

# Solutions to problems in Ravi Vakil's The Rising Sea

Warning: not all problems are given solutions here. Sometimes my mathematical writing gets a bit sloppy. Details may be omitted. Ease of reading sometimes compels me to be slightly less rigorous (but it should be obvious how to fill in the gaps in rigor). If you're wondering, the font is palatino and the math font is eulervm, since this is what Vakil uses. I try to stick to his notations as much as possible.

## Chapter 2

### 2.1 Motivating example: the sheaf of smooth functions

**2.1.A.** Let  $[(f, U)] \in \mathcal{O}_p \setminus \mathfrak{m}_p$ . Then,  $f(p) \neq 0$ . By continuity of  $f$ , there is some open set  $W \ni p$  such that  $f$  is nonzero on all of  $W$ . Then,  $[(f|_W, W)] = [(f, U)]$  in  $\mathcal{O}_p$  and an inverse is given by  $[(\frac{1}{f|_W}, W)] \in \mathcal{O}_p$ . Thus  $\mathfrak{m}_p$  is the only maximal ideal of  $\mathcal{O}_p$ .

### 2.2 Definition of sheaf and presheaf

**2.2.A.** I'm unsure what to check here since the given definition of a presheaf is precisely how you would define a contravariant functor  $Open(X) \rightarrow Sets$ . I guess Vakil wants me to say that the relations imposed on the restriction morphisms are what you get out of  $F(f \circ g) = F(g) \circ F(f)$  and  $F(id) = id$ .

**2.2.B.** Saying  $\mathcal{F}$  is a sheaf is the same as asking

$$\mathcal{F}(\cup_{i \in I} U_i) = \lim_{i, j \in I} \mathcal{F}(U_i \cap U_j)$$

Indeed, let  $A$  be a set equipped with maps  $\varphi_i : A \rightarrow U_i$  for all  $i$ , such that

$$\text{res}_{U_i, U_i \cap U_j} \circ \varphi_i = \text{res}_{U_j, U_i \cap U_j} \circ \varphi_j \quad (*)$$

for all  $i, j$ . Pick  $f \in A$ . Let  $f_i = \varphi_i(f)$ . The commutativity relation  $(*)$  tells us that  $\text{res}_{U_i, U_i \cap U_j}(f_i) = \text{res}_{U_j, U_i \cap U_j}(f_j)$  for all  $i, j$ , so identity and gluability give us a unique  $\psi(f) \in \mathcal{F}(\cup_{i \in I} U_i)$  such that  $\text{res}_{\cup_{i \in I} U_i, U_j}(\psi(f)) = f_j$  for all  $j$ . This allows us to define a map  $\psi : A \rightarrow \mathcal{F}(\cup_{i \in I} U_i)$ . It is the only map that makes the appropriate diagram commute, so  $\mathcal{F}(\cup_{i \in I} U_i)$  truly is the limit.

**2.2.C.** Let  $(U_i)_{i \in I}$  be a collection of open sets of  $X$ . Let  $f, g \in \mathcal{F}(\cup_i U_i)$  be such that  $f|_{U_i} = g|_{U_i}$  for all  $i \in I$ . Then  $f(x) = g(x)$  for all  $x \in \cup_i U_i$  because  $x$  is contained in some  $U_i$ . This shows  $f = g$ , so we have identity. Now let  $f_i \in \mathcal{F}(U_i)$  be a collection of maps such that  $(f_i)|_{U_i \cap U_j} = (f_j)|_{U_i \cap U_j}$  for all  $i, j \in I$ . Define  $f : \cup_i U_i \rightarrow S$  by  $f(x) = f_i(x)$  if  $x \in U_i$ . This is well-defined since if  $x$  is also in  $U_j$ ,  $f_j(x) = f_i(x)$  by compatibility. We need to check  $f$  is locally constant. Pick  $s \in S$  and  $x \in f^{-1}(\{s\})$ . There exists some  $i$  such that  $x \in U_i$ . Then,  $f_i(x) = s$ , and  $f_i$  is locally constant, so there is some open set  $V \ni x$  contained in  $U_i$  such that  $f_i(V) = s$ . Therefore,  $V \subset f^{-1}(\{s\})$ . This shows  $f$  is locally constant and therefore gluability.

**2.2.D.** Identity is shown in the exact same way as the previous exercise (functions are determined by their values at points). Again, pick  $f_i : U_i \rightarrow Y$  a compatible family of continuous functions and define  $f : \cup_i U_i \rightarrow Y$  by  $f(x) = f_i(x)$  if  $x \in U_i$ , just like the previous exercise. Let  $V \subset Y$  be open and pick  $x \in f^{-1}(V)$ . There is some  $U_i$  that contains  $x$  and so  $f(x) = f_i(x) \in V$ . Therefore,  $x \in f_i^{-1}(V)$ . This shows  $f^{-1}(V) \subset \cup_i f_i^{-1}(V)$ . Conversely, if  $x \in \cup_i f_i^{-1}(V)$ , there is some  $i$  such that  $x \in f_i^{-1}(V) \subset U_i$ , so  $x \in f^{-1}(V)$  because  $f(x) = f_i(x) \in V$ . Therefore,  $f^{-1}(V) = \cup_i f_i^{-1}(V)$  is open as a union of open sets, and  $f$  is continuous.

**2.2.E.**

- (a) Identity is shown in the exact same way as in exercise 2.2.E.. For gluability, when we glue continuous sections, we have continuity by the same reasoning as in the previous exercise. The fact that the glued map is a section works because being a section is a local condition: if  $x \in U_i U_i$ ,  $s(x) = s_i(x)$ , so  $\mu s(x) = \mu s_i(x) = x$ .
- (b) We only need to check that  $\mathcal{F}(U)$  is a group and that restriction maps are group homomorphisms. We denote by  $\cdot$  the topological group law on  $Y$ . Then,

$$\begin{aligned} \star_U : \mathcal{F}(U) \times \mathcal{F}(U) &\rightarrow \mathcal{F}(U) \\ (f, g) &\mapsto (x \mapsto f(x) \cdot g(x)) \end{aligned}$$

is a group law on  $\mathcal{F}(U)$ : the identity element is the constant map equal to the identity element of  $Y$ , associativity can be checked point by point and the inverse of  $f$  is given by  $x \mapsto (f(x))^{-1}$ . Restriction maps are group homomorphisms because the group law is defined pointwise.

**2.2.F.** Let  $V \subset U \subset Y$  be two open sets. Then,  $\pi^{-1}(V) \subset \pi^{-1}(U)$ , and we set

$$\text{res}_{U,V}^{\pi_* \mathcal{F}} = \text{res}_{\pi^{-1}(U), \pi^{-1}(V)}^{\mathcal{F}}$$

which defines a presheaf on  $Y$ , since

$$\text{res}_{U,U}^{\pi_* \mathcal{F}} = \text{res}_{\pi^{-1}(U), \pi^{-1}(U)}^{\mathcal{F}} = \text{id}_{\mathcal{F}(\pi^{-1}(U))} = \text{id}_{\pi_* \mathcal{F}(U)}$$

and if  $W \subset V \subset U \subset Y$ ,

$$\begin{aligned} \text{res}_{U,V}^{\pi_* \mathcal{F}} \circ \text{res}_{V,W}^{\pi_* \mathcal{F}} &= \text{res}_{\pi^{-1}(U), \pi^{-1}(V)}^{\mathcal{F}} \circ \text{res}_{\pi^{-1}(V), \pi^{-1}(W)}^{\mathcal{F}} \\ &= \text{res}_{\pi^{-1}(U), \pi^{-1}(W)}^{\mathcal{F}} \\ &= \text{res}_{U,W}^{\pi_* \mathcal{F}} \end{aligned}$$

Now we further assume that  $\mathcal{F}$  is a sheaf. Let  $(U_i)_{i \in I}$  be a family of open sets of  $Y$ . We let  $U = \cup_i U_i$  for convenience. Let  $f, g \in \pi_* \mathcal{F}(U)$  be such that  $\text{res}_{U, U_i}^{\pi_* \mathcal{F}}(f) = \text{res}_{U, U_i}^{\pi_* \mathcal{F}}(g)$  for all  $i$ . Then,  $f, g \in \mathcal{F}(\pi^{-1}(U))$  and  $\text{res}_{\pi^{-1}(U), \pi^{-1}(U_i)}^{\mathcal{F}}(f) = \text{res}_{\pi^{-1}(U), \pi^{-1}(U_i)}^{\mathcal{F}}(g)$  for all  $i$ . Since  $\pi^{-1}(U) = \cup_i \pi^{-1}(U_i)$  and  $\mathcal{F}$  is a sheaf, we have  $f = g$ , hence identity holds. Let  $f_i \in \pi_* \mathcal{F}(U_i)$  for all  $i$  be such that for all  $i, j$

$$\text{res}_{U_i, U_i \cap U_j}^{\pi_* \mathcal{F}}(f_i) = \text{res}_{U_j, U_i \cap U_j}^{\pi_* \mathcal{F}}(f_j)$$

Then,  $f_i \in \mathcal{F}(\pi^{-1}(U_i))$ , and for  $i, j$ , we have

$$\text{res}_{\pi^{-1}(U_i), \pi^{-1}(U_i) \cap \pi^{-1}(U_j)}^{\mathcal{F}}(f_i) = \text{res}_{\pi^{-1}(U_j), \pi^{-1}(U_i) \cap \pi^{-1}(U_j)}^{\mathcal{F}}(f_j)$$

because  $\pi^{-1}(U_i \cap U_j) = \pi^{-1}(U_i) \cap \pi^{-1}(U_j)$ . Then, since  $\mathcal{F}$  is a sheaf, there exists some  $f \in \mathcal{F}(\pi^{-1}(U))$  such that  $\text{res}_{\pi^{-1}(U), \pi^{-1}(U_i)}^{\mathcal{F}}(f) = f_i$  for all  $i$ . This means that  $f \in \pi_* \mathcal{F}(U)$  and  $\text{res}_{U, U_i}^{\pi_* \mathcal{F}}(f) = f_i$  for all  $i$ , so  $\pi_* \mathcal{F}$  satisfies gluability.

**2.2.G.** Let  $(g, V) \in (\pi_* \mathcal{F})_q$ . Then,  $g \in \pi_* \mathcal{F}(V) = \mathcal{F}(\pi^{-1}(V))$ . Therefore,  $(g, \pi^{-1}(V)) \in \mathcal{F}_p$ . We check this defines a morphism of stalks  $(\pi_* \mathcal{F})_q \rightarrow \mathcal{F}_p$ . If  $(f, U) \sim (g, V)$ , then there exists  $W \subset U \cap V$  such that  $\text{res}_{U, W}^{\pi_* \mathcal{F}}(f) = \text{res}_{V, W}^{\pi_* \mathcal{F}}(g)$ . This means that  $\text{res}_{\pi^{-1}(U), \pi^{-1}(W)}^{\mathcal{F}}(f) = \text{res}_{\pi^{-1}(V), \pi^{-1}(W)}^{\mathcal{F}}(g)$ , so  $(f, \pi^{-1}(U)) \sim (g, \pi^{-1}(V))$  in  $\mathcal{F}_p$ . Therefore the map  $(\pi_* \mathcal{F})_q \rightarrow \mathcal{F}_p$  is well-defined.  
 $(g, V) \mapsto (g, \pi^{-1}(V))$

Now we re-do this exercise but with the universal property. Let  $V$  be an open set of  $Y$  containing  $q$ . Then,  $p \in \pi^{-1}(V)$ , so we have a map  $\pi_* \mathcal{F}(V) = \mathcal{F}(\pi^{-1}(V)) \rightarrow \mathcal{F}_p$ . These morphisms satisfy the correct commutativity relations because (1)  $\mathcal{F}_p$  is a colimit so a cocone and (2) the restrictions of  $\pi_* \mathcal{F}$  are actually restrictions of  $\mathcal{F}$ . Therefore, the universal property gives us the morphism  $(\pi_* \mathcal{F})_q \rightarrow \mathcal{F}_p$ .

**2.2.H.** Let  $(a, V) \in \mathcal{O}_{X, p}$  and  $(f, U)$  in  $\mathcal{F}_p$ . Then, we have  $(a, V) \sim (a|_{U \cap V}, U \cap V)$  and  $(f, U) \sim (f|_{U \cap V}, U \cap V)$ , and we can define  $(a, V) \cdot (f, U) = (a|_{U \cap V} \cdot f|_{U \cap V}, U \cap V)$ . This is well-defined: if  $(a, V) \sim (b, V')$  in  $\mathcal{O}_X$  and  $(f, U) \sim (g, U')$  in  $\mathcal{F}$ , we have two open sets  $W_V \subset V \cap V'$  and  $W_U \subset U \cap U'$  such that  $a|_{W_V} = b|_{W_V}$  and  $f|_{W_U} = g|_{W_U}$ . Then,

$$(a, V) \cdot (f, U) = (a|_{U \cap V} \cdot f|_{U \cap V}, U \cap V)$$

But, we have

$$(a|_{U \cap V} \cdot f|_{U \cap V})|_{W_V \cap W_U} = a|_{W_V \cap W_U} \cdot f|_{W_V \cap W_U}$$

because the module structure commutes with restriction maps. In much the same way,

$$(b, V') \cdot (g, U') = (b|_{U' \cap V'} \cdot g|_{U' \cap V'}, U' \cap V')$$

and

$$(b|_{U' \cap V'} \cdot g|_{U' \cap V'})|_{W_V \cap W_U} = b|_{W_V \cap W_U} \cdot g|_{W_V \cap W_U}$$

but  $a|_{W_V} = b|_{W_V}$  and  $f|_{W_U} = g|_{W_U}$ , so we have

$$a|_{W_V \cap W_U} \cdot f|_{W_V \cap W_U} = b|_{W_V \cap W_U} \cdot g|_{W_V \cap W_U}$$

which means

$$(a|_{U \cap V} \cdot f|_{U \cap V}, U \cap V) \sim (b|_{U' \cap V'} \cdot g|_{U' \cap V'}, U' \cap V')$$

Which means the action is well-defined. Thus  $\mathcal{F}_p$  is an  $\mathcal{O}_{X, p}$ -module.

