

Part II Average-Case Lattice Problems

4. Short Integer Solution (SIS)

1996, Ajtai

4.1. Definitions

Def 19. (SIS)

$n, m, q > 0 \in \mathbb{N}$

$\beta > 0 \in \mathbb{R}$

Given $A \in \mathbb{Z}_q^{n \times m} = [\vec{a}_1, \vec{a}_2, \dots, \vec{a}_m]$

(\vec{a}_j are all sampled uniformly at random over \mathbb{Z}_q^n)

the problem $SIS_{n,m,q,\beta}$ asks to find a non-zero vec
 $\vec{z} \in \mathbb{Z}^m$ of norm $\|\vec{z}\| \leq \beta$ st.

$$A\vec{z} = \sum_i z_i \vec{a}_i = \vec{0} \pmod{q}$$

choice of params

if fix m, n, q . smaller $\beta \rightarrow$ harder prob

but β & m should be large enough \rightarrow sol exists



$$m \geq \log_2(q^n)$$

$$\beta \geq \sqrt{m}$$

\Rightarrow if a vec $\vec{x} \in \{0,1\}^m$, the possible vec space is

$$2^m \geq q^n$$

$$\Rightarrow \exists \vec{x} \neq \vec{x}' \in \{0,1\}^m \text{ st. } A\vec{x} = A\vec{x}'$$

the coefficients of $A\vec{x} \in [0,q)$

$$A\vec{x} \in \mathbb{Z}_q^n \Rightarrow q^n \text{ possible outcomes}$$

$$2^m \geq q^n \Rightarrow$$

$$\Rightarrow \vec{z} = \vec{x} - \vec{x}' \in \{-1, 0, 1\}^m \text{ is a sol of } \|\vec{z}\| \leq \beta$$

$$\|\vec{z}\| = \sqrt{|z_1|^2 + \dots + |z_m|^2}$$

$$\leq \sqrt{m} \leq \beta.$$

- SIS is a surjective problem.
- SIS easier when larger m
harder when larger n

	larger
easier	m, β
harder	n

SIS params vs. hardness.

(when fixed other params)

Hidden Lattice Problem.

- SIS \rightarrow a problem over random q -ary lattices

★ SIS defines an instance of SVP _{γ} in the random lattice

$$\Lambda_q^\perp(A) = \{\vec{y} \in \mathbb{Z}^m : A\vec{y} = \vec{0} \bmod q\}$$

i.e.

Base $A \in \mathbb{Z}_q^{n \times m}$, n -dim Λ

q -ary lattice $\Lambda_q^\perp(A)$ as before

SVPr SIS: find $\vec{z} \neq \vec{0}$ st. $\|\vec{z}\| = \gamma \cdot \lambda_1(\Lambda_q^\perp(A))$
 $\gamma \cdot \lambda_1(\Lambda_q^\perp(A))$ depends on β .
i.e. $\gamma(n, \beta)$

Inhomogenous version (ISIS)

$\vec{t} \in \mathbb{Z}_q^n$ replace $\vec{0}$

i.e. $A\vec{z} = \vec{t} \pmod{q}$

- Thus, SIS is a special case of ISIS.
- But, SIS is easier to work with from a lattice perspective.

• Another prob: Knapsack prob

Sampling some \vec{z} . Compute $\vec{t} = A\vec{z} \pmod{q}$

- recover \vec{z}
- distinguish \vec{t} from a random var.

it's injective, rather than surjective

Hermite Normal Form (HNF)

(Can review Micciancio's LBC course notes

Vadim's Basic Lattice Cryptography

to better understand this)

$$A \in \mathbb{Z}^{n \times m} = [A_1 \mid A_2]$$

$$A_1 \in \mathbb{Z}^{n \times n}$$

$$A_2 \in \mathbb{Z}^{n \times (m-n)}$$

$$A^{-1} \cdot A = [I_n \mid A^{-1} A_2]$$

$$\Rightarrow \text{can work with } \Lambda_q^\perp ([I_n \mid A^{-1} A_2])$$

(good for practical use. will later explain in other notes)

4.2 Hardness

- lots of works show:

SIS (under specific parameter choices) is at least as hard
as solving $\begin{cases} \text{SIVP}_\gamma & \text{on } \underline{\text{any lattice}} \\ \text{GapSVP}_\gamma \end{cases}$

→ Worse-case to avg case

Thm 20

For any $m = \text{poly}(n)$

any $\beta > 0$

any $q \geq \beta \cdot \text{poly}(n)$

Solving $\text{SIS}_{n,q,\beta,m}$ with non-negligible probability is
 ALAHA Solving the problem GapSVP_γ & SIVP_γ on
 \mathbb{Z}^n -dim lattices with non-negligible probability, for

Some $\gamma = \beta \cdot \text{poly}(n)$

e.g. Gentry, Peikert, Vaik 2008 :

$$q \geq \beta \cdot \sqrt{n} \cdot \omega(\log n)$$

$$\gamma \geq \beta \cdot \sqrt{n} \cdot \omega(\log \sqrt{n})$$

$\omega(f(n))$: a fcn grows faster than $f(n)$.

$$\text{or } \gamma = \tilde{O}(\sqrt{n}) \cdot \beta$$

- GPV 08 also applies to LSSS with random \vec{t} .

