# Formal Verification of the Winning Strategies of Pursuit-Evasion Games

by

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### **Author's Declaration**

I hereby declare that I am the sole author of this thesis. This is a true copy of the thesis, including any required final revisions, as accepted by my examiners.

I understand that my thesis may be made electronically available to the public.

#### **Abstract**

A pursuit-evasion game is an adversarial hybrid game that combines both continuous and discrete dynamics that is widely used to model robotics tasks in the literature. We model the game rules formally, present a formal verification approach for the winning strategies and prove the design correctness of the proposed algorithms. To accomplish this, we use Differential Game Logic(dGL) to implement the proofs with KeYmaera X theorem prover, which rigorously proves the safety of the model and the correctness of the winning strategies. The games we consider have two different models of motions: discrete dynamics and continuous dynamics. In particular, we focus on two types of games: Cops-and-Robbers game, which is on discrete graphs with movements by stepping the graph edges and Lion-and-Man game, which is played on continuous planes with continuous movement. We set up the model in dGL, identify variants and invariants to reason winning strategies for different types of game regions and discuss pursuer/evader winning conditions.

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# Chapter 1

## Introduction

Pursuit-Evasion Game is a type of mathematical game that is widely discussed in the literature. It provides a general theoretical model for search problems in robotics, and it has wide applications including search-and-rescue, collision-avoidance, path-finding, etc. These problems aim to find efficient strategies for the agents to perform different tasks in cases that involve two parties. The objective of the pursuer is to catch the evader, and the objective of the evader is to escape. Therefore, the game is two-player, zero-sum game, and has a Nash Equilibrium. Research on this problem focus on finding and proving the existence of winning strategies in mathematical ways, and discussing the computational complexity in Computer Science. This type of games combines discrete dynamics and continuous dynamics, which can be naturally defined using hybrid games. Hybrid game is built upon hybrid systems, which contains two adversarial parties where the players have discrete and deterministic choices with discrete or continuous dynamics, i.e. the players compete against each other to win the game.

Formal Verification Approaches are commonly applied to hybrid systems, since it provides strong safety guarantee for the agents' control algorithms. The goal of such approaches coincide with our goal of designing smart and safe winning strategies in pursuit-evasion games, and through proving the safety and correctness of the strategies, we also construct explicit strategies that can be directly applied to robotics systems. In this paper, we use Differential Game Logic(dGL) [12], a proof calculus designed specifically to represent hybrid games and verify game strategies. Modeling different types of game regions in dGL contributes a way of characterizing families of game regions, and proving dGL formulas provides safety and correctness guarantee of players' winning strategies. Therefore, hybrid games and dGL perfectly capture the nature of stuyding pursuit-evasion games.

In this paper, we consider two distinct variants of pursuit-evasion game in particular: "Cops and Robbers" Game and "Lion and Man" Game. The former represents games played on discrete graphs, and the latter represents games played on continuous planes.

For each game, this paper contributes dGL models that characterize the rules and dynamics, definitions and categorizations for several families of game regions, mathematical proofs and automatic dGL proofs for the corresponding winning strategies and automatic that can be verified using theorem prover KeYmaera X [6].

This work is structured as follows: Chapter 2 provides a summarization of background work. Chapter 3 provides game formations and relevant mathematical definitions. Chapter 4 discusses Cops and Robbers game and Chapter 5 focuses on Lion and Man game. Chapter 6 provides a summary of the work and potential future work.

# Chapter 2

# **Background Work**

## 2.1 Pursuit-Evasion Game

A pursuit-evasion game is a family of mathematical games that contains two adversarial parties: in which one is the pursuer and the other is the evader. The pursuer aims to track down the evader and the evader escapes away from the pursuer. The game is usually called discrete pursuit-evasion if the game is restricted on a graph; and is called continuous pursuit-evasion if the game is played on a geometric plane.

The concept of Cops and Robbers game proposed by Nowakowski and Winkler in 1983[10] formalizes the graph variant. Aigner[1] generalizes the case to multiple cops and robbers. Several work focuses on the cop number of a graph: i.e. the minimum number of cops required to win the game. A famous conjecture, Meyniel's conjecture by Frankl[4] states that for graphs of size n,  $O(\sqrt{n})$  cops suffice to win, and the conjecture still remains open. The decision problem of the cop-number of a graph is EXPTIME-complete[9].

Continuous pursuit-evasion game extends discrete games to the continuous space with continuous dynamics. A particular variant, Lion and Man game was first proposed by Rado in 1925. It is a geometric version of Cops and Robbers game. In this game, both players are allowed to move by a maximum time range in each round. Research has focused on the capture time for different types of planes. If the game is played simultaneously in continuous time, the man can always escape. If the players move in turns, a single lion has a winning strategy in a simply-connected polygon[14], and three lions is sufficient to catch the man in any polygon [8, 2].

Other research work discuss the visibility problem[7] and random graphs[13]. Due to low relevance, we won't discuss the results in this work but point the reader to [3].

## 2.2 Differential Game Logic

Differential Game Logic (dGL)[12] is a proof calculus primarily used to prove the existence of game strategies of hybrid games. dGL is an extension of Differential Dynamic

Logic (dL) [11], which is the logic that describes dynamics of hybrid systems, by adding the dual operator d to describe the adversarial dynamics of both parties.

In dGL, we usually call the two players as *Angel* and *Demon*. Hybrid games are adversarial, sequential, and perfect-information. We introduce the following syntax and semantics, with illustration of proof rules through an example.