## 2.3 Morphisms of presheaves and sheaves

If  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  is a morphism of (pre)sheaves, I will be denoting the morphisms that make it up  $\phi_V : \mathcal{F}(V) \rightarrow \mathcal{G}(V)$  instead of Vakil's  $\phi(V)$ , because I like to remember that morphisms of (pre)sheaves are natural transformations and am more used to this notation.

**2.3.A.** For any open set  $U$  containing  $p$ , we have a morphism  $i_U : \mathcal{G}(U) \rightarrow \mathcal{G}_p$ . Therefore, we get a cocone by considering the maps  $i_U \circ \phi_U : \mathcal{F}(U) \rightarrow \mathcal{G}_p$  (this is a cocone because  $\phi$  is a morphism of presheaves). Therefore, we get a map  $\phi_p : \mathcal{F}_p \rightarrow \mathcal{G}_p$ . Explicitly,  $\phi_p((f, U)) = (\phi_U(f), U)$ , and this is well-defined because  $\phi$  is a morphism of presheaves.

**2.3.B.** Let  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves. We define a morphism  $\pi_*\phi : \pi_*\mathcal{F} \rightarrow \pi_*\mathcal{G}$  by  $\pi_*\phi_U = \phi_{\pi^{-1}(U)}$ . This is a morphism of sheaves since for  $V \subset U$  the square

$$\begin{array}{ccc} \mathcal{F}(\pi^{-1}(U)) & \xrightarrow{\phi_{\pi^{-1}(U)}} & \mathcal{G}(\pi^{-1}(U)) \\ \text{res}_{\pi^{-1}(U), \pi^{-1}(V)} \downarrow & & \downarrow \text{res}_{\pi^{-1}(U), \pi^{-1}(V)} \\ \mathcal{F}(\pi^{-1}(V)) & \xrightarrow{\phi_{\pi^{-1}(V)}} & \mathcal{G}(\pi^{-1}(V)) \end{array}$$

commutes. This does define a functor since  $\pi_*\text{id}_{\mathcal{F}} = \text{id}_{\pi_*\mathcal{F}}$  and

$$\pi_*(\phi \circ \psi)_U = (\phi \circ \psi)_{\pi^{-1}(U)} = \phi_{\pi^{-1}(U)} \circ \psi_{\pi^{-1}(U)} = \pi_*\phi_U \circ \pi_*\psi_U$$

I had to do a non-insignificant amount of work to find out what font Vakil uses for sheaf  $\text{Hom}$ . The answer: it is URW Chancery. It is available through the **pzcacal** package.

**2.3.C.** Let  $V \subset U$  be open sets of  $X$ . We define the restriction map

$$\begin{array}{ccc} \text{Hom}(\mathcal{F}, \mathcal{G})(U) & \rightarrow & \text{Hom}(\mathcal{F}, \mathcal{G})(V) \\ \phi : \mathcal{F}|_U \rightarrow \mathcal{G}|_U & \mapsto & \phi|_V : \mathcal{F}|_V \rightarrow \mathcal{G}|_V \end{array}$$

where  $(\phi|_V)_W : \mathcal{F}(W) \rightarrow \mathcal{G}(W)$  is just  $\phi_W$  for  $W \subset V$  open.

We now check this presheaf is a sheaf. Let  $U_i$  be open sets and  $U = \cup_i U_i$ . Let  $\phi, \psi \in \text{Hom}(\mathcal{F}, \mathcal{G})(U)$  be such that  $\phi|_{U_i} = \psi|_{U_i}$  for all  $i$ . Let  $V \subset U$  be an open set. Then, we have maps

$$\phi_V, \psi_V : \mathcal{F}(V) \rightarrow \mathcal{G}(V)$$

and we need to check they are equal. Let  $f \in \mathcal{F}(V)$ . Then, for  $i \in I$ :

$$(\phi_V(f))|_{U_i \cap V} = (\phi_{U_i \cap V}(f|_{U_i \cap V})) = (\psi_{U_i \cap V}(f|_{U_i \cap V})) = (\psi_V(f))|_{U_i \cap V}$$

We used the fact that  $\phi$  and  $\psi$  are morphism of presheaves and therefore commute with restriction maps, and that  $\phi|_{U_i \cap V} = \psi|_{U_i \cap V}$  since  $\phi|_{U_i} = \psi|_{U_i}$ . This shows  $\phi$  and  $\psi$  are equal and hence the identity axiom.

We now check glubility. For all  $i$ , let  $\phi_i \in \text{Hom}(\mathcal{F}, \mathcal{G})(U_i)$ , such that  $(\phi_i)|_{U_i \cap U_j} = (\phi_j)|_{U_i \cap U_j}$  for all  $i, j$ . Let  $V \subset U$ . We need to define a map  $\mathcal{F}(V) \rightarrow \mathcal{G}(V)$ . Let  $f \in \mathcal{F}(V)$ . Pick  $i \in I$ . Define

$$g_i = (\phi_i)_{U_i \cap V}(f|_{U_i \cap V}) \in \mathcal{G}(U_i \cap V)$$

For  $i, j$ , we have

$$\begin{aligned}
(g_i)_{|U_i \cap U_j \cap V} &= ((\phi_i)_{U_i \cap V}(f_{|U_i \cap V}))_{|U_i \cap U_j \cap V} \\
&= (\phi_i)_{U_i \cap U_j \cap V}(f_{|U_i \cap U_j \cap V}) \\
&= (\phi_j)_{U_i \cap U_j \cap V}(f_{|U_i \cap U_j \cap V}) \\
&= ((\phi_j)_{U_j \cap V}(f_{|U_j \cap V}))_{|U_i \cap U_j \cap V} \\
&= (g_j)_{|U_i \cap U_j \cap V}
\end{aligned}$$

We have used that  $\phi_i$  and  $\phi_j$  are morphisms of presheaves, and the compatibility relations between them. Now, since  $\mathcal{G}$  is a sheaf, these relations allow us to glue the  $g_i$ 's together into a unique  $g \in \mathcal{G}(V)$ , such that  $g_{|U_i \cap V} = g_i$ . We define a map  $\phi_V : \mathcal{F}(V) \rightarrow \mathcal{G}(V)$  by sending  $f$  to  $g$  through this process (uniqueness of  $g$  makes this map well-defined). It remains to verify that we have defined an element  $\phi \in \text{Hom}(\mathcal{F}, \mathcal{G})(U)$  and that  $\phi_{|U_i} = \phi_i$  for all  $i$ . For the first part, pick two open sets  $W \subset V \subset U$ . Then, we need to check that the diagram

$$\begin{array}{ccc}
\mathcal{F}(V) & \xrightarrow{\phi_V} & \mathcal{G}(V) \\
\text{res}_{V,W}^{\mathcal{F}} \downarrow & & \downarrow \text{res}_{V,W}^{\mathcal{G}} \\
\mathcal{F}(W) & \xrightarrow{\phi_W} & \mathcal{G}(W)
\end{array}$$

commutes. Let  $f \in \mathcal{F}(V)$ . Pick  $i \in I$ . We will indicate the dependency of the  $g_i$ 's and  $g$  on the element  $f$  below. For instance:

$$g_i(f) = (\phi_i)_{U_i \cap V}(f_{|U_i \cap V})$$

Since  $\phi_i$  is a morphism of presheaves, we have

$$g_i(f_{|W}) = (\phi_i)_{U_i \cap W}(f_{|U_i \cap W}) = ((\phi_i)_{U_i \cap V}(f_{|U_i \cap V}))_{|U_i \cap W} = (g_i(f))_{|U_i \cap W}$$

So  $\phi_W(f_{|W})$  is obtained by gluing together  $g_i(f)_{|U_i \cap W} \in \mathcal{G}(U_i \cap W)$ . Notice that

$$((g(f))_{|W})_{|U_i \cap W} = (g(f))_{|U_i \cap W} = ((g(f))_{|U_i})_{|U_i \cap W} = (g_i(f))_{|U_i \cap W} = g_i(f_{|W})$$

So when you glue together  $g_i(f_{|W})$ , you obtain  $g(f)_{|W}$ , by uniqueness. This shows

$$(\phi_V(f))_{|W} = \phi_W(f_{|W})$$

and  $\phi$  is indeed an element of  $\text{Hom}(\mathcal{F}, \mathcal{G})(U)$ . Now, let  $j \in I$ . Pick an open set  $V \subset U_j$ . For  $f \in \mathcal{F}(V)$ , we have

$$\begin{aligned}
(\phi_{|U_j})_V(f) &= \phi_V(f) \\
&= \text{gluing of } (\phi_i)_{U_i \cap V}(f_{|U_i \cap V}) \text{ for all } i \in I \\
&= \text{gluing of } (\phi_j)_{U_i \cap V}(f_{|U_i \cap V}) \text{ for all } i \in I \\
&= \text{gluing of } ((\phi_j)_V(f))_{|U_i \cap V} \text{ for all } i \in I \\
&= (\phi_j)_V(f)
\end{aligned}$$

The first equation is the definition of restriction maps in the presheaf  $\text{Hom}(\mathcal{F}, \mathcal{G})$ , the second is the definition of  $\phi_V$ , the third comes from compatibility conditions, the fourth comes from the fact that  $\phi_j$  is a morphism of presheaves, and the last is by uniqueness of the gluing of sections. This shows  $\phi_{|U_j} = \phi_j$  and concludes the proof.

**TODO:** answer questions about stalk of sheaf hom

### 2.3.D.

(a) We define a morphism of sheaves  $\Theta : \mathcal{H}om(\underline{\{p\}}, \mathcal{F}) \rightarrow \mathcal{F}$  by

$$\begin{aligned} \Theta_U : \mathcal{H}om(\underline{\{p\}}, \mathcal{F})(U) &\rightarrow \mathcal{F}(U) \\ \phi &\mapsto \phi_U(p) \end{aligned}$$

If  $V \subset U$  is open and  $\phi \in \mathcal{H}om(\underline{\{p\}}, \mathcal{F})(U)$ , we have

$$(\Theta_U(\phi))|_V = (\phi_U(p))|_V = \phi_V(p) = (\phi|_V)_V(p) = \Theta_V(\phi|_V)$$

so  $\Theta$  really is a morphism of sheaves. We define another morphism of sheaves  $\Xi : \mathcal{F} \rightarrow \mathcal{H}om(\underline{\{p\}}, \mathcal{F})$  by

$$\begin{aligned} \Xi_U : \mathcal{F}(U) &\rightarrow \mathcal{H}om(\underline{\{p\}}, \mathcal{F})(U) \\ x &\mapsto \phi^x \text{ given by } \phi_V^x : p \mapsto x|_V \end{aligned}$$

If  $V \subset U$  is open and  $x \in \mathcal{F}(U)$ , then

$$\begin{aligned} (\Xi_U(x))|_V &= (\phi^x)|_V = \phi_W^x : p \mapsto x|_W \text{ for } W \subset V \text{ open} \\ &= \phi_W^x : p \mapsto (x|_V)|_W \text{ for } W \subset V \text{ open} \\ &= \Xi_V(x|_V) \end{aligned}$$

So  $\Xi$  is a morphism of sheaves. Let  $\phi \in \mathcal{H}om(\underline{\{p\}}, \mathcal{F})(U)$ . Then,

$$\begin{aligned} \Xi_U(\Theta_U(\phi)) &= \Xi_U(\phi_U(p)) = \phi_V^{\phi_U(p)} : p \mapsto (\phi_U(p))|_V \text{ for } V \subset U \text{ open} \\ &= \phi_V^{\phi_U(p)} : p \mapsto \phi_V(p) \text{ for } V \subset U \text{ open} \\ &= \phi_V \text{ for } V \subset U \text{ open} = \phi \end{aligned}$$

and the other way around, if  $x \in \mathcal{F}(U)$ ,

$$\Theta_U(\Xi_U(x)) = \Theta_U(\phi^x) = \phi_U^x(p) = x$$

so  $\Theta$  and  $\Xi$  are isomorphisms of sheaves.

(b) We define a morphism of sheaves  $\Theta : \mathcal{H}om_{Ab_X}(\underline{\mathbb{Z}}, \mathcal{F}) \rightarrow \mathcal{F}$  by

$$\begin{aligned} \Theta_U : \mathcal{H}om_{Ab_X}(\underline{\mathbb{Z}}, \mathcal{F})(U) &\rightarrow \mathcal{F}(U) \\ \phi &\mapsto \phi_U(U \rightarrow \mathbb{Z}, x \mapsto 1) \end{aligned}$$

(recall that  $\underline{\mathbb{Z}}(U)$  is the abelian group of locally constant functions  $U \rightarrow \mathbb{Z}$ , where  $\mathbb{Z}$  is given the discrete topology.) To check  $\Theta$  is a morphism of sheaves of abelian groups, we need to check it is compatible with restrictions, and that  $\Theta_U$  is a homomorphism of abelian groups. If  $V \subset U$  is open and  $\phi \in \mathcal{H}om_{Ab_X}(\underline{\mathbb{Z}}, \mathcal{F})(U)$ , we have

$$\begin{aligned} (\Theta_U(\phi))|_V &= (\phi_U(U \rightarrow \mathbb{Z}, x \mapsto 1))|_V \\ &= \phi_V(V \rightarrow \mathbb{Z}, x \mapsto 1) \\ &= (\phi|_V)_V(V \rightarrow \mathbb{Z}, x \mapsto 1) \\ &= \Theta_V(\phi|_V) \end{aligned}$$

And if  $\phi, \psi \in \mathcal{H}om_{Ab_X}(\mathbb{Z}, \mathcal{F})(U)$ , we have

$$\begin{aligned}\Theta_U(\phi + \psi) &= (\phi + \psi)_U(U \rightarrow \mathbb{Z}, x \mapsto 1) \\ &= \phi_U(U \rightarrow \mathbb{Z}, x \mapsto 1) + \psi_U(U \rightarrow \mathbb{Z}, x \mapsto 1) \\ &= \Theta_U(\phi) + \Theta_U(\psi)\end{aligned}$$

so  $\Theta$  really is a morphism of sheaves of abelian groups. We define another morphism of sheaves of abelian groups  $\Xi : \mathcal{F} \rightarrow \mathcal{H}om_{Ab_X}(\mathbb{Z}, \mathcal{F})$  by

$$\begin{aligned}\Xi_U : \mathcal{F}(U) &\rightarrow \mathcal{H}om_{Ab_X}(\mathbb{Z}, \mathcal{F})(U) \\ s &\mapsto \phi^s \text{ defined by } \phi_V^s : (f : V \rightarrow \mathbb{Z}) \mapsto f \cdot s\end{aligned}$$

where  $f \cdot s$  stands for the gluing of  $f|_C(x)s|_C$  for all connected components  $C$  of  $V$ , and  $x$  is any point in  $C$  - it doesn't matter which, since  $C$  is connected, so  $f|_C : C \rightarrow \mathbb{Z}$  is constant. Notice that the gluing exists since two distinct connected components are disjoint.

