

# A Simple Startup Strategy Based on Current Regulation for Back-EMF-Based Sensorless Control of PMSM

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**Abstract**—Back-EMF-based sensorless control of permanent magnet synchronous machines (PMSMs) is preferred in many industrial applications due to its control simplicity and high machine efficiency. Position estimation based on the back-EMF is most reliable in the medium- to high-speed range. For applications where low-speed operation is not required, a simple-structure back-EMF-based sensorless controller coupled with a simple startup procedure offers an attractive low-cost solution. This paper proposes a new startup procedure based on closed-loop current regulation. Compared to the existing methods, the new starting method can work under different load conditions and allows smooth transition from the startup procedure to the back-EMF-based sensorless control mode. In order to determine the successful use of the proposed method in various applications, its stability under different load conditions is discussed in detail. The proposed method is robust when it comes to the motor parameter variation and can be used for both surface-mounted PMSMs (SPMSMs) and interior PMSMs. The method presented in this paper is validated using the experimental results on an SPMSM. A general design guideline is also given.

**Index Terms**—Back-EMF, current regulation, permanent magnet synchronous machine (PMSM), sensorless control, startup strategy.

## I. INTRODUCTION

SENSORLESS control of permanent magnet synchronous machines (PMSMs) has been studied in detail over the last several decades because it reduces system cost. Many high-performance sensorless control methods have been reported, including the method that uses the extended Kalman filter [1], [2], the method based on adaptive observers [3], [4], and the methods based on utilization of multiple current measurements during one switching period [5], or by introducing a special “electrical steady state” to facilitate position estimation [6]. Though it is always interesting to investigate high-performance sensorless control methods, it is worth pointing out that many industrial applications are fan-, pump-, and compressor-type

loads [7]. For these applications, high dynamic control performance at low speed during startup is not necessary. Instead, the simplicity and robustness of the control strategy such as the back-EMF-based method presented in this paper could be more important.

The back-EMF-based method is intended to be operated in medium- to high-speed range; therefore, an additional startup procedure is required. To cover the speed range from zero speed to a medium speed, HF signal injection methods are normally used. The HF voltage component is first injected and then a bandpass filter is used to extract the HF signal from the measured current. This is followed by special signal processing methods to detect the rotor position. At the same time, notch or low-pass filters are needed to extract the fundamental current information for torque and speed control [8]–[10]. As the purpose here is only to start up the drive, it may be too complicated and unnecessary to use HF signal injection methods. The startup issue may also be dealt with by extending the available speed range of the sensorless controller. The idea is that with the speed range extended, the requirement on the startup procedure may be reduced. However, this kind of sensorless controller with the speed range extended requires a special design of the integrator [11]. Even so, it may still suffer from the inaccuracy of the rotor resistance and the inverter nonlinearity effect in the low-speed/low-voltage operation range.

Besides the requirement on the simplicity of the control algorithm, the startup procedure should also be robust and able to work with different load situations to ensure that the drive is able to accelerate to a speed at which the back-EMF is high enough to be accurately sensed. A simple startup method could be to give dc bias currents to the phase windings at the beginning in order to align the rotor to a predetermined position. For applications where it is not possible to rotate the rotor initially, initial rotor position estimation methods based on magnetic saliency may be used, as discussed in [5], [12], and [13]. The motor is then accelerated from standstill to a certain speed by following a ramp frequency or speed command [14], [15]. This is similar to the open-loop  $V/f$  control used for induction machines. When the  $V/f$  control is applied to PM machines, due to its open-loop structure with no position or current feedback, the motor may lose its synchronism and the operation may be unstable especially in load conditions. The field-oriented control (FOC) controls the rotor  $d$ -axis current to be zero for a surface-mounted PMSM (SPMSM), and the  $q$ -axis current is in proportion to the load torque [16]. An open-loop  $V/f$  control cannot guarantee that before switching to the FOC, the  $d$ - and  $q$ -axes currents are close

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to the values that will be generated by the FOC. The absence of current regulation may cause high current and torque ripples during the transition from the startup stage to the FOC stage, which also increases the risk that FOC may not be switched in successfully. To overcome these problems, closed-loop control strategies should be adopted.

In [17] and [18], a so-called  $I$ - $f$  starting method was proposed. The name of " $I$ - $f$ " is mapped from the well-known  $V$ - $f$  starting method. Instead of specifying a voltage reference used in the  $V$ - $f$  control, the current in the  $I$ - $f$  control is specified and maintained constant in a synchronous rotating reference frame. The motor is accelerated by following a ramping up frequency command.

This  $I$ - $f$  starting method appears to be a better solution to make the startup process smooth and robust, compared to the open-loop  $V$ - $f$  startup method. An important part in applying this  $I$ - $f$  starting method is to design a good transition period to allow the sensorless FOC to be smoothly switched in. In [17], a first-order compensator was used to force the position of the synchronous rotating reference frame to approach the rotor position obtained from the back-EMF-based sensorless method. A successful convergence of these two positions depends heavily on the compensator parameters, which is hard to pretun for different drive systems. Similarly in [18], a speed-dependent weight was introduced to rotate the synchronous rotating reference frame to the desired position. In this method, similar to the first-order compensator, it is not easy to find the proper parameters that will secure a successful convergence. Further detailed analysis of the  $I$ - $f$  method was lacking in [18] with respect to stability issues and general design guidelines.

In this paper, a detailed analysis is given for the  $I$ - $f$  starting method. A new, simple startup strategy is introduced to allow a smooth torque and speed transition from the startup stage to the sensorless FOC stage. The method proposed in this paper is robust and can work with different load situations. The performance of this startup process is also robust under different motor parameter variations. This paper emphasizes stability which is an essential element to the development of good design guidelines for the  $I$ - $f$  starting method for various applications. The proposed simple but robust startup method is suitable for applications of pump-, fan-, or compressor-type loads, where low-speed operation is not desired. It offers advantages especially for heating or cooling compressor-type applications, where the load torque is a function of the piston position and during the startup, the change of the load torque requires robust control algorithms.

First, a description of the system topology is given. The new startup strategy is then presented and analyzed. Finally, its performance is validated through experimental results on an SPMSM.

