

# ECE 438 - Laboratory 5b

## Digital Filter Design (Week 2)

Last updated on February 20, 2022

**Date: 2/16**  
**Section:**

Name	Signature	Time spent outside lab		
Student Name #1 Ruixiang Wang				
Student Name #2 [---%]				
			Below expectations	Lacks in some respect
<b>Completeness of the report</b>				
<b>Organization of the report</b>				
<b>Quality of figures:</b> <i>Correctly labeled with title, x-axis, y-axis, and name(s)</i>				
<b>Understanding of filter design using standard windows (25 pts):</b> <i>Time domain and DTFT plots of windows, table of spectral parameters, impulse response and DTFT of designed filter, questions</i>				
<b>Understanding of filter design using the Kaiser window (25 pts):</b> <i>Time domain and DTFT plots of windows, DTFT of designed filter, DTFT of filtered audio, questions</i>				
<b>Understanding of FIR filter design using Parks-McClellan algorithm (25 pts):</b> <i>DTFT of designed filter, DTFT of filtered audio, questions</i>				
<b>Understanding of IIR filter design using Numerical optimization (25 pts):</b> <i>Python code, DTFT of desired and designed filters, questions</i>				

```
In [70]: import numpy as np
import matplotlib.pyplot as plt
import soundfile as sf
import IPython.display as ipd
from helper import DTFT, hanning, hamming, blackman, kaiser, firpmord
from scipy import signal, optimize
```

```
In [4]: # make sure the plot is displayed in this notebook
%matplotlib inline
# specify the size of the plot
plt.rcParams['figure.figsize'] = (12, 6)

# for auto-reloading extenrnal modules
%load_ext autoreload
%autoreload 2
```

## Exercise 2

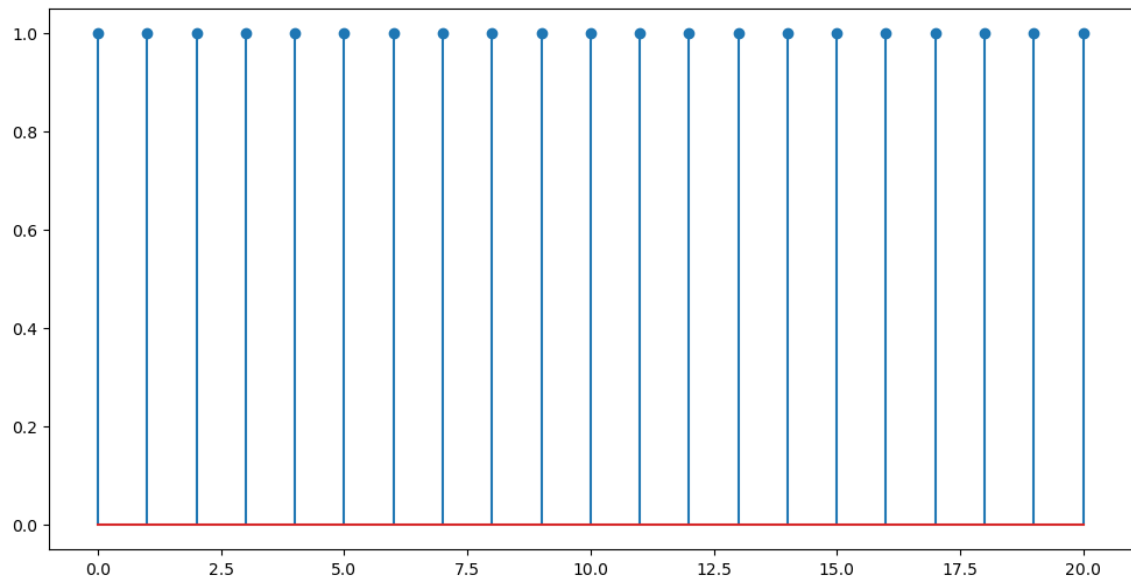
### 1. Plot the rectangular window function of length 21.

```
In [6]: N = 21
n = np.linspace(0, N-1, N)

w_rect = [0]*N
for i in range(0, 21, 1):
    w_rect[i] = 1

plt.stem(n, w_rect)
```

Out[6]: <StemContainer object of 3 artists>

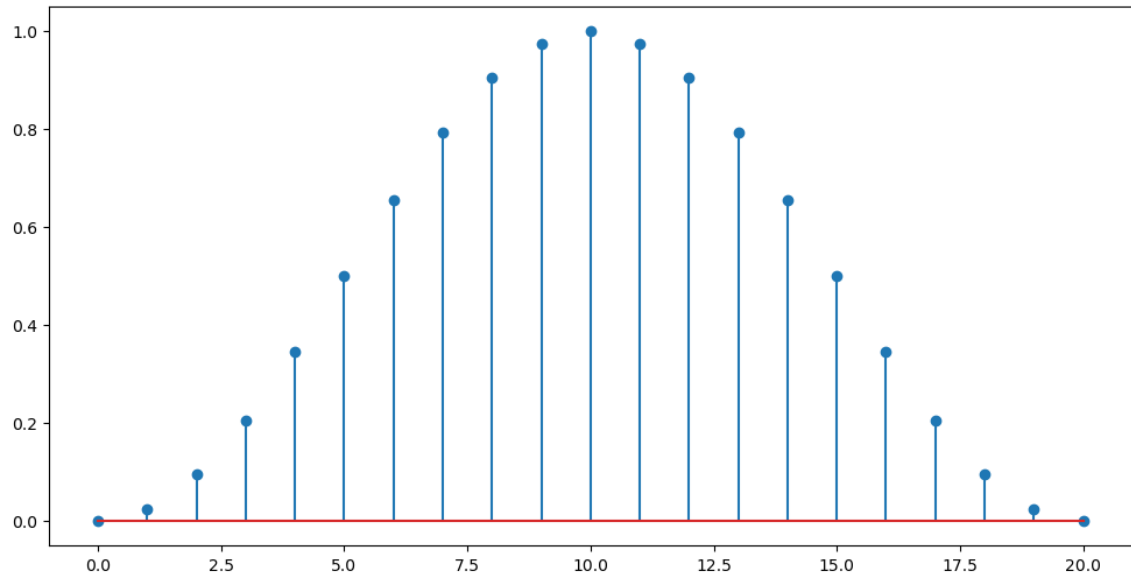


### 2. Plot the Hanning window function of length 21.

**Note:** you may use the function `h = hanning(N)` provided in `helper.py`.

```
In [7]: N = 21  
n = np.linspace(0, N-1, N)  
  
w_hanning = hanning(21)  
  
plt.stem(n, w_hanning)
```

Out[7]: <StemContainer object of 3 artists>

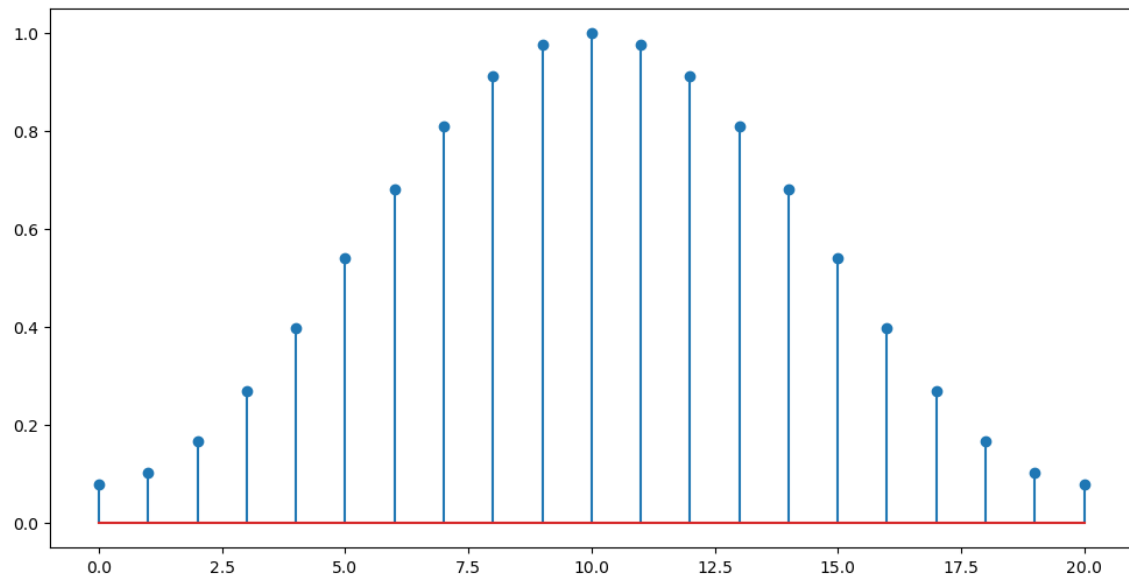


### 3. Plot the Hamming window function of length 21.

**Note:** you may use the function `h = hamming(N)` provided in `helper.py`.

```
In [8]: N = 21  
n = np.linspace(0, N-1, N)  
  
w_hamming = hamming(21)  
  
plt.stem(n, w_hamming)
```