If  $V \subset U$  is open and  $s \in \mathcal{F}(U)$ , then

$$\begin{aligned}(\Xi_U(s))|_V &= (\phi^s)|_V = \phi_W^s : f \mapsto f \cdot s \text{ for } W \subset V \text{ open} \\ &= \phi_W^s : f \mapsto f \cdot (s|_V) \text{ for } W \subset V \text{ open} \\ &= \Xi_V(s|_V)\end{aligned}$$

This works because if  $C$  is a connected component of  $W$ , then  $s|_C = (s|_V)|_C$ . If  $s, t \in \mathcal{F}(U)$  are two sections, then

$$\begin{aligned}\Xi_U(s + t) &= \phi_V^{s+t} : f \mapsto f \cdot (s + t) \text{ for } V \subset U \text{ open} \\ &= \phi_V^{s+t} : f \mapsto (\text{gluing of } f|_C(x)(s + t)|_C \text{ for } C \subset V \text{ c.c.}) \text{ for } V \subset U \text{ open} \\ &= \phi_V^{s+t} : f \mapsto (\text{gluing of } f|_C(x)(s|_C + t|_C) \text{ for } C \subset V \text{ c.c.}) \text{ for } V \subset U \text{ open} \\ &= \phi_V^{s+t} : f \mapsto (\text{gluing of } f|_C(x)s|_C + f|_C(x)t|_C \text{ for } C \subset V \text{ c.c.}) \text{ for } V \subset U \text{ open} \\ &= \phi_V^{s+t} : f \mapsto (f \cdot s + f \cdot t) \text{ for } V \subset U \text{ open} \\ &= \phi_V^s + \phi_V^t \text{ for } V \subset U \text{ open} \\ &= \Xi_U(s) + \Xi_U(t)\end{aligned}$$

so  $\Xi$  is a morphism of sheaves of abelian groups. We have used that restriction maps are compatible with the abelian group law to know that  $f \cdot s + f \cdot t$  is the gluing of  $f|_C(x)s|_C + f|_C(x)t|_C$ .

Let  $\phi \in \mathcal{H}om(\mathbb{Z}, \mathcal{F})(U)$ . Then,

$$\begin{aligned}\Xi_U(\Theta_U(\phi)) &= \Xi_U(\phi_U(U \rightarrow \mathbb{Z}, x \mapsto 1)) \\ &= f \mapsto f \cdot \phi_U(U \rightarrow \mathbb{Z}, x \mapsto 1) \text{ for } V \subset U \text{ open} \\ &= f \mapsto (\text{gluing of } f|_C(y)(\phi_U(U \rightarrow \mathbb{Z}, x \mapsto 1))|_C \text{ for } C \subset V \text{ c.c.}) \text{ for } V \subset U \text{ open} \\ &= f \mapsto (\text{gluing of } f|_C(y)(\phi_C(C \rightarrow \mathbb{Z}, x \mapsto 1) \text{ for } C \subset V \text{ c.c.})) \text{ for } V \subset U \text{ open} \\ &= f \mapsto (\text{gluing of } (\phi_C(C \rightarrow \mathbb{Z}, x \mapsto f|_C(y)) \text{ for } C \subset V \text{ c.c.})) \text{ for } V \subset U \text{ open} \\ &= f \mapsto (\text{gluing of } (\phi_C(f|_C) \text{ for } C \subset V \text{ c.c.})) \text{ for } V \subset U \text{ open} \\ &= f \mapsto (\text{gluing of } ((\phi_V(f))|_C \text{ for } C \subset V \text{ c.c.})) \text{ for } V \subset U \text{ open} \\ &= f \mapsto \phi_V(f) \text{ for } V \subset U \text{ open} \\ &= \phi\end{aligned}$$

we have used that  $\phi$  is a morphism of presheaves but also that it is a homomorphism of abelian groups.

The other way around, if  $s \in \mathcal{F}(U)$ ,

$$\begin{aligned}\Theta_U(\Xi_U(s)) &= \Theta_U(\phi^s) = \phi_U^s(U \rightarrow \mathbb{Z}, x \mapsto 1) \\ &= (U \rightarrow \mathbb{Z}, x \mapsto 1) \cdot s \\ &= \text{gluing of } (C \rightarrow \mathbb{Z}, x \mapsto 1)(y) \cdot s|_C \text{ for } C \subset U \text{ c.c.} \\ &= \text{gluing of } s|_C \text{ for } C \subset U \text{ c.c.} \\ &= s\end{aligned}$$

so  $\Theta$  and  $\Xi$  are isomorphisms of sheaves of abelian groups.

(c)  $\mathcal{O}_X$ -modules scare me and so i will do this problem later. **TODO**

**2.3.E.** Let  $V \subset U \subset X$  be two open sets. We have the diagram where we need to define  $\rho_{U,V}$ :

$$\begin{array}{ccccccc} 0 & \longrightarrow & \ker_{\text{pre}}(\phi)(U) & \xrightarrow{i_U} & \mathcal{F}(U) & \xrightarrow{\phi_U} & \mathcal{G}(U) \\ & & \downarrow \rho_{U,V} & & \downarrow \text{res}_{U,V} & & \downarrow \text{res}_{U,V} \\ 0 & \longrightarrow & \ker_{\text{pre}}(\phi)(V) & \xrightarrow{i_V} & \mathcal{F}(V) & \xrightarrow{\phi_V} & \mathcal{G}(V) \end{array}$$

Where  $i_U$  and  $i_V$  are canonical inclusion maps. If  $x \in \ker_{\text{pre}}(\phi)(U)$ , then  $\phi_U(x) = 0$ , so  $(\phi_U(x))|_V = 0$ , so  $\phi_V(x|_V) = 0$ , so  $x|_V \in \ker_{\text{pre}}(\phi)(V)$ . Therefore we can define  $\rho_{U,V} = (\text{res}_{U,V})|_{\ker \phi_U}^{\ker \phi_V}$ . The presheaf relations are easy to get from this definition:

$$\rho_{U,U} = (\text{res}_{U,U})|_{\ker \phi_U}^{\ker \phi_U} = (\text{id}_{\mathcal{F}(U)})|_{\ker \phi_U}^{\ker \phi_U} = \text{id}_{\ker \phi_U}$$

and if  $W \subset V$  is another open set,

$$\rho_{V,W} \circ \rho_{U,V} = (\text{res}_{V,W})|_{\ker \phi_V}^{\ker \phi_W} \circ (\text{res}_{U,V})|_{\ker \phi_U}^{\ker \phi_V} = (\text{res}_{V,W} \circ \text{res}_{U,V})|_{\ker \phi_U}^{\ker \phi_W} = (\text{res}_{U,W})|_{\ker \phi_U}^{\ker \phi_W} = \rho_{U,W}$$

One could also get these relations by drawing the appropriate commutative diagrams.

**2.3.F.** Let  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of presheaves of abelian groups. Let  $\pi : \mathcal{G} \rightarrow \text{coker}_{\text{pre}} \phi$  be the canonical morphism. Let  $\psi : \mathcal{G} \rightarrow \mathcal{H}$  be another morphism of presheaves such that  $\psi \circ \phi = 0$ . Let  $U \subset X$  be open. Then, we have the diagram

$$\begin{array}{ccccc} \mathcal{F}(U) & \xrightarrow{\phi_U} & \mathcal{G}(U) & \xrightarrow{\pi_U} & (\text{coker}_{\text{pre}} \phi)(U) \\ & & \downarrow \psi_U & \swarrow \chi_U & \\ & & \mathcal{H}(U) & & \end{array}$$

The homomorphism  $\chi_U$  is obtained by the universal property of the cokernel since  $\psi_U \circ \phi_U = 0$ . We check that  $\chi$  is a morphism of presheaves of abelian groups. Let  $V \subset U$  be an open set. Consider the diagram (where unlabeled arrows are restriction maps):



$$\begin{array}{ccccc}
& & \mathcal{G}(\mathcal{U}) & & \\
& \swarrow \pi_{\mathcal{U}} & \downarrow \chi_{\mathcal{U}} & \searrow \psi_{\mathcal{U}} & \\
(\text{coker}_{\text{pre}} \phi)(\mathcal{U}) & \xrightarrow{\quad} & \mathcal{H}(\mathcal{U}) & & \\
\downarrow & & \downarrow & & \downarrow \\
& & \mathcal{G}(\mathcal{V}) & & \\
& \swarrow \pi_{\mathcal{V}} & \downarrow \chi_{\mathcal{V}} & \searrow \psi_{\mathcal{V}} & \\
(\text{coker}_{\text{pre}} \phi)(\mathcal{V}) & \xrightarrow{\quad} & \mathcal{H}(\mathcal{V}) & & 
\end{array}$$

We have

$$\text{res}_{\mathcal{U},\mathcal{V}} \chi_{\mathcal{U}} \pi_{\mathcal{U}} = \text{res}_{\mathcal{U},\mathcal{V}} \psi_{\mathcal{U}} = \psi_{\mathcal{V}} \text{res}_{\mathcal{U},\mathcal{V}} = \chi_{\mathcal{V}} \pi_{\mathcal{V}} \text{res}_{\mathcal{U},\mathcal{V}} = \chi_{\mathcal{V}} \text{res}_{\mathcal{U},\mathcal{V}} \pi_{\mathcal{U}}$$

So  $\text{res}_{\mathcal{U},\mathcal{V}} \circ \chi_{\mathcal{U}} = \chi_{\mathcal{V}} \circ \text{res}_{\mathcal{U},\mathcal{V}}$  because  $\pi_{\mathcal{U}}$  is an epimorphism. Therefore  $\chi$  is a morphism of presheaves. Its uniqueness comes from the universal property of cokernels on the level of each open set. This concludes the proof.

**2.3.G.** If  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  is a morphism in  $\text{Ab}_{\chi}^{\text{pre}}$ , then it gives a homomorphism of abelian groups  $\phi_{\mathcal{U}} : \mathcal{F}(\mathcal{U}) \rightarrow \mathcal{G}(\mathcal{U})$ . The fact this gives a functor is easy to check:  $(\phi \circ \psi)_{\mathcal{U}} = \phi_{\mathcal{U}} \circ \psi_{\mathcal{U}}$ , by definition, and  $(\text{id})_{\mathcal{U}} = \text{id}_{\mathcal{F}(\mathcal{U})}$ . If  $0 \rightarrow \mathcal{F} \xrightarrow{\phi} \mathcal{G} \xrightarrow{\psi} \mathcal{H} \rightarrow 0$  is a short exact sequence of presheaves of abelian groups, then  $0 \rightarrow \mathcal{F}(\mathcal{U}) \xrightarrow{\phi_{\mathcal{U}}} \mathcal{G}(\mathcal{U}) \xrightarrow{\psi_{\mathcal{U}}} \mathcal{H}(\mathcal{U}) \rightarrow 0$  is exact, since kernels and images are defined open set by open set.

**2.3.H.** If  $0 \rightarrow \mathcal{F}_1 \rightarrow \dots \rightarrow \mathcal{F}_n \rightarrow 0$  is exact, then applying the exact functor  $\mathcal{F} \mapsto \mathcal{F}(\mathcal{U})$  gives an exact sequence, so the first implication holds. Conversely, assume the second sequence is exact for all open sets  $\mathcal{U}$ . Let us show exactness at  $\mathcal{F}_2$ . Name the morphisms  $\mathcal{F}_1 \xrightarrow{\phi} \mathcal{F}_2 \xrightarrow{\psi} \mathcal{F}_3$ . Then, for any open set  $\mathcal{U}$ , since  $\mathcal{F}_1(\mathcal{U}) \xrightarrow{\phi_{\mathcal{U}}} \mathcal{F}_2(\mathcal{U}) \xrightarrow{\psi_{\mathcal{U}}} \mathcal{F}_3(\mathcal{U})$  is exact, we have

$$(\text{im}_{\text{pre}} \phi)(\mathcal{U}) = \text{im } \phi_{\mathcal{U}} = \ker \psi_{\mathcal{U}} = (\ker_{\text{pre}} \psi)(\mathcal{U})$$

It remains to check that the restriction maps of  $\text{im}_{\text{pre}} \phi$  are the same as  $\ker_{\text{pre}} \psi$ . Let  $\mathcal{V} \subset \mathcal{U}$  be open. Then, we have the following diagram, where downward maps are restrictions:

$$\begin{array}{ccccccc}
\mathcal{F}_1(\mathcal{U}) & \xrightarrow{\phi_{\mathcal{U}}} & \mathcal{F}_2(\mathcal{U}) & \longleftarrow & \text{im } \phi_{\mathcal{U}} = \ker \psi_{\mathcal{U}} & \longrightarrow & \mathcal{F}_2(\mathcal{U}) \xrightarrow{\psi_{\mathcal{U}}} \mathcal{F}_3(\mathcal{U}) \\
\downarrow & & \downarrow & & \downarrow & & \downarrow \\
\mathcal{F}_1(\mathcal{V}) & \xrightarrow{\phi_{\mathcal{V}}} & \mathcal{F}_2(\mathcal{V}) & \longleftarrow & \text{im } \phi_{\mathcal{V}} = \ker \psi_{\mathcal{V}} & \longrightarrow & \mathcal{F}_2(\mathcal{V}) \xrightarrow{\psi_{\mathcal{V}}} \mathcal{F}_3(\mathcal{V})
\end{array}$$

If  $x \in \text{im } \phi_{\mathcal{U}}$ , then  $x = \phi_{\mathcal{U}}(y)$ , so  $x|_{\mathcal{V}} = \phi_{\mathcal{V}}(y|_{\mathcal{V}})$ , and the restriction map of  $\text{im}_{\text{pre}} \phi$  is  $(\text{res}_{\mathcal{U},\mathcal{V}})|_{\text{im } \phi_{\mathcal{U}}}^{\text{im } \phi_{\mathcal{V}}}$ . Recall that the restriction map of  $\ker_{\text{pre}} \psi$  is

$$(\text{res}_{\mathcal{U},\mathcal{V}})|_{\ker \psi_{\mathcal{U}}}^{\ker \psi_{\mathcal{V}}} = (\text{res}_{\mathcal{U},\mathcal{V}})|_{\text{im } \phi_{\mathcal{U}}}^{\text{im } \phi_{\mathcal{V}}}$$

which shows both presheaves are indeed the same, and thus exactness at  $\mathcal{F}_2$ . Exactness at other points of the sequence can be proven in the exact same way.