## II. CONTROL SYSTEM FOR PMSM

The complete control system topology is shown in Fig. 1, which consists of two consecutive procedures: current regulation control for startup and traditional FOC using the position estimated from the back EMF. When the two switches (switches 1 and 2) are connected to terminal 2, the control system pre-

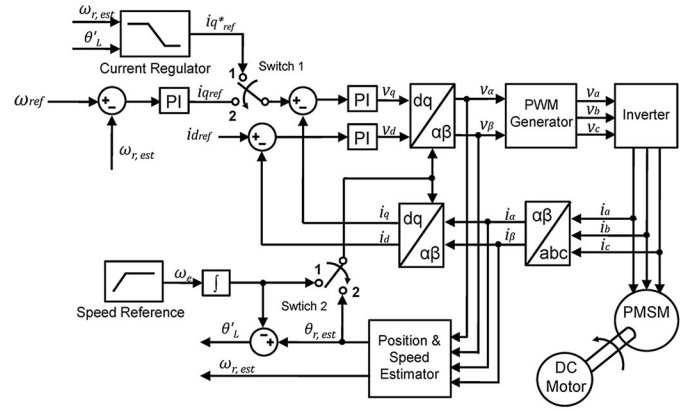


Fig. 1. Vector control scheme with startup procedure for PMSM.

sented in Fig. 1 is the traditional FOC of PMSM. The controller is realized in the rotating  $d$ -,  $q$ -reference frame. It consists of inner current control loops using proportional–integral (PI) controllers and an outer speed control loop.

A simple back-EMF-based sensorless control algorithm is used to estimate the position information needed to transfer the variables from the stationary stator  $\alpha$ -,  $\beta$ -reference frame to the rotating rotor  $d$ -,  $q$ -reference frame. Assuming that the  $d$ -,  $q$ -axes inductances are equal, the model of the PMSM may be represented in the  $\alpha$ -,  $\beta$ -reference frame as follows:

$$\begin{bmatrix} v_\alpha \\ v_\beta \end{bmatrix} = \begin{bmatrix} R_s & 0 \\ 0 & R_s \end{bmatrix} \begin{bmatrix} i_\alpha \\ i_\beta \end{bmatrix} + \frac{d}{dt} \begin{bmatrix} \psi_\alpha \\ \psi_\beta \end{bmatrix} \quad (1)$$

$$\begin{bmatrix} \psi_\alpha \\ \psi_\beta \end{bmatrix} = \begin{bmatrix} L_1 & 0 \\ 0 & L_1 \end{bmatrix} \begin{bmatrix} i_\alpha \\ i_\beta \end{bmatrix} + \begin{bmatrix} \cos \theta_r \\ \sin \theta_r \end{bmatrix} \psi_{mpm} \quad (2)$$

where  $v$ ,  $i$ , and  $\psi$  are the stator phase voltage, phase current, and phase total flux linkage, respectively. Subscripts  $\alpha$  and  $\beta$  stand for their corresponding  $\alpha$ ,  $\beta$  components.  $R_s$  is the stator phase resistance,  $\theta_r$  is the rotor angular position in electrical radians, and  $\psi_{mpm}$  is the peak value of the rotor PM flux linkage. Inductances  $L_1$  is obtained from the  $d$ -,  $q$ -axes inductances ( $L_d$  and  $L_q$ ) as  $L_1 \triangleq (L_d + L_q)/2$ . By using (1) and (2), the estimated rotor position  $\theta_{r,est}$  and the estimated rotor speed  $\omega_{r,est}$  may be obtained as follows:

$$\psi_\alpha = \int (u_\alpha - R_s \times i_\alpha) dt \quad (3)$$

$$\psi_\beta = \int (u_\beta - R_s \times i_\beta) dt \quad (4)$$

$$\theta_{r,est} = \tan^{-1} \frac{\psi_\beta - L_1 i_\beta}{\psi_\alpha - L_1 i_\alpha} \quad (5)$$

$$\omega_{r,est} = \frac{d\theta_{r,est}}{dt} \quad (6)$$

In practice, the integration of (3), (4) will be affected by the zero-drifting component and it must be compensated, as discussed in, e.g., [19].

This back-EMF-based position estimation algorithm has good performance in the medium- to high-speed range. Working in this speed range, the stator resistance variation and the

nonlinearity of inverter has little influence on the estimated rotor position and may be safely neglected [20]. In the normal operation of the SPMSM, the  $d$ -axis current is maintained to be zero, and the  $q$ -axis current is regulated to adjust the motor torque. When the motor current is predominant on the  $q$ -axis for SPMSMs, the results show that the inductance variation due to the saturation may be neglected [21], [22]. Therefore, with the drift properly compensated in the integration, the back-EMF-based position estimation method (3)–(6) will give satisfactory performance.

In the low-speed range, the back-EMF method suffers from the small magnitude of the back-EMF signal [23]. A separate approach for accelerating the motor from standstill is needed. This is achieved by switching switches 1 and 2 to terminal 1, as shown in Fig. 1. In the starting period, the position information needed in the reference frame transformation is obtained from the integration of a preset speed command. The speed command is first a ramp input. During this period, a constant  $q$ -axis current in the synchronous rotating reference frame is applied to accelerate the motor. After a desired speed is reached, the speed command is held constant, and the reference  $q$ -axis current is regularly decreased to ensure a smooth transition from the startup procedure to the back-EMF-based FOC method. In this stage, a proper selection of the acceleration rate and the  $q$ -axis current decreasing rate is crucial for the motor to avoid losing synchronization, which will be discussed in detail in Section III.

### III. ANALYSIS OF THE STARTUP STRATEGY

The proposed startup procedure contains two different stages: a ramp speed reference with a constant  $q^*$ -axis current stage and a constant speed reference with a decreasing  $q$ -axis current.

#### A. Ramp Speed Reference With a Constant $q$ -Axis Current

The purpose of this stage is to accelerate the motor from standstill to a desired speed.

During the speed rampup, control is realized in the synchronous rotating reference frame. Its location is obtained by integrating the speed command and is denoted as the  $d^*q^*$ -reference frame shown in Fig. 2. The angle between the real rotor  $dq$  frame and the synchronous rotating  $d^*q^*$ -reference frame is defined as  $\theta_L$ .

