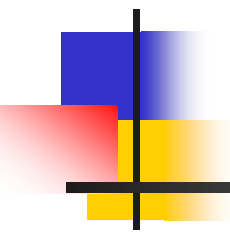


# Context-Free Languages, Parse Trees & Ambiguity



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N Geetha  
AM & CS  
PSG Tech



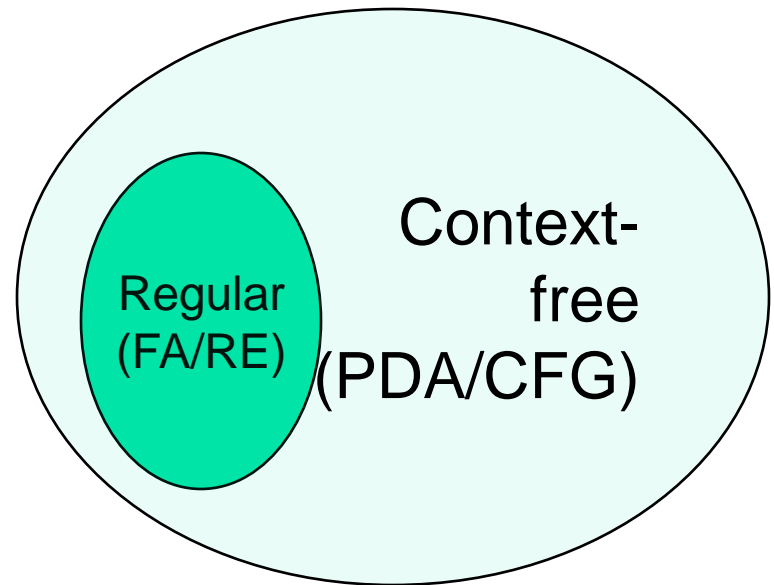
# Context Free Languages

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- A *context-free grammar* is a notation for describing languages.
- It is more powerful than finite automata or RE's, but still cannot define all possible languages.
- Useful for nested structures, e.g., parentheses in programming languages.

# Context-Free Languages

- A language class larger than the class of regular languages
- Supports natural, recursive notation called “context-free grammar”
- Applications:
  - Parse trees, compilers
  - XML



# An Example

- A palindrome is a word that reads identical from both ends

- E.g.,  $\xrightarrow{\hspace{1cm}} \xleftarrow{\hspace{1cm}} \xrightarrow{\hspace{1cm}} \xleftarrow{\hspace{1cm}} \xrightarrow{\hspace{1cm}} \xleftarrow{\hspace{1cm}} \xrightarrow{\hspace{1cm}} \xleftarrow{\hspace{1cm}}$  madam, redivider, malayalam, 010010010

- Let  $L = \{ w \mid w \text{ is a binary palindrome} \}$

- Is L regular?

- No.

- Proof:

- Let  $w = 0^N 1 0^N$  (assuming N to be the p/l constant)
    - By Pumping lemma, w can be rewritten as xyz, such that  $xy^kz$  is also L (for any  $k \geq 0$ )
    - But  $|xy| \leq N$  and  $y \neq \epsilon$
    - $\implies y = 0^+$
    - $\implies xy^kz$  will NOT be in L for  $k=0$
    - $\implies$  Contradiction

# But the language of palindromes...

is a CFL, because it supports recursive substitution (in the form of a CFG)

- This is because we can construct a “grammar” like this:

1.  $S \rightarrow \epsilon$

2.  $S \rightarrow 0$

3.  $S \rightarrow 1$

4.  $S \rightarrow 0S0$

5.  $S \rightarrow 1S1$

Terminal

Variable or non-terminal

Same as:

$S \rightarrow 0S0 \mid 1S1 \mid 0 \mid 1 \mid \epsilon$

Productions

How does this grammar work?