**2.3.I.** Let  $(U_i)_{i \in I}$  be a family of open sets of  $X$  and  $U = \cup_i U_i$ . Let  $x, y \in (\ker_{\text{pre}} \phi)(U)$  be such that  $x|_{U_i} = y|_{U_i}$  for all  $i$ . Since restriction maps of  $\ker_{\text{pre}} \phi$  are actually restriction maps of  $\mathcal{F}$ , and  $\mathcal{F}$  is a sheaf, we have  $x = y$ . Therefore identity holds.

Now pick  $x_i \in (\ker_{\text{pre}} \phi)(U_i)$  for all  $i$ . Then  $x_i \in \mathcal{F}(U_i)$ , and since  $\mathcal{F}$  is a sheaf, we have  $x \in \mathcal{F}(U)$  such that  $x|_{U_i} = x_i$  for all  $i$ . Then,  $(\phi_U(x))|_{U_i} = \phi_{U_i}(x_i) = 0$  for all  $i$ . Since  $\mathcal{G}$  is a sheaf, by identity, we have  $\phi_U(x) = 0$ , so  $x \in (\ker_{\text{pre}} \phi)(U)$ . Hence gluability holds, and this completes the proof that  $\ker_{\text{pre}} \phi$  is a sheaf. For the universal property, this follows immediately from the fact that  $\ker_{\text{pre}} \phi$  satisfies the universal property in  $Ab_X^{\text{pre}}$  and the fact that  $Ab_X$  is a full subcategory of  $Ab_X^{\text{pre}}$ .

**2.3.J.** We verify exactness open set by open set. Let  $U \subset \mathbb{C}$  be open. Then, we have a sequence of abelian groups

$$0 \rightarrow \mathbb{Z}(U) \hookrightarrow \mathcal{O}_X(U) \xrightarrow{f \mapsto \exp(2i\pi f)} \mathcal{F}(U) \rightarrow 0$$

The abelian group law on  $\mathcal{F}(U)$  is multiplication, so our maps really are homomorphisms of abelian groups. Exactness at  $\mathbb{Z}(U)$  is immediate since the map  $\mathbb{Z}(U) \hookrightarrow \mathcal{O}_X(U)$  is an inclusion. Exactness at  $\mathcal{F}(U)$  is also easy: by definition of  $\mathcal{F}(U)$ , if  $g \in \mathcal{F}(U)$ , then there exists  $f \in \mathcal{O}_X(U)$  such that  $g = \exp(f)$ . Since  $\frac{1}{2i\pi}f \in \mathcal{O}_X(U)$ , we have surjectivity, that is exactness. If  $f : U \rightarrow \mathbb{Z}$  is any function (in particular if  $f \in \mathbb{Z}(U)$ ), then  $\exp(2i\pi f)$  is the constant function  $U \rightarrow \mathbb{C}$  with value 1, which is the identity element of  $(\mathcal{F}(U), \times)$ , so we have a chain complex at  $\mathcal{O}_X(U)$ . Conversely, pick  $f \in \mathcal{O}_X(U)$  such that  $\exp(2i\pi f) = 1$ . Then,  $f$  must take values in  $\mathbb{Z}$ . Since  $\mathbb{Z}$  is discrete and  $f$  is continuous,  $f$  is locally constant, so  $f \in \mathbb{Z}(U)$ . Hence, our sequence is exact.

Complex analysis tells us that on any open ball  $B(e^{i\theta}, \frac{1}{2})$ ,  $z \mapsto z$  has a holomorphic logarithm. However,  $z \mapsto z$  does not have a holomorphic logarithm on  $\bigcup_{\theta \in \mathbb{R}} B(e^{i\theta}, \frac{1}{2}) = \{z \in \mathbb{C} \mid \frac{1}{2} < |z| < \frac{3}{2}\}$ . Therefore  $\mathcal{F}$  cannot be a sheaf as it fails gluability.

## 2.4 Properties determined at the level of stalks, and sheafification

**2.4.A.** Let  $s, t \in \mathcal{F}(U)$  be such that  $s_p = t_p$  for all  $p \in U$ , where  $s_p$  and  $t_p$  are the germs of  $s$  and  $t$  at  $p \in U$ . Pick a point  $p \in U$ . Then  $s_p = t_p$  implies that there is some open set  $U_p \subset U$  containing  $p$  such that  $s|_{U_p} = t|_{U_p}$ . Since  $U = \bigcup_{p \in U} U_p$ , identity tells us  $s = t$ . This concludes.

**2.4.B.** Let  $(s_p)_{p \in U} \in \prod_{p \in U} \mathcal{F}_p$  consist of compatible germs. Then, for any point  $p \in U$ , there is an open set  $U_p \subset U$  containing  $p$  such that there exists  $\tilde{s}_p \in \mathcal{F}(U_p)$ , such that the germ of  $\tilde{s}_p$  at  $q \in U_p$  is  $s_q$ . Let  $p, q \in U$  be two points. If  $x \in U_p \cap U_q$ , then  $(\tilde{s}_p)_x = (\tilde{s}_q)_x$ , so there is some open set  $V_x \subset U_p \cap U_q$  containing  $x$  such that  $(\tilde{s}_p)|_{V_x} = (\tilde{s}_q)|_{V_x}$ . We have  $U_p \cap U_q = \bigcup_{x \in U_p \cap U_q} V_x$ , so by identity, we have  $(\tilde{s}_p)|_{U_p \cap U_q} = (\tilde{s}_q)|_{U_p \cap U_q}$ . Since  $U = \bigcup_{p \in U} U_p$ , by gluing, we obtain  $\tilde{s} \in \mathcal{F}(U)$  such that  $\tilde{s}|_{U_p} = \tilde{s}_p$ . Since  $p \in U_p \subset U$ , the image of  $\tilde{s}$  in  $\mathcal{F}_p$  is the same as the image of  $(\tilde{s})|_{U_p} = \tilde{s}_p$ , so it is  $s_p$ . This finishes the proof.

**2.4.C.** Let  $U \subset X$  be open. We have a commutative diagram

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightleftharpoons[(\phi_2)_U]{(\phi_1)_U} & \mathcal{G}(U) \\ \downarrow & & \downarrow \\ \prod_{p \in U} \mathcal{F}_p & \longrightarrow & \prod_{p \in U} \mathcal{G}_p \end{array}$$

Where vertical maps are  $s \mapsto (s_p)_{p \in U}$ . Since  $\mathcal{G}$  is a sheaf, injectivity comes from exercise 2.4.A.. The horizontal map between products of stalks is induced by both  $\phi_1$  and  $\phi_2$  (since by assumption  $\phi_1$  and  $\phi_2$  induce the same morphisms on stalks). Commutativity, along with the injective map being monic shows  $\phi_1 = \phi_2$ .

**2.4.D.** Let  $\mathcal{F}, \mathcal{G}$  be sheaves of sets on  $X$  and  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves that induces isomorphisms on all stalks. Let  $U \subset X$  be open. We have the diagram

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{\phi_U} & \mathcal{G}(U) \\ \downarrow & & \downarrow f_{\mathcal{G}} \\ \prod_{p \in U} \mathcal{F}_p & \xrightarrow{\sim} & \prod_{p \in U} \mathcal{G}_p \end{array}$$

The bottom map is bijective because it is component-wise (by assumption). Then,  $f_{\mathcal{G}} \circ \phi_U$  is injective since it is a composition of injective maps. Thus,  $\phi_U$  must be injective.

Now surjectivity. Let  $s \in \mathcal{G}(U)$ . It induces a compatible family  $(s_p)_{p \in U} \in \prod_{p \in U} \mathcal{G}_p$ . By bijectivity, we get some  $(t_p)_{p \in U} \in \prod_{p \in U} \mathcal{F}_p$  such that  $s_p = \phi_p(t_p)$  for all  $p \in U$ . We want to prove  $(t_p)$  consists of compatible germs to get an element  $t \in \mathcal{F}(U)$ . Fix a point  $p \in U$ . Since  $t_p \in \mathcal{F}_p$ , we can pick one of its representatives  $(t^p, U_p)$ . Here  $U_p \subset U$  is an open set containing  $p$  and  $t^p \in \mathcal{F}(U_p)$ . Since  $\phi_p(t_p) = s_p$ , we know that  $(\phi_{U_p}(t^p), U_p)$  represents  $s_p$  in  $\mathcal{G}_p$ . However, we already know of another representative of  $s_p$  in  $\mathcal{G}_p$ :  $(s|_{U_p}, U_p)$ . Therefore, by definition of the stalk, there exists some open set  $V_p \subset U_p$  containing  $p$  such that  $(\phi_{U_p}(t^p))|_{V_p} = s|_{V_p}$ . Now, pick another point  $q \in V_p$ . The previous equality tells us, on the level of the stalk at  $q$ , that  $[\phi_{V_p}(t^p|_{V_p})]_q = s_q$ . But, by definition of  $\phi_q$ , we have  $[\phi_{V_p}(t^p|_{V_p})]_q = \phi_q(t^p|_{V_p})$ . This means that  $\phi_q(t^p|_{V_p}) = s_q = \phi_q(t_q)$ . Since  $\phi$  is injective on stalks,  $t^p|_{V_p} = t_q$ . This shows that  $(t_p)$  consists of compatible germs (the representative sections are given by  $t^p|_{V_p}$ ). Therefore, by exercise 2.4.B. we get some section  $t \in \mathcal{F}(U)$  such that  $t_p$  is the image of  $t$  in  $\mathcal{F}_p$  for all  $p \in U$ . Therefore,

$$(\phi_U(t))_p = \phi_p(t_p) = s_p$$

and since in a sheaf sections are determined by their germs, we have  $\phi_U(t) = s$ , which is precisely surjectivity.

**2.4.E.** TODO

**2.4.F.** TODOez

**2.4.G.** TODOez

**2.4.H.** Let  $(U_i)_{i \in I}$  be a family of open sets of  $X$  and  $U = \bigcup_i U_i$ . Let  $f = (f_p)_{p \in U}$  and  $g = (g_p)_{p \in U}$  be two elements of  $\mathcal{F}^{\text{sh}}(U)$  such that  $f|_{U_i} = g|_{U_i}$  for all  $i$ . Then, since any point  $p \in U$  is contained in some  $U_i$ , the  $p$  component of  $f$  and  $g$  are identical, that  $f_p = g_p$  for all  $p$ , so  $f = g$ . Hence identity holds.

Now pick a family  $f^i = (f_p^i)_{p \in U_i} \in \mathcal{F}^{\text{sh}}(U_i)$  such that  $f_p^i = f_p^j$  for all  $p \in U_i \cap U_j$ . Then this compatibility condition allows us to form the tuple  $f = (f_p)_{p \in U} \in \prod_{p \in U} \mathcal{F}_p$  defined by  $f_p = f_p^i$

where  $i$  is any  $i$  such that  $p \in U_i$ . Now fix a point  $p \in U$ . It is contained in some  $U_i$ . Since  $f^i \in \mathcal{F}^{\text{sh}}(U_i)$ , there exists an open set  $V \subset U_i$  containing  $p$  and  $s \in \mathcal{F}(V)$  such that  $s_q = f_q^i = f_q$  for all  $q \in V$ . This shows  $f \in \mathcal{F}^{\text{sh}}(U)$  and gluability holds.

**2.4.I.** Send a section  $s \in \mathcal{F}(U)$  to  $(s_p)_{p \in U} \in \mathcal{F}^{\text{sh}}(U)$  (a tuple of germs arising from a section is a tuple of germs locally arising from a section, so we do end up in  $\mathcal{F}^{\text{sh}}(U)$ .) Compatibility with restriction maps is almost immediate to check.

**2.4.J.** Let  $\mathcal{G}$  be another sheaf, and let  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism. Let  $U \subset X$  be an open set. Take  $(f_p)_{p \in U} \in \mathcal{F}^{\text{sh}}(U)$ . For any  $p \in U$ , we have some open set  $V_p \subset U$  containing  $p$  and  $s^p \in \mathcal{F}(V_p)$  such that  $s_q^p = f_q$  for all  $q \in V_p$ . Let  $t^p = \phi_{V_p}(s^p)$ . If we have two points  $p_1, p_2 \in U$ , then  $s_q^{p_1} = f_q = s_q^{p_2}$  for any  $q \in V_{p_1} \cap V_{p_2}$ . This means that  $t^{p_1}$  and  $t^{p_2}$  have the same germ at  $q$ , and since  $\mathcal{G}$  is a sheaf they must be equal on  $V_{p_1} \cap V_{p_2}$ . Gluability and identity thus tell us there exists a unique section  $t \in \mathcal{G}(U)$  such that  $t|_{V_p} = t^p$  for all  $p \in U$ . We define  $\psi((f_p)_{p \in U}) = t$ . From this definition, it can be seen that  $\psi$  is a morphism of sheaves and  $\phi = \psi \circ \text{sh}$ . To see it is the unique one, **TODO**

**TODO**add details eventually

**2.4.K.** **TODO**kernels are limits, so  $\ker(\text{presheaf} = \text{forget}(\text{sheaf})) = \text{forget}(\ker(\text{sheaf}))$  so  $\ker(\text{sheaf})$  is a sheaf?

