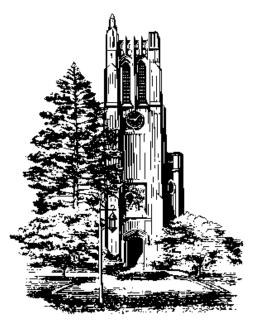
Closures of a Relation



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Closures of Relations

- "Closing" a relation has important applications.
- The natural numbers **N** (counting numbers) are not closed under subtraction: when we close them under subtraction, we get **Z**, the integers (positive and negative).
- When we close Z under the operation of division, we get Q, the rational numbers.



Applications of Closures

- Computing the transitive closure of a digraph is an important problem in many computer science applications:
 - Evaluation of recursive database queries.
 - Analysis of reachability (connectivity) of transition graphs in communication networks.
 - Construction of parsing automata in compilers.

Introduction

- Closure of relation properties
 - When a property does not hold for a relation, how could we *minimally* augment the relation so that the property would hold?

Reflexive Closure

Example: Consider the relation

```
R = \{(1,1), (1,2), (2,1), (3,2)\} on set \{1,2,3\}
```

- Is it reflexive?
- How can we produce a reflexive relation containing R that is as small as possible?

Reflexive Closure – cont.

- When a relation R on a set A is not reflexive:
 - How to minimally augment R (adding the minimum number of ordered pairs) to make it a reflexive relation?
 - The reflexive closure of R.
- The reflexive closure of R can be formed by adding all of the pairs of the form (a,a) to R. In other words we should find:

$$R \cup \Delta = R \cup \{(a,a) \mid a \in A\}$$

$$R = \{ (1,1), (1,2), (2,1), (3,2) \} \cup \{ (1,1), (2,2), (3,3) \}$$

Reflexive Closure – Cont.

The diagonal relation on A is:

$$\Delta = \{(a,a) \mid a \in A\}.$$

The reflexive closure of R is then:

$$R \cup \Delta$$
.

- Properties:
 - $R \subseteq (R \cup \Delta);$
 - $R \cup \Delta$ is reflexive;
 - $\forall S \quad (R \subseteq S \land S \text{ is reflexive}) \rightarrow (R \cup \Delta) \subseteq S.$
- In zero-one matrix notation: $M_R \vee M_\Delta$
- Turn on all the diagonal bits!

Example 1

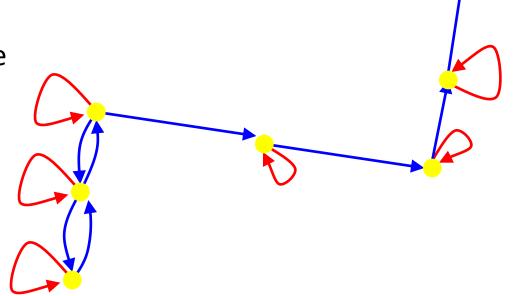
- Consider $R = \{(a,b) \in \mathbb{Z} \times \mathbb{Z} \mid a < b\}$
- The reflexive closure of relation R is:

```
R \cup \Delta
= \{(a,b) \in \mathbf{Z} \times \mathbf{Z} \mid a < b\} \cup \{(a,a) \mid a \in \mathbf{Z}\}
= \{(a,b) \in \mathbf{Z} \times \mathbf{Z} \mid a \leq b\}
```



Reflexive closure

- Consider a relation R:
 - Note that it is not reflexive
- We want to add edges to make the relation reflexive
- By adding those edges, we have made a nonreflexive relation R into a reflexive relation

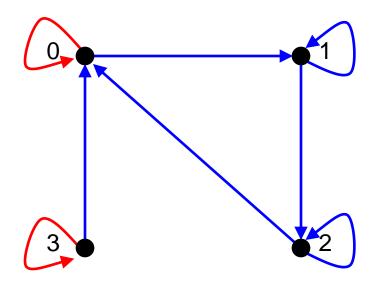


This new relation is called the reflexive closure of R



Reflexive closure Example 2

- Let R be a relation on the set { 0, 1, 2, 3 } containing the ordered pairs (0,1), (1,1), (1,2), (2,0), (2,2), and (3,0)
- What is the reflexive closure of *R*?
- We add all pairs of edges (a,a) that do not already exist



We add edges: (0,0), (3,3)

Symmetric Closure

Example: Consider
 R ={(1,1), (1,2), (2,2), (2,3), (3,1), (3,2)}

- -R is not symmetric; the pairs missing are: (2,1), (1,3).
- If we add those, we obtain the new relation:
 {(1,1), (1,2), (1,3), (2,1), (2,2), (2,3), (3,1), (3,2)}.
 The new relation is symmetric.

