### Advanced Algorithms/Analysis

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Graphs and DFS (Read Ch. 3 from reference book (Ch. 22 from textbook))

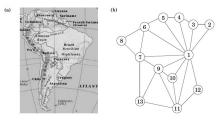
CS 721

Fal 2019
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September 5, 2019

#### Graph Algorithms: Why Graph?

- Wide range of problems are expressed in graphs. Consider coloring of political map
  - What is the minimum number of colors needed to map the world so that no two neighboring countries have same color?
    - Express the problem as a graph



- Suppose university needs to schedule final exam for all of its classes so that and wants to use fewest timeslots in doing so. What is the number of fewest time slots?
  - Again, express the problem as a graph

#### Graph

- ► Formally a graph is specified by a set of vertices *V* and a set of edges *E* between the selected pair of points
  - ▶ Between two nodes x and y, if the edge is undirected we represent it as  $\{x, y\}$
  - If the edge is directed from x to y, we represent it as (x, y)
- Representation of graph
  - Adjacency matrix
    - Suppose theere are n = |V| vertices  $v_1, \ldots, v_n$ , the graph is represented by an  $n \times n$  adjacaency matrix whose (i, j)-th entry is  $a_{ij} = 1$  if there is an edge between  $v_i$  to  $v_j$  and 0 otherwise.
    - Requires  $O(n^2)$  storage, and it can be cheked whether an edge is present in graph or noot in  $\Theta(1)$  time
  - Adjavcency list
    - It contains | V linked list, one per vertex. The linked list of vertex u holds the list of vertices to which u has an outgoing edge.
    - O(|E|) storage while the worst case time to check whether an edge is present in a graph or not in O(n) time.

#### Which representation is better?

- Number of edges |E| can be colse to O(n) or close to  $O(n^2)$ .
  - ▶ Dense graph: When |E| is close to  $O(n^2)$ .
  - ▶ Dense graph: When |E| is colse to O(n)
- ► Exactly where |E| lies in this range is a cruicial factor in selecting teh right graph algorithm.

### Depth first search (DFS) in a undirected graph

- ▶ DFS is a versatile linear time (in graph representation) procedure that reveals a wealth of information about a graph.
- Very basic question: What parts of the graph are reachable from a given vertex?

```
 \begin{aligned} & \overline{\text{Figure 3.3 Finding all nodes reachable from a particular node.}} \\ & \underline{\text{procedure explore}(G,v)} \\ & \overline{\text{Input:}} & G = (V,E) \text{ is a graph; } v \in V \\ & \text{Output:} & \text{visited}(u) \text{ is set to true for all nodes } u \text{ reachable from } v \\ & \text{visited}(v) = \text{true} \\ & \text{previsit}(v) \\ & \text{for each edge } (v,u) \in E; \\ & \text{if not visited}(u): & \text{explore}(u) \\ & \text{postvisit}(v) \end{aligned}
```

► The previsit and postvisit procedures are optional, meant for performing operations on a vertex when it is first discovered and also when it is being left for the last time. We will soon see some creative uses for them

#### Explore function

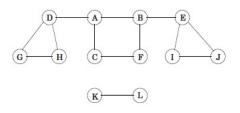
#### Figure 3.3 Finding all nodes reachable from a particular node.

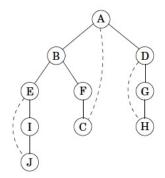


- ▶ How do we confirm explore works correctly?
  - Proof in class

#### Example of explore function

Figure 3.2 Exploring a graph is rather like r





# Depth First Search (DFS)

- ► The explore procedure visits only the portion of the graph reachable from its starting point.
  - ➤ To examine the rest of the graph, we need to restart the procedure elsewhere, at some vertex that has not yet been visited.

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Figure 3.5 Depth-first search.

procedure dfs(G)

for all v \in V:

visited(v) = false

for all v \in V:

if not visited(v): explore(v)
```

#### Running time of DFS

#### Figure 3.5 Depth-first search.

#### Figure 3.3 Finding all nodes reachable from a particular node.