**2.4.L.** Let  $p \in X$ . Let  $s_p \in \mathcal{F}_p$  and pick a representative  $(s, U)$ . Then,  $\text{sh}(s_p) = [\text{sh}(s), U]_p = [\prod_{q \in U} (s_q), U]_p$ . Every element of  $\mathcal{F}_p^{\text{sh}}$  is obtained in this way: if  $(\prod_{q \in U} (s_q), U)$  is a representative of some element, then we have some open set  $V \subset U$  containing  $p$  and  $f \in \mathcal{F}(V)$  such that  $s_q = f_q$  for  $q \in V$ . The element of the stalk thus is  $\text{sh}(f_q)$ , so we have surjectivity. For injectivity, if  $\text{sh}(s_p) = \text{sh}(t_p)$  for two representatives  $(s, U)$  and  $(t, U)$ , then  $[\prod_{q \in U} (s_q), U]_p = [\prod_{q \in U} (t_q), U]_p$  then there is some open set  $V \subset U$  containing  $p$  such that  $s_q = t_q$  for all  $q \in V$ . Therefore,  $s_p = t_p$ , so we have injectivity.

**2.4.M.**

## Chapter 3

### 3.1 Toward schemes

**3.1.A.** If  $\pi$  is smooth, then for any smooth function  $f : Y \rightarrow \mathbb{R}$ ,  $f \circ \pi$  is also smooth, since the composition of smooth functions is again smooth. Conversely, assume that smooth functions pull back to smooth functions. Let  $x \in X$  be a point. Pick a chart  $(U, \varphi : U \rightarrow \mathbb{R}^n)$  around  $x$ , and a chart  $(V, \psi : V \rightarrow \mathbb{R}^m)$  around  $\pi(x)$ . We want to show  $\psi \circ \pi \circ \varphi^{-1} : \mathbb{R}^n \rightarrow \mathbb{R}^m$  is smooth. To do this, we can replace  $\psi$  by its coordinates, so we can just check this for  $\psi : V \rightarrow \mathbb{R}$ . Coordinates of charts are smooth (since charts and projections  $\mathbb{R}^m \rightarrow \mathbb{R}$  are smooth). We know by assumption that  $\psi \circ \pi : \pi^{-1}(V) \rightarrow \mathbb{R}$  is again smooth. This implies that  $\psi \circ \pi \circ \varphi^{-1} : \mathbb{R}^n \rightarrow \mathbb{R}$  is smooth because  $\varphi^{-1} : \mathbb{R}^n \rightarrow U$  is a chart, so it is smooth. This proves the result.

**3.1.B.** Let  $f_q \in \mathcal{O}_{Y,q}$  and  $(f, U)$  be a representative. Then,  $(f \circ \pi, \pi^{-1}(U))$  represents the element  $(f \circ \pi)_p \in \mathcal{O}_{X,p}$ . We define  $\pi^\#(f_q) = (f \circ \pi)_p$ . Categorically speaking,  $\pi^\#$  is the colimit of the maps

$$\begin{array}{ccc} \mathcal{O}_Y & \rightarrow & \mathcal{O}_{X,p} \\ f & \mapsto & (f \circ \pi)_p \end{array}$$

and this ensures that  $\pi^\#$  really induces a morphism of stalks. If  $f \in \mathcal{O}_Y(U)$  vanishes at  $q \in U$ , then  $f \circ \pi \in \mathcal{O}_X(\pi^{-1}(U))$  vanishes at  $p$ . This is enough to show that  $\pi^\#(\mathfrak{m}_{Y,q}) \subset \mathfrak{m}_{X,p}$ .

## 3.2 The underlying set of an affine scheme

### 3.2.A.

- (a) It is a fact from ring theory that prime ideals of  $\text{Spec } k[\varepsilon]/(\varepsilon^2)$  are in bijection with prime ideals of  $\text{Spec } k[\varepsilon]$  that contain  $(\varepsilon^2)$ . The only such prime ideal is  $(\varepsilon)$ . Therefore,  $\text{Spec } k[\varepsilon]/(\varepsilon^2) = \{[(\varepsilon)]\}$ .
- (b) We have the localisation morphism  $\phi : k[x] \rightarrow k[x]_{(x)}$ . Let  $\mathfrak{p}$  be a prime ideal of  $k[x]_{(x)}$ . Then  $\phi^{-1}(\mathfrak{p})$  is a prime ideal of  $k[x]$ . If  $\mathfrak{p} \neq (0)$ , then we must have  $\phi^{-1}(\mathfrak{p}) \neq (0)$ , so  $\phi^{-1}(\mathfrak{p}) = (x - a)$  for some  $a \in k$ . Furthermore,  $a$  must be 0, since  $x - a$  for nonzero  $a$  is invertible in  $k[x]_{(x)}$  and thus can't belong to  $\mathfrak{p}$ . This means that  $(x) \subset \mathfrak{p}$ . Conversely, if  $P, Q \in k[x]$  are such that  $P/Q \in \mathfrak{p}$  ( $Q$  must have no  $x$  factor for this to make sense), then  $\phi(P) = P/1 \in \mathfrak{p}$ . If  $P$  has no  $x$  factor, then it is a product of linear factors of the form  $x - a$  with  $a \neq 0$  which are all invertible in  $k[x]_{(x)}$ , so  $P$  is invertible, which contradicts  $\mathfrak{p}$  being prime. Therefore  $P/Q \in (x)$  and thus  $\mathfrak{p} = (x)$ . We conclude  $\text{Spec } k[x]_{(x)} = \{[(0)], [(x)]\}$ .

**3.2.B.** Pick  $z \in \mathbb{C}$  a complex root of  $x^2 + ax + b$ . Define a morphism of  $\mathbb{R}$ -algebras  $\mathbb{R}[x] \rightarrow \mathbb{C}$  by sending  $x$  to  $z$ . Since  $z^2 + az + b = 0$ , this morphism passes to the quotient to give  $f : \mathbb{R}[x]/(x^2 + ax + b) \rightarrow \mathbb{C}$ . In fact, this morphism is injective, since  $x^2 + ax + b$  is irreducible and must thus be the minimal polynomial of  $z$  on  $\mathbb{R}$  (meaning the kernel of the map  $\mathbb{R}[x] \rightarrow \mathbb{C}$  is exactly  $(x^2 + ax + b)$ ). This shows that  $f$  is an injective homomorphism of  $\mathbb{R}$ -algebras. Since both  $\mathbb{R}$ -algebras are two-dimensional,  $f$  is surjective and an isomorphism, and this concludes.

**3.2.C.** The discussion already given by Vakil in the case of  $\mathbb{A}_{\mathbb{R}}^1$  or  $\mathbb{A}_{\mathbb{F}_p}^1$  can be paralleled here:  $\mathbb{A}_{\mathbb{Q}}^1$  is the set of points of  $\overline{\mathbb{Q}}$  modulo the action of the absolute Galois group  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ , plus the generic point  $[(0)]$ .

**3.2.D.** Assume for the sake of contradiction that there are only finitely many points  $p_1, \dots, p_n$ . We can further assume that all  $p_i$ s are not  $(0)$ . For all  $i$ , pick a non-zero polynomial  $P_i \in \mathfrak{p}_i$ . Then,  $P_1 \cdots P_n + 1$  is a polynomial that of positive degree, so not a unit. This means that  $(P_1 \cdots P_n + 1)$  is not all of  $k[x]$  and therefore is contained in a maximal ideal. Since a maximal ideal is prime, and we know  $P_1 \cdots P_n + 1$  is not zero,  $P_1 \cdots P_n + 1 \in \mathfrak{p}_i$  for some  $i$ . This leads to  $1 \in \mathfrak{p}_i$ , which is a contradiction.

**3.2.E.** Let  $\mathfrak{p}$  be a prime ideal of  $\mathbb{C}[x, y]$ . If  $\mathfrak{p} = (0)$ , we are done. If not, pick  $f \in \mathfrak{p} \setminus \{0\}$  of minimal degree (degree is defined as the maximal degree of a monomial where a monomial  $x^n y^m$  would have degree  $n + m$ ). Then,  $f$  is irreducible, for if we have a factorization  $f = ab$ , then either  $a$

or  $b$  is in  $\mathfrak{p}$ , but since  $f$  is of minimal degree this can only happen when  $a$  or  $b$  is a unit. Then, if  $\mathfrak{p} = (f)$ , again we are done. If not, pick  $g \in \mathfrak{p} \setminus (f)$ . Then if  $h \in \mathbb{C}[x, y]$  is a non-constant polynomial such that  $g = hg_1$  and  $f = hf_1$ , since  $\mathfrak{p}$  is prime either  $h \in \mathfrak{p}$ , but then  $f = h$  by minimality and  $g \in (f)$ , which is not the case, so we must have  $g_1, f_1 \in \mathfrak{p}$  and  $f = f_1$ . We can then repeat the same reasoning with  $g_1$  and  $f$  until there is no non-constant polynomial  $h$  dividing both  $g_1$  and  $f$  (this process must terminate eventually since the degree of  $g$  is finite and goes down every time). We rename  $g = g_1$ . We can consider  $f$  and  $g$  as elements of  $\mathbb{C}(x)[y]$ . Let  $d$  be a greatest common divisor of  $f$  and  $g$  in  $\mathbb{C}(x)[y]$ , and since greatest common divisors are well-defined up to multiplication by a unit, we can assume  $d \in \mathbb{C}[x, y]$ . The Euclidean Algorithm to compute the greatest common divisor shows that  $d \in \mathfrak{p}$ . Then,  $f = Ad$  with  $A \in \mathbb{C}(x)[y]$ . This means there is some polynomial  $P \in \mathbb{C}[x]$  such that  $d \mid Pf$  in  $\mathbb{C}[x, y]$ . In much the same way there is some  $Q \in \mathbb{C}[x]$  such that  $d \mid Qg$ . If  $d \notin \mathbb{C}[x]$ , then there must be some irreducible element  $p \in \mathbb{C}[x, y] \setminus \mathbb{C}[x]$  dividing  $d$ . Since  $\mathbb{C}[x, y]$  is a unique factorization domain, irreducible elements are prime, so from  $p \mid Pf$  we get  $p \mid P$  or  $p \mid f$ . However,  $p$  is not a polynomial in  $x$  and therefore cannot divide  $P$  (if you're unsure about this, reason in  $\mathbb{C}(x)[y]$ , where the degree only accounts for  $y$ . Thinking about the degree shows that you cannot remove  $y$  by multiplying by some other polynomial in  $x$  and  $y$ ). Therefore  $p \mid f$ , and similarly  $p \mid g$ . This is a contradiction since we assumed  $f$  and  $g$  had no common factors. Therefore,  $d \in \mathbb{C}[x]$ . Since  $d \in \mathfrak{p}$ , by primality and since  $\mathbb{C}$  is algebraically closed,  $(x - a) \in \mathfrak{p}$  for some  $a \in \mathbb{C}$ . Switching  $x$  and  $y$  around and carrying out the exact same reasoning shows that  $(y - b) \in \mathfrak{p}$  for some  $b \in \mathbb{C}$ . Therefore,  $(x - a, y - b) \subset \mathfrak{p}$ , and we get  $(x - a, y - b) = \mathfrak{p}$  since  $(x - a, y - b)$  is a maximal ideal.

**3.2.F.** Let  $k$  be an algebraically closed field and  $\mathfrak{m}$  a maximal ideal of  $k[x_1, \dots, x_n]$ . Then, the Nullstellensatz  $k[x_1, \dots, x_n]/\mathfrak{m}$  is a finite field extension of  $k$ , so an algebraic extension of  $k$ , so it is field-isomorphic to  $k$  since  $k$  is algebraically closed. Let  $\pi : k[x_1, \dots, x_n]/\mathfrak{m} \xrightarrow{\sim} k$  be the isomorphism. We can further assume  $\pi$  is a  $k$ -morphism, that is  $\pi([a]) = a$  for all  $a \in k$ , since we can compose with an appropriate automorphism of  $k$  at the end. Then,  $\pi([x_i]) = a_i$ , so  $\pi([x_i] - [a_i]) = 0$ , so  $(x_1 - a_1, \dots, x_n - a_n) \subset \mathfrak{m}$ , and the conclusion follows since  $(x_1 - a_1, \dots, x_n - a_n)$  is maximal.

**3.2.G.** Multiplication by a nonzero  $x \in A$  is a  $k$ -linear from  $A \rightarrow A$ . Since  $A$  is an integral domain, it is injective. Since  $A$  is finite-dimensional over  $k$ , it is surjective. This means that there is some  $y \in A$  such that  $xy = 1$ , in other words,  $A$  is a field.

**3.2.H.** The maximal ideals are  $(X^2 - 2, X - Y)$  and  $(X^2 - 2, X + Y)$ . The residue field is  $\mathbb{Q}(\sqrt{2})$  in both cases.