Symmetric Closure

- When a relation R on a set A is not symmetric:
 - How to minimally augment R (adding the minimum number of ordered pairs) to have a symmetric relation?
 - The symmetric closure of R.

Symmetric Closure

• The inverse of R is:

$$R^{-1} = \{(b,a) : (a,b) \in R\}.$$

• The symmetric closure of *R* is then:

$$R \cup R^{-1}$$
.

- Properties:
 - $-R\subseteq (R\cup R^{-1});$
 - $-R \cup R^{-1}$ is symmetric;
 - $\forall S \ (R \subseteq S \land S \text{ is symmetric}) \rightarrow (R \cup R^{-1}) \subseteq S.$
- In zero-one matrix notation: $M_R \vee M_R^t$

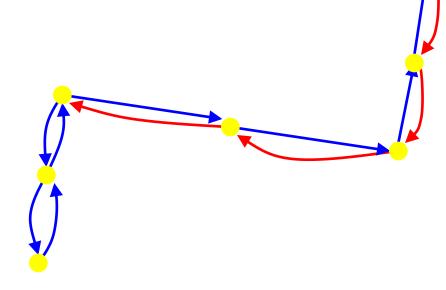
Example 1

- Consider $R = \{(a,b) \in \mathbb{Z} \times \mathbb{Z} \mid a < b\}$
- The symmetric closure of relation R is:

```
R \cup R^{-1}
= \{(a,b) \in \mathbf{Z} \times \mathbf{Z} \mid a < b\} \cup \{(a,b) \in \mathbf{Z} \times \mathbf{Z} \mid b < a\}
= \{(a,b) \in \mathbf{Z} \times \mathbf{Z} \mid a \neq b\}
```

Symmetric closure

- Consider a relation R:
 - Note that it is not symmetric
- We want to add edges to make the relation symmetric
- By adding those edges, we have made a nonsymmetric relation R into a symmetric relation

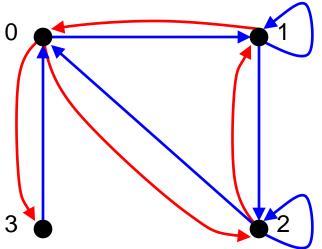


This new relation is called the symmetric closure of R



Example

- Let R be a relation on the set { 0, 1, 2, 3 } containing the ordered pairs (0,1), (1,1), (1,2), (2,0), (2,2), and (3,0)
- What is the symmetric closure of R?
- We add all pairs of edges (a,b) where (b,a) exists
 - We make all "single" edges into anti-parallel pairs



We add edges: (0,2), (0,3) (1,0), (2,1)

Transitive Closure

- Consider $R = \{(1,3), (1,4), (2,1), (3,2)\}.$
 - R is not transitive;
 - What are the missing terms?
 - Few are: (1,2), (2,3), (2,4), (3,1).
 - If we add those, we obtain the new relation: {(1,2), (1,3), (1,4), (2,1), (2,3), (2,4), (3,1), (3,2)}.
 - Is the above relation transitive?
 - No, it is not. Missing terms such as (1,1), (2,2)...
- > Transitive closure is more complicated to build.

Paths in directed graphs

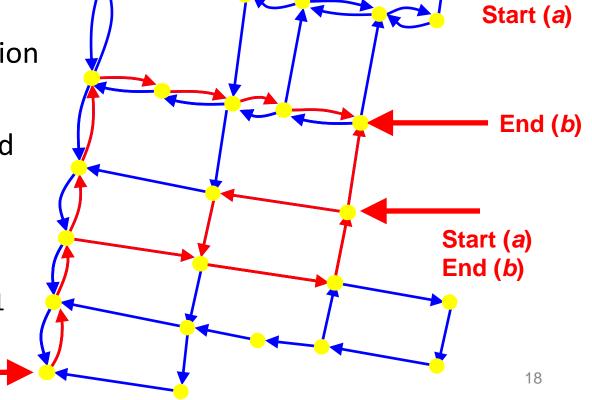
 A path is a sequences of connected edges from vertex a to vertex b