Out[8]: <StemContainer object of 3 artists>



#### 4. Plot the Blackman window function of length 21.

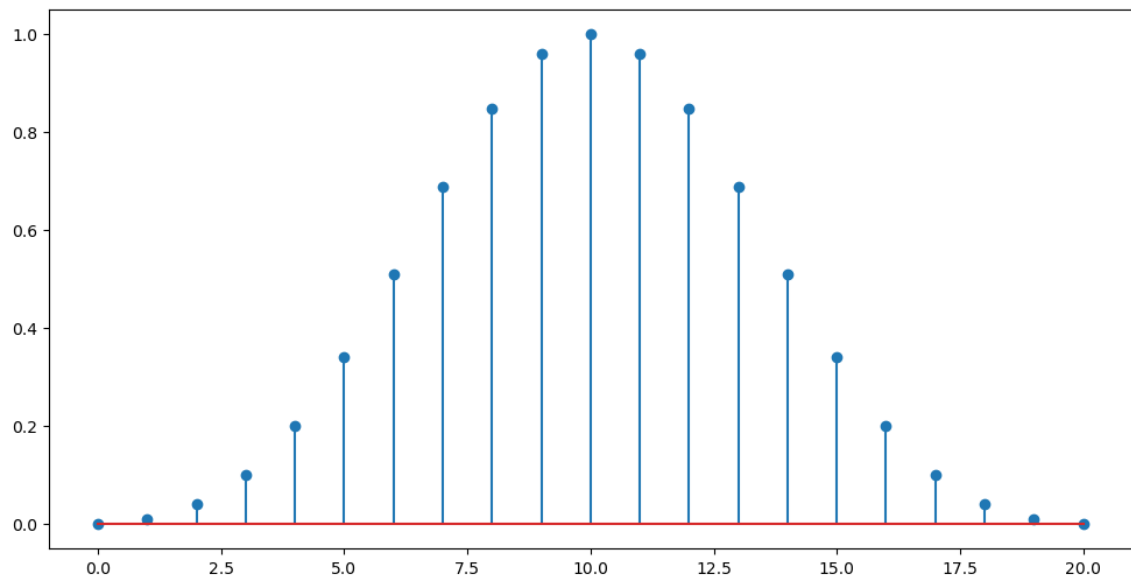
**Note:** you may use the function `h = blackman(N)` provided in `helper.py`.

```
In [9]: N = 21
n = np.linspace(0, N-1, N)

w_blackman = blackman(21)

plt.stem(n, w_blackman)
```

Out[9]: <StemContainer object of 3 artists>



In Q9, we are going to make some measurements in the plots to be generated in Q5, Q6, Q7, Q8, so we temporarily make the plots interactive by running the following cell block.

**Plot just one figure in each cell block and make sure you add the command `plt.figure()` before calling `plt.plot()`.**

```
In [10]: # temporarily make the plot interactive
%matplotlib notebook
# specify the size of the plot
plt.rcParams['figure.figsize'] = (12, 6)
```

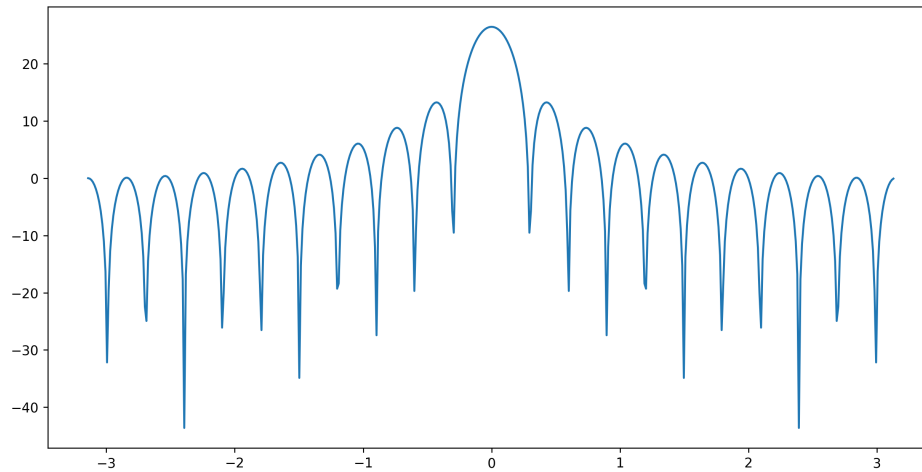
**5. Compute and plot the DTFT magnitude of the rectangular window function. Plot the magnitude on a decibel scale (i.e., plot  $20 \log_{10} |W(e^{j\omega})|$ ). Also, use at least 512 sample points in computing the DTFT.**

**Hint:** Use the function `DTFT(window, 512)` to compute the DTFT.

```
In [11]: w_rect_DTFT, w = DTFT(w_rect, 512)

w_rect_DTFT_mag = 20*np.log10(np.abs(w_rect_DTFT))

plt.figure()
plt.plot(w, w_rect_DTFT_mag)
```



```
Out[11]: [<matplotlib.lines.Line2D at 0x2b50e4a31f0>]
```

**6. Compute and plot the DTFT magnitude of the Hanning window function. Plot the magnitude on a decibel scale (i.e., plot  $20 \log_{10} |W(e^{j\omega})|$ ). Also, use at least 512 sample points in computing the DTFT.**

**Hint:** Use the function `DTFT(window, 512)` to compute the DTFT.

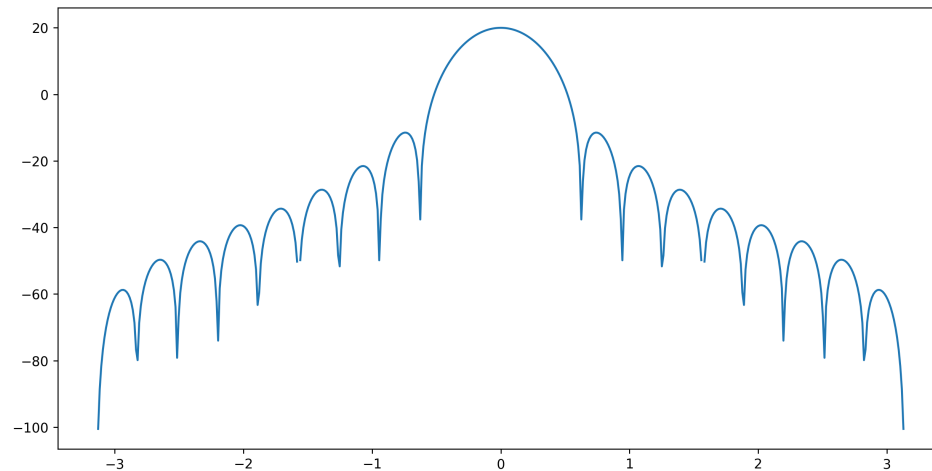
```
In [12]: w_hanning_DTFT, w = DTFT(w_hanning, 512)

w_hanning_DTFT_mag = 20*np.log10(np.abs(w_hanning_DTFT))

plt.figure()
plt.plot(w, w_hanning_DTFT_mag)
```

C:\Users\rxw14\AppData\Local\Temp\ipykernel\_31280\2921433596.py:3: Runtime Warning: divide by zero encountered in log10

```
w_hanning_DTFT_mag = 20*np.log10(np.abs(w_hanning_DTFT))
```



Out[12]: [<matplotlib.lines.Line2D at 0x2b50e51d400>]

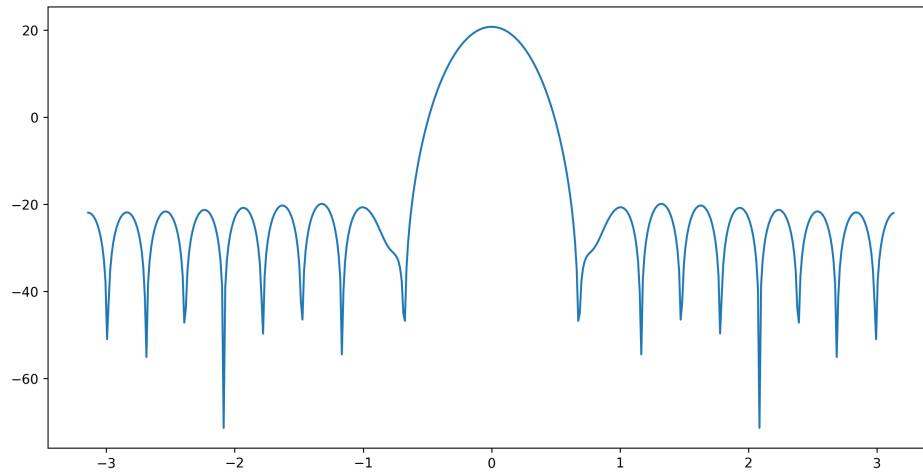
**7. Compute and plot the DTFT magnitude of the Hamming window function. Plot the magnitude on a decibel scale (i.e., plot  $20 \log_{10} |W(e^{j\omega})|$ ). Also, use at least 512 sample points in computing the DTFT.**