**3.2.I.**

- (a) We have a morphism of  $\mathbb{Q}$ -algebras  $\text{ev}_{(\pi, \pi^2)} : f(x, y) \mapsto f(\pi, \pi^2)$  whose kernel is precisely  $\phi(\pi, \pi^2)$ . Since  $\pi$  is transcendental over  $\mathbb{Q}$ ,  $\text{ev}_\pi : \mathbb{Q}[x] \rightarrow \mathbb{Q}[\pi]$  is an isomorphism of  $\mathbb{Q}$ -algebras. Therefore the map  $\text{ev}_{(\pi, \pi^2)} : f(x, y) \mapsto f(\pi, \pi^2)$  can be broken down into the composition
- $$\begin{array}{ccc} \mathbb{Q}[x] & \xrightarrow{\text{ev}_\pi} & \mathbb{Q}[\pi] \\ P(x) & \mapsto & P(\pi) \end{array}$$

$$\begin{array}{ccc}
\mathbb{Q}[\pi, y] & \xrightarrow{y \mapsto \pi^2} & \mathbb{Q}[\pi] \\
\uparrow \scriptstyle x \mapsto \pi & \nearrow \scriptstyle \text{ev}_{(\pi, \pi^2)} & \downarrow \scriptstyle \pi \mapsto x \\
\mathbb{Q}[x, y] & \xrightarrow{y \mapsto x^2} & \mathbb{Q}[x]
\end{array}$$

And that  $\pi \mapsto x$  is an isomorphism yields

$$\ker \text{ev}_{(\pi, \pi^2)} = \ker(y \mapsto x^2) = (x^2 - y)$$

(b) **TODO**

**3.2.J.** Let  $\mathfrak{p}$  be an ideal of  $A$  containing  $I$ . We have morphisms  $A \xrightarrow{\phi} A/I \xrightarrow{\pi} (A/I)/\phi(\mathfrak{p})$ . The kernel of  $\pi\phi$  is precisely  $\mathfrak{p}$ , since  $\mathfrak{p} \supset I$ . Furthermore,  $\pi\phi$  is surjective since  $\pi$  and  $\phi$  are. The first isomorphism theorem then gives  $A/\mathfrak{p} \simeq (A/I)/\phi(\mathfrak{p})$ , so  $A/\mathfrak{p}$  is an integral domain if and only if  $(A/I)/\phi(\mathfrak{p})$  is, which amounts to saying  $\mathfrak{p}$  is prime if and only if  $\phi(\mathfrak{p})$  is prime. We have  $\mathfrak{p} \subset \phi^{-1}(\phi(\mathfrak{p}))$ . If  $a \in \phi^{-1}(\phi(\mathfrak{p}))$ , then  $\phi(a) = \phi(t)$  for  $t \in \mathfrak{p}$ , so  $\phi(a - t) = 0$  which means  $a - t \in I \subset \mathfrak{p}$ , so  $a \in \mathfrak{p}$ . Thus  $\phi^{-1}(\phi(\mathfrak{p})) = \mathfrak{p}$ . Conversely, if  $\mathfrak{p}$  is an ideal of  $A/I$ , then  $\phi^{-1}(\mathfrak{p})$  is an ideal of  $A$ . Surjectivity of  $\phi$  gives  $\phi(\phi^{-1}(\mathfrak{p})) = \mathfrak{p}$ , so previous discussion shows  $\phi^{-1}(\mathfrak{p})$  is prime if and only if  $\mathfrak{p}$  is prime. The fact that  $\phi^{-1}$  preserves order is a general fact about maps of sets.

**3.2.K.** Let  $\phi : a \mapsto \frac{a}{1}$  be the localization morphism. Define maps

$$\begin{aligned}
\{\text{prime ideals of } A \text{ not meeting } S\} &\longleftrightarrow \{\text{prime ideals of } S^{-1}A\} \\
\mathfrak{p} &\longmapsto (\phi(\mathfrak{p})) \\
\phi^{-1}(\mathfrak{p}) &\longleftarrow \mathfrak{p}
\end{aligned}$$

These maps are well-defined. Indeed, if  $\mathfrak{p}$  is a prime ideal of  $S^{-1}A$ , then  $\phi^{-1}(\mathfrak{p})$  is a prime ideal of  $A$  since  $\phi$  is a morphism of rings, and it doesn't meet  $S$  since  $\phi$  maps  $S$  to units of  $S^{-1}A$  and  $\mathfrak{p}$  is a prime ideal so it doesn't contain units. Conversely, let  $\mathfrak{p}$  be a prime ideal of  $A$  not meeting  $S$ . Then  $(\phi(\mathfrak{p})) = \left\{ \frac{a}{s} \mid a \in \mathfrak{p}, s \in S \right\}$ . We let  $S^{-1}\mathfrak{p} = \left\{ \frac{a}{s} \mid a \in \mathfrak{p}, s \in S \right\}$  for convenience. Indeed, we have  $\frac{a}{s} = \frac{1}{s} \frac{a}{1}$ , and the inclusion  $\supset$  follows. If  $a_1, a_2 \in \mathfrak{p}$  and  $\frac{b_1}{s_1}, \frac{b_2}{s_2} \in S^{-1}A$ , then

$$\frac{b_1}{s_1} \frac{a_1}{1} + \frac{b_2}{s_2} \frac{a_2}{1} = \frac{s_2 b_1 a_1 + s_1 b_2 a_2}{s_1 s_2} \in S^{-1}\mathfrak{p}$$

(since  $\mathfrak{p}$  is an ideal) and this generalizes to arbitrary finite sums by induction, so we do have the equality. Then if  $\mathfrak{p}$  is a prime ideal of  $A$  not meeting  $S$ , then  $(\phi(\mathfrak{p})) \neq S^{-1}A$  because there are no units since  $\mathfrak{p}$  does not meet  $S$ , and if  $\frac{a}{s} \frac{b}{t} \in (\phi(\mathfrak{p}))$ , then  $\frac{ab}{st} \in S^{-1}\mathfrak{p}$ , so  $ab \in \mathfrak{p}$ , so either  $a$  or  $b$  is in  $\mathfrak{p}$ , so either  $\frac{a}{s}$  or  $\frac{b}{t}$  must be in  $S^{-1}\mathfrak{p}$ . This shows  $(\phi(\mathfrak{p}))$  is prime.

That the maps preserve order is easy to see. It remains to check they are inverses of each other. If  $\mathfrak{p}$  is a prime ideal of  $A$  not meeting  $S$ , then

$$\phi^{-1}((\phi(\mathfrak{p}))) = \phi^{-1}\left(\left\{ \frac{a}{s} \mid a \in \mathfrak{p}, s \in S \right\}\right) = \phi^{-1}\left(\left\{ \frac{a}{1} \mid a \in \mathfrak{p} \right\}\right) = \mathfrak{p}$$

and the other way around, if  $\mathfrak{p}$  is a prime ideal of  $S^{-1}A$ ,

$$(\phi(\phi^{-1}(\mathfrak{p}))) = (\mathfrak{p} \cap \phi(A)) \subset \mathfrak{p}$$

but if  $\frac{a}{s} \in \mathfrak{p}$ , then  $\frac{a}{s} = \frac{1}{s} \frac{a}{1} = \frac{1}{s} \phi(a) \in (\mathfrak{p} \cap \phi(A))$ , so we have equality. and we are done.

**3.2.L.** We can define a map  $\mathbb{C}[x, y] \rightarrow \mathbb{C}[x]_x$  by sending  $x$  to  $x$  and  $y$  to  $0$ . Passing to the quotient gives a map  $\mathbb{C}[x, y]/(xy) \rightarrow \mathbb{C}[x]_x$ . Since  $x + (xy)$  is still sent to  $x$  which is invertible in  $\mathbb{C}[x]_x$ , we obtain a map  $\phi : (\mathbb{C}[x, y]/(xy))_x \rightarrow \mathbb{C}[x]_x$ . We check injectivity and surjectivity manually. Consider  $\frac{f(x, y)}{x^n} \in \ker \phi$  (we omit writing  $+(xy)$  for convenience). Then

$$\phi\left(\frac{f(x, y)}{x^n}\right) = \frac{1}{x^n} \phi\left(\frac{f(x, y)}{1}\right) = \frac{f(x, 0)}{x^n}$$

So  $\frac{f(x, 0)}{x^n} = 0$ . This means  $f(x, 0) = 0$  in  $\mathbb{C}[x]$ , which in turns implies  $f(x, y) = yg(x, y)$  for some  $g(x, y) \in \mathbb{C}[x, y]$  (this can be seen by explicitly writing out what  $f(x, 0)$  is). Therefore, in  $(\mathbb{C}[x, y]/(xy))_x$ , we have

$$\frac{x}{1} \frac{f(x, y)}{1} = \frac{xyg(x, y)}{1} = 0$$

So  $\frac{f(x, y)}{1} = 0$  since  $\frac{x}{1}$  is invertible, and in turn  $\frac{f(x, y)}{x^n} = 0$ , so  $\ker \phi = \{0\}$  and  $\phi$  is injective.

If  $\frac{f(x)}{x^n} \in \mathbb{C}[x]_x$ , then  $\phi\left(\frac{f(x)}{x^n}\right) = \frac{f(x)}{x^n}$ , so  $\phi$  is surjective. This concludes.

**3.2.M.** We have used this implicitly in the previous exercises. Let  $\mathfrak{p}$  be a prime ideal of  $A$ . Consider the composition,  $B \xrightarrow{\phi} A \xrightarrow{\pi} A/\mathfrak{p}$ . Its kernel is  $\phi^{-1}(\mathfrak{p})$ , so it induces an injective ring map  $B/\phi^{-1}(\mathfrak{p}) \hookrightarrow A/\mathfrak{p}$ . Since  $\mathfrak{p}$  is prime,  $A/\mathfrak{p}$  is an integral domain, so  $B/\phi^{-1}(\mathfrak{p})$  also is, which shows  $\phi^{-1}(\mathfrak{p})$  is prime.

**3.2.N.**

- (a) Let  $\pi : B \rightarrow B/I$  be the canonical projection. Exercise **3.2.J.** tells us there is a bijection  $\{\mathfrak{p} \in \text{Spec } B \mid I \subset \mathfrak{p}\} \xrightarrow{\sim} \text{Spec } B/I$ , given by  $\mathfrak{p} \mapsto \pi(\mathfrak{p})$ . Therefore we obtain a map

$$\begin{array}{ccc} \{\mathfrak{p} \in \text{Spec } B \mid I \subset \mathfrak{p}\} & \rightarrow & \text{Spec } B \\ \mathfrak{p} & \mapsto & \pi^{-1}(\pi(\mathfrak{p})) \end{array}$$

since  $\pi^{-1}(\pi(\mathfrak{p})) = \mathfrak{p} + I = \mathfrak{p}$ , the map above really is the inclusion.

- (b) Let  $\phi : B \rightarrow S^{-1}B$  be the localisation morphism. Exercise **3.2.K.** gives us a bijection

$$\begin{array}{ccc} \{\text{prime ideals of } A \text{ not meeting } S\} & \rightarrow & \text{Spec } S^{-1}A \\ \mathfrak{p} & \mapsto & \phi(\mathfrak{p}) \end{array}$$

So we obtain a map

$$\begin{array}{ccc} \{\text{prime ideals of } A \text{ not meeting } S\} & \rightarrow & \text{Spec } A \\ \mathfrak{p} & \mapsto & \phi^{-1}(\phi(\mathfrak{p})) \end{array}$$

And it was proven in exercise **3.2.K.** that  $\phi^{-1}(\phi(\mathfrak{p})) = \mathfrak{p}$ , so the map above really is the inclusion.



**3.2.O.** The map of rings  $\mathbb{C}[y] \rightarrow \mathbb{C}[x]$  that sends  $y$  to  $x^2$  sends a polynomial in  $y$  to a polynomial in  $x^2$ . It basically takes a function on  $\mathbb{C}$  (a polynomial in  $y$ ) and returns the same function pulled back by  $x \mapsto y = x^2$ . This map of rings gives a map of spectra in the opposite direction. Precisely, if  $(x - a) \in \text{Spec } \mathbb{C}[x]$ , then the image of  $(x - a)$  by this map is

$$\{P \in \mathbb{C}[y] \mid (x - a) \mid P(x^2)\} = \{P \in \mathbb{C}[y] \mid P(a^2) = 0\} = (y - a^2)$$

Therefore, the preimage of a point  $(y - a) \in \text{Spec } \mathbb{C}[y]$  is  $(x \pm \sqrt{a}) \in \text{Spec } \mathbb{C}[x]$  where  $\sqrt{a}$  is a square root of  $a$ .

**3.2.P.**

- (a) Let  $A, B$  be rings,  $\phi : A \rightarrow B$  be a morphism of rings and  $I \subset B, J \subset A$  be ideals such that  $\phi(J) \subset I$ . This last conditions means that the map  $A \rightarrow B/I$  given by composing  $\phi$  with the canonical projections passes to the quotient to give a map  $A/J \rightarrow B/I$ , which in turns induces a map of sets  $\text{Spec } B/I \rightarrow \text{Spec } A/J$ .
- (b) The image of the point  $(a_1, \dots, a_m) \in k^m$  is

$$\{P \in k[y_1, \dots, y_n] \mid P(f_1, \dots, f_n) \in (x - a_1, \dots, x - a_m)\}$$

which is equal to

$$\{P \in k[y_1, \dots, y_n] \mid P(f_1(a_1, \dots, a_m), \dots, f_n(a_1, \dots, a_m)) = 0\}$$

which is precisely the point  $(f_1(a_1, \dots, a_m), \dots, f_n(a_1, \dots, a_m)) \in k^n$ .

**3.2.Q.** We have

$$\begin{aligned} \pi^{-1}([(p)]) &= \{I \in \mathbb{A}_{\mathbb{Z}}^n \mid \pi(I) = (p)\} \\ &= \{I \in \mathbb{A}_{\mathbb{Z}}^n \mid I \cap \mathbb{Z} = (p)\} \\ &= \{I \in \text{Spec } \mathbb{Z}[x_1, \dots, x_n] \mid (p) \subset I\} \\ &\simeq \text{Spec } \mathbb{Z}[x_1, \dots, x_n]/(p) \\ &\simeq \text{Spec } \mathbb{F}_p[x_1, \dots, x_n] \\ &= \mathbb{A}_{\mathbb{F}_p}^n \end{aligned}$$

The third equality requires some thought: if  $I \cap \mathbb{Z} = (p)$ , then  $(p) \subset I$ . The other way around, if  $(p) \subset I$ , then  $(p) \subset I \cap \mathbb{Z}$ , and equality follows since  $I \cap \mathbb{Z}$  is an ideal of  $\mathbb{Z}$  and  $(p)$  is a maximal ideal of  $\mathbb{Z}$ . The explicit bijection is given by

$$\begin{aligned} \pi^{-1}([(p)]) &\rightarrow \mathbb{A}_{\mathbb{F}_p}^n \\ I &\mapsto (I \bmod p) \end{aligned}$$

We have a bijection

$$\pi^{-1}([(0)]) = \{I \in \mathbb{A}_{\mathbb{Z}}^n \mid I \cap \mathbb{Z} = \{0\}\} \leftrightarrow \mathbb{A}_{\mathbb{Q}}^n$$

Given by  $I \mapsto \mathbb{Q}I$  and  $I \cap \mathbb{Z}[x_1, \dots, x_n] \mapsto I$ .