 No path exists from the noted start location

 A path that starts and ends at the same vertex is called a circuit or cycle

Must have length ≥1

Start (a)



Paths in Directed Graphs

- The length of a path is the number of edges in the path, not the number of nodes
- Definition: A path from a to b in a digraph G is a sequence of 1 or more adjacent arcs

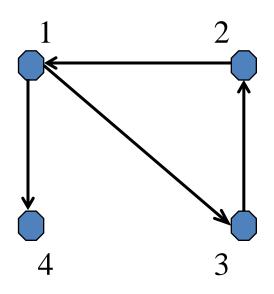
$$(a,x_1), (x_1,x_2), (x_2,x_3), ..., (x_{n-1}, b).$$

- Denoted: $a, x_1, x_2, x_3, ..., x_{n-1}, b$
- Has length n.
- If a = b, the path is called a *circuit* or a *cycle*, since the path returns to its start.

Does a path appear to be "transitive"?

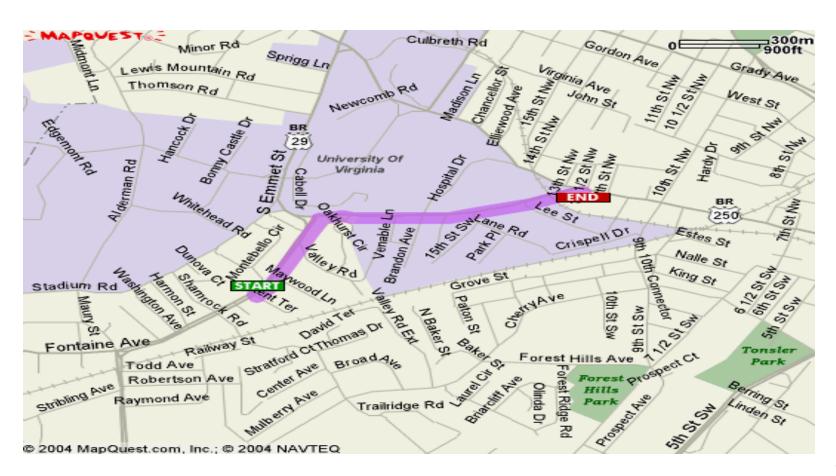
Paths in Relations

- There is a path from a to b in R if there is a sequence of elements: $x_1, x_2, ..., x_{n-1}$ with $(a,x_1) \in R$, $(x_1,x_2) \in R$, ..., $(x_{n-1},b) \in R$.
- Example. $R = \{(1,3), (1,4), (2,1), (3,2)\}$
 - \exists a path in *R* (len. 2) from 1 to 2: (1,3), (3,2)∈*R*.
 - \exists a path in *R* (len. 3) from 3 to 4: (3,2), (2,1), (1,4)∈*R*.
 - There is no path in R from 4 to 1, nor to 2, nor to 3.



Shortest paths

 What is really needed in most applications is finding the shortest path between two vertices

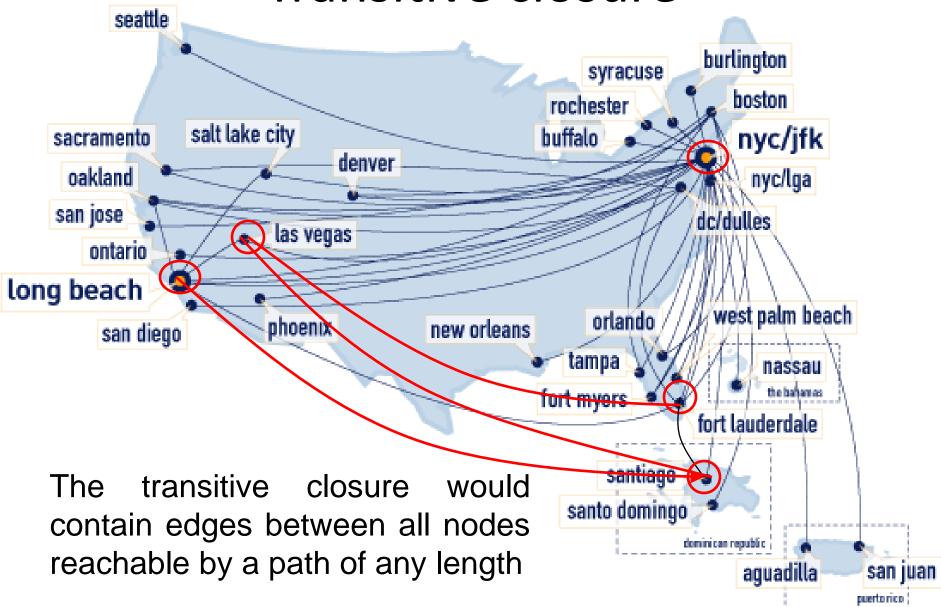


Transitive Closure

- When a relation R on a set A is not transitive:
 - How to minimally augment R (adding the minimum number of ordered pairs) to have a transitive relation?
 - The transitive closure of R.