During the speed rampup, the  $q^*$ -axis current is maintained constant and the  $d^*$ -axis current is set to zero. To start the motor, dc currents are first applied to the motor to align the rotor to the zero position, where the rotor  $d$ -axis is aligned with the stator phase-a axis. Then the synchronous rotating reference frame  $d^*q^*$  is set to be lagging the real rotor  $dq$  frame by 90 electrical degrees, as demonstrated in Fig. 2(a). The  $q^*$ -axis current is then aligned to the rotor  $d$ -axis and therefore no torque will be generated. The synchronous reference frame  $d^*q^*$  will start to rotate due to the integration of the ramp speed command. The angle  $\theta_L$  will become smaller and correspondingly, the real  $q$ -axis current component ( $i_q$ ) will increase, which is equal to  $i_q^* \cos \theta_L$ . When the real  $q$ -axis current component is large enough to produce a torque that is greater than the load torque, the rotor will start to rotate and the rotor  $dq$ -axes will try to

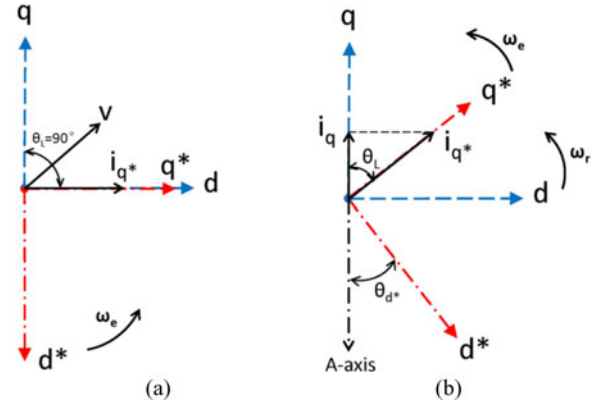


Fig. 2. Phasor diagram in  $dq$  and  $d^*q^*$  axes in ramp speed operation. (a) Initial situation. (b) During acceleration.

follow the rotating synchronous frame  $d^*q^*$ -axes, as illustrated in Fig. 2(b).

It is important to notice that this  $I$ - $f$  starting strategy has a self-stabilization capability. The relation between the synchronous frame  $q^*$ -axis current  $i_q^*$  and the real motor torque  $T_e$  is determined by the following:

$$T_e = \frac{3}{2} p i_q^* \cos \theta_L (\psi_{\text{mpm}} + (L_d - L_q) i_q^* \sin \theta_L) \approx K_T i_q^* \cos \theta_L \quad (7)$$

where  $p$  is the number of pole pairs of the machine, and  $K_T$  is the motor torque constant. The second term of the flux component  $(L_d - L_q) i_q^* \sin \theta_L$  could be omitted compared to PM flux  $\psi_{\text{mpm}}$ . If the acceleration rate is decreased due to the increased load, the rotor speed will decrease and the angle  $\theta_L$  will become smaller. Referring to Fig. 2(b) and (7), because the  $q^*$ -axis current ( $i_q^*$ ) is kept constant, the real  $q$ -axis current component  $i_q$ , which is equal to  $i_q^* \cos \theta_L$ , will then become larger and therefore the machine will generate larger torque to accelerate the rotor and achieve a new balance. Similar self-stabilization mechanism may also be observed when the load torque is decreased.

The self-stabilization mechanism may be lost if angle  $\theta_L$  is negative which occurs when the synchronous frame  $q^*$ -axis is leading the rotor  $q$ -axis. In this case, if the load torque is increased, the rotor speed decreases and the angle between the  $q^*$ -axis and the  $q$ -axis,  $\theta_L$ , will be enlarged (negative angle). This will cause the electromagnetic torque to decrease and therefore the speed of the motor will be further reduced. The rotor speed will then quickly fall to zero. Therefore, it is crucial to keep the synchronous frame  $q^*$ -axis always lagging the rotor  $q$ -axis and to guarantee that there is enough margin left in the angle  $\theta_L$ . It may be observed from (7)–(10) that the angle  $\theta_L$  is determined by the synchronous frame  $q^*$ -axis current, the load torque, and the torque component needed to accelerate the rotor. For the same motor output torque, by increasing the synchronous frame  $q^*$ -axis current  $i_q^*$ , angle  $\theta_L$  will be increased. Therefore, it is important to have a large enough synchronous frame  $q^*$ -axis current, which ensures that the synchronous frame  $q^*$ -axis will be lagging the rotor  $q$ -axis and there is enough margin left in the angle  $\theta_L$  to avoid entering the negative  $\theta_L$  region. For example, if the synchronous frame  $q^*$ -axis current is 41% larger than the



rotor real  $q$ -axis current, which is the actual current component used for producing torque, the corresponding angle  $\theta_L$  is  $45^\circ$ .

In this starting period, the speed reference is a ramp function and the rotor is accelerated from standstill. To ensure that the self-stabilization mechanism can be maintained and the acceleration of the rotor can always follow the ramp speed command, it is important to select a proper ramp ratio of the speed reference and this is analyzed next.

The ramp speed reference may be expressed as follows:

$$\omega_e = K_\omega t \quad (8)$$

where  $\omega_e$  is the electrical angular speed of the rotating synchronous reference frame. It is in proportion to the time  $t$ , in a ratio determined by  $K_\omega$ . In order to find out a proper value for  $K_\omega$ , it is necessary to consider the following mechanical equation:

$$T_e - T_L = J \frac{d\Omega_r}{dt} = \frac{J}{p} \frac{d\omega_r}{dt} \quad (9)$$

where  $T_L$  is the load torque,  $J$  is inertia,  $\Omega_r$  is the mechanical rotor speed, and  $\omega_r$  is the electrical rotor speed. The motor torque  $T_e$  is a function of the angle  $\theta_L$ , as given in (7). Since  $\theta_L$  is the angle between the synchronous frame  $q^*$ -axis and the rotor  $q$ -axis,  $\theta_L$  will be a function of the time and is determined by the following:

