

Global Opportunities Alternative Fund

Compliance and Regulatory Information

Regulatory Structure and Oversight

The Global Opportunities Alternative Fund is structured as a limited partnership domiciled in Jersey, Channel Islands. The fund is regulated by the Jersey Financial Services Commission (JFSC) under the Alternative Investment Funds regime. The fund is also registered with regulatory authorities in Guernsey and Isle of Man for distribution purposes. The fund complies with the Alternative Investment Fund Managers Directive (AIFMD) through its marketing arrangements in the European Economic Area.

Investment Strategy and Restrictions

The fund employs a multi-strategy approach across liquid alternatives, including long-short equity, event-driven strategies, and relative value arbitrage. The fund maintains leverage limits not exceeding 2.0x gross exposure. Geographic restrictions limit exposure to emerging markets to no more than 30% of net asset value. Concentration limits restrict any single position to 15% of NAV at the time of investment. The fund may not invest more than 25% of its assets in other collective investment schemes.

Investor Qualifications and Minimums

The fund is available only to professional, institutional, and high-net-worth investors as defined under Jersey law. The minimum initial subscription is \$250,000, with subsequent subscriptions requiring a minimum of \$50,000. Investors must complete comprehensive know-your-customer (KYC) and anti-money laundering (AML) verification before subscription. All investors are subject to ongoing due diligence and enhanced monitoring in accordance with FATF guidelines and Jersey AML/CFT requirements.

Fee Structure

The fund charges a management fee of 1.5% per annum of net asset value, calculated and accrued monthly. A performance fee of 20% applies to returns exceeding a 6% hurdle rate, subject to a high-water mark. The performance fee is calculated and crystallized annually. There is no front-end load, but early redemption fees of 3% apply to redemptions within the first 12 months, declining to 1% for redemptions between 12 and 24 months. Redemptions after 24 months incur no fees.

Liquidity and Redemption Terms

The fund offers quarterly liquidity with a 90-day notice period for redemptions. Redemption requests must be submitted in writing no later than 5:00 PM GMT on the business day that is 90 days prior to the relevant redemption date. Partial redemptions must maintain the minimum investment threshold of \$250,000. The fund reserves the right to gate redemptions at 25% of NAV per quarter if total redemption requests exceed this threshold. In exceptional circumstances, the fund may suspend redemptions temporarily subject to regulatory approval.

Reporting and Transparency

Investors receive monthly NAV statements within 15 business days of month-end. Quarterly reports, including detailed portfolio holdings and performance attribution, are distributed within 30 days of quarter-end. Annual audited financial statements, prepared in accordance with IFRS, are provided within 120 days of fiscal year-end. The fund maintains full transparency with respect to fees, expenses, and conflicts of interest as required under JFSC regulations and AIFMD disclosure requirements.