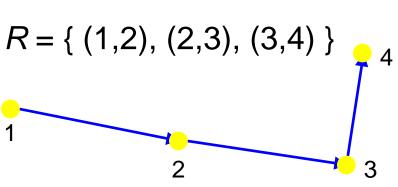


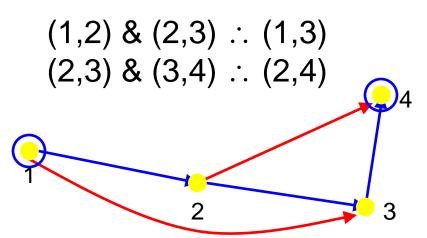
Transitive closure



Transitive closure

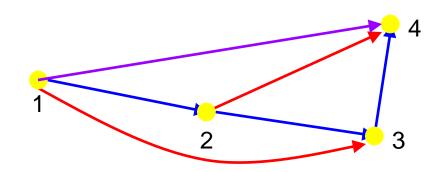
- Informal definition: If there is a path from a to b, then there should be an edge from a to b in the transitive closure
- First take of a definition:
 - In order to find the transitive closure of a relation R, we add an edge from a to c, when there are edges from a to b and b to c
- But there is a path from 1 to 4 with no edge!





Transitive closure

- Informal definition: If there is a path from a to b, then there should be an edge from a to b in the transitive closure
- Second take of a definition:
 - In order to find the transitive closure of a relation R, we add an edge from a to c, when there are edges from a to b and b to c
 - Repeat this step until no new edges are added to the relation
- We will study different algorithms for determining the transitive closure
- red means added on the first repeat
- purple means added on the second repeat



6 degrees of separation

- The idea that everybody in the world is connected by six degrees of separation
 - Where 1 degree of separation means you know (or have met) somebody else
- Let R be a relation on the set of all people in the world
 - $-(a,b) \in R$ if person a has met person b
- So six degrees of separation for any two people a and g means:
 - -(a,b), (b,c), (c,d), (d,e), (e,f), (f,g) are all in R
- Or, $(a,g) \in R^6$

Connectivity relation

- R contains edges between all the nodes reachable via 1 edge
- $R \circ R = R^2$ contains edges between nodes that are reachable via 2 edges in R
- $R^{2} \circ R = R^{3}$ contains edges between nodes that are reachable via 3 edges in R
- Rⁿ = contains edges between nodes that are reachable via n edges in R
- R* contains edges between nodes that are reachable via any number of edges (i.e. via any path) in R
 - Rephrased: R* contains all the edges between nodes a and b when there is a path of length at least 1 between a and b in R
- R* is the transitive closure of R
 - The definition of a transitive closure is that there are edges between any nodes (a,b) that contain a path between them



Theorem 1

• Theorem: Let R be a relation on a set A. \exists a path in R of length n from a to $b \Leftrightarrow (a,b) \in R^n$.

Proof : By Defn., there is a path from a to b of length 1 iff (a,b) ϵ R. True for n=1.

Assume the theorem is true for n. This is the inductive hypothesis. Prove using MI

Transitive Closure

Definition: Let R be a relation on a set A.
 The connectivity relation is the relation R* defined as:

 $R^* = \{(a,b) \mid \exists \text{ a path in } R \text{ from } a \text{ to } b\}.$

 From the above definition of R* and the previous theorem, R* can be written as:

$$R^* = \bigcup_{k=1}^{\infty} R^k$$
.

Transitive Closure – Cont.

• <u>Theorem 2</u> The transitive closure of *R* is the connectivity relation *R**.