$$\frac{d\theta_L}{dt} = \omega_r - \omega_e. \quad (10)$$

Equations (7)–(10) form a nonlinear system. It may be found that the angle  $\theta_L$  is actually governed by a second-order, parameter-time dependent, nonlinear differential equation. The load torque may also be speed dependent as for, e.g., a fan load. It is very difficult to analytically solve these equations. But an important assumption may be used here to facilitate the mathematical manipulation. The assumption is based on the fact that during the acceleration, the final rotor speed is not determined by the instantaneous torque, but is determined by the effective average torque accounted in this period. If the average torque is used, the rotor speed at a certain time  $T$  may be obtained from (9) as follows:

$$\begin{aligned} \omega_r(T) &= \frac{p}{J} \left( \int_0^T T_e(t) dt - \int_0^T T_L(t) dt \right) \\ &= p \frac{T}{J} (T_{e,ave} - T_{L,ave}) \end{aligned} \quad (11)$$

where  $T_{e,ave}$  and  $T_{L,ave}$  is the average value of the motor torque  $T_e(t)$  and the load torque  $T_L(t)$  during the time interval  $[0, T]$ , respectively. According to (7), since  $i_q^*$  is constant, the average motor torque will be corresponding to an average value of angle  $\theta_L$  as follows:

$$T_{e,ave} = K_T i_q^* \cos \theta_{L,ave}. \quad (12)$$

The rotor speed will follow closely to the synchronous rotating speed  $\omega_e$ . Therefore, it may be assumed that

$$\omega_r(T) = \omega_e(T) = K_\omega T. \quad (13)$$

By substituting (12) and (13) into (11), it may be obtained that

$$K_\omega = p \frac{K_T i_q^* \cos \theta_{L,ave} - T_{L,ave}}{J}. \quad (14)$$

The torque constant of the machine  $K_T$  and the inertia used in (14) may be obtained from the motor data catalog. The inertia may also be found by performing special tests as, e.g., [24]. For many applications with fan- or pump-type loads, the load torque is proportional to the square of the speed. Once the rated torque is known from the nameplate data, the load torque at different speed may be estimated and an average load torque  $T_{L,ave}$  needed in (14) may then be found. In (14), the angle  $\theta_{L,ave}$  may be difficult to estimate, but the margin of  $K_\omega$  may be constrained to the following:

$$K_\omega < \frac{p(K_T i_q^* - T_{L,max})}{J} \quad (15)$$

where  $T_{L,max}$  is the maximum load torque the drive may experience during the startup. Equation (15) gives a good design guide of how to properly choose the ratio of the speed ramp  $K_\omega$  during this speed rampup stage with a constant  $q^*$ -axis current. It may be observed from (15) that for a system with a high inertia and high load torque during the startup period, the speed ramp ratio should be small. If a high acceleration  $K_\omega$  is desired, the reference current  $i_q^*$  set in the synchronous rotating reference frame should be increased.

#### B. Constant Speed Reference With Decreasing $q^*$ -Axis Current

The purpose of the previous stage is to accelerate the motor to a certain speed to allow the back-EMF signal to be sensed accurately. As discussed previously, it is preferred that the synchronous frame  $q^*$ -axis current be maintained at a larger value than the needed current component on the rotor  $q$ -axis, which is the current that the FOC will try to achieve ( $i_q^* > i_q$ ). If it is suddenly switched to the back-EMF-based sensorless FOC scheme without any transition period, due to the mismatch of the current amplitudes, high current and torque ripple may then appear (see Fig. 5). The position estimation method presented in Section II has an open-loop structure. Inaccurate machine parameters, e.g., due to measurement errors, will affect the accuracy of the estimated rotor position. Additionally, in the speed rampup acceleration stage, the synchronous frame  $q^*$ -axis is lagging the rotor  $q$ -axis, resulting in a positive  $d$ -axis current component. This  $d$ -axis current produces a flux component that enhances the rotor PM flux, which may potentially increase the saturation level of the machine iron core and cause machine parameters to change. This phenomenon was observed experimentally in [21] for a similar SPMSM machine. The dependency of machine parameters on the saturation level depends on machine types and machine characteristics [21], [22], [25] and is hard to predict. Therefore, a transient period that makes the current amplitudes and consequently the saturation level of the machine to be in a close match before and after switching to the FOC mode is necessary.

A simple way to offer a smooth transition is to keep the speed constant while reducing the synchronous frame  $q^*$ -axis current. This is because, as may be observed from Fig. 2, when the

