

## ANNEXURE I

### Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity      - SRF Limited  
 2. Quarter ending                - 31<sup>st</sup> March 2021

#### i. Composition Of Board Of Director

T i t l e ( M r. / M s )	Name of the Director	DIN	PAN	Cat egory (Chair airp ers on /Exe cutiv e/No n- Exec utive/ Inde pend ent/ Nomi nee)	Su b C a t e g o r y	Initia l D at e of A p p oi nt m e nt	Dat e of App oint me nt	Dat e of ces sat ion	T en ur e	D a t e of Bi rt h	Wh eth er spe cial res olu tio n pas sed ?	D a t e of p a ss in g s p e ci al re s ol ut io n	N o. of Di re ct or s hi p in lis te d e nt iti e s in cl u di n g th is lis te d e nt ity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee	No of post of Chair persons in Audit/ Stakeholder Committee including this listed entity	Membe rshi p in Co mmi ttee s of the Co mpa ny	Remarks
M r.	Arun Bharat	006947	AAPB72	CED	M D	01-Aug-1975	15-Jun-2018			15-Aug-1	NA	08-Aug-2	2	1	2	0		

	Ram	66	68						940		017						
M r.	As his h Bh ara t Ra m	00671567	A A H P R 497F	ED	M D	23-May-2005	23-May-2020		31-D ec-1968	NA		3	1	1	1	1	SC,RC
M r.	Kar tik Bh ara t Ra m	008557	A A L P B 6243M	ED	M D	19-May-2006	01-Jun-2016		04-Ju l-1971	NA		2	0	2	0	SC,RC	
M r.	Tej pre et S Ch opr a	00317683	A D N P C 6578R	ID		21-Sep-2011	01-Apr-2019	115	05-Dec-1969	NA		3	3	1	1	SC,NR C	
M r.	Lak sh ma n Lak sh mi nar aya n	000125544	A A C P L 6344C	ID		11-Nov-2011	01-Apr-2019	113	17-Ju l-1946	Yes	07-Aug-2018	5	1	2	3	AC	
M r.	Vel lay an Su	01138	A A D P V	ID		01-May-2012	01-Apr-2019	107	07-Aug-	NA		6	1	4	1	AC	

	bbi ah	7 5 9 9 2 0 B	8 9 2 0 B						1 9 6 9							
M r.	Pra mod Go pal das Guj ara thi	0 0 4 1 8 9 5 8	A C M P G 6 9 9	ED	01- Apr - 20 17	01- Apr- 2020			2 5- Ju n- 1 9 5 2	NA	1 7- A u g- 2 0 2 0	2	1	1	0	
M r.	Pu neet Ya du Dal mi a	0 0 0 2 2 6 3 3	A G R P D 5 5 9 0	ID	01- Apr - 20 19	01- Apr- 2019		2 4	1 5- O ct - 1 9 7 2	NA		2	1	0	0	NRC
M r.	Yas h Gu pta	0 0 2 9 9 6 2 1	A A H P G 6 9 1 D	ID	01- Apr - 20 19	01- Apr- 2019		2 4	0 4- Ju l- 1 9 6 8	NA		1	1	1	0	SC,NR C
M s.	Me ena ksh i Go pin ath	0 0 2 9 5 6 5 6	A A A P G 1 2 1 5 F	NED	28- Oct - 20 14	28- Oct- 2014			1 1- A u g- 1 9 4 9	NA		1	0	0	0	
M s.	Bh arti Gu pta	0 0 3 5	A A E P	ID	04- Feb -	04- Feb- 2019		2 7	2 1- O ct	NA		2	2	2	0	AC,RC

	Ra	6	R			20				-									
	mo	1	1			19				1									
	la	8	3							9									
		8	5							5									
		3	3							8									
		F																	

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes

## ii. Composition of Committees

### a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Lakshman Lakshminarayan	ID	Chairperson	25-Jul-2012	
2	Vellayan Subbiah	ID	Member	25-Jul-2012	
3	Bharti Gupta Ramola	ID	Member	01-Apr-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

### b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Tejpreet S Chopra	ID	Chairperson	14-Feb-2013	
2	Ashish Bharat Ram	ED	Member	20-Jul-2007	
3	Kartik Bharat Ram	ED	Member	20-Jul-2007	
4	Yash Gupta	ID	Member	01-Apr-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

### c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Ashish Bharat Ram	ED	Chairperson	01-Apr-2019	
2	Kartik Bharat Ram	ED	Member	01-Apr-2019	
3	Bharti Gupta Ramola	ID	Member	01-Apr-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

### d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Tejpreet S Chopra	ID	Chairperson	11-Nov-2011	
2	Puneet Yadu Dalmia	ID	Member	01-Apr-2019	
3	Yash Gupta	ID	Member	01-Apr-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

### iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
04-Nov-2020	21-Jan-2021	Yes	10	5
	03-Mar-2021	Yes	9	4

Company Remarks	
Maximum gap between any two consecutive (in number of days)	77

### iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	04-Nov-2020		Yes	3	3
Audit Committee		21-Jan-2021	Yes	2	2
Stakeholders Relationship Committee	20-Oct-2020		Yes	4	2
Stakeholders Relationship Committee	01-Dec-2020		Yes	4	2
Stakeholders Relationship Committee		19-Feb-2021	Yes	4	2
Nomination & Remuneration Committee		20-Jan-2021	Yes	3	3
Risk Management Committee	22-Dec-2020		Yes	3	1

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	77

v. **Related Party Transactions**

<b>Subject</b>	<b>Compliance status (Yes/No/NA)</b>	<b>Remark</b>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
  - b. Any comments/observations/advice of Board of Directors may be mentioned here:

**%affirmComments%**

**Name : %affirmName%**  
**Designation : %affirmDesignation%**

## ANNEXURE II

**Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

<b>I. Disclosure on website in terms of Listing Regulations</b>			
<b>Item</b>	<b>Compliance status</b>	Company Remark	Website
<b>As per regulation 46(2) of the LODR:</b>			
Details of business	Yes		www.srf.com
Terms and conditions of appointment of	Yes		www.srf.com
Composition of various committees of	Yes		www.srf.com
Code of conduct of board of directors and	Yes		www.srf.com
Details of establishment of vigil mechanism/	Yes		www.srf.com
Criteria of making payments to non-	Yes		www.srf.com
Policy on dealing with related party	Yes		www.srf.com
Policy for determining 'material' subsidiaries	Yes		www.srf.com
Details of familiarization programs imparted	Yes		www.srf.com
Email address for grievance redressal and other relevant details entity who are	Yes		www.srf.com
Contact information of the designated	Yes		www.srf.com
Financial results	Yes		www.srf.com
Shareholding pattern	Yes		www.srf.com
Details of agreements entered into with the media companies and/or their associates	Yes		www.srf.com
Schedule of analyst or institutional investor meet and presentations made by the listed	Yes		www.srf.com
New name and the old name of the listed	Not		
Advertisements as per regulation 47 (1)	Yes		www.srf.com
Credit rating or revision in credit rating	Yes		www.srf.com
Separate audited financial statements of	Yes		www.srf.com
<b>As per other regulations of the LODR:</b>			
Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.srf.com
Materiality Policy as per Regulation 30	Yes		www.srf.com
Dividend Distribution policy as per	Yes		www.srf.com
It is certified that these contents on the	Yes		www.srf.com
<b>II Annual Affirmations</b>			
<b>Particulars</b>	<b>Regulation Number</b>	<b>Compliance status</b>	Company Remark
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	
<i>Board composition</i>	17(1), 17(1A) & 17(1B)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Quorum of Board meeting</i>	17(2A)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	

<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment &amp; Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Recommendation of Board</i>	17(11)	Yes	
<i>Maximum number of directorship</i>	17A	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Yes	
<i>Composition of nomination &amp; remuneration committee</i>	19(1) & (2)	Yes	
<i>Quorum of Nomination and Remuneration Committee meeting</i>	19(2A)	Yes	
<i>Meeting of nomination &amp; remuneration committee</i>	19(3A)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1), 20(2) and 20(2A)	Yes	
<i>Meeting of stakeholder relationship committee</i>	20(3A)	Yes	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Yes	
<i>Meeting of Risk Management Committee</i>	22	Yes	
<i>Vigil Mechanism</i>	22	Yes	
<i>Policy for related party Transaction</i>	23(1),(1A),(5),(6)	Yes	
<i>Prior or Omnibus approval of Audit Committee for all related party</i>	23(2), (3)	Yes	
<i>Approval for material related party transactions</i>	23(4)	Yes	
<i>Disclosure of related party transactions on consolidated basis</i>	23(9)	Yes	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Not Applicable	
<i>Other Corporate Governance requirements with respect to subsidiary of</i>	24(2),(3),(4),(5) & (6)	Yes	
<i>Annual Secretarial Compliance Report</i>	24(A)	Yes	
<i>Alternate Director to Independent Director</i>	25(1)	Not Applicable	
<i>Maximum Tenure</i>	25(2)	Yes	
<i>Meeting of independent directors</i>	25(3) & (4)	Yes	
<i>Familiarization of independent directors</i>	25(7)	Yes	
<i>Declaration from Independent Director</i>	25(8) & (9)	Yes	
<i>D &amp; O Insurance for Independent</i>	25(10)	Yes	
<i>Memberships in Committees</i>	26(1)	Yes	
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	Yes	
<i>Disclosure of Shareholding by Non-Executive Directors</i>	26(4)	Yes	

<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	Yes	
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<b>Other Information</b>	
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**III Affirmations:**

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Yes**

<b>Other Information</b>	
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**Name** : **Rajat Lakhnpal**  
**Designation** : **Company Secretary & Compliance Officer**