# How does the CFG for palindromes work?

An input string belongs to the language (i.e., accepted) iff it can be generated by the CFG

- Example:  $w=01110$
- $G$  can generate  $w$  as follows:

1.  $S \rightarrow 0S0$
2.  $\Rightarrow 01S10$
3.  $\Rightarrow 01110$

$G$ :

$S \rightarrow 0S0 \mid 1S1 \mid 0 \mid 1 \mid \varepsilon$

## Generating a string from a grammar:

1. Pick and choose a sequence of productions that would allow us to generate the string.
2. At every step, substitute one variable with one of its productions.



# Context-Free Grammar: Definition

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- A context-free grammar  $G=(N,T,S,P)$ , where:
  - $N$ : set of variables or non-terminals
  - $T$ : set of terminals (= alphabet  $\cup \{\varepsilon\}$ )
  - $P$ : set of *productions*, each of which is of the form
$$N \rightarrow \alpha_1 \mid \alpha_2 \mid \dots$$
    - Where each  $\alpha_i$  is an arbitrary string of variables and terminals
  - $S$  : start variable

CFG for the language of binary palindromes:

$G=({S},\{0,1\},S, P)$

$P: S \rightarrow 0 S 0 \mid 1 S 1 \mid 0 \mid 1 \mid \varepsilon$

# More examples



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- Parenthesis matching in code
- Syntax checking
- In scenarios where there is a general need for:
  - Matching a symbol with another symbol, or
  - Matching a count of one symbol with that of another symbol, or
  - Recursively substituting one symbol with a string of other symbols



# Example #2

- Language of balanced parenthesis  
e.g.,  $()(((((())()))((()))())\dots$
- CFG?

G:

$S \Rightarrow (S) \mid SS \mid \varepsilon$

How would you “derive” the string “ $(((((())()))((()))())$ ” using this grammar?



## Example #3

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- A grammar for  $L = \{0^m 1^n \mid m \geq n\}$

- CFG?

G:  
 $S \rightarrow 0S1 \mid A$   
 $A \rightarrow 0A \mid \varepsilon$

How would you derive the string “00000111”  
using this grammar?



# Example #4

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A program containing **if-then(-else)** statements

**if** *Condition* **then** *Statement* **else** *Statement*

(Or)

**if** *Condition* **then** *Statement*

CFG?

# More examples



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- $L_1 = \{0^n 1^n \mid n \geq 1\}$
- $L_2 = \{0^i 1^j 2^k \mid i=j \text{ or } j=k, \text{ where } i,j,k \geq 0\}$
- $L_3 = \{0^i 1^j 2^k \mid i=j \text{ or } i=k, \text{ where } i,j,k \geq 1\}$
- $L_4 = \{ w \mid w \text{ is a binary palindrome} \}$
- $L_5 = \{ w \mid w \text{ is a pal and } w \in \{a,b\}^* \}$

# Applications of CFLs & CFGs

- Compilers use parsers for syntactic checking
- Parsers can be expressed as CFGs
  1. Balancing paranthesis:
    - $B \Rightarrow BB \mid (B) \mid \textit{Statement}$
    - $\textit{Statement} \Rightarrow \dots$
  2. If-then-else:
    - $S \Rightarrow SS \mid \textit{if Condition then Statement else Statement} \mid \textit{if Condition then Statement} \mid \textit{Statement}$
    - $\textit{Condition} \Rightarrow \dots$
    - $\textit{Statement} \Rightarrow \dots$
  3. C paranthesis matching  $\{ \dots \}$
  4. Pascal *begin-end* matching
  5. YACC (Yet Another Compiler-Compiler)