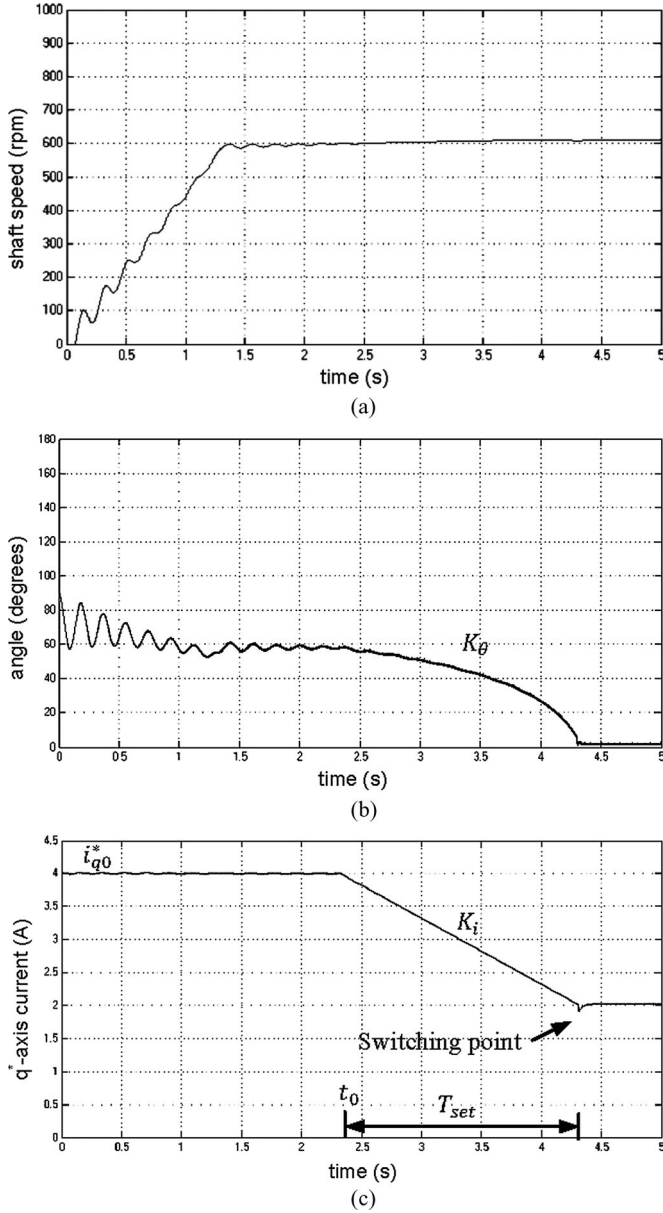


Fig. 3. Simulation results of the startup process. (a) Motor shaft speed during startup. (b) Transient behavior of angle  $\theta_L$ . (c) Synchronous frame current  $i_q^*$  during startup.

synchronous frame  $q^*$ -axis current ( $i_q^*$ ) is reduced in order to generate the same torque as before and balance the load torque, angle  $\theta_L$  is forced to be reduced. The synchronous frame  $q^*$ -axis will then rotate toward the rotor  $q$ -axis. The current will approach to the value that will be generated in the FOC mode, and the rotor  $d$ -axis current component reduces to zero. The current and torque ripples during the switching to the FOC mode will then be reduced. The performance obtained from simulation of this startup process and the transition to the FOC mode is demonstrated in Fig. 3. The motor parameters are given in Section IV. The simulation results are achieved by solving the nonlinear equations (7)–(10) numerically using MATLAB.

During the speed rampup stage, oscillations of angle  $\theta_L$  may be observed from Fig. 3(b). This indicates the self-stabilizing

mechanism, as discussed previously. The speed rampup ratio  $K_\omega$  used in this simulation is  $89.5 \text{ rad/s}^2$ . The load torque used in the simulation is known. Based on the result shown in Fig. 3(b), the average value for angle  $\theta_L$  is found to be  $65^\circ$  in the acceleration period. By substituting this value into (14), the speed rampup ratio  $K_\omega$  obtained is  $91.1 \text{ rad/s}^2$ , which is very close to the real  $K_\omega$  value used. This validates the analytical (14), which is used for selecting a proper speed rampup ratio  $K_\omega$  during the design stage.

As shown in Fig. 3(a), after the rotor speed has reached a desired value, the rotor speed is maintained constant by setting a constant speed reference command. The synchronous frame  $q^*$ -axis current is then decreased and the angle  $\theta_L$  is forced to be reduced to zero correspondingly. A small threshold value for the angle  $\theta_L$  may be set to control the instant when the FOC scheme should be switched in and take over the control. In this simulation, the threshold value of angle  $\theta_L$  is set to be 5 electrical degrees and the FOC takes over the control at 4.3 s. Very small current ripple during this switching transient may be observed from Fig. 3(c).

In the current reducing transition period, an important parameter to set is the current reducing ratio  $K_i$ . The determination of this ratio is analyzed in the following.

The current profile in this transition period may be expressed as follows:

$$i_q^*(t) = i_{q0}^* - K_i(t - t_0) \quad (16)$$

where  $t_0 \leq t \leq t_0 + T_{\text{set}}$ , and  $i_{q0}^*$ ,  $T_{\text{set}}$  are defined as shown in Fig. 3(c).

As discussed previously, when the synchronous frame  $q^*$ -axis current  $i_q^*$  is decreasing, the angle  $\theta_L$  will be reduced correspondingly in order to produce a torque that will balance the load torque. Therefore, it may be assumed that during the current decreasing transition period, the rotor speed does not change. This may be observed from Fig. 3(a). Therefore, by integrating (9), it is obtained that

$$\int_{t_0}^{t_0 + T_{\text{set}}} T_e(t) dt - \int_{t_0}^{t_0 + T_{\text{set}}} T_L(t) dt = J(\Omega_r(t_0 + T_{\text{set}}) - \Omega_r(t_0)) = 0. \quad (17)$$

Supposing the load torque does not change during this current decreasing period, its value will be equal to the motor torque at time  $t_0$ ; therefore,  $T_L(t_0) = K_T \cdot i_{q0}^* \cos(\theta_L(t_0))$ . By substituting (7) into (17), the following is derived:

$$\int_{t_0}^{t_0 + T_{\text{set}}} i_q^*(t) \cos(\theta_L(t)) dt = i_{q0}^* \cos(\theta_L(t_0)) T_{\text{set}} \quad (18)$$

where  $i_q^*(t)$  is determined by (16), and angle  $\theta_L(t)$  may be assumed to decrease linearly from its initial value  $\theta_L(t_0)$  to zero as follows:

$$\theta_L(t) = \theta_L(t_0) - \frac{\theta_L(t_0)}{T_{\text{set}}}(t - t_0). \quad (19)$$

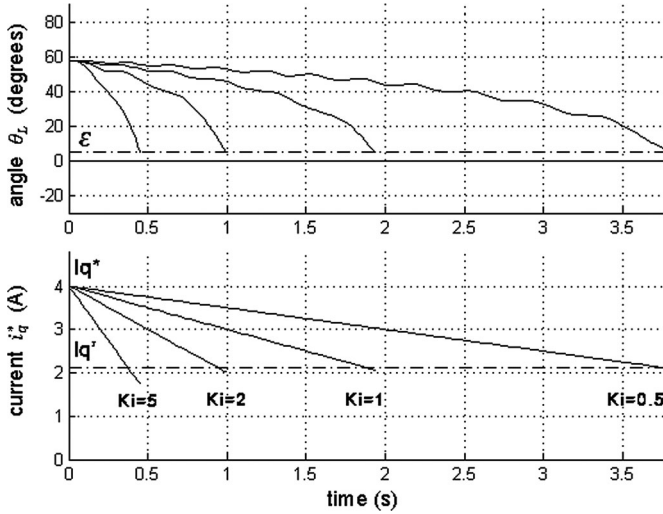


Fig. 4. Response of angle  $\theta_L$  in relation to the variation of the synchronous frame  $q^*$ -axis current  $i_q^*$ .