Proof:

- 1. $R \subseteq R^*$, because if there is an edge from a to b then there is a path from a to b.
- 2. R^* is transitive, because if \exists a path from a to b and \exists a path from b to c then \exists a path from a to c.
- 3. $\forall S \ (R \subseteq S \land S \text{ is transitive}) \rightarrow R^* \subseteq S$. Proof. Let S be a transitive relation, and assume $R \subseteq S$. So $R^* \subseteq S^*$ because $R \subseteq S$. (Any path in R is also a path in S) Also $\forall k \ S^k \subseteq S$, because S is transitive So $S^* \subset S$. Therefore, $R^* \subset S$.

How long are the paths in a transitive closure?

- Let R be a relation on set A, and let A be a set with n elements
 - Rephrased: consider a graph G with n nodes and some number of edges
- Lemma 1: If there is a path (of length at least 1) from a to b in R, then there is a path between a and b of length not exceeding n
- Proof preparation:
 - Suppose there is a path from a to b in R
 - Let the length of that path be m
 - Let the path be edges $(x_0, x_1), (x_1, x_2), ..., (x_{m-1}, x_m)$
 - That's nodes $x_0, x_1, x_2, ..., x_{m-1}, x_m$
 - If a node exists twice in our path, then it's not a shortest path
 - As we made no progress in our path between the two occurrences of the repeated node
 - Thus, each node may exist at most once in the path

How long are the paths in a transitive closure?

- Proof by contradiction:
 - Assume there are more than n nodes in the path
 - Thus, *m* > *n*
 - Let m = n+1
 - By the pigeonhole principle, there are n+1 nodes in the path (pigeons) and they have to fit into the n nodes in the graph (pigeonholes)
 - Thus, there must be at least one pigeonhole that has at least two pigeons
 - Rephrased: there must be at least one node in the graph that has two occurrences in the nodes of the path
 - Not possible, as the path would not be the shortest path
 - Thus, it cannot be the case that m > n
- If there exists a path from a to b, then there is a path from a to b of at most length n

Transitive Closure – Cont.

Lemma: Let A be a set with n elements, and R
be a relation on A.

If there is a path in R from a to b (with $a \neq b$), then there is such a path with length $\leq n-1$.

$$>R^*=R\cup R^2\cup R^3\cup...\cup R^n$$
.

Transitive Closure – Method 2

Theorem: Let M_R be the zero-one matrix of relation R on a set with n elements.

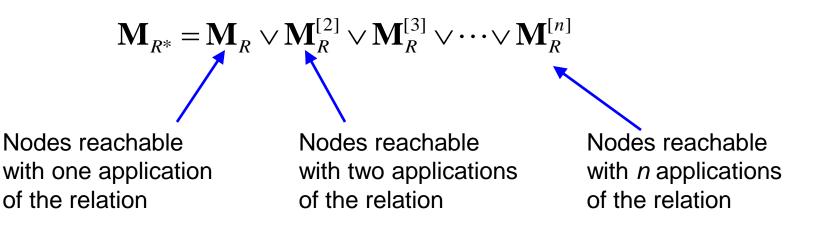
The zero-one matrix of the transitive closure R^* is:

$$M_{R^*} = M_R \vee M_R^{[2]} \vee M_R^{[3]} \vee ... \vee M_R^{[n]}.$$



Finding the transitive closure

• Let M_R be the zero-one matrix of the relation R on a set with n elements. Then the zero-one matrix of the transitive closure R^* is:

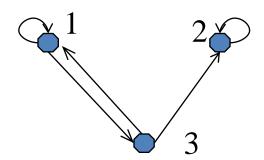


Transitive Closure - Example Find the matrix \mathbf{M}_{R}^{*} of the

transitive closure of R:

$$\mathbf{M}_R =$$

$$\begin{bmatrix}
1 & 0 & 1 \\
0 & 1 & 0 \\
1 & 1 & 0
\end{bmatrix}$$

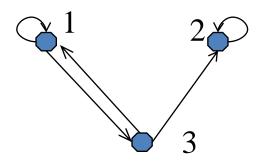


Transitive Closure - Example

• Find the matrix \mathbf{M}_R^* of the transitive closure of R:

$$\mathbf{M}_R =$$

$$\begin{bmatrix}
1 & 0 & 1 \\
0 & 1 & 0 \\
1 & 1 & 0
\end{bmatrix}$$



• Solution:

$$\mathbf{M}_{R}^{[2]} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

$$\mathbf{M}_{R}^{[3]} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

$$\mathbf{M}_{R}^{*} = \mathbf{M}_{R} \vee \mathbf{M}_{R}^{[2]} \vee \mathbf{M}_{R}^{[3]} =$$

$$\begin{bmatrix}
1 & 1 & 1 \\
0 & 1 & 0 \\
1 & 1 & 1
\end{bmatrix}$$

Example in terms of the Digraph

 Find the zero-one matrix of the transitive closure of the relation R given by:

$$\mathbf{M}_{R} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix}$$

$$\mathbf{M}_{R}^{[2]} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix}$$

$$\mathbf{M}_{R}^{[2]} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \end{bmatrix}$$

