $\lceil N/k \rceil < (N/k) + 1$ has been used. This is a contradiction because there are a total of N objects. 

- **Example 1:** In our 60-student class, at least 12 students will get the same letter grade (A, B, C, D, or F).

The Pigeonhole Principle

- **Example 2:** Assume you have a drawer containing a random distribution of a dozen brown socks and a dozen black socks. It is dark, so how many socks do you have to pick to be sure that among them there is a matching pair?
- There are two types of socks, so if you pick at least 3 socks, there must be either at least two brown socks or at least two black socks.
- Generalized pigeonhole principle: $\lceil 3/2 \rceil = 2$.

The Pigeonhole Principle

- **Example 3:** What is the minimum number of students required in a discrete mathematics class to be sure that at least 6 will receive the same grade ? (5 possible grades : A,B,C,D,F)
 - Generalized pigeonhole principle: $\lceil N/5 \rceil = 6$. $N = 26$
- **Example 4:** a) How many cards must be selected from a standard deck of 52 cards to guarantee that at least 3 cards from the same suite are chosen ? b) How many must be selected to guarantee that at least 3 hearts are selected ?
 - a) $\lceil N/4 \rceil \geq 3$. smallest $N = 2 \cdot 4 + 1 = 9$
 - B) No pigeonhole principle; Worst case : 42 cards

Example

- What is the least number of area codes needed to guarantee that the 25 million phones in a state can be assigned distinct 10-digit telephone numbers? (Assume that telephone numbers are of the form *NXX-NXX-XXXX*, where the first three digits form the area code, *N* represents a digit from 2 to 9 inclusive, and *X* represents any digit.)
- $N = 25 \text{ million} = 25,000,000$
- *Leaving Area Codes, we have $800 \times 10,000 = 8 \text{ million}$*
- $\lceil 25000000 / 8000000 \rceil = \lceil 3.125 \rceil = 4$

Permutations

- How many ways are there to pick a set of 3 people from a group of 6?
- There are 6 choices for the first person, 5 for the second one, and 4 for the third one, so there are $6 \cdot 5 \cdot 4 = 120$ ways to do this.
- This is not the correct result!
- For example, picking person C, then person A, and then person E leads to the **same group** as first picking E, then C, and then A.
- However, these cases are counted **separately** in the above equation.

Permutations

- So how can we compute how many different subsets of people can be picked (that is, we want to disregard the order of picking) ?
- To find out about this, we need to look at **permutations**.
- A **permutation** of a set of distinct objects is an ordered arrangement of these objects.
- A *permutation* of a set S of objects is an ordered arrangement of the elements of S where each element appears only once:
e.g., 1 2 3, 2 1 3, 3 1 2
- An ordered arrangement of r elements of a set is called an **r-permutation**.

Permutations

- **Example:** Let $S = \{1, 2, 3\}$.
- The arrangement 3, 1, 2 is a permutation of S .
- The arrangement 3, 2 is a 2-permutation of S .
- The number of r -permutations of a set with n distinct elements is denoted by **$P(n, r)$** .
- We can calculate $P(n, r)$ with the product rule:
- $P(n, r) = n \cdot (n - 1) \cdot (n - 2) \cdot \dots \cdot (n - r + 1)$.
- (n choices for the first element, $(n - 1)$ for the second one, $(n - 2)$ for the third one...)

Permutations

- **Example:**

- $P(8, 3) = 8 \cdot 7 \cdot 6 = 336$
- $= (8 \cdot 7 \cdot 6 \cdot 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1) / (5 \cdot 4 \cdot 3 \cdot 2 \cdot 1)$

- **General formula:**

- $P(n, r) = n! / (n - r)!$

- Knowing this, we can return to our initial question:

- How many ways are there to pick a set of 3 people from a group of 6 (disregarding the order of picking)?

Examples

- 1. *How many ways are there to select a first-prize winner, a second-prize winner, and a third-prize winner from 100 different people who have entered a contest?*
- 3 elements from a set of 100 elements =
- $P(100,3)=100.99.98= 9,70,200$
- 2. *Suppose that a saleswoman has to visit eight different cities. She must begin her trip in a specified city, but she can visit the other seven cities in any order she wishes. How many possible orders can the saleswoman use when visiting these cities?*
- $7! = 7 \cdot 6 \cdot 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1 = 5040$ ways
- 3. How many permutations of the letters *ABCDEFGH* contain the string *ABC* ?
- ABC is one block and others are separate; 6 objects in any order = $6! = 720$ permutations

Examples

- A terrorist has planted an armed nuclear bomb in your city, and it is your job to disable it by cutting wires to the trigger device.
- There are 10 wires to the device.
- If you cut exactly the right three wires, in exactly the right order, you will disable the bomb, otherwise it will explode!
- If the wires all look the same, what are your chances of survival?

$P(10,3) = 10 \cdot 9 \cdot 8 = 720$,
so there is a 1 in 720 chance
that you'll survive!

Combinations

- An **r-combination** of elements of a set is an unordered selection of r elements from the set.
- Thus, an r -combination is simply a subset of the set with r elements.
- **Example:** Let $S = \{1, 2, 3, 4\}$.
- Then $\{1, 3, 4\}$ is a 3-combination from S .
- The number of r -combinations of a set with n distinct elements is denoted by $C(n, r)$. $\binom{n}{r}$ and is called a binomial coefficient
- **Example:** $C(4, 2) = 6$, since, for example, the 2-combinations of a set $\{1, 2, 3, 4\}$ are $\{1, 2\}$, $\{1, 3\}$, $\{1, 4\}$, $\{2, 3\}$, $\{2, 4\}$, $\{3, 4\}$.