By substituting (16), (19) into (18) and performing the integration in (18), it is obtained that

$$K_i = i_{q0}^* \frac{\theta_{L(t_0)} \cos(\theta_{L(t_0)}) - \sin(\theta_{L(t_0)})}{\cos(\theta_{L(t_0)})} K_\theta \quad (20)$$

where  $K_\theta$  describes how fast the angle  $\theta_L$  reduces to zero, and is defined to be  $\theta_{L(t_0)}/T_{\text{set}}$ .

Equation (20) shows that for a fixed initial angle  $\theta_{L(t_0)}$ , and fixed synchronous frame  $q^*$ -axis current  $i_{q0}^*$ , if the current decreasing ratio  $K_i$  is increased, a faster decrease of the angle  $\theta_L(t)$  will be achieved. This may be observed from Fig. 4, where the behavior of angle  $\theta_L(t)$  for different transient  $q^*$ -axis current profiles is shown. The results are obtained from the simulation model discussed previously.

When the angle  $\theta_L(t)$  has reached the threshold value ( $5^\circ$  in this case), the control is switched to the FOC mode. The  $q$ -axis current that will be maintained by the FOC is 2 A, as may be observed from Fig. 3(c). As shown in Fig. 4, for a large range of  $K_i$  (from 0.5 to 2), when angle  $\theta_L(t)$  is reduced to the threshold value, the synchronous frame  $q^*$ -axis current is reduced to a value that is very close to 2 A, which ensures that very small current and torque ripple will occur if the FOC is switched in at this instant. But if  $K_i$  is too large and the current reduces too fast, when angle  $\theta_L(t)$  is reduced to the threshold value, the synchronous frame  $q^*$ -axis current will be less than the desired current of 2 A, e.g., for case  $K_i = 5$ . A mismatch of the current amplitudes at the switching instant will increase the current and torque ripples. This ripple may be clearly observed in the experimental result shown in Fig. 5, where  $K_i = 15$ .

Equation (20) may be used to obtain a rough estimation of the desired current decreasing ratio  $K_i$ . By selecting a certain value for the angle  $\theta_L(t)$  decreasing ratio  $K_\theta$ , the current decreasing ratio  $K_i$  may be estimated using (20), and the current value at the switching instant  $t_0 + T_{\text{set}}$  may then be estimated by using (16). This current value should be close to  $i_{q0}^* \cos(\theta_{L(t_0)})$ , which is 2 A in this case. By following this method, it is found that

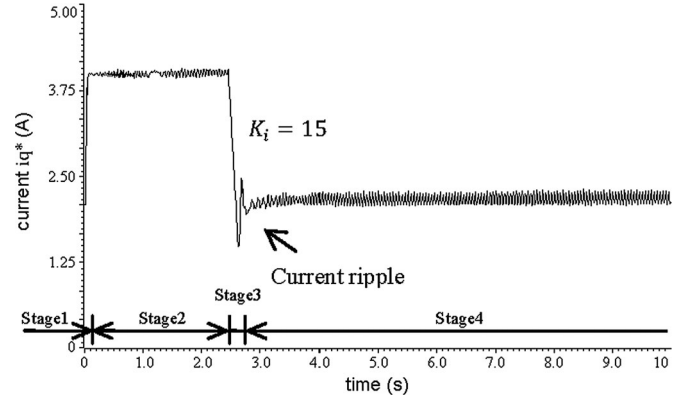


Fig. 5. Current ripples caused by setting a large decreasing ratio of synchronous frame  $q^*$ -axis current when switching from the startup stage to the FOC mode, i.e., from Stage 3 to Stage 4.

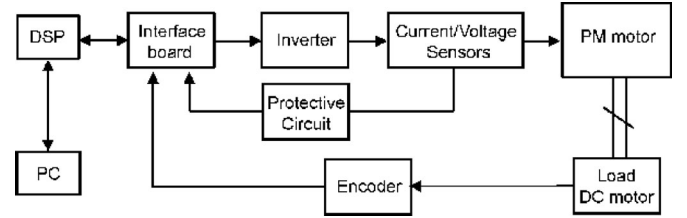


Fig. 6. Experimental hardware flowchart.

$K_i < 3.9$ , which gives a relatively good indication as compared to the results shown in Fig. 4.

In summary, the startup strategy may be divided into the following four stages, as indicated in Fig. 5.

- Stage 1:* Rotor position initialization. By injecting dc currents or by initial rotor position detection based on magnetic saliency.
- Stage 2:* Speed rampup with constant current regulation. A constant current reference is given on the  $q^*$ -axis of the synchronous reference frame. The speed is determined by a speed ramp command.
- Stage 3:* In this stage, the speed is held constant and the synchronous reference frame  $q^*$ -axis current decreases to ensure an accurate estimation of the rotor position and a smooth transition to the FOC mode.
- Stage 4:* Switching and FOC mode operation. FOC is switched in at the end of Stage 3, when the estimated rotor position is accurate enough. The FOC takes over the control and the  $q$ -axis current command  $i_q$  is then determined by the speed control loop to further regulate the rotor speed.

#### IV. TEST PLATFORM AND EXPERIMENTAL RESULTS

The startup strategy discussed previously is tested using an experimental drive system. The experimental system consists of an SPMSM, a dc motor as the load, an eZdsp-F28335 controller, a Danfoss FC302 Series inverter, a 2048-pulses incremental encoder used for validating the rotor position estimation, and the CCS3.3 platform [26], [27]. The system topology is shown in Figs. 6 and 7. The parameters of the PM motor are given in Table I.