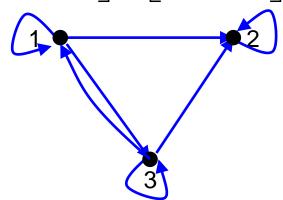
$$\mathbf{M}_{R}^{[2]} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \end{bmatrix}$$

$$\mathbf{M}_{R}^{[2]} = \mathbf{M}_{R} \odot \mathbf{M}_{R} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} \odot \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$



Example in terms of digraph

$$\mathbf{M}_{R}^{[3]} = \mathbf{M}_{R}^{[2]} \odot \mathbf{M}_{R} = \begin{vmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{vmatrix} \odot \begin{vmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{vmatrix} = \begin{vmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{vmatrix}$$



$$\mathbf{M}_{R^*} = \begin{vmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{vmatrix} \lor \begin{vmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{vmatrix} \lor \begin{vmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{vmatrix} = \begin{vmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{vmatrix}$$

Transitive closure algorithm 1(Matrix Method)

• What we did:

- Compute the next matrix $\mathbf{M}_{R}^{[i]}$, where $1 \le i \le n$
- Do a Boolean join with the previously computed matrix

For our example:

- Compute $\mathbf{M}_{R}^{[2]} = \mathbf{M}_{R} \circ \mathbf{M}_{R}$
- Join that with \mathbf{M}_R to yield $\mathbf{M}_R \vee \mathbf{M}_R^{[2]}$
- Compute $\mathbf{M}_R^{[3]} = \mathbf{M}_R^{[2]} \circ \mathbf{M}_R$
- Join that with $\mathbf{M}_R \vee \mathbf{M}_R^{[2]}$ from above

Transitive closure algorithm

```
procedure transitive_closure (M_R: zero-one n \times n matrix)

A := M_R

B := A

for i := 2 to n

begin

A := A \quad M_R

B := B \vee A

end { B is the zero-one matrix for R^* }
```

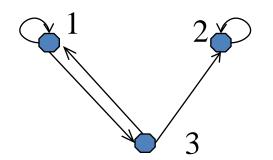


Transitive Closure - Example

Find the matrix \mathbf{M}_{R}^{*} of the transitive closure of R:

$$M_R =$$

$$\begin{bmatrix}
1 & 0 & 1 \\
0 & 1 & 0 \\
1 & 1 & 0
\end{bmatrix}$$



$$B_1 = A_1 = M_R =$$

Solution:
$$\mathbf{B}_{1} = \mathbf{A}_{1} = \mathbf{M}_{R} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix}$$

$$\mathbf{A}_{k} = \mathbf{A}_{k-1} \circ \mathbf{M}_{R}$$
 $\mathbf{B}_{k} = \mathbf{B}_{k-1} \vee \mathbf{A}_{k}$

$$\begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix} \qquad \mathbf{A}_{k} = \mathbf{A}_{k-1} \circ \mathbf{M}_{R} \qquad \mathbf{B}_{k} = \mathbf{B}_{k-1} \vee \mathbf{A}_{k}$$

$$\begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix} \qquad \mathbf{B}_{2} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

$$\mathbf{B}_{2} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

$$\mathbf{A}_{3} = \mathbf{M}_{R}^{[3]} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

$$\mathbf{M}_{R}^{*} = \mathbf{B}_{3} = \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

Transitive Closure

 Towards a more efficient algorithm (Warshall's)

• Definition: Let R be a relation on $S = \{v_1, v_2, ..., v_n\}$.