```
procedure explore(G,v) is a graph; v \in V Output: visited(v) is set to true for all nodes u reachable from v visited(v) = true previsit(v) for each edge (v,u) \in E: if not visited(u): explore(u) postvisit(v)
```

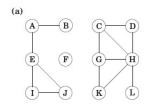
- ► Running time of DFS
  - Note that, each vertex is explored just once
  - During exploration of a vertex there are the following steps
    - Fixed amount of work to mark this vertex is visited and pre/postvisit
    - A loop in which adjacent edges are scanned, if they lead somewhere new

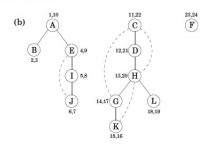
#### Analyzing running time of Depth First Search

- This loop takes different time for each vertex, so we will consider all vertices together
- ▶ The total work done for step 1 takes O(|V|) time
- ▶ In step 2, over the course of the entire DFS, each edge  $\{x,y\} \in E$  is examined exactly twice, once during explore(x) and once during explore(y). The overall time for step 2 is therefore O(|E|).
- ▶ Thus total running time of DFS is O(|V| + |E|)
  - This is efficient because this running time is of the same order that is required to to read the entire graph in adjacency list representation.

### Example of DFS

Figure 3.6 (a) A 12-node graph. (b) DFS search forest.





#### Connectivity in undirected graphs

- An undirected graph is connected if there is a path between any par of vertices
- ► Fig 3.6 is not connected but there are three disjoint connected connected regions: {A, B, E, I, J}, {C, D, G, H, K, L}, {F}
  - these reregions are called connected components
- ▶ DFS is trivially adapted to check if a graph is connected and, more generally, to assign each node v an integer ccnum[v] identifying the connected component to which it belongs.
  - ▶ We can use *previsit* function for this purpose

where *cc* needs to be initialized to zero and to be incremented each time the DFS procedure calls explore.

#### Previsit and postvisit ordering

- ► We have seen how DFS is able to uncover the connectivity structure of an undirected graph in linear time
- Now we will collect a little bit more information about the exploration process
  - we will note down the times of two important events, the moment of first discovery (corresponding to previsit) and that of final departure (postvisit).
  - How do we generate arrays of pre and postvisit?
  - define a simple counter clock (global variable), initially set it to 1 and update it as follows:

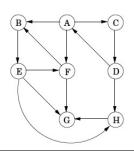
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procedure previsit(v)
pre[v] = clock
clock = clock + 1
procedure postvisit(v)
post[v] = clock
clock = clock + 1
```

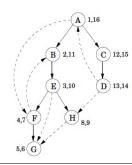
▶ Property: For any nodes u and v, the two intervals [pre(u), post(u)] and [pre(v), post(v)] are either disjoint or one is contained within the other.

#### DFS in directed graph

- Our depth-first search algorithm can be run verbatim on directed graphs, taking care to traverse edges only in their prescribed directions.
- ► Fig 3.7 shows an example

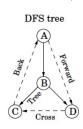
Figure 3.7 DFS on a directed graph.





#### Types of edges in DFS forest

- There are three types of edges
  - **Tree edges** are actually part of the DFS forest (solid lines). Edge (u, v) is a tree edge if v was discovered by exploring the edge (u, v).
  - Forward edges lead from a node to a nonchild descendant in the DFS tree.
  - **Back edges** lead to an ancestor in the DFS tree.
  - ► Cross edges lead to neither descendant nor ancestor; they therefore lead to a node that has already been completely explored (that is, already postvisited).



### Types of edges and pre/postorder values

- Ancestor and descendant relationships, as well as edge types, can be read off directly from pre and post numbers
- ▶ Because of the depth-first exploration strategy, vertex u is an ancestor of vertex v exactly in those cases where u is discovered first and v is discovered during explore(u). This is to say pre(u) < pre(v) < post(v) < post(u)
- Summary of the various possibilities for an edge (u, v) is as follows

$\operatorname{pre/post}$ ordering for $(u,v)$				) Edge type
		v		Tree/forward
		u		Back
	v	$\begin{bmatrix} u \end{bmatrix}$	u	Cross

# Directed Acyclic Graph (DAG)

- ► A cycle in directed graph is a circular path starting and ending at the same vertex
- A graph without a cycle is acyclic
- Test for acyclicity can be tested in linear time, using a single DFS
- ▶ **Property:** A directed graph has a cycle if and only if its depth-first search reveals a back edge.
  - Proof in class
- Directed acyclic graphs, or DAGs for short, come up all the time. They are good for modeling relations like causalities, hierarchies, and temporal dependencies.
- ► How will the algorithm for detecting acyclicity in a directed graph look like? What is its running time?

#### DAG and topological sort

- ► If a graph is DAG it is possible to linearize or topologically sort its vertices so that find an ordering among the vertices satisfying the following:
  - We can order the vertices of a DAG based on directed edges such that for any edge (u, v) present in the graph, u must appear before (to the left) v in the ordering
- Once again DFS tells us how to do it
  - simply perform tasks in decreasing order of their post numbers.
- ► Topological-Sort(G)
  - 1. Call dfs(G) to compute post[v] for each vertex v
  - 2. As each vertex is done visiting (post is updated), insert onto the front of a linked list
  - 3. Return linked list of vertices

#### Running time of topological sort

▶ Time for DFS is O(|V| + E|) time to insert onto linked list is O(|V|) (constant time for each insert), thus total time is O(|V| + |E|)

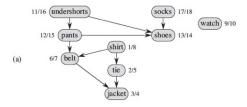




Figure 22.7 (a) Professor Bumstead topologically sorts his clothing when getting dressed. Each directed edge (u, v) means that garment u must be put on before garment v. The discovery and finishing times from a depth-first search are shown next to each vertex. (b) The same graph shown topologically sorted, with its vertices arranged from left to right in order of decreasing finishing time. All directed edges go from left to right.