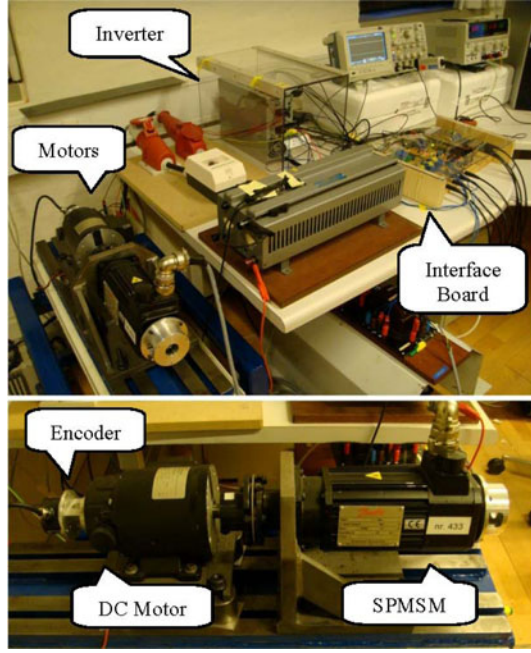


Fig. 7. Experimental platform.

TABLE I  
MOTOR PARAMETERS

Surface Mounted PMSM			
Rated power	470 W	Stator resistance	2.35 $\Omega$
Max. phase voltage	380 V	d-axis inductance	10 mH
Rated current	2.9 A	q-axis inductance	15.4 mH
Rated speed	2850 rpm	Permanent-magnet flux	0.132 Wb
Rated frequency	95 Hz	Inertia	$3 \times 10^{-3}$ kg·m <sup>2</sup>
Rated torque	1.58 N·m	Pole pairs	2

In the experiment, the dc motor serves as the load. The switching from the startup strategy to the FOC strategy is performed at the speed of 20 Hz/600 r/min, which is around 20% of the rated speed. This is the minimum speed for most pump and fan applications. At this speed, the position estimated based on the back-EMF will be accurate enough. The startup performance of the PM motor drive system is demonstrated in Fig. 8, with the dc-motor acting as a load, and in Fig. 9, with the dc-motor open circuit (no load).

It is important to point out that in application, when switching from the startup stage to the FOC stage, a sudden jump of  $q$ -axis reference current  $i_q^*$  may occur, if the initial value of the integration block in the speed loop PI controller is not properly set. A proper initial value for this integrator could be the current value estimated from (16) as  $i_q^*(t_0 + T_{\text{set}})$ .

#### A. Startup From Standstill to 600 r/min, With DC Motor Loaded

#### B. Startup From Standstill to 600 r/min, With DC-Motor Open Circuited (No Load)

It may be observed from Figs. 8(a) and 9(a) that in both loaded and non-loaded situations, the motor is able to be successfully accelerated to the desired speed. The reference current command set on the  $q^*$ -axis of the synchronous rotating reference frame

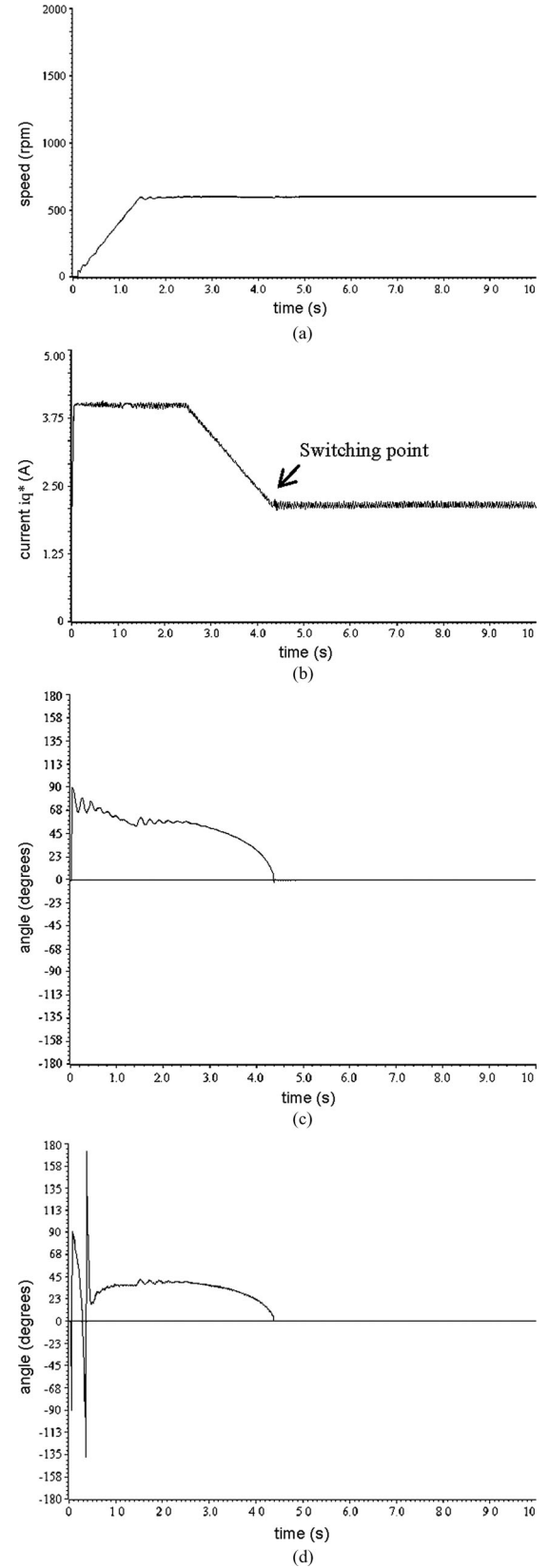


Fig. 8. Speed, current, and position behaviors in the startup stage with the dc motor loaded. (a) Rotor speed during startup. (b) Current  $i_q^*$  during startup. (c) Transient behavior of angle  $\theta_L$ , which is the angle between the synchronous rotating  $q^*$ -axis and the real rotor  $q$ -axis measured by the encoder. (d) Transient behavior of angle  $\theta'_L$ , which is the angle between the synchronous rotating  $q^*$ -axis and the estimated rotor  $q$ -axis based on the back EMF.