# More applications

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- Markup languages

- Nested Tag Matching

- HTML

- `<html> ...<p> ... <a href=...> ... </a> </p> ... </html>`

- XML

- `<PC> ... <MODEL> ... </MODEL> .. <RAM> ... </RAM> ... </PC>`

# Tag-Markup Languages

Roll ==> <ROLL> Class Students </ROLL>

Class ==> <CLASS> Text </CLASS>

Text ==> Char Text | Char

Char ==> a | b | ... | z | A | B | .. | Z

Students ==> Student Students |  $\epsilon$

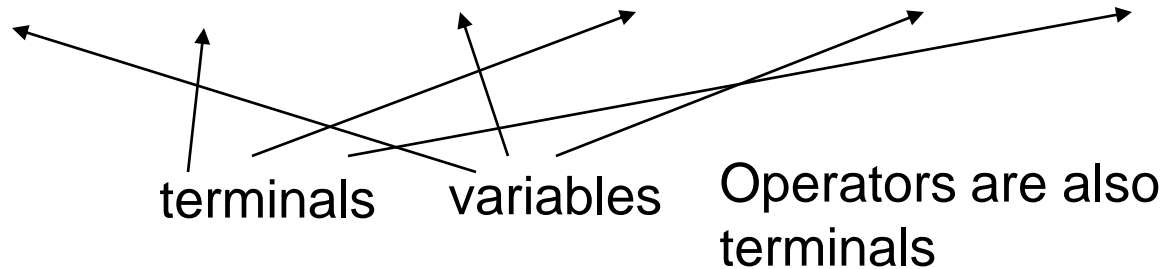
Student ==> <STUD> Text </STUD>

Here, the left hand side of each production denotes one non-terminals (e.g., “Roll”, “Class”, etc.)

Those symbols on the right hand side for which no productions (i.e., substitutions) are defined are terminals (e.g., ‘a’, ‘b’, ‘|’, ‘<’, ‘>’, “ROLL”, etc.)

# Syntactic Expressions in Programming Languages

*result = a \* b + score + 10 \* distance + c*



Regular languages have only terminals

- Reg expression =  $[a-z][a-z0-1]^*$
- If we allow only letters a & b, and 0 & 1 for constants (for simplification)
  - Regular expression =  $(a+b)(a+b+0+1)^*$





# Simple Expressions...

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- We can write a CFG for accepting simple expressions
- $G = (N, T, S, P)$ 
  - $N = \{E, F\}$
  - $T = \{0, 1, a, b, +, *, (, )\}$
  - $S = \{E\}$
  - $P$ :
    - $E \Rightarrow E + E \mid E * E \mid (E) \mid F$
    - $F \Rightarrow aF \mid bF \mid 0F \mid 1F \mid a \mid b \mid 0 \mid 1$



# Sentential Forms

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- Any string of variables and/or terminals derived from the start symbol is called a *sentential form*.
- Formally,  $\alpha$  is a sentential form iff  $S \Rightarrow^* \alpha$ .



# Context-Free Language

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- The language of a CFG,  $G=(V,T,P,S)$ , denoted by  $L(G)$ , is the set of terminal strings that have a derivation from the start variable  $S$ .
  - $L(G) = \{ w \text{ in } T^* \mid S \Rightarrow^*_G w \}$
- But not all languages are CFL's.
- **Intuitively**: CFL's can count two things, not three.



# BNF Notation

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- Grammars for programming languages are often written in BNF (*Backus-Naur Form*).
- Variables are words in <...>; **Example:** <statement>.
- Terminals are often multicharacter strings indicated by boldface or underline; **Example:** **while** or WHILE.



## BNF Notation – (2)

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- Symbol  $::=$  is often used for  $\rightarrow$ .
- Symbol  $|$  is used for “or.”
  - A shorthand for a list of productions with the same left side.
- **Example:**  $S \rightarrow 0S1 \mid 01$  is shorthand for  $S \rightarrow 0S1$  and  $S \rightarrow 01$ .



# BNF Notation

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- Symbol ... is used for “one or more.”
- **Example:**  $\langle \text{digit} \rangle ::= 0|1|2|3|4|5|6|7|8|9$   
 $\langle \text{unsigned integer} \rangle ::= \langle \text{digit} \rangle \dots$ 
  - Note: that's not exactly the \* of RE's.
- **Translation:** Replace  $\alpha \dots$  with a new variable  $A$  and productions  $A \rightarrow A\alpha \mid \alpha$ .