**Hint:** Use the function `DTFT(window, 512)` to compute the DTFT.

```
In [14]: w_hamming_DTFT, w = DTFT(w_hamming, 512)

w_hamming_DTFT_mag = 20*np.log10(np.abs(w_hamming_DTFT))

plt.figure()
plt.plot(w, w_hamming_DTFT_mag)
```



```
Out[14]: [<matplotlib.lines.Line2D at 0x2b50e63f370>]
```

**8. Compute and plot the DTFT magnitude of the Blackman window function. Plot the magnitude on a decibel scale (i.e., plot  $20 \log_{10} |W(e^{j\omega})|$ ). Also, use at least 512 sample points in computing the DTFT.**

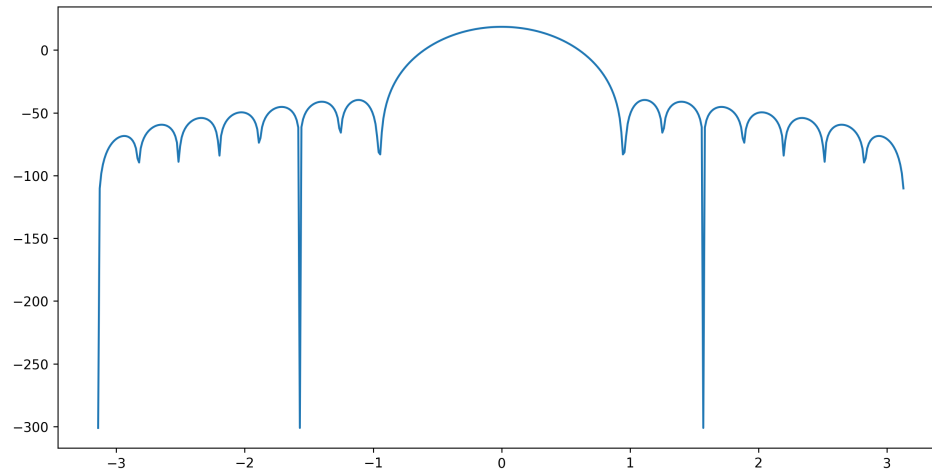
**Hint:** Use the function `DTFT(window, 512)` to compute the DTFT.



```
In [15]: w_blackman_DTFT, w = DTFT(w_blackman, 512)

w_blackman_DTFT_mag = 20*np.log10(np.abs(w_blackman_DTFT))

plt.figure()
plt.plot(w, w_blackman_DTFT_mag)
```



```
Out[15]: [<matplotlib.lines.Line2D at 0x2b50e677a30>]
```

**9. Measure the null-to-null mainlobe width (in rad/sample) and the peak-to-sidelobe amplitude (in dB) from the logarithmic magnitude response plot for each window type. Fill in the table below.**

Window (length $N$ )	Mainlobe Width (theoretical)	Mainlobe Width (experimental)	Peak-to-sidelobe Amplitude (dB) (theoretical)	Peak-to-sidelobe Amplitude (dB) (experimental)
Rectangular	$4\pi/21$	0.5	-13	-12
Hanning	$8\pi/21$	1.3	-32	-30
Hamming	$8\pi/21$	1.2	-43	-40
Blackman	$12\pi/21$	1.9	-58	-50

After you obtain the measurements, run the following cell to make the plot not interactive.

```
In [16]: # make the plot not interactive
%matplotlib inline
# specify the size of the plot
plt.rcParams['figure.figsize'] = (12, 6)
```

**10. Comment on how close the experimental results matched the ideal values and the relation between the width of the mainlobe and the peak-to-sidelobe amplitude.**

Very close. Generally, the bigger the mainlobe width, the larger the peak-to-sidelobe amplitude

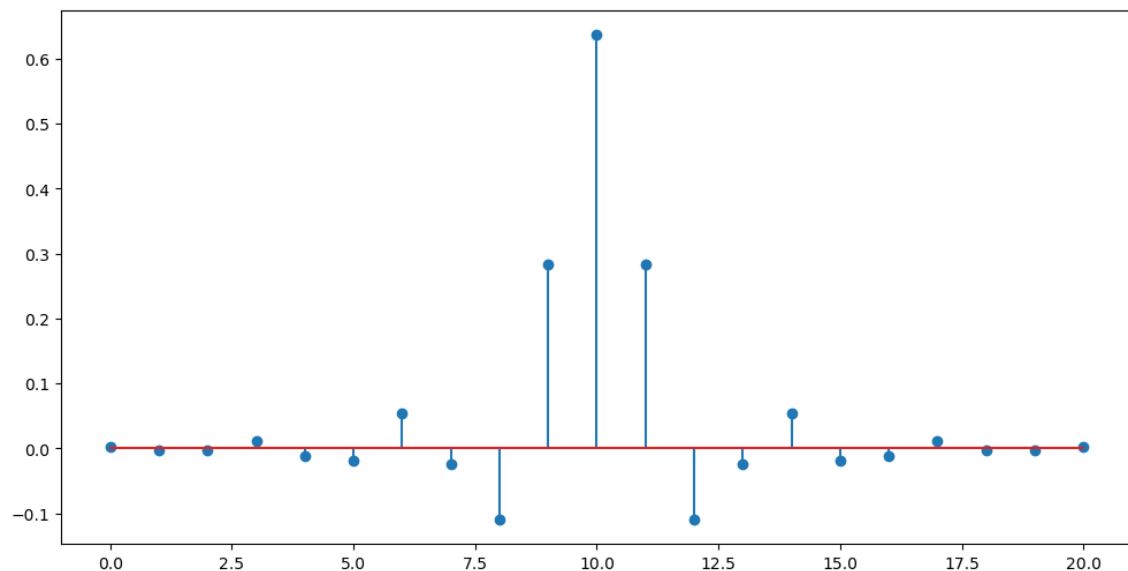
**11. Now use a Hamming window to design a lowpass filter  $h(n)$  with a cutoff frequency of  $\omega_c = 2.0$  and length 21. Plot the filter's impulse response.**

**Note:** You need to use equations (1) and (2) for this design.

```
In [17]: def LPFtrunc(N):
    wc = 2
    n = np.linspace(0, N-1, N)
    h = wc/np.pi*np.sinc(wc/np.pi*(n-(N-1)/2))
    return h

h = LPFtrunc(21)*w_hamming
plt.stem(n,h)
```

Out[17]: <StemContainer object of 3 artists>



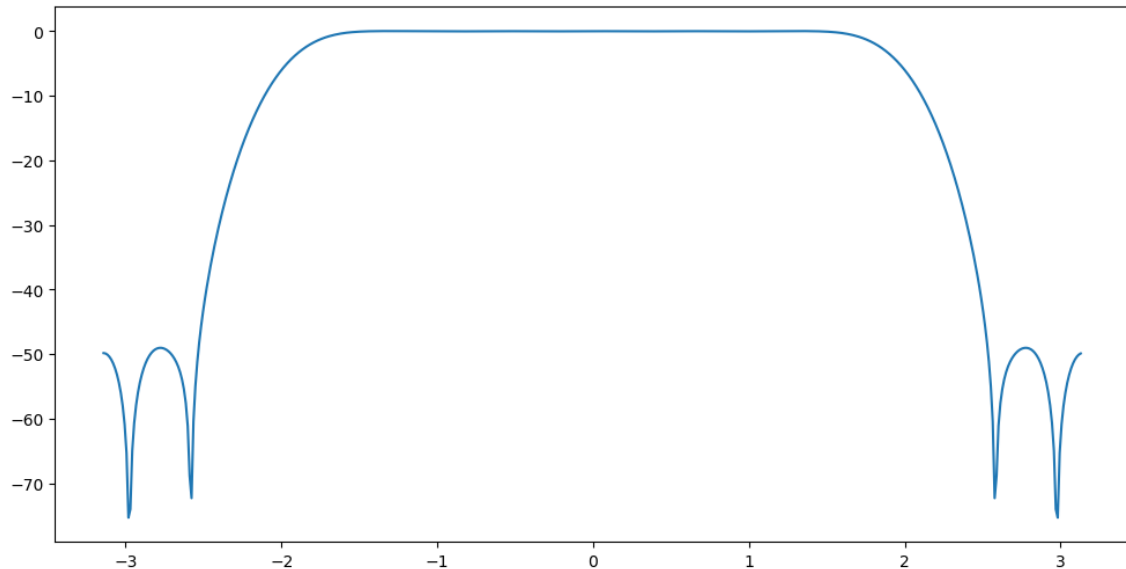
**12. Plot the magnitude of the filter's DTFT in decibels.**

```
In [18]: h_DTFT, w = DTFT(h, 512)

h_DTFT_mag = 20*np.log10(np.abs(h_DTFT))

plt.plot(w,h_DTFT_mag)
```

Out[18]: [<matplotlib.lines.Line2D at 0x2b50e781100>]



### Exercise 3.1

To further investigate the Kaiser window, plot the Kaiser windows and their DTFT magnitudes (in dB) for  $N = 21$  and the following values of  $\beta$ :

- $\beta = 0$
- $\beta = 1$
- $\beta = 5$

For each case use at least 512 points in the plot of the DTFT.