The *interior vertices* of a path of length m from a to b: a, x_1 , x_2 , x_3 , ..., x_{m-1} , b are:

$$X_1, X_2, X_3, ..., X_{m-1}.$$



Transitive Closure: Method 3

• Warshall's Alg. iteratively constructs 0-1 matrices:

$$\mathbf{W}_0 = \mathbf{M}_R$$
;

- $\mathbf{W}_1 = [\mathbf{w}^{[1]}_{ij}]$, where $\mathbf{w}^{[1]}_{ij} = 1 \leftrightarrow \exists$ a path from v_i to v_j with interior vertices in $\{v_1\}$;
- $\mathbf{W}_2 = [\mathbf{w}^{[2]}_{ij}]$, where $\mathbf{w}^{[2]}_{ij} = 1 \longleftrightarrow \exists$ a path from v_i to v_j with interior vertices in $\{v_1, v_2\}$...
- $\mathbf{W}_{k}=[\mathbf{w}^{[k]}_{ij}], \text{ where } \mathbf{w}^{[k]}_{ij}=1 \longleftrightarrow \exists \text{ a path from } v_{i} \text{ to } v_{j}$ with interior vertices in $\{v_{1}, v_{2}, ..., v_{k}\}...$

$$\mathbf{M}_{R^*} = \mathbf{W}_n$$
.

Transitive Closure – optional

 Lemma: Let R be a relation on $S=\{v_1, v_2, ..., v_n\}$, and let $\mathbf{W}_k=[\mathbf{w}_{i}]$ be the 0-1 matrix $| \mathbf{w}^{[k]}_{ii} = 1 \leftrightarrow \exists$ a path from v_i to v_i with interior vertices in $\{v_1, v_2, ..., v_k\}$. Then

$$\forall i,j,k \leq n \ w^{[k]}_{ij} = w^{[k-1]}_{ij} \vee (w^{[k-1]}_{ik} \wedge w^{[k-1]}_{kj}).$$

Warshall's Algorithm: Key Ideas

- In any set A with |A|=n, any transitive relation will be built from a sequence of relations that has a length of at most n. Why?
- Consider the case where the relation R on A has the ordered pairs $(a_1,a_2),(a_2,a_3),...,(a_{n-1},a_n)$. Then, (a_1,a_n) must be in R for R to be transitive
- Thus, by the previous theorem, it suffices to compute (at most) R^n
- Recall that $R^k = R^{o}R^{k-1}$ is computed using a bit-matrix product
- The above gives us a natural algorithm for computing the transitive closure: the Warshall's Algorithm



Algorithm 3: Warshall's Algorithm

Input: An $(n\times n)$ 0-1 matrix M_R representing a relation R on A, |A|=n**Output**: An $(n \times n)$ 0-1 matrix W representing the transitive closure of R on A $W \leftarrow M_R$ For k=1,..., n Do 3. For i=1,...,n Do For j=1,...,n Do 4. 5. $\mathbf{w}_{i,i} \leftarrow \mathbf{w}_{i,i} \vee (\mathbf{w}_{i,k} \wedge \mathbf{w}_{k,i})$ 6. END 7. END 8. END 9. RETURN W // That is, at step k: add row k to all other rows which have 1 as intersection with kth column.



Warshall's Algorithm: Example

- Compute the transitive closure of
 - The relation $R=\{(1,1),(1,2),(1,4),(2,2),(2,3),(3,1),(3,4),(4,1),(4,4)\}$
 - On the set A= $\{1,2,3,4\}$

Warshall's Algorithm - Example Find the matrix \mathbf{M}_{R}^{*} of the Solution: $\mathbf{W}_{0} = \mathbf{M}_{R}$

transitive closure of R:

$$\mathbf{M}_{R} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 0 \end{bmatrix}$$

Add row 1 to row 3:

Add row 2 to row 3:

$$\mathbf{W}_2 =$$

Add row 3 to row 1:

$$M_{R}^{*} = W_{3} =$$

$$\begin{vmatrix}
1 & 0 & 1 \\
0 & 1 & 0 \\
1 & 1 & (1)
\end{vmatrix}$$

$$egin{bmatrix} 1 & 0 & 1 \ 0 & 1 & 0 \ 1 & 1 & 1 \end{bmatrix}$$

$$\begin{bmatrix} 1 & (1) & 1 \\ 0 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

Conclusion: transitive closure

- Computing the transitive closure of a digraph is an important problem in many computer science applications:
 - Evaluation of recursive database queries.
 - Analysis of reachability (connectivity) of transition graphs in communication networks.
 - Construction of parsing automata in compilers.