# Why does topological sort works correctly?

#### Proof:

- It suffices to show that for a pair of distinct vertices u, v ∈ V, if G = (V, E) contains an edge from u to v then post[v] < post[u].</p>
- ▶ A DAG can not have cycle, that means its DFS forest can not have a back edge (proved earlier).
- If an edge is (u, v) is a tree/forward edge then post[v] < post[u].</p>
- ▶ If an edge (u, v) is a cross edge (u, v) can not be an edge of DFS tree/forest. So order of their post values do not matter.

#### Source and sink in a DAG

- Since DAG can be linearized by decreasing post number, the vertex with smallest post number comes last in linearization
  - ► It must be a "sink" no outgoing edges
- ➤ Similarly, the node with highest *post* number is a "source", a node with no incoming edge.

#### Strongly connected components

- ▶ In directed graphs, two nodes *u* and *v* are connected if there is a path from *u* to *v* and a path from *v* to *a*.
- ► This relation partitions *V* into disjoint sets that we call "strongly connected components"
- ► In the following graph there are five strongly connected components

### Strongly connected components

- Shrink each strongly connected component down to a single meta node and draw an edge from one meta node to another if there is an edge between their respective components
- The resulting meta graph must be a DAG. Why?
  - because a cycle containing several strongly connected components would merge them all into a single strongly connected components
- Property: Every directed graph is a DAG of its strongly connected components
- ► That means connectivity structure is a directed graph is two tiered:
  - At the top level we have a DAG (which is rather simple structure and can be linearize)
  - If we want further details, we can look inside one of this nodes of this DAG and examine ful-fledged strongly connected component within

- ► We can find strongly connected components of a directed graph in linear time making use of depth first search
- ► The algorithm is based on some properties that we have seen before
  - Property 1: If the explore subroutine is started at a node u, then it will terminate precisely when all nodes reachable from u has been visited.
    - Therefore if we call explore on a node that lies somewhere in a sink strongly connected component, then we will retrieve exactly the component
  - This suggests a way of finding one strongly connected component but still leavses two major problems
    - ► (A) How do we find a node that we know for sure lies in a sink strongly connected component?
    - (B) How we we continue once the first component is discovered?

- We will start with problem (A)
  - ► Though there is no easy way pick a node that is guaranteed to line in a sink strongly connected component, there is a way to get a node in a source strongly connected
  - Property 2: The node that receives the highest post number in a depth first search must lie in a source strongly connected component
    - ► This follows from a more general property
  - ▶ **Property 3:** If *C* and *C'* are strongly connected components. and there is an edge from a node in *C* to a node in *C'*, then the highest *post* number in *C* is bigger than the highest *post* number in *C'*.
    - Proof in class
- ► Property 3 can be restated as ,- The strongly connected components can be linearize by arranging them in decreasing order of their highest post numbers.(because at higher level it is a DAG of strongly connected components)

- Property 3 is a generalization of our earlier algorithm for linearizing DAG, where in a DAG each node is a singleton strongly connected component
- ▶ Property 2 helps us find a node in source strongly connected component of *G*. But we need the node to be in a sink strongly connected component!
  - This can be done by considering the reverse graph  $G^R$ , which is same as G except direction of each edge is reversed
  - $ightharpoonup G^R$  has exactly the same strongly connected components as G
  - So if we do a depth first search on G<sup>R</sup>, the node with highest post number comes from a source strongly connected component of G<sup>R</sup> which is a sink strongly connected component of G (Problem A solved!)

- Now we deal with problem (B)
- How do we continue after first sink component is identified?
  - ► This solution is also provided by property 3
  - ▶ Once we have found the first strongly connected component and deleted it from graph, the node with the highest post number among those remaining will belong to sink strongly connected component of whatever is remains of G
  - ▶ Therefore we can keep using the *post* numbers from our initial depth first search on *G*<sup>R</sup> to successfully output the second strongly connected component, the third strongly connected component and so on.

- ► The resulting algorithm is as follows:
- Strongly Connected Component(G)
  - 1. Run depth first search on  $G^R$
  - Run the undirected connected component algorithm that we have seen earlier on G, and during depth first search, process the vertices in decreasing order of their post number from step 1
- ► This algorithm runs in linear time. Only the constant in linear time is about twice that of straight depth first search.