### 3.2.R.

- (a) Any prime ideal  $\mathfrak{p} \in \text{Spec } B$  contains  $I$  since nilpotents are contained in all prime ideals. Therefore the inclusion  $\text{Spec } B/I \rightarrow \text{Spec } B$  is a bijection since its image is prime ideals containing  $I$ , that is all prime ideals.
- (b) If  $x, y$  are nilpotent with  $x^n = 0, y^m = 0$ , then  $ax$  is also nilpotent for any  $a \in B$  since  $(axy)^n = 0$ . Furthermore,  $(x + y)^{n+m} = 0$  by binomial expansion (all terms are zero, look at exponents).

**3.2.S.** Let  $x \in A$ . Prime ideals of  $A_x$  correspond to prime ideals of  $A$  not meeting  $\{x^n \mid n \in \mathbb{N}\}$ . If  $x$  is not nilpotent then  $A_x$  must not be the zero ring, so it must contain a maximal (therefore prime) ideal. This means there exists a prime ideal not containing  $x$ , which concludes.

**3.2.T.** If  $f(x) = \sum_{k \geq 0} a_k x^k$ , we have

$$\begin{aligned}
 f(x + \varepsilon) &= \sum_{k \geq 0} a_k (x + \varepsilon)^k \\
 &= \sum_{k \geq 0} a_k \sum_{i=0}^k \binom{k}{i} \varepsilon^i x^{k-i} \\
 &= \sum_{k \geq 0} a_k (x^k + k\varepsilon x^{k-1}) \\
 &= \sum_{k \geq 0} a_k x^k + \varepsilon \sum_{k \geq 0} a_k k x^{k-1} \\
 &= f(x) + \varepsilon f'(x)
 \end{aligned}$$

## 3.3 Visualizing schemes: Generic points

No exercises here...

## 3.4 The underlying topological space of an affine scheme

**3.4.A.** Let  $[p] \in V(y, z)$ . Then,  $y \in \mathfrak{p}$  so  $xy \in \mathfrak{p}$  and  $z \in \mathfrak{p}$  so  $yz \in \mathfrak{p}$ , so  $[p] \in V(xy, yz)$ .

**3.4.B.** Let  $[p] \in \text{Spec } A$ . If  $\mathfrak{p}$  contains  $S$ , then it contains  $(S)$ , since  $\mathfrak{p}$  is an ideal. If  $\mathfrak{p}$  contains  $(S)$ , then it contains  $S$  since  $S \subset (S)$ .

### 3.4.C.

- (a) We have  $\emptyset = V(1)$  and  $\text{Spec } A = V(0)$ .
- (b) Let  $[p] \in \cap_i V(I_i)$ . Then,  $I_i \subset \mathfrak{p}$  for all  $i$ , so  $\sum I_i \subset \mathfrak{p}$ , so  $[p] \in V(\sum_i I_i)$ . Conversely, if  $[p] \in V(\sum_i I_i)$ , then  $I_i \subset \sum_j I_j \subset \mathfrak{p}$ , so  $[p] \in V(I_i)$  for all  $i$  and  $[p] \in \cap_i V(I_i)$ .

- (c) Let  $[p] \in V(I_1) \cup V(I_2)$ . Then either  $I_1 \subset p$  or  $I_2 \subset p$ , and in either case we deduce  $I_1 I_2 \subset p$ , so  $[p] \in V(I_1 I_2)$ . Conversely, if  $[p] \in V(I_1 I_2)$ , then  $I_1 I_2 \subset p$ . If  $I_1 \subset p$ , we are done. If not, then there exists  $a \in I_1 \setminus p$ . Then, if  $b \in I_2$ ,  $ab \in I_1 I_2 \subset p$ . Since  $p$  is prime and  $a \notin p$ , we have  $b \in p$ . Therefore,  $I_2 \subset p$ .

**3.4.D.** We have  $\sqrt{I} = \pi^{-1}(\sqrt{(0)})$  where  $\pi : A \rightarrow A/I$  is the canonical projection, therefore it is an ideal by exercise 3.2.R.. Let  $p$  be a prime ideal. If  $\sqrt{I} \subset p$ , we have  $I \subset \sqrt{I} \subset p$ . Conversely, if  $I \subset p$ , then if  $a \in \sqrt{I}$ , there is some  $n \in \mathbb{N}^*$  such that  $a^n \in I \subset p$ . Since  $p$  is prime, either  $a$  or  $a^{n-1}$  is in  $p$ , and by iterating this we obtain  $a \in p$ , so  $\sqrt{I} \subset p$ . This reasoning also shows any prime ideal is radical.

We have  $\sqrt{I} \subset \sqrt{\sqrt{I}}$ . Conversely, if  $a \in \sqrt{\sqrt{I}}$ , then we have some  $n \in \mathbb{N}^*$  such that  $a^n \in \sqrt{I}$ , so we have some  $m \in \mathbb{N}^*$  such that  $a^{nm} \in I$ , so  $a \in \sqrt{I}$ , which proves  $\sqrt{I} \subset \sqrt{\sqrt{I}}$ .

**3.4.E.** Assume  $a \in \sqrt{\bigcap_{i=1}^n I_i}$ . Then  $a^k \in \bigcap_{i=1}^n I_i \subset \bigcap_{i=1}^n \sqrt{I_i}$  for some  $k \in \mathbb{N}^*$ . For each  $i$ ,  $a^k \in \sqrt{I_i}$ , so  $a \in \sqrt{\sqrt{I_i}} = \sqrt{I_i}$ . This shows  $a \in \bigcap_{i=1}^n \sqrt{I_i}$ . Now assume  $a \in \bigcap_{i=1}^n \sqrt{I_i}$ . For each  $i$  there exists  $n_i \in \mathbb{N}^*$  such that  $a^{n_i} \in I_i$ . Therefore,  $a^{\sum n_j} = \prod_j a^{n_j} \in I_i$  for each  $i$ , so  $a^{\sum n_j} \in \bigcap_{i=1}^n I_i$ , which proves  $a \in \sqrt{\bigcap_{i=1}^n I_i}$ .

**3.4.F.** We have  $\sqrt{I} = \pi^{-1}(\sqrt{(0)})$  where  $\pi : A \rightarrow A/I$  is the canonical projection. Since  $\sqrt{(0)}$  is precisely the nilpotents, we have  $\sqrt{(0)} = \bigcap_{p \in \text{Spec } A/I} p$ . Therefore,  $\sqrt{I} = \bigcap_{p \in \text{Spec } A/I} \pi^{-1}(p)$ . By

exercise 3.2.N., we get  $\sqrt{I} = \bigcap_{\substack{p \in \text{Spec } A \\ I \subset p}} p$ .

**3.4.G.** Let  $(f(x))$  be an ideal of  $k[x]$ , and  $(p(x)) \in \mathbb{A}_k^1$  be a prime ideal generated by an irreducible polynomial. Then  $[(p(x))] \in V(f(x)) \iff p(x) \mid f(x)$ , so  $V(f(x))$  is precisely the set of irreducible factors of  $f(x)$ . From this we deduce closed sets of  $\mathbb{A}_k^1$  are precisely the finite sets of points other than  $[(0)]$ , and  $\mathbb{A}_k^1$ , since either  $f$  has a finite (possibly zero) number of irreducible factors or  $f = 0$ . This means  $[(0)]$  is in every open set: it is dense in  $\mathbb{A}_k^1$ .

**3.4.H.** Let  $S \subset B$ . We have

$$\pi^{-1}(V(S)) = \{p \in \text{Spec } A \mid S \subset \phi^{-1}(p)\} = \{p \in \text{Spec } A \mid \phi(S) \subset p\} = V(\phi(S))$$

Which shows  $\pi$  is continuous. Therefore,  $\text{Spec} : \text{Rings} \rightarrow \text{Top}$  maps rings to topological spaces, and ring maps to continuous maps between these spaces, that go the other way around. Furthermore, if  $C \xrightarrow{\psi} B \xrightarrow{\phi} A$  are two ring maps, then we have  $\text{Spec}(\phi \circ \psi) = (p \mapsto (\phi \circ \psi)^{-1}(p)) = (p \mapsto \phi^{-1}(\psi^{-1}(p))) = \text{Spec}(\phi) \circ \text{Spec}(\psi)$ , so  $\text{Spec}$  is a contravariant functor.

**3.4.I.**

- (a) We have the identification  $\text{Spec } B/I = \{p \in \text{Spec } B \mid I \subset p\} = V(I)$ , so  $\text{Spec } B/I$  is naturally a closed subset of  $\text{Spec } B$ . Similarly,

$$\text{Spec } S^{-1}B = \{p \in \text{Spec } B \mid S \cap p = \emptyset\} = \{p \in \text{Spec } B \mid f \notin p\} = (\text{Spec } B) \setminus V(f)$$

So  $\text{Spec } S^{-1}B$  is naturally an open subset of  $\text{Spec } B$ . We have  $\text{Spec } \mathbb{Q} = \{[(0)]\}$ . It is identified with  $\{[(0)]\} \in \text{Spec } \mathbb{Z}$  since  $\mathbb{Q} = (\mathbb{Z} \setminus \{0\})^{-1}\mathbb{Z}$ . If  $S \subset \mathbb{Z}$  is such that  $S \subset \mathfrak{p} \iff \mathfrak{p} \neq (0)$ , then  $S$  must contain at least one non-zero integer  $n \in S$ . If  $\mathfrak{p}$  is a prime not dividing  $n$ , then  $n \notin (\mathfrak{p})$ , so  $(\mathfrak{p}) \notin V(S)$ , and this is a contradiction. Therefore  $(\text{Spec } \mathbb{Q})^c$  cannot be of the form  $V(S)$ , so is not closed, and this shows  $\text{Spec } \mathbb{Q}$  is not open in  $\text{Spec } \mathbb{Z}$ .

(b) We have

$$\begin{aligned} V_{B/I}(J) &= \{\mathfrak{p} \in \text{Spec } B/I \mid J \subset \mathfrak{p}\} \\ &= \{\mathfrak{p} \in \text{Spec } B \mid I \subset \mathfrak{p}, J \subset \mathfrak{p}\} \\ &= V_B(J) \cap V_B(I) \\ &= V_B(J) \cap \text{Spec } B/I \end{aligned}$$

which shows the topology on  $\text{Spec } B/I$  is the subspace topology induced by  $\text{Spec } B$ . Similarly,

$$\begin{aligned} V_{S^{-1}B}(J) &= \{\mathfrak{p} \in \text{Spec } S^{-1}B \mid J \subset \mathfrak{p}\} \\ &= \{\mathfrak{p} \in \text{Spec } B \mid S \cap \mathfrak{p} = \emptyset, J \subset \mathfrak{p}\} \\ &= \{\mathfrak{p} \in \text{Spec } B \mid S \cap \mathfrak{p} = \emptyset\} \cap \{\mathfrak{p} \in \text{Spec } B \mid J \subset \mathfrak{p}\} \\ &= \text{Spec } S^{-1}B \cap V_B(J) \end{aligned}$$

So the topology on  $\text{Spec } S^{-1}B$  is the subspace topology induced by  $\text{Spec } B$ .

**3.4.J.** By exercise 3.4.F, we have:

$$\begin{aligned} f \text{ vanishes on } I &\iff \forall \mathfrak{p} \in \text{Spec } B, (I \subset \mathfrak{p}) \implies f \in \mathfrak{p} \\ &\iff f \in \bigcap_{\substack{\mathfrak{p} \in \text{Spec } B \\ I \subset \mathfrak{p}}} \mathfrak{p} \\ &\iff f \in \sqrt{I} \end{aligned}$$

**3.4.K.** We have  $\text{Spec } k[x]_{(x)} = \{[(0)], [(x)]\}$  (exercise 3.2.A.). The sets  $\emptyset$  and  $\text{Spec } k[x]_{(x)}$  are closed. We have  $\overline{\{[(0)]\}} = \text{Spec } k[x]_{(x)}$  so  $\{[(0)]\}$  cannot be closed. Furthermore,  $\{[(x)]\} = V(x)$ , so  $\{[(x)]\}$  is closed. Therefore,  $\text{Spec } k[X]_{(x)}$  is homeomorphic to  $\{0, 1\}$  equipped with open sets  $\{\emptyset, \{1\}, \{0, 1\}\}$ .

### 3.5 A base of the Zariski topology on $\text{Spec } A$ : Distinguished open sets

**3.5.A.** An Zariski-open set of  $\text{Spec } A$  is of the form  $V(S)^c$  where  $S \subset A$ . Then,

$$V(S)^c = V\left(\bigcup_{f \in S} f\right)^c = \left(\bigcap_{f \in S} V(f)\right)^c = \bigcup_{f \in S} V(f)^c = \bigcup_{f \in S} D(f)$$

**3.5.B.** By the previous exercise,  $\bigcup_{i \in J} D(f_i) = V(\{f_i \mid i \in J\})^c = V(\sum_{i \in J} (f_i))^c$ . If  $1 \in \sum_{i \in J} (f_i)$ , then we obtain

$$\bigcup_{i \in J} D(f_i) = V(A)^c = \emptyset^c = \text{Spec } A$$

Conversely, if  $\bigcup_{i \in J} D(f_i) = \text{Spec } A$ , then  $V(\sum_{i \in J} (f_i)) = \emptyset$ . This is only possible if  $\sum_{i \in J} (f_i) = A$ , because if not,  $\sum_{i \in J} (f_i)$  is contained in a maximal (and therefore prime) ideal.