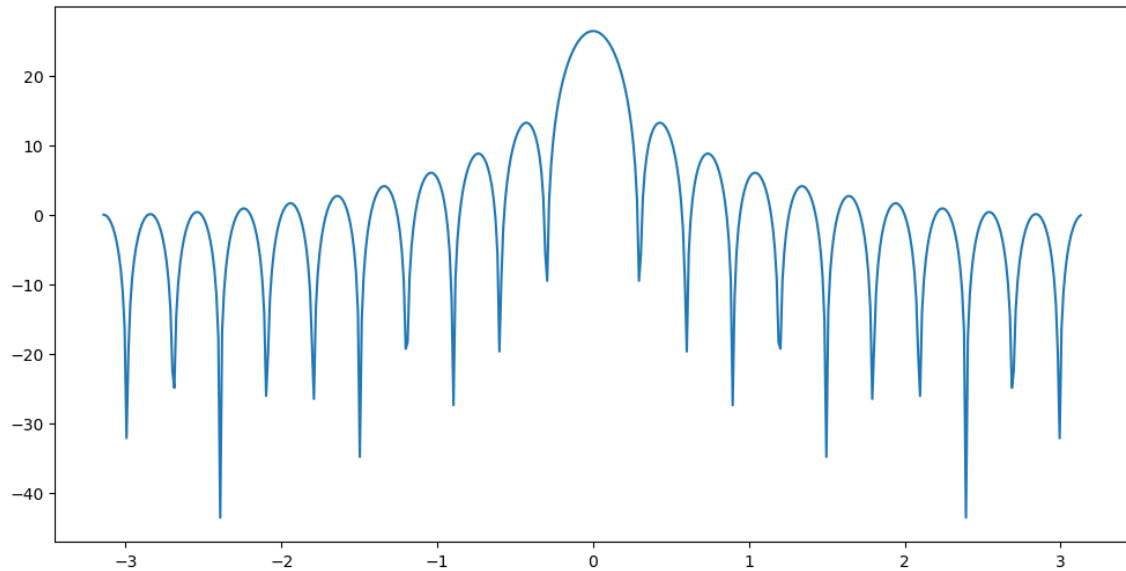
**Hint:** To create the Kaiser windows, use the command `kaiser(N, beta)` where `N` is the length of the filter and `beta` is the shape parameter  $\beta$ . To insure at least 512 points in the plot use the command `DTFT(window, 512)` when computing the DTFT.

**1. Plot the Kaiser window and the DTFT magnitude (in dB) for  $\beta = 0$ .**

```
In [19]: k_0 = kaiser(21, 0)
k_0_DTFT, w = DTFT(k_0, 512)
k_0_DTFT_mag = 20*np.log10(np.abs(k_0_DTFT))

plt.plot(w, k_0_DTFT_mag)
```

Out[19]: [<matplotlib.lines.Line2D at 0x2b50e7e8460>]

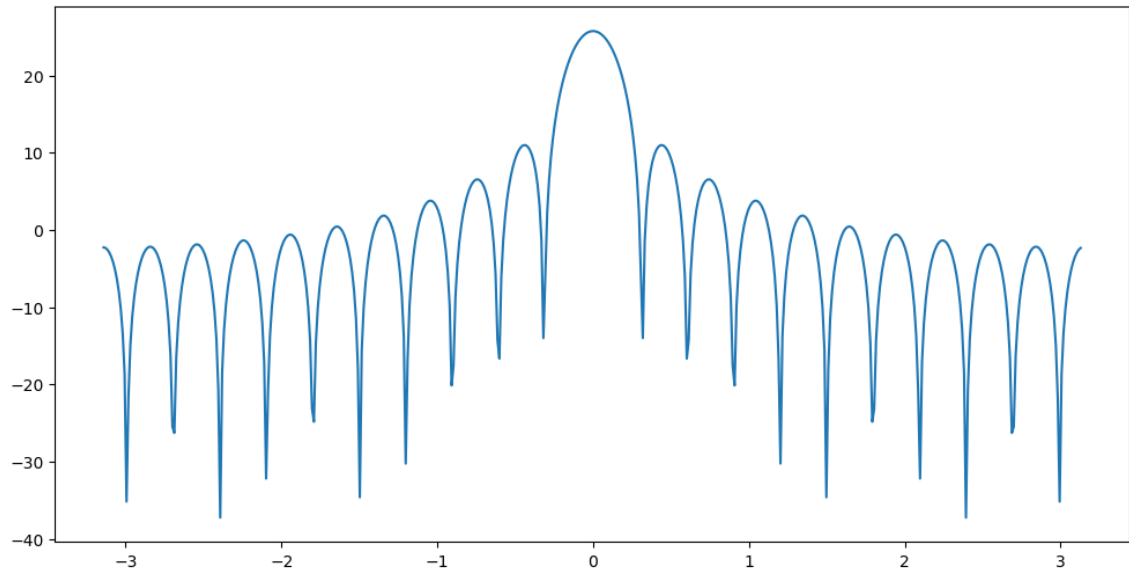


**2. Plot the Kaiser window and the DTFT magnitude (in dB) for  $\beta = 1$ .**

```
In [20]: k_1 = kaiser(21, 1)
k_1_DTFT, w = DTFT(k_1, 512)
k_1_DTFT_mag = 20*np.log10(np.abs(k_1_DTFT))

plt.plot(w, k_1_DTFT_mag)
```

Out[20]: [<matplotlib.lines.Line2D at 0x2b50e848fa0>]

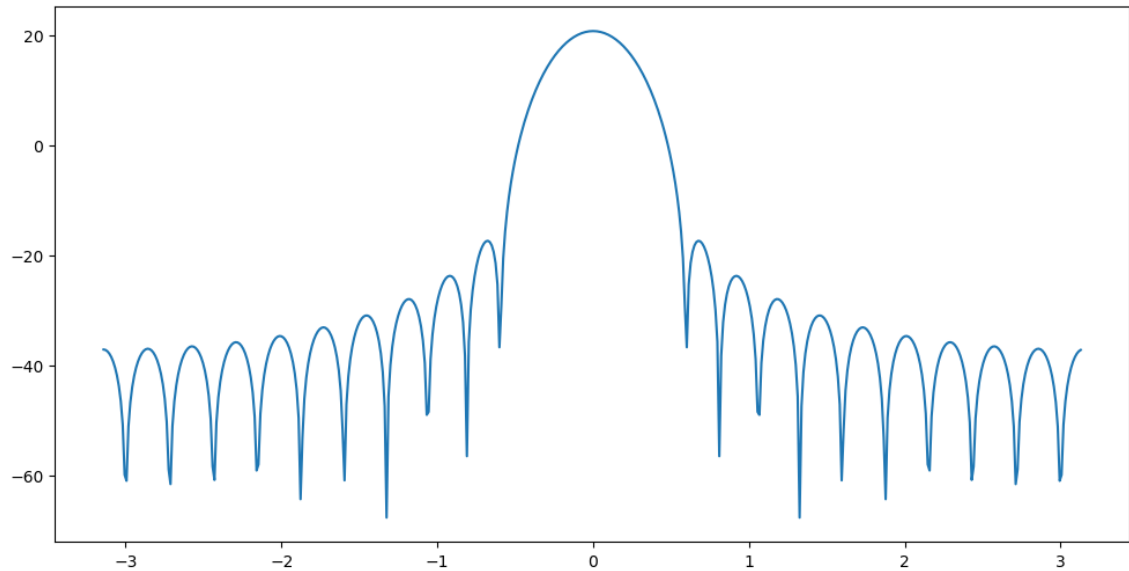


**3. Plot the Kaiser window and the DTFT magnitude (in dB) for  $\beta = 5$ .**

```
In [21]: k_5 = kaiser(21, 5)
k_5_DTFT, w = DTFT(k_5, 512)
k_5_DTFT_mag = 20*np.log10(np.abs(k_5_DTFT))

plt.plot(w, k_5_DTFT_mag)
```

Out[21]: [<matplotlib.lines.Line2D at 0x2b515414be0>]



**4. Comment on how the value  $\beta$  affects the shape of the window and the sidelobes of the DTFT.**

The value increase in beta increases the peak to sidelobe amplitude and mainlobe width

## Exercise 3.2

**1. Use the kaiser window command to design a low pass filter using the specifications listed above. Print out the values of  $\beta$  and  $N$ .**

```

In [22]: delta_s = 0.005
         delta_p = 0.05
         ws = 2.2
         wp = 1.8

         delta = np.minimum(delta_s, delta_p)
         A = -20*np.log10(delta)

         def beta(A):
             if A < 21:
                 beta = 0
             elif A <= 51:
                 beta = 0.5842*(A-21)**0.4 + 0.07886*(A-21)
             else:
                 beta = 0.1102*(A-8.7)

             return beta

         N = np.ceil(1 + (A - 8)/(2.285*(ws-wp))).astype(int)
         print(N)
         be = beta(A)
         print(be)