Combinations

- How can we calculate $C(n, r)$?
- Consider that we can obtain the r -permutation of a set in the following way:
 - **First**, we form all the r -combinations of the set (there are $C(n, r)$ such r -combinations).
 - **Then**, we generate all possible orderings in each of these r -combinations (there are $P(r, r)$ such orderings in each case).
- Therefore, we have:
- $P(n, r) = C(n, r) \cdot P(r, r)$

Combinations

- $C(n, r) = P(n, r)/P(r, r)$
- $= n!/(n - r)!/(r!/(r - r)!)$
- $= n!/(r!(n - r)!)$
- Now we can answer our initial question:
- How many ways are there to pick a set of 3 people from a group of 6 (disregarding the order of picking)?
- $C(6, 3) = 6!/(3! \cdot 3!) = 720/(6 \cdot 6) = 720/36 = 20$
- There are 20 different ways, that is, 20 different groups to be picked.

Combinations

- Corollary:**

- Let n and r be nonnegative integers with $r \leq n$.

- Then $C(n, r) = C(n, n - r)$.

- Note that “**picking a group of r people from a group of n people**” is the same as “**splitting a group of n people into a group of r people and another group of $(n - r)$ people**”.

Combinations

- Example:**

- A soccer club has 8 female and 7 male members. For today's match, the coach wants to have 6 female and 5 male players on the grass. How many possible configurations are there?

- $C(8, 6) \cdot C(7, 5) = 8!/(6! \cdot 2!) \cdot 7!/(5! \cdot 2!)$

- $= 28 \cdot 21$

- $= 588$

Combinations

- We also saw the following: that $C(n,r) = C(n,n-r)$

This symmetry is intuitively plausible. For example, let us consider a set containing six elements ($n = 6$).

Picking two elements and **leaving four** is essentially the same as **picking four** elements and **leaving two**.

In either case, our number of choices is the number of possibilities to **divide** the set into one set containing two elements and another set containing four elements.

Combinations Examples

- 1. How many poker hands of five cards can be dealt from a standard deck of 52 cards? Also, how many ways are there to select 47 cards from a standard deck of 52 cards?
- Since order does not matter,
$$C(52,5)=52! / 5!47! = 52.51.50.49.48/5.4.3.2.1 = 2598960$$
- 2. How many ways are there to select five players from a 10-member tennis team to make a trip to a match at another school?
- $C(10,5)= 10! / 5!5!= 252$
- 3. A group of 30 people have been trained as astronauts to go on the first mission to Mars. How many ways are there to select a crew of six people to go on this mission (assuming that all crew members have the same job)?
- $C(30,6) = 30!/6! 24!= 30.29.28.27.26.25/6.5.4.3.2.1 = 593775$
- How many bit strings of length 10 *contain exactly 3 1s*?
- $C(10,3)$

More Examples

- How many distinct 7-card hands can be drawn from a standard 52-card deck?
 - The order of cards in a hand doesn't matter.
- $C(52,7) = P(52,7)/P(7,7)$
- How many ways are there to select a committee to develop a discrete mathematics course if the committee is to consist of 3 faculty members from the Math department and 4 from the CS department, if there are 9 faculty members from Math and 11 from CS?
- $C(9,3) \cdot C(11,4) = 9! / 3! 6! \cdot 11! / 4! 7! = 84 \cdot 330 = 27,720$

Permutations vs. Combinations

- How many ways are there of selecting 1st, 2nd, and 3rd place from a group of 10 sprinters?
- How many ways are there of selecting the top three finishers from a group of 10 sprinters?
- How many binary strings of length 10 with 3 0's ?
- How many binary strings of length 10 with 7 1's?
- How many different ways of assigning 38 students to the 5 seats in the front of the class?
- How many different ways of assigning 38 students to a table that seats 5 students?

Prove $C(n, r) = C(n, n-r)$ [Proof 1]

- Proof by formula

$$\binom{n}{r} = \frac{n!}{r!(n-r)!}$$

$$\binom{n}{n-r} = \frac{n!}{(n-r)!(n-(n-r))!} = \frac{n!}{(n-r)!r!}$$

Prove $C(n, r) = C(n, n-r)$ [Proof 2]

- Combinatorial proof
 - Set S with n elements
 - Every subset A of S with r elements corresponds to a subset of S with $n - r$ elements (the complement of A)

Combinations

- Imagine a set S containing n elements and a set T containing $(n + 1)$ elements, namely all elements in S plus a new element a .
- Calculating $C(n + 1, k)$ is equivalent to answering the question: How many subsets of T containing k items are there?
- **Case I:** The subset contains $(k - 1)$ elements of S plus the element a : $C(n, k - 1)$ choices.
- **Case II:** The subset contains k elements of S and does not contain a : $C(n, k)$ choices.
- **Sum Rule:** $C(n + 1, k) = C(n, k - 1) + C(n, k)$.

Combinations vs Permutations

- Essentially unordered permutations ...

$$P(n, r) = C(n, r)P(r, r)$$

$$C(n, r) = \binom{n}{r} = \frac{P(n, r)}{P(r, r)} = \frac{n!/(n-r)!}{r!} = \frac{n!}{r!(n-r)!}$$

- Note that $C(n, r) = C(n, n-r)$