**3.5.C.** Assume  $\text{Spec } A = \bigcup_{i \in J} D(f_i)$ . By the previous exercise, we obtain  $1 \in \sum_{i \in J} (f_i)$ , so there is some  $n \in \mathbb{N}^*$  and  $a_1, \dots, a_n \in A$ ,  $i_1, \dots, i_n \in J$  such that  $\sum_{k=1}^n a_k f_{i_k} = 1$ . Therefore, by the previous exercise,  $\text{Spec } A = \bigcup_{k=1}^n D(f_{i_k})$ .

**3.5.D.** We have

$$D(f) \cap D(g) = (V(f) \cup V(g))^c = V(fg)^c = D(fg)$$

**3.5.E.** If  $D(f) \subset D(g)$ , then  $V(g) \subset V(f)$ , so by exercise **3.4.J.**, we obtain  $f \in \sqrt{(g)}$  i.e.  $f^n \in (g)$  for some  $n \geq 1$ . If  $f^n \in (g)$  for some  $n \geq 1$ , then  $f^n = gt$  for some  $t \in A$ , so  $g(tf^{-n}) = 1$  in  $A_f$ , hence  $g$  is invertible in  $A_f$ . If  $g$  is invertible in  $A_f$ , and  $\phi : A \rightarrow A_f$  is the localization morphism, then  $D(f) = \phi^{-1}(\text{Spec } A_f)$ . Let  $\phi^{-1}(\mathfrak{p}) \in D(f)$ . We know  $g \notin \mathfrak{p}$  in  $A_f$  since a prime ideal cannot contain an invertible element, so  $\phi^{-1}(\mathfrak{p}) \in D(g)$ , and this shows  $D(f) \subset D(g)$ .

**3.5.F.** We have

$$D(f) = \emptyset \iff V(f) = \text{Spec } A \iff \forall \mathfrak{p} \in \text{Spec } A, f \in \mathfrak{p} \iff f \in \bigcap_{\mathfrak{p} \in \text{Spec } A} \mathfrak{p} = \mathfrak{N}$$

**3.5.G.** Let  $\phi : B \hookrightarrow A$  be an injective ring map. Then we have an induced map

$$\begin{aligned} \phi^{-1} : \text{Spec } A &\rightarrow \text{Spec } B \\ \mathfrak{p} &\mapsto \phi^{-1}(\mathfrak{p}) \end{aligned}$$

If  $S \subset B$ , we have shown  $\phi^{-1}(V(S)) = V(\phi(S))$  in exercise **3.4.H.** We have

$$\overline{\{\phi^{-1}(\mathfrak{p}) \mid \mathfrak{p} \in \text{Spec } A\}} = \bigcap_{\substack{S \subset B \\ \text{Im } \phi^{-1} \subset V(S)}} V(S)$$

For  $S \subset B$ , notice that

$$\begin{aligned} \text{Im } \phi^{-1} \subset V(S) &\iff \forall \mathfrak{p} \in \text{Spec } A, \phi^{-1}(\mathfrak{p}) \in V(S) \\ &\iff \forall \mathfrak{p} \in \text{Spec } A, S \subset \phi^{-1}(\mathfrak{p}) \\ &\iff \forall \mathfrak{p} \in \text{Spec } A, \phi(S) \subset \mathfrak{p} \\ &\iff \phi(S) \subset \bigcap_{\mathfrak{p} \in \text{Spec } A} \mathfrak{p} = \mathfrak{N}_A \end{aligned}$$

And since  $\phi$  is injective,  $\phi(x)$  is nilpotent if and only if  $x$  is nilpotent, so we obtain

$$\overline{\{\phi^{-1}(\mathfrak{p}) \mid \mathfrak{p} \in \text{Spec } A\}} = \bigcap_{\substack{S \subset B \\ \text{Im } \phi^{-1} \subset V(S)}} V(S) = \bigcap_{S \subset \mathfrak{N}_B} V(S) = V(\mathfrak{N}_B) = \text{Spec } B$$

This is because if  $S \subset \mathfrak{N}_B$ , then  $V(\mathfrak{N}_B) \subset V(S)$ . Hence the image of  $\phi^{-1}$  is dense. **TODO:** redo proof with distinguished open sets, and see if it's shorter

### 3.6 Topological (and Noetherian) properties

**3.6.A.** We assume  $n = 2$  for convenience (the general case can then be proved by induction). The canonical projection  $\pi_1 : A \rightarrow A_1$  is a ring map, so we have a continuous hence a continuous map

$$\begin{aligned} \pi_1^{-1} : \text{Spec } A_1 &\rightarrow \text{Spec } A \\ \mathfrak{p} &\mapsto \pi_1^{-1}(\mathfrak{p}) = \mathfrak{p} \times A_2 \end{aligned}$$

Similarly,  $\pi_2 : A \rightarrow A_2$  induces  $\pi_2^{-1} : \text{Spec } A_2 \rightarrow \text{Spec } A$ , and we can glue these maps together to obtain the continuous map

$$\begin{aligned} f : \text{Spec } A_1 \amalg \text{Spec } A_2 &\rightarrow \text{Spec}(A_1 \times A_2) \\ \mathfrak{p} &\mapsto \begin{cases} \mathfrak{p} \times A_2 & \text{if } \mathfrak{p} \in \text{Spec } A_1 \\ A_1 \times \mathfrak{p} & \text{if } \mathfrak{p} \in \text{Spec } A_2 \end{cases} \end{aligned}$$

We need to show it is a homeomorphism. We show it is bijective. If  $f(\mathfrak{p}) = f(\mathfrak{q})$ , then we must have  $\mathfrak{p}, \mathfrak{q} \in \text{Spec } A_1$  or  $\mathfrak{p}, \mathfrak{q} \in \text{Spec } A_2$  (since a prime ideal is not the entire ring.) Applying a canonical projection yields  $\mathfrak{p} = \mathfrak{q}$  in both cases, so  $f$  is injective. To show it is surjective, consider  $\mathfrak{p} \in \text{Spec}(A_1 \times A_2)$ . If  $(a, b) \in \mathfrak{p}$ , then  $(a, 1) \cdot (1, b) \in \mathfrak{p}$ . Since  $\mathfrak{p}$  is prime, we must have  $(a, 1) \in \mathfrak{p}$  or  $(1, b) \in \mathfrak{p}$ . Assume  $(a, 1) \in \mathfrak{p}$ . Then  $(0, 1) = (0, 1) \cdot (a, 1) \in \mathfrak{p}$ . Then, if  $(x, y) \in \mathfrak{p}$ , for any  $z \in A_2$ , we have

$$(x, z) = (1, 0) \cdot (x, y) + (0, z) \cdot (0, 1) \in \mathfrak{p}$$

Which shows  $\mathfrak{p}$  is of the form  $\pi_1(\mathfrak{p}) \times A_2$ . In the other case where  $(1, b) \in \mathfrak{p}$ , a similar reasoning shows that  $\mathfrak{p} = A_1 \times \pi_2(\mathfrak{p})$ . This shows  $f$  is surjective.

We now show  $f$  is open. We show it is open on  $\text{Spec } A_1$ . Let  $a \in A_1$ , and consider  $D(a) \subset \text{Spec } A_1$ . Then

$$\begin{aligned} f(D(a)) &= \pi_1^{-1}(D(a)) \\ &= \{\mathfrak{p} \in \text{Spec } A \mid a \notin \pi_1(\mathfrak{p})\} \\ &= \{\mathfrak{p} \in \text{Spec } A \mid \{a\} \times A_2 \cap \mathfrak{p} = \emptyset\} \\ &= \text{Spec}(\{a\} \times A_2)^{-1} A \end{aligned}$$

Since  $\text{Spec}(\{a\} \times A_2)^{-1} A$  is naturally an open subset of  $\text{Spec } A$ , this shows  $f(D(a))$  is open in  $\text{Spec } A$ , and since distinguished open sets are a basis of the Zariski topology, we obtain that  $f$  is open on  $\text{Spec } A_1$ . Similarly one shows that  $f$  is open on  $\text{Spec } A_2$ , and this means  $f$  is open. Since  $f$  is bijective and open, it is a homeomorphism. Further,

$$\begin{aligned} f(\text{Spec } A_1) &= \{\mathfrak{p} \times A_2 \mid \mathfrak{p} \in \text{Spec } A_1\} \\ &= \{\mathfrak{p} \in \text{Spec } A \mid (1, 0) \cap \mathfrak{p} = \emptyset\} \\ &= D((1, 0)) \end{aligned}$$

and similarly,  $f(\text{Spec } A_2) = D((0, 1))$ .

**3.6.B.**

- (a) If  $U, V$  are open sets of an irreducible space  $X$  such that  $U \cap V = \emptyset$ , then  $U^c \cup V^c = X$ , so either  $U$  or  $V$  must be empty. Therefore a non-empty open set intersects every other open set, i.e. it is dense.
- (b) Assume  $Z$  is irreducible. Let  $\bar{Z} = (X \cap \bar{Z}) \cup (Y \cap \bar{Z})$  where  $A, B$  are closed in  $X$ . Then  $\bar{Z} = (A \cup B) \cap \bar{Z}$ . Therefore,  $Z \subset A \cup B$ , so  $Z = (A \cap Z) \cup (B \cap Z)$ . Since  $Z$  is irreducible, we can assume without loss of generality  $Z = A \cap Z$ . Since  $A$  is closed in  $X$ , we have  $\bar{Z} \subset A$ , so  $A \cap \bar{Z} = \bar{Z}$ , which means we have proven  $\bar{Z}$  is irreducible.
- Conversely, assume  $\bar{Z}$  is irreducible, and let  $A, B$  be closed subsets of  $X$  such that  $Z = (A \cap Z) \cup (B \cap Z)$ . Then  $Z = (A \cup B) \cap Z$ , so  $Z \subset A \cup B$ . Since  $A \cup B$  is closed,  $\bar{Z} \subset A \cup B$ , so we get  $\bar{Z} = (A \cap \bar{Z}) \cup (B \cap \bar{Z})$  and by irreducibility and without loss of generality we may assume  $\bar{Z} = A \cap \bar{Z}$ , which yields  $Z \subset \bar{Z} \subset A$ , so  $Z = Z \cap A$ , and  $Z$  is irreducible.

**3.6.C.** Assume  $A$  is an integral domain. Then  $[(0)]$  is contained in every non-empty open set, since it is contained in every distinguished open set  $D(f)$  where  $f \neq 0$ . This means two non-empty open sets of  $\text{Spec } A$  intersect, hence  $\text{Spec } A$  is irreducible.

**3.6.D.** If a topological space  $X$  is disconnected, then it can be written as the union of two non-empty disjoint closed sets  $X = A \amalg B$ . This means  $X$  cannot be irreducible, which completes the proof by contraposition.

**3.6.E.** Consider  $\text{Spec } \mathbb{R}[x, y]/(xy)$ . Let  $a + (xy)$  be an idempotent of  $\mathbb{R}[x, y]/(xy)$ . Then,  $xy \mid a^2 - a = a(a - 1)$ . If  $a + (xy)$  is not 0 or 1 in  $\mathbb{R}[x, y]/(xy)$ , then we obtain that  $x \mid a$  and  $y \mid a - 1$ , or  $x \mid a - 1$  and  $y \mid a$ . Both cases are impossible since either  $a$  or  $a - 1$  has a nonzero constant term. Since the ring  $\mathbb{R}[x, y]/(xy)$  has no idempotents besides 0 and 1,  $\text{Spec } \mathbb{R}[x, y]/(xy)$  is connected. However, we have  $\text{Spec } \mathbb{R}[x, y]/(xy) = \text{Spec } \mathbb{R}[x, y]/(x) \cup \text{Spec } \mathbb{R}[x, y]/(y)$ , so  $\text{Spec } \mathbb{R}[x, y]/(xy)$  is reducible.

**3.6.F.**

- (a) Consider the sub- $k$ -algebra of  $k[a, b]$  given by  $k[a^3, a^2b, ab^2, b^3]$ . Writing out products of the generators  $a^3, a^2b, ab^2, b^3$  allows one to show that

$$k[a^3, a^2b, ab^2, b^3] \simeq k[w, x, y, z]/I$$

by identifying  $w$  with  $a^3$ ,  $x$  with  $a^2b$ ,  $y$  with  $ab^2$  and  $z$  with  $b^3$  (the relations imposed are exactly the ones given by  $I$ .)

- (b) **TODO**

**3.6.G.**

- (a) Exercise 3.5.C. shows that any cover of  $\text{Spec } A$  by distinguished open sets has a finite sub-cover. Since distinguished open sets are a base of the Zariski topology on  $\text{Spec } A$ , this shows  $\text{Spec } A$  is quasi-compact.
- (b) **TODO**

**3.6.H.**

- (a) This is a well-known fact from point-set topology (**TODO**).
- (b) This is a well-known fact from point-set topology (**TODO**).

**3.6.I.** Let  $\mathfrak{m} \in \text{Spec } A$  be a maximal ideal. Then,

$$\overline{\{\mathfrak{m}\}} = \bigcap_{\substack{S \subset A \\ \mathfrak{m} \in V(S)}} V(S) = \bigcap_{S \subset \mathfrak{m}} V(S) = V(\mathfrak{m}) = \{\mathfrak{p} \in \text{Spec } A \mid \mathfrak{m} \subset \mathfrak{p}\} = \{\mathfrak{m}\}$$

Since  $V$  is inclusion-reversing and  $\mathfrak{m}$  is a maximal ideal. Conversely, assume  $\mathfrak{p} \in \text{Spec } A$  is closed. The same reasoning as above shows  $\overline{\{\mathfrak{p}\}} = V(\mathfrak{p})$ , and if  $\mathfrak{m}$  is a maximal ideal containing  $\mathfrak{p}$ , we have  $\mathfrak{m} \in V(\mathfrak{p})$ . Therefore,  $\mathfrak{p}$  being closed implies it is maximal.

**3.6.J.**

- (a)