```

```

43
4.090903521438445

```

2. Print out the value of  $\omega_c$ .

```

In [23]: wc = (wp + ws)/2
         wc

```

```

Out[23]: 2.0

```

3. Plot the magnitude of the DTFT of the designed low pass filter for  $|\omega| < \pi$ .

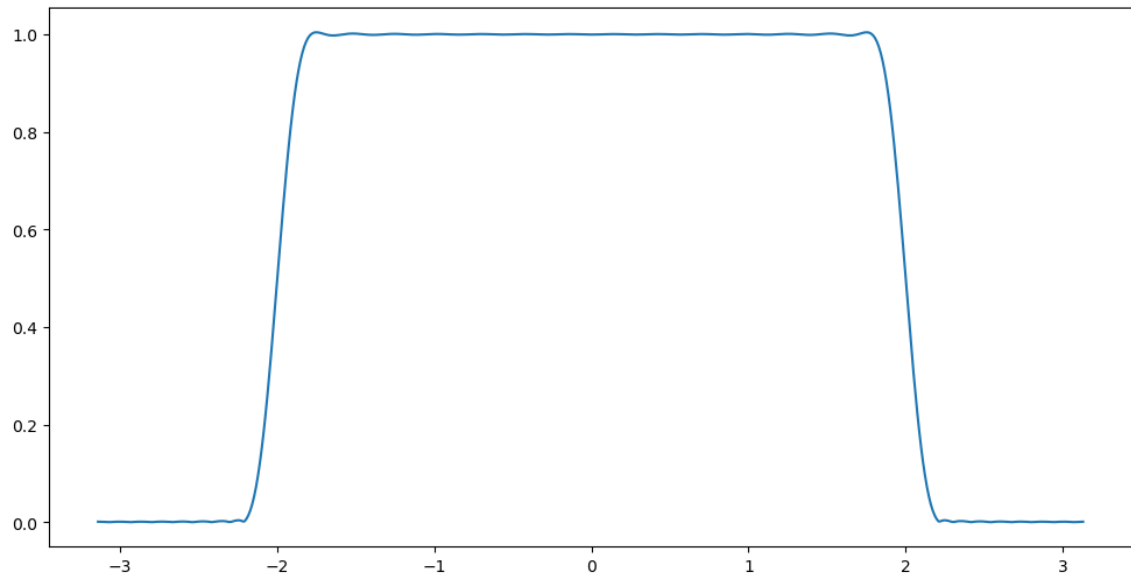
**Note:** Since the ripple is measured on a magnitude scale, DO NOT use a decibel scale on the plot.

```
In [24]: w_kaiser = kaiser(N, be)

h = LPFtrunc(N)*w_kaiser
h_DTFT, w = DTFT(h, 512)
h_DTFT_mag = np.abs(h_DTFT)

plt.plot(w, h_DTFT_mag)
```

Out[24]: [<matplotlib.lines.Line2D at 0x2b51547b9d0>]



**4. Now, plot the magnitude of the DTFT of the designed low pass filter for  $|\omega| < \pi$  again, but the plot should show the passband ripple only. Save the plot by calling `plt.savefig("passband.png")` at the end.**

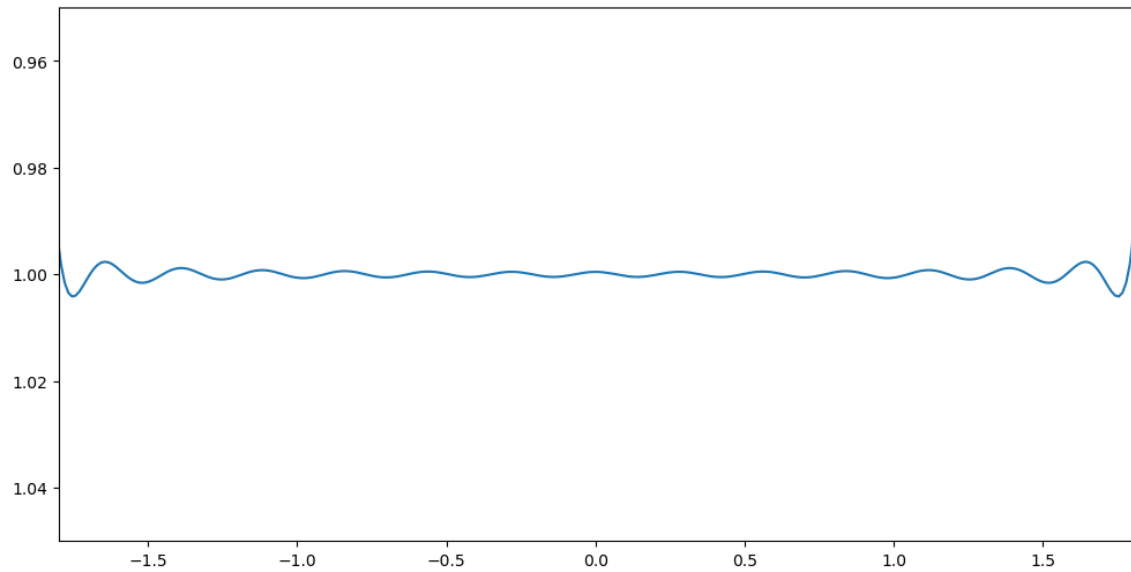
**Hint:** you may use `plt.xlim()`

([https://matplotlib.org/stable/api/as\\_gen/matplotlib.pyplot.xlim.html](https://matplotlib.org/stable/api/as_gen/matplotlib.pyplot.xlim.html)) and `plt.ylim()`

([https://matplotlib.org/stable/api/as\\_gen/matplotlib.pyplot.ylim.html](https://matplotlib.org/stable/api/as_gen/matplotlib.pyplot.ylim.html)).



```
In [25]: plt.xlim(-wp,wp)
plt.ylim(1+delta_p,1-delta_p)
plt.plot(w, h_DTFT_mag)
plt.savefig("passband.png")
```

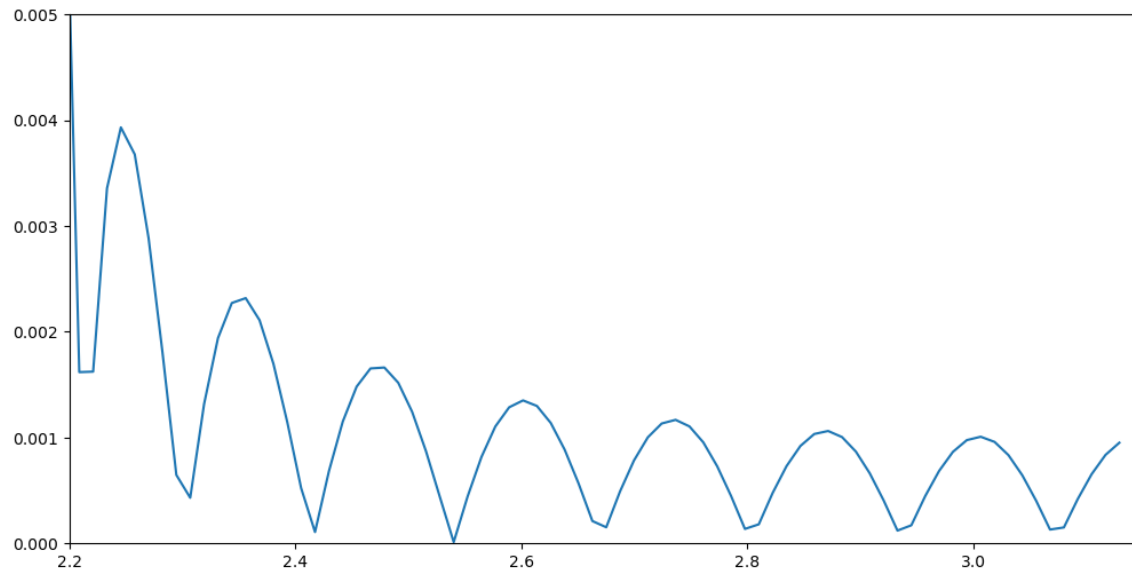


5. Now, plot the magnitude of the DTFT of the designed low pass filter for  $|\omega| < \pi$  again, but the plot should show the stopband ripple only. Save the plot by calling `plt.savefig("stopband.png")` at the end.