## Example:

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- Grammar for unsigned integers can be replaced by:

$$U \rightarrow UD \mid D$$
$$D \rightarrow 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$$

# BNF Notation: Optional Elements



- Surround one or more symbols by [...] to make them optional.
- **Example:** `<statement> ::= if <condition> then <statement> [; else <statement>]`
- **Translation:** replace  $[\alpha]$  by a new variable  $A$  with productions  $A \rightarrow \alpha \mid \epsilon$ .





## Example: Optional Elements

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- Grammar for if-then-else can be replaced by:

$S \rightarrow iCtSA$

$A \rightarrow ;eS \mid \epsilon$

# Left-most & Right-most Derivation Styles

G:

$E \Rightarrow E + E \mid E * E \mid (E) \mid F$   
 $F \Rightarrow aF \mid bF \mid 0F \mid 1F \mid \varepsilon$

Derive the string  $a^*(ab+10)$  from G:

$E \Rightarrow_G^* a^*(ab+10)$

Left-most derivation:

Always substitute leftmost variable

■ E  
 ■  $\Rightarrow E * E$   
 ■  $\Rightarrow F * E$   
 ■  $\Rightarrow aF * E$   
 ■  $\Rightarrow a * E$   
 ■  $\Rightarrow a * (E)$   
 ■  $\Rightarrow a * (E + E)$   
 ■  $\Rightarrow a * (F + E)$   
 ■  $\Rightarrow a * (aF + E)$   
 ■  $\Rightarrow a * (abF + E)$   
 ■  $\Rightarrow a * (ab + E)$   
 ■  $\Rightarrow a * (ab + F)$   
 ■  $\Rightarrow a * (ab + 1F)$   
 ■  $\Rightarrow a * (ab + 10F)$   
 ■  $\Rightarrow a * (ab + 10)$

Right-most derivation:

Always substitute rightmost variable

■ E  
 ■  $\Rightarrow E * E$   
 ■  $\Rightarrow E * (E)$   
 ■  $\Rightarrow E * (E + E)$   
 ■  $\Rightarrow E * (E + F)$   
 ■  $\Rightarrow E * (E + 1F)$   
 ■  $\Rightarrow E * (E + 10F)$   
 ■  $\Rightarrow E * (E + 10)$   
 ■  $\Rightarrow E * (F + 10)$   
 ■  $\Rightarrow E * (aF + 10)$   
 ■  $\Rightarrow E * (abF + 0)$   
 ■  $\Rightarrow E * (ab + 10)$   
 ■  $\Rightarrow F * (ab + 10)$   
 ■  $\Rightarrow aF * (ab + 10)$   
 ■  $\Rightarrow a * (ab + 10)$



# Leftmost vs. Rightmost derivations

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Q1) For every leftmost derivation, there is a rightmost derivation, and vice versa. True or False?

True - will use parse trees to prove this

Q2) Does every word generated by a CFG have a leftmost and a rightmost derivation?

Yes – easy to prove (reverse direction)

Q3) Could there be words which have more than one leftmost (or rightmost) derivation?