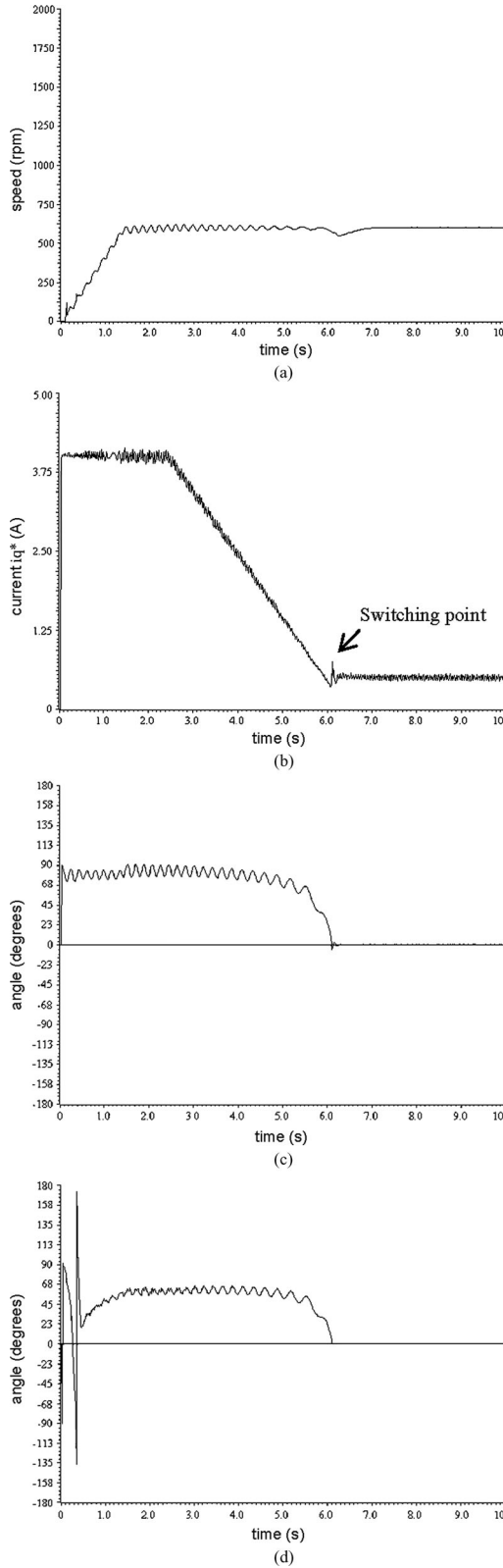


Fig. 9. Speed, current, and position behaviors in the startup stage with the dc motor open circuited (no load). (a) Rotor speed during startup. (b) Current  $i_{q^*}$  during startup. (c) Transient behavior of angle  $\theta_L$ , which is the angle between the synchronous rotating  $q^*$ -axis and the real rotor  $q$ -axis measured by the encoder. (d) Transient behavior of angle  $\theta'_L$ , which is the angle between the synchronous rotating  $q^*$ -axis and the estimated rotor  $q$ -axis based on the back EMF.

is the same for both cases. In the loaded situation, the torque provided by the dc motor is proportional to the speed. The torque available from the dc motor is approximately 0.8 Nm at 600 r/min, as may be estimated from the steady-state  $q^*$ -axis current shown in Fig. 8(b). The sensorless FOC is switched in at 4.3 s for case A and at 6.0 s for case B. The difference in time is caused by the different load situations. The current ripple and hence the torque ripple during the transition period from the startup stage to the sensorless FOC stage is very small, as may be observed from Figs. 8(b) and 9(b).

The angle  $\theta_L$  shown in Figs. 8(c) and 9(c) is the angle between the synchronous rotating frame  $q^*$ -axis and the real rotor  $q$ -axis. In applications, the real rotor position is actually unknown so that  $\theta_L$  cannot be used directly, but it could be estimated. The angle between the synchronous rotating frame  $q^*$ -axis and the estimated rotor  $q$ -axis from the back-EMF-based sensorless method, which is denoted as  $\theta'_L$ , is plotted in Figs. 8(d) and 9(d). The value of angle  $\theta'_L$ , compared to a threshold value, is used to control the instant when the sensorless FOC should be switched in, as mentioned previously. It may be observed from these figures that the position estimated from the back-EMF is not stable at low speed. It is of further interest to observe that even at a reasonably high speed, before introducing the current reducing transition period, the estimated position is still not accurate. This may be observed by comparing Fig. 8(c) versus (d), and Fig. 9(c) versus (d). This position error is caused by inaccurate machine parameters that affect the estimated rotor position, as discussed in Section III-B. During the current decreasing period, since the  $d$ -axis current component is reduced, the angle  $\theta'_L$  approaches to the angle  $\theta_L$ , and both of them finally reduce to zero. At the same time the synchronous frame  $q^*$ -axis current approaches to the real  $q$ -axis current. Hence, switching from the startup stage to the sensorless FOC stage becomes very smooth.

In the results presented in Fig. 9 where the dc motor is open circuited (no load), smaller rising time and larger speed ripple may be observed, as the net torque used for accelerating the motor becomes larger, causing higher oscillation of the speed. However, this oscillation could be reduced by reducing the speed acceleration ramp ratio  $K_\omega$ .

## V. CONCLUSION

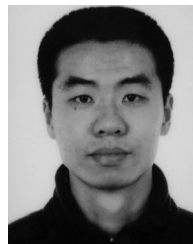
The advantages of the new current regulation startup strategy are that the stator current is under control and it has a self-stabilization mechanism even with no speed information feedback. It can work with different load conditions, and it is dynamically stable under a proper setting of the reference current command and the speed rampup ratio according to the load and system parameters. A new transition process is introduced before switching from the startup strategy to the sensorless FOC strategy. This transition period guarantees that the position estimated from the back-EMF-based sensorless algorithm is accurate. The synchronous rotating frame  $q^*$ -axis current component in the startup stage may be automatically adjusted to the value that will be generated in the FOC stage, and the  $d$ -axis current component will be eliminated. This ensures very small current and torque ripple during the transition from the startup stage to



the sensorless FOC stage. Detailed analysis and design guides for the current regulation startup strategy are also given and are validated using simulation and experimental results.

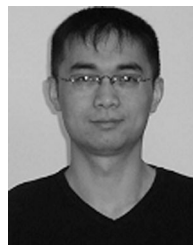
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