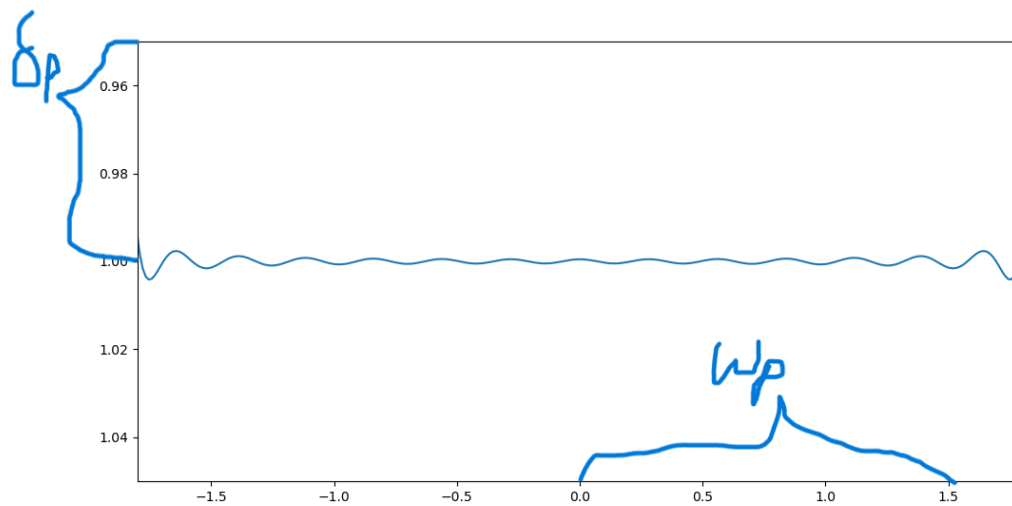
**Hint:** you may use `plt.xlim()`

([https://matplotlib.org/stable/api/\\_as\\_gen/matplotlib.pyplot.xlim.html](https://matplotlib.org/stable/api/_as_gen/matplotlib.pyplot.xlim.html)) and `plt.ylim()`.  
([https://matplotlib.org/stable/api/\\_as\\_gen/matplotlib.pyplot.ylim.html](https://matplotlib.org/stable/api/_as_gen/matplotlib.pyplot.ylim.html)).

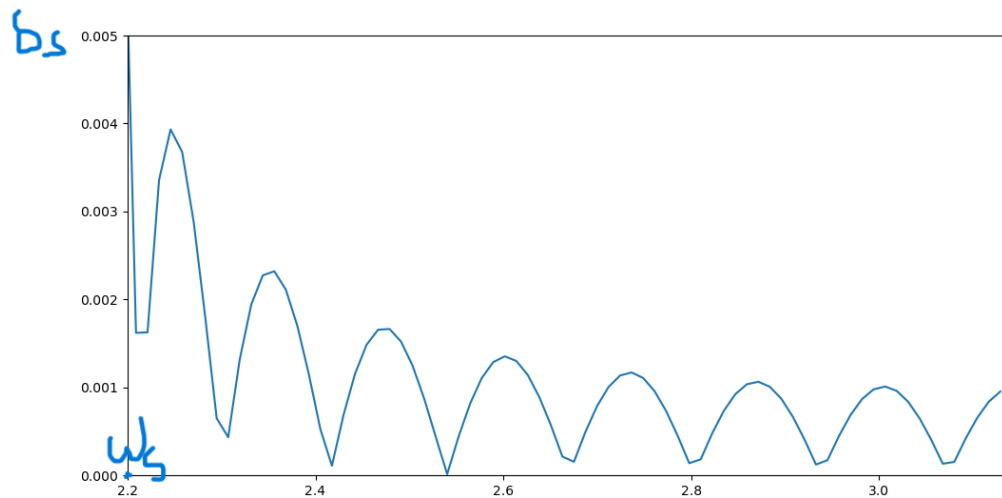
```
In [26]: plt.xlim(ws,np.pi)
plt.ylim(0,delta_s)
plt.plot(w, h_DTFT_mag)
plt.savefig("stopband.png")
```



6. On the saved image `passband.png`, mark  $\omega_p$  and  $\delta_p$  where appropriate, and attach the marked image here.



7. On the saved image `stopband.png`, mark  $\omega_s$  and  $\delta_s$  where appropriate, and attach the marked image here.



**8. Compute the stopband and passband ripple and display it to three decimal places (do not do this graphically). Do they meet the design specifications?**

**Hint:** Find the value of the DTFT at frequencies corresponding to the passband using the command  $H[abs(w) \leq 1.8]$  where  $H$  is the DTFT of  $h[n]$  and  $w$  is the corresponding vector of frequencies. Then use this vector to compute the passband ripple. Use a similar procedure for the stopband ripple.

```
In [27]: p_max = np.max(h_DTFT_mag[abs(w) <= 1.8]) - 1
          print(np.round(p_max,3))
          s_max = np.max(h_DTFT_mag[abs(w) >= 2.2])
          print(np.round(s_max,3))
```

```
0.004
0.004
```

**9. Load the file nspeech2.npy using np.load("nspeech2.npy") and play it using the command ipd.Audio(nspeech2, rate=8000) . Also, note the quality of the speech and background noise.**

```
In [28]: nspeech2 = np.load("nspeech2.npy")
          ipd.Audio(nspeech2, rate=8000)
```

```
Out[28]:
0:00 / 0:04
```

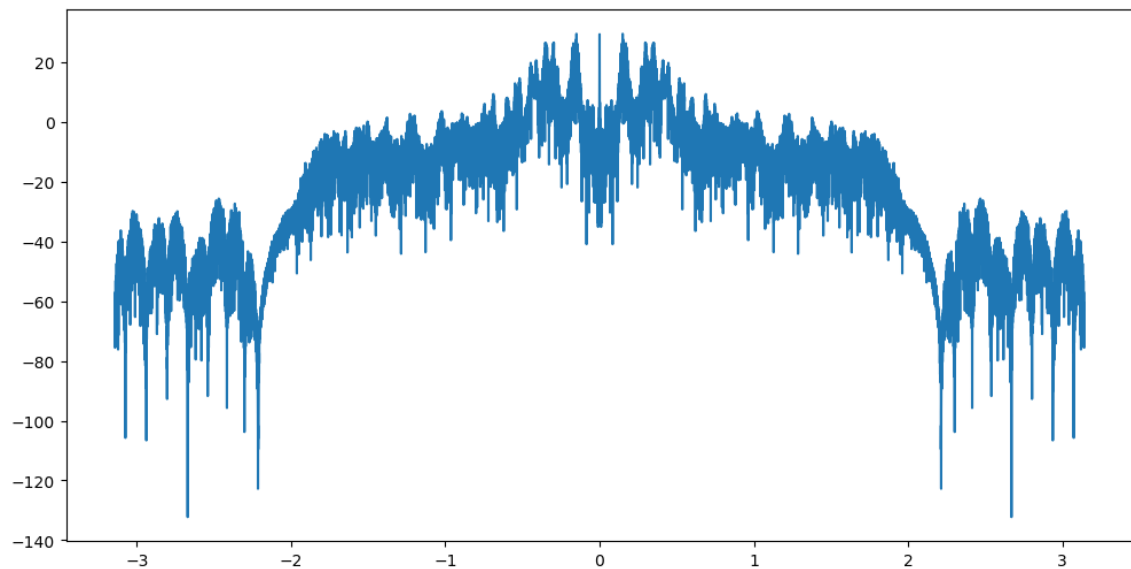
There are background noise

**10. Apply the filter that you have designed to this signal. Then, compute the DTFT of 400 samples of the filtered signal starting at time  $n = 20000$ . Plot the magnitude of the DTFT samples in decibels versus frequency in radians for  $|\omega| < \pi$ .**

```
In [30]: y = np.convolve(nspeech2[20000::1], h)
y_DTFT, w = DTFT(y, 512)
y_mag = 20*np.log10(np.abs(y_DTFT))

plt.plot(w, y_mag)
```

Out[30]: [



**11. Compare this plot with the spectrum of the noisy speech signal shown in Fig. 4. Play the noisy and filtered speech signals and listen to them carefully.**

```
In [31]: ipd.Audio(y, rate=8000)
```

Out[31]:

0:02 / 0:02

**12. Comment on how the frequency content and the audio quality of the filtered signal have changed after filtering.**

The frequency spectrum have a bigger mainlope. The background noise are gone.