Yes – depending on the grammar



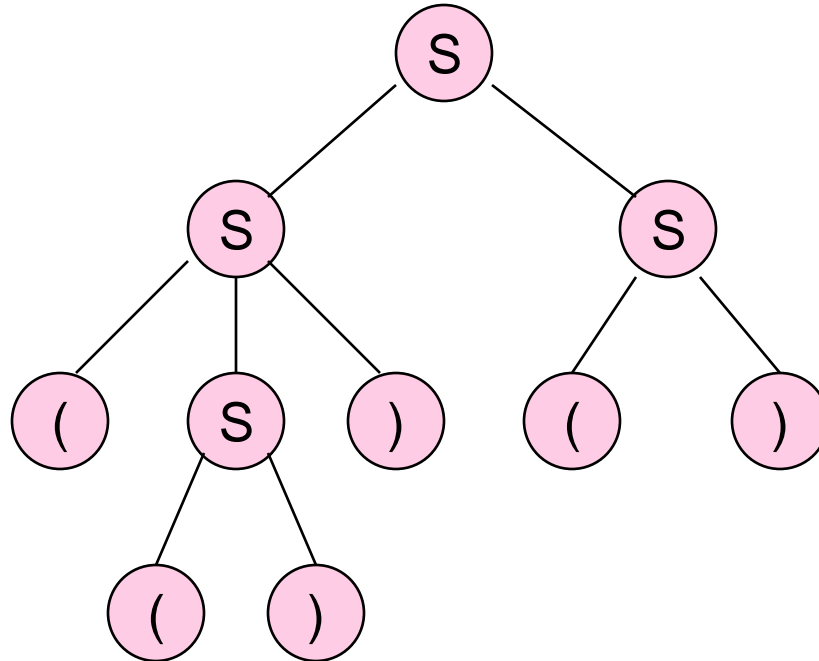
# Parse Trees

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- *Parse trees* are trees labeled by symbols of a particular CFG.
- **Leaves**: labeled by a terminal or  $\epsilon$ .
- **Interior nodes**: labeled by a variable.
  - Children are labeled by the right side of a production for the parent.
- **Root**: must be labeled by the start symbol.

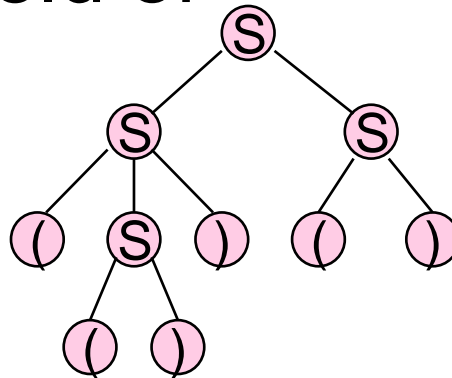
# Example: Parse Tree

$S \rightarrow SS \mid (S) \mid ()$

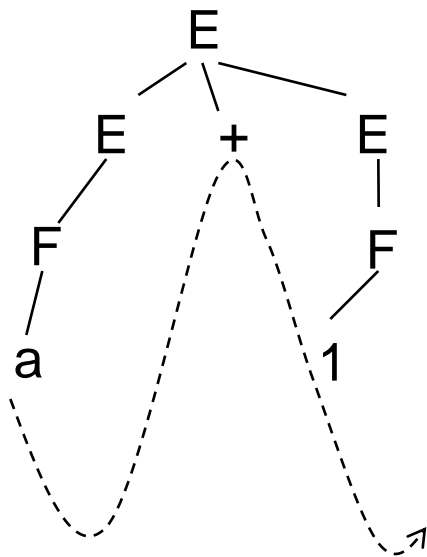


# Yield of a Parse Tree

- The concatenation of the labels of the leaves in left-to-right order
  - That is, in the order of a preorder traversal.is called the *yield* of the parse tree.
- **Example:** yield of  $((()))()$



# Examples



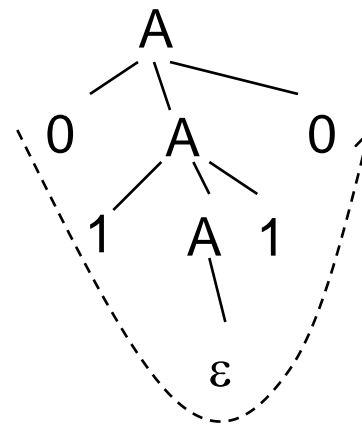
Parse tree for  $a + 1$

G:

$E \Rightarrow E + E \mid E * E \mid (E) \mid F$

$F \Rightarrow aF \mid bF \mid 0F \mid 1F \mid 0 \mid 1 \mid a \mid b$

Recursive inference



Parse tree for  $0110$

Derivation

G:

$A \Rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \epsilon$

# Ambiguity in CFGs

- A word is *ambiguous* if there exists more than one left most derivation.
- A CFG is said to be *ambiguous* if there exists a string which has more than one left-most derivation

Example:

$S \rightarrow AS \mid \epsilon$

$A \rightarrow A1 \mid 0A1 \mid 01$

Input string: 00111

Can be derived in two ways

LM derivation #1:

$S \Rightarrow AS$

$\Rightarrow 0A1S$

$\Rightarrow 0A11S$

$\Rightarrow 00111S$

$\Rightarrow 00111$

LM derivation #2:

$S \Rightarrow AS$

$\Rightarrow A1S$

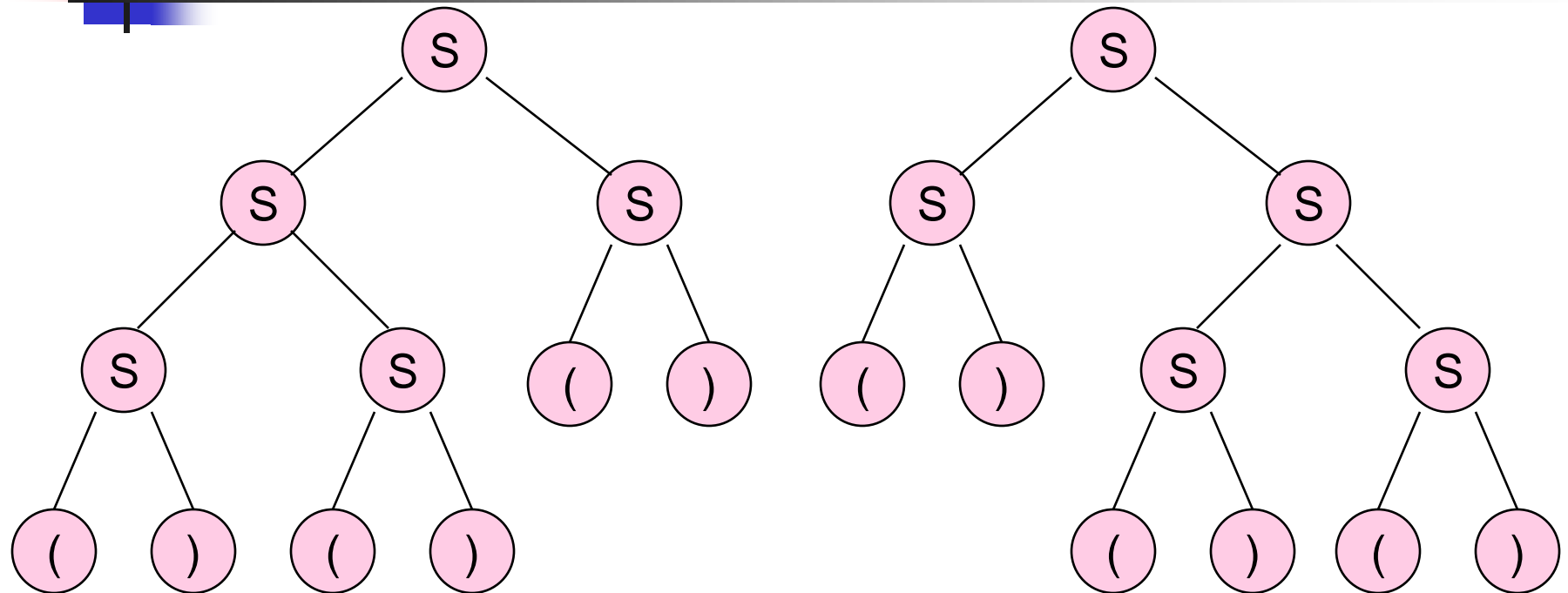
$\Rightarrow 0A11S$

$\Rightarrow 00111S$

$\Rightarrow 00111$



Example 2 :  $S \rightarrow SS \mid (S) \mid ()$



# Why does ambiguity matter?

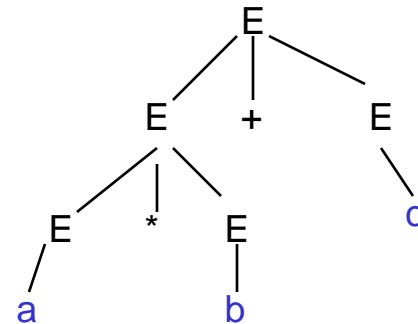
$E \Rightarrow E + E \mid E * E \mid (E) \mid a \mid b \mid c \mid 0 \mid 1$

Values are  
different !!!

$string = a * b + c$

- LM derivation #1:

•  $E \Rightarrow E + E \Rightarrow E * E + E$   
 $\Rightarrow * a * b + c$

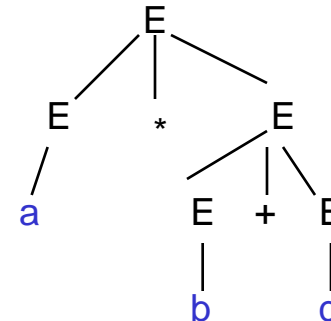


$(a*b)+c$

---

- LM derivation #2

•  $E \Rightarrow E * E \Rightarrow a * E \Rightarrow$   
 $a * E + E \Rightarrow * a * b + c$



$a*(b+c)$

The calculated value depends on which  
of the two parse trees is actually used.



# Removing Ambiguity in Expression Evaluations

- It MAY be possible to remove ambiguity for some CFLs
  - E.g., in a CFG for expression evaluation by imposing rules & restrictions such as precedence
  - This would imply rewrite of the grammar

Modified unambiguous version:

- Precedence:  $()$ ,  $*$ ,  $+$