4.3. Ajtai's Hash Fcn

- how SIS serves as HA to build collision-resistant hash fcn.
- hash fcn $f_A : \{0,1\}^m \rightarrow \mathbb{Z}_q^n$
 $A \in \mathbb{Z}_q^{n \times m}$ random
- def $f_A(\vec{z}) = A\vec{z} \bmod q$
- Note:

$$|\{0,1\}^m| = 2^m$$

$$|\mathbb{Z}_q^n| = q^n$$

hash fcn takes any long \rightarrow short str

$$\Rightarrow \text{requires } 2^m > q^n \Rightarrow m > n \log q$$

- typically $m \approx 2n \log q \Rightarrow$ comp factor = 2
- Any collision $\vec{z} \neq \vec{z}'$, $f_A(\vec{z}) = f_A(\vec{z}')$

$$\Rightarrow A(\vec{z} - \vec{z}') \bmod q = \vec{0}$$

\Rightarrow a solution to SIS with $\|\vec{z} - \vec{z}'\| \leq \sqrt{m} =: \beta$ M
(a bit confused)

- generalization : $\{0,1\}^m \checkmark$
 $\{0,1, \dots, d-1\}^m$ for $d \geq 2 \checkmark$

Adv: simple, ($+$, \times , \bmod)

Disadv: inefficient.

$$\text{e.g. } q = n^2.$$

$$m = 2n \log q = 4n \log n$$

size of description of f_A : $n m \log q = 8n^2 \log n$

\Rightarrow We need **Structured Lattices** for efficient constructions

Leftover Hash Lemma

observe. $A \in \mathbb{Z}_q^{n \times m}$ uni rand

$\vec{z} \in \{0,1\}^m$ uni rand



$A\vec{z} \bmod q$ is statistically close to uni rand \vec{z}_q^n

(As long as m is large enough)

\Rightarrow decision variant K_S prob becomes hard for large m .

Lemma 21 (LHL)

$m, n > 0$ int. prime q .

the family of hash funcs $\mathcal{H} = \{f_A : \{0,1\}^m \rightarrow \mathbb{Z}_q^n\}_{A \in \mathbb{Z}_q^{n \times m}}$
is universal.

If $m \geq n \log q - 2 + 2 \log(1/\varepsilon)$ for some $\varepsilon > 0 \in \mathbb{R}$.

then :

$$\Delta((A, \vec{z}), (A, \vec{u})) \leq \varepsilon$$

where A, \vec{z}, \vec{u} all uni rand.

- Δ denotes **statistical distance**.

Review

$$SD(X, Y) := \frac{1}{2} \sum_x |\Pr(X=x) - \Pr(Y=x)|$$

$$\text{or } i := \max_D |\Pr(D(X)=1) - \Pr(D(Y)=1)|$$

Proof.

\mathcal{H} is universal if $\Pr_A(f_A(\vec{z}_1) = f_A(\vec{z}_2)) = 1 / |\mathbb{Z}_q^n| = q^{-n}$

for any $\vec{z}_1 \neq \vec{z}_2$ ■ a bit confused.

let $\vec{z}_1 \neq \vec{z}_2$ st. $A\vec{z}_1 = A\vec{z}_2$

$\vec{z}_1 \neq \vec{z}_2 \Rightarrow$ at least one coeff in them st. $z_{1k} \neq z_{2k}$

Assume $k=1$ (w/o loss of generality)

$$\begin{array}{|c|c|} \hline \text{A} & \boxed{} \\ \hline \end{array} \quad \vec{z}_1 = \begin{array}{|c|c|} \hline \text{A} & \boxed{} \\ \hline \vec{z}_1 & \boxed{} \\ \hline \end{array} \quad \vec{z}_2 = \begin{array}{|c|c|} \hline \text{A} & \boxed{} \\ \hline \vec{z}_2 & \boxed{} \\ \hline \end{array}$$

$$1^{\text{st}} \text{ row : } \sum_{j=1}^m a_{1j} \cdot (z_{1j} - z_{2j}) = 0 \pmod{q}$$

take a_{11}, z_{11}, z_{21} out :

$$a_{11}(z_{11} - z_{21}) + \sum_{j=2}^m a_{1j}(z_{1j} - z_{2j}) = 0 \pmod{q}$$

$$a_{11} \equiv (\bar{z}_1 - \bar{z}_0)^{-1} \cdot \sum_{j=2}^m a_{1j} (\bar{z}_{1j} - \bar{z}_{0j}) \pmod{q}$$

(here: q is prime $\rightarrow \mathbb{Z}_q$ is field \rightarrow t non-zero element is invertible)

Thus, a_{11} is uniquely defined by $a_{1j}, \bar{z}_1, \bar{z}_0$.

$$\Rightarrow P(a_{11}) = \frac{1}{q}$$

Apply union bound on all independent rows $\Rightarrow q^{-n}$

■ I think this should not be the case of union bound. Instead, it's only about the independency of rows.

\Rightarrow universal property of the H.

- Use : Dod+08, Lemma 2.1

min-entropy of $U(\{0,1\}^m)$ is m }
 $|Z_q^n| = q^n$

$$\Rightarrow m \geq n \log q - 2 + 2 \log(1/\varepsilon)$$

e.g. if $\varepsilon = 2^{-n}$

$$\begin{aligned} \text{then } m &\geq n \log q - 2 + 2 \log 2^n \\ &= n \log q - 2 + 2n \end{aligned}$$

$$2n-2 ? 2n \log q$$

$$(n-1) < n \leq n \log q$$

$\Rightarrow m \geq 3n \log q > n \log q - 2 + 2n$ is sufficient,