## Exercise 4.1

1. Design a symmetric FIR filter using `firpmord` and `signal.remez` to meet the design specifications given in Section 3.

```
In [37]: f = [wp, ws]
m = [1, 0]
ripple = [delta_p, delta_s]

n, fo, mo, w = firpmord(f, m, ripple, 2 * np.pi)
b = signal.remez(n + 1, fo, mo, w, fs=2*np.pi)
n
```

Out[37]: 25

2. Compute the DTFT of the filter's response for at least 512 points, and use this result to compute the passband and stopband ripple of the filter that was designed.

```
In [34]: b_DTFT, w_b = DTFT(b, 512)
b_DTFT_mag = np.abs(b_DTFT)

p_max = np.max(b_DTFT_mag[abs(w_b) <= 1.8]) - 1

print(np.round(p_max, 3))
s_max = np.max(b_DTFT_mag[abs(w_b) >= 2.2])
print(np.round(s_max, 3))
```

0.055

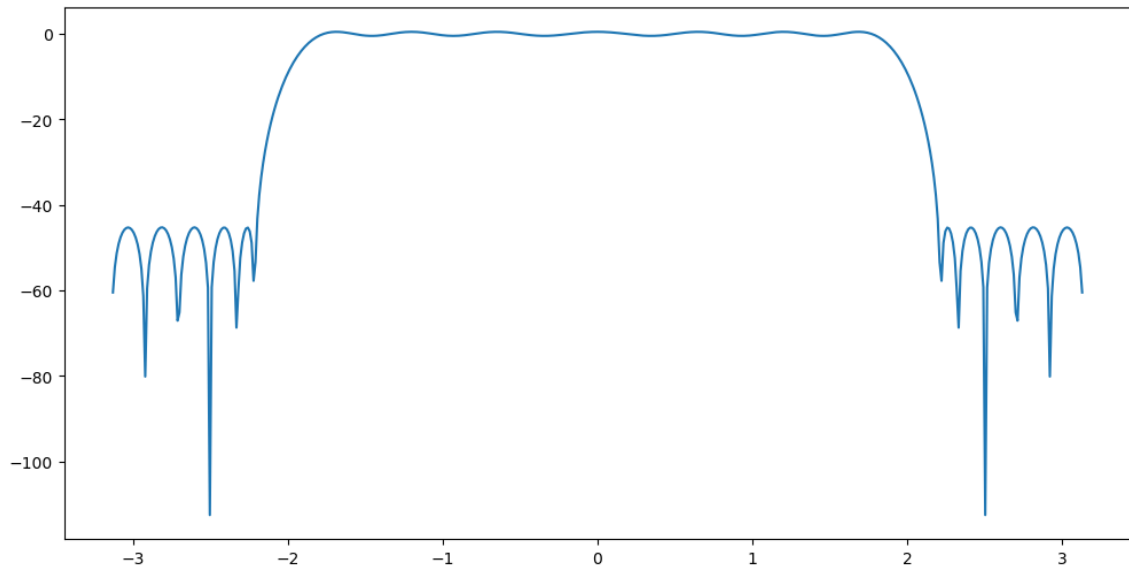
0.005

3. Plot the filter's DTFT in dB.

```
In [39]: plt.plot(w_b, 20*np.log10(b_DTFT_mag))
```

C:\Users\rxw14\AppData\Local\Temp\ipykernel\_31280\1960042055.py:1: Runtime Warning: divide by zero encountered in log10  
 plt.plot(w\_b, 20\*np.log10(b\_DTFT\_mag))

```
Out[39]: [<matplotlib.lines.Line2D at 0x2b51a4e9a90>]
```



**4. How accurate was the filter order computation using `firpmord` and `scipy.remez` ? How does the length of this filter compare to the filter designed using a Kaiser window?**

The order/length is 25, and the original kaiser window length was 43. It is more or less accurate

**5. How does the frequency response of the Parks-McClellan filter compare to the filter designed using the Kaiser window? Comment on the shape of both the passband and stopband.**

The ripple on mainlobe is significantly bigger. stop band ripple is obvious too.

## Exercise 4.2

**1. Use the filter you have designed to remove the noise from the signal `nspeech2.npy` . Play the noisy and filtered speech signals back using `sound` and listen to them carefully.**

```
In [40]: # noisy speech signal
nspeech2 = np.load("nspeech2.npy")

ipd.Audio(nspeech2, rate=8000)
```

Out[40]:

0:04 / 0:04

```
In [41]: y1 = np.convolve(nspeech2, b)

ipd.Audio(y1, rate=8000)
```

Out[41]:

0:04 / 0:04

**2. Comment on how the audio quality of the signal changes after filtering. Also comment on any differences in audio quality between the Parks-McClellan filtered speech and the Kaiser filtered speech.**

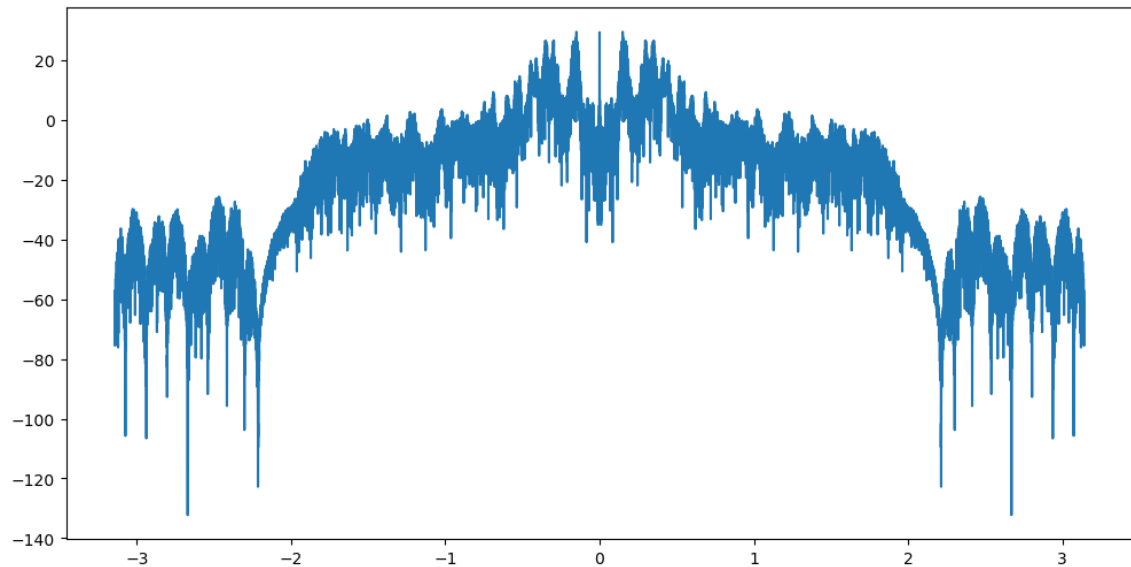
The audio quality is ok. Kaiser filtered speech is better than Parks-McClellan filtered speech.

**3. Compute the DTFT of 400 samples of the filtered signal starting at time  $n = 20000$  (i.e.,  $[20000:20400]$ ). Plot the magnitude of the DTFT in decibels versus frequency in radians for  $|\omega| < \pi$ .**

```
In [48]: y1 = np.convolve(nspeech2[20000:20400], b)
y1_DTFT, w = DTFT(y, 400)
y1_mag = 20*np.log10(np.abs(y_DTFT))

plt.plot(w, y1_mag)
```

Out[48]: [



**4. Compare this with the spectrum of the noisy speech signal shown in Fig. 4, and also with the magnitude of the DTFT of the Kaiser filtered signal.**

This spectrum is very similar compare with Kaiser filtered signal in magnitude.

## Exercise 5

**1. Write a function with the following syntax that computes the frequency response  $H_{\theta}(e^{j\omega})$ :**



```
def prefilter(w, theta):  
    """  
    Parameters:  
    ---  
    w: the vector of input frequencies  
    theta: the parameter vector  
  
    Returns:  
    ---  
    H: the frequency response from equation (6)  
    """  
    H = None  
    return H
```

In [56]: *# insert your code here*

```
def prefilter(w, theta):  
    """  
    Parameters:  
    ---  
    w: the vector of input frequencies  
    theta: the parameter vector  
  
    Returns:  
    ---  
    H: the frequency response from equation (6)  
    """  
  
    H = (theta[0] + theta[1]*np.exp(-1j*w) + theta[2]*np.exp(-2j*w)) / (1 +  
    return H
```

2. Write a function with the following syntax to compute the total squared error of equation (7):

```
def cost(theta):
    """
    Parameters:
    ---
    theta: the parameter vector

    Returns:
    ---
    C: the computed total squared error of equation (7)
    """
    C = None
    return C
```

Use a sampling interval  $\Delta\omega = 0.01$  for the functions  $H_\theta(e^{j\omega})$  and  $1/H_{sh}(e^{j\omega})$ .

```
In [75]: # insert your code here
def cost(theta):
    """
    Parameters:
    ---
    theta: the parameter vector

    Returns:
    ---
    C: the computed total squared error of equation (7)
    """
    w = np.linspace(-np.pi, np.pi, (np.round(np.pi/0.01)).astype(int) + 1)
    temp = (np.abs(1/(np.sinc(w/(2*np.pi)))) - prefilter(w, theta))**2

    C = [0]*((np.round(np.pi/0.01)).astype(int)+1)
    C[0] = 0

    for i in range(1, len(w), 1):
        C[i] = C[i-1] + temp[i]

    return C[(np.round(np.pi/0.01)).astype(int)]
```

3. Use the function `optimize.fmin`

(<https://docs.scipy.org/doc/scipy/reference/generated/scipy.optimize.fmin.html>) from the `scipy` library to compute the value of the parameter  $\theta$  which minimizes `Cost(theta)`. The function has the following syntax:

```
def optimize.fmin(func_name, init_param)
    """
    Parameters
    ---
    func_name: the name of the function being minimized (cost)
    init_param: the starting value for the unknown parameter

    Returns:
    ---
    param: the parameter that minimizes the function
    return param
```