$$\begin{aligned} E &\rightarrow E + T \mid T \\ T &\rightarrow T * F \mid F \\ F &\rightarrow I \mid (E) \\ I &\rightarrow a \mid b \mid c \mid 0 \mid 1 \end{aligned}$$

Ambiguous version:

$$E \Rightarrow E + E \mid E * E \mid (E) \mid a \mid b \mid c \mid 0 \mid 1$$

How will this avoid ambiguity?



# Inherently Ambiguous CFLs

- However, for some languages, it may not be possible to remove ambiguity
- A CFL is said to be *inherently ambiguous* if every CFG that describes it is ambiguous

## Example:

- $L = \{ a^n b^n c^m d^m \mid n, m \geq 1 \} \cup \{ a^n b^m c^m d^n \mid n, m \geq 1 \}$
- L is inherently ambiguous
- Why?

Input string:  $a^n b^n c^n d^n$



# Another Example

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$$S \rightarrow aB \mid bA$$

$$A \rightarrow a \mid aS \mid bAA$$

$$B \rightarrow b \mid bS \mid aBB$$

- Is  $ab$ ,  $baba$ ,  $abbbbaa$  in  $L$ ?
- How about  $a$ ,  $bba$ ?
- What is the language of this CFG?
- Is the CFG ambiguous?



# One Possible Ambiguous Grammar

$S \rightarrow AB \mid CD$

$A \rightarrow 0A1 \mid 01$

A generates equal 0's and 1's

$B \rightarrow 2B \mid 2$

B generates any number of 2's

$C \rightarrow 0C \mid 0$

C generates any number of 0's

$D \rightarrow 1D2 \mid 12$

D generates equal 1's and 2's

And there are two derivations of every string with equal numbers of 0's, 1's, and 2's. E.g.:

$S \Rightarrow AB \Rightarrow 01B \Rightarrow 012$

$S \Rightarrow CD \Rightarrow 0D \Rightarrow 012$



# Summary

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- Context-free grammars
- Context-free languages
- Sentential form
- Left-most & right-most derivations
- Parse trees
- Ambiguous grammars
- Removing ambiguity
- CFL/CFG applications
  - parsers, markup languages