**Choose an initial value of  $(\theta_1, \theta_2, \theta_3, \theta_4, \theta_5) = (1, 0, 0, 0, 0)$  so that  $H_\theta(e^{j\omega}) = 1$ .**

```
In [79]: theta = [1,0,0,0,0]

opt = scipy.optimize.fmin(cost, theta)

w = np.linspace(-np.pi, np.pi, (np.round(np.pi/0.01)).astype(int) +1)

H_theta = prefilter(w, opt)

opt
```

C:\Users\rxw14\AppData\Local\Temp\ipykernel\_31280\2540690774.py:3: Runtime Warning: Maximum number of function evaluations has been exceeded.  
 opt = scipy.optimize.fmin(cost, theta)

```
Out[79]: array([ 0.27309753, -0.84371803,  2.50065963,  1.27361412,  1.          ])
```

**4. Give an analytical expression for the optimized transfer function  $H_\theta^*(z)$  with the coefficients that were computed.**

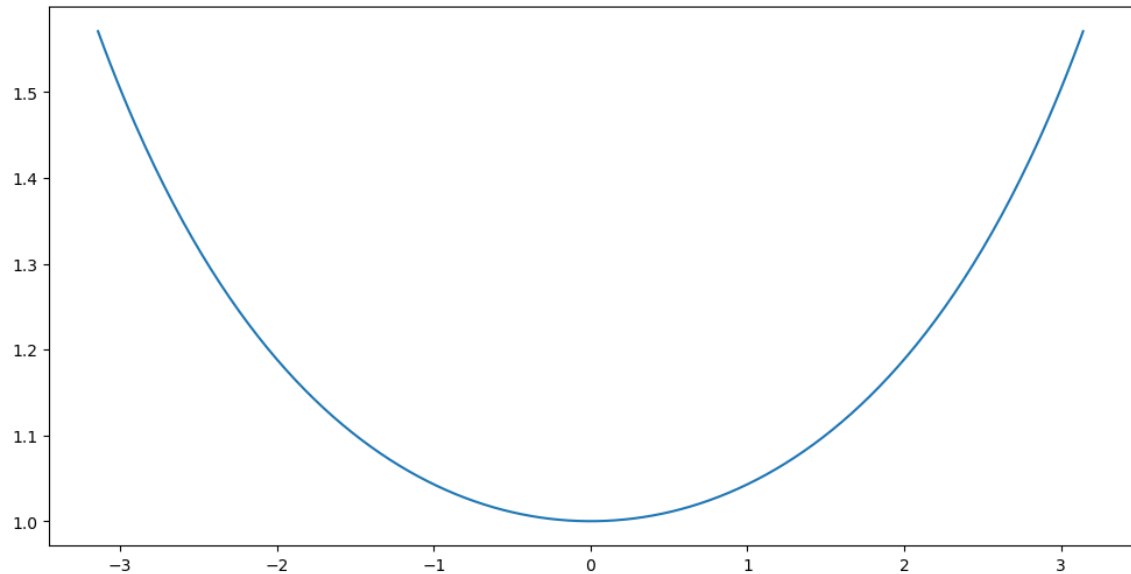
$$H = (0.273 - 0.844np.exp(-1jw) + 2.5np.exp(-2jw)) / (1 + 1.27np.exp(-1jw) + np.exp(-2jw))$$

**5. Plot the desired filter magnitude response  $\frac{1}{H_{sh}(e^{j\omega})}$  on the interval  $[-np.pi, np.pi]$ .**

```
In [80]: H_sh_inverse = 1/(np.sinc(w/(2*np.pi)))

plt.plot(w, H_sh_inverse)
```

Out[80]: [<matplotlib.lines.Line2D at 0x2b51ac79700>]



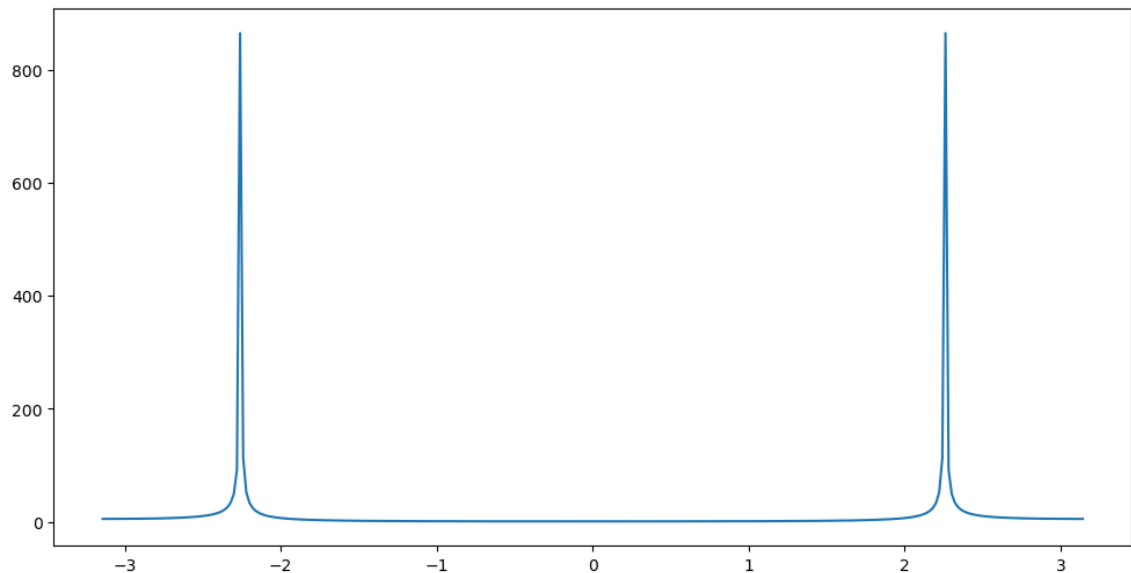
**6. Plot the designed IIR filter magnitude response  $|H_{\theta^*}(e^{j\omega})|$  on the interval  $[-\pi, \pi]$ .**

```
In [81]: H_theta = (0.273 - 0.844*np.exp(-1j*w) + 2.5*np.exp(-2j*w)) / (1 + 1.27*np.exp(-1j*w))

H_theta_abs = np.abs(H_theta)

plt.plot(w, H_theta_abs)
```

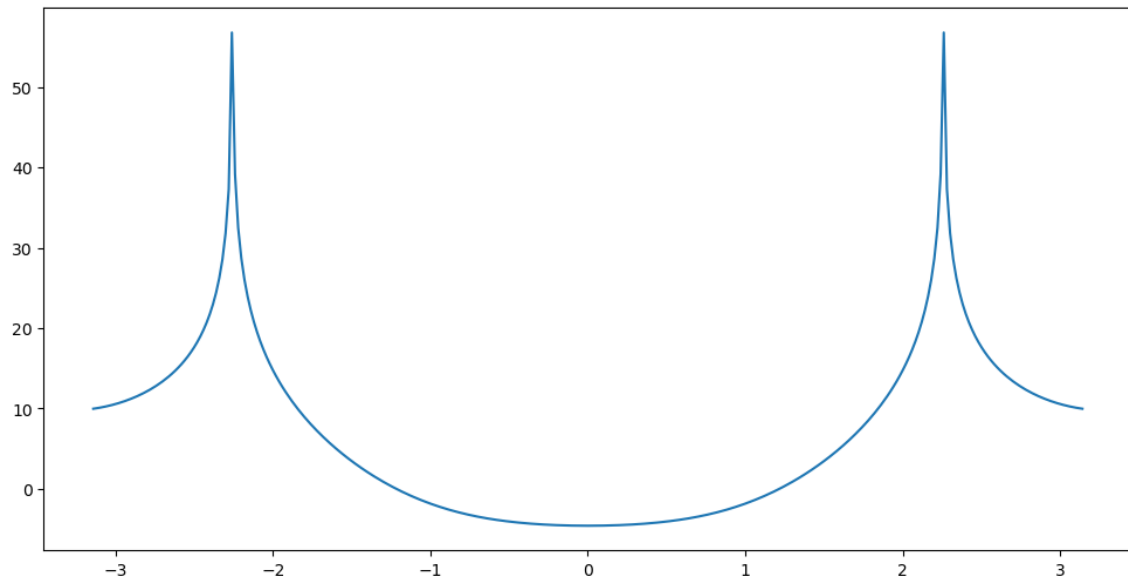
Out[81]: [<matplotlib.lines.Line2D at 0x2b51aec100>]



**7. Plot the error in decibels, from equation (8) on the interval  $[-\pi, \pi]$  .**

```
In [82]: Err = 20*np.log10(H_theta_abs/H_sh_inverse)
plt.plot(w, Err)
```

```
Out[82]: [<matplotlib.lines.Line2D at 0x2b522997700>]
```

**8. By looking at the error plot, indicate the frequency ranges where the approximation error is high.**

The error is high when it's near the desired frequency.