## **2.2.1** Syntax

**Definition 2.2.1.** (Hybrid Games)[12] dGL is built upon the following grammar:

$$\alpha, \beta ::= x := e \mid ?Q \mid x' = f(x) \& Q \mid \alpha \cup \beta \mid \alpha; \beta \mid \alpha^* \mid \alpha^d.$$

All operations except for d are also defined in a hybrid program. These operators are Angel's operators, with Demon's operators are defined using the dual operator d. We sometimes abbreviate as follows:

Table 2.1: Demon's Operations

The Late 2011 2 cline is a particular			
Original	Abbreviation		
$(x := e)^d$	x := e		
$?Q^d$	$?Q^d$		
$(x' = f(x) \& Q)^d$	$(x' = f(x) \& Q)^d$		
$(\alpha \cup \beta)^d$	$(\alpha^d \cap \beta^d)$		
$(\alpha;\beta)^d$	$\alpha^d; \beta^d$		
$(\alpha^*)^d$	$(\alpha^d)^{\times}$		

#### **Definition 2.2.2.** (dGL Formulas)[12]

$$\phi := \theta_1 \sim \theta_2 \mid \forall x \phi \mid \exists x \phi \mid [\alpha] \phi \mid \langle \alpha \rangle \phi \mid \neg \phi \mid \phi_1 \land \phi_2 \mid \phi_1 \lor \phi_2 \mid \phi_1 \to \phi_2 \mid \phi_1 \leftrightarrow \phi_2,$$

where  $\theta_1, \theta_2$  are real arithmetic terms and  $\sim$  stands for real arithmetic operators (>, <,  $\geq$  ,  $\leq$ , =,  $\neq$ ,).  $\alpha$  is a hybrid game, x is a variable.

#### 2.2.2 Semantics

The intuitive understanding of hybrid games semantics is summarized in Table 2.2. In dGL, common formulas are as defined similarly as First Order Logic.  $[\alpha]\phi$  means that Demon has a winning strategy of game  $\alpha$  to make  $\phi$  true, and  $\langle \alpha \rangle \phi$  means that Angel has a winning strategy of game  $\alpha$  to make  $\phi$  true.

The most important note here is the usage of dual operator d. We consider Angel and Demon to be the two players playing against each other in the hybrid game. The dual operator demonstrates which player is in the move.

To formally define the semantics, we introduce the notation of  $[\![\phi]\!]$  which is the set of states in which  $\phi$  is true. For hybrid game  $\alpha$ , we define  $\varsigma_{\alpha}(X)$  as the set of states such that Angel can play game  $\alpha$  to reach winning state X;  $\delta_{\alpha}(X)$  as the set of states such that Angel can play game  $\alpha$  to reach winning state X.

Table 2.2: Hybrid Game Semantics [12]

Syntax	Semantics
x := e	Assign the value of $e$ to the variable $x$ , leaving all other variables unchanged
?Q	Test if $Q$ is true, continue running; else terminate
x' = f(x) & Q	Follow the system of differential equation $x'=f(x)$ for a certain amount of time when $Q$ holds true
$\alpha; \beta$	Non-deterministically run HP $\alpha$ or $\beta$
$\alpha; \beta$	Sequentially run $\beta$ after $\alpha$
$lpha^*$	Run $\alpha$ repeatedly for any $\geq 0$ amount of iterations
$\alpha^d$	Changes player to run $\alpha$

The semantics are defined inductively as follows.

**Definition 2.2.3.** (Semantics) [12] Let  $\mathcal{J}$  be the world of states.

- 1.  $\llbracket e \geq f \rrbracket = \{\omega \in \mathcal{J} : \omega \llbracket e \rrbracket \geq \omega \llbracket f \rrbracket \}$ , where  $\omega \llbracket e \rrbracket$  is the value of e interpreted in state  $\omega$ .
- 2.  $\llbracket \neg P \rrbracket = (\llbracket P \rrbracket)^C$ , which is the set of states where P is false.
- 3.  $\llbracket P \wedge Q \rrbracket = \llbracket P \rrbracket \cap \llbracket Q \rrbracket$ . This means that  $P \wedge Q$  is true if and only if P is true and Q is true.
- 4.  $[\exists xP] = \{\omega \in \mathcal{J} : \exists r \in \mathbb{R}, \omega_x^r \in [P]\}$  where  $\omega_x^r$  stands for the state that replaces variable x with value r.
- 5.  $[\![\langle \alpha \rangle P]\!] = \varsigma_{\alpha}(P)$ . P is in Angel's winning region after playing hybrid game  $\alpha$ .
- 6.  $\llbracket [\alpha]P \rrbracket = \delta_{\alpha}(P)$ . P is in Demon's winning region after playing hybrid game  $\alpha$ .

Note that the semantics for Demon's moves can be inferred using  $\alpha^d$ , therefore we do not further elborate here. Now we define hybrid game semantics inductively.

1.  $\varsigma_{x:=e}(X) = \{\omega \in \mathcal{J} : \omega_X^{\omega[e]} \in X\}$ . A winning state after assignment is exactly the winning states that assign x to e.

- 2.  $\varsigma_{x'=f(x)\&Q}(X) = \{\phi(0) \in \mathcal{J} : \phi(r) \in X \text{ for some solution } \phi : [0,r] \to \mathcal{J} \text{ of any duration } r \in \mathbb{R} s.t.\phi \vdash x' = f(x) \land Q\}.$  Angel wins the differential equation x' = f(x)&Q if  $\phi(0)$ ,  $\phi(r) \in X$  and Q is maintained through non-negative time duration r.
- 3.  $\varsigma_{Q}(X) = [\![Q]\!] \cap X$ . Angel wins for the states that satisfy both Q and X.
- 4.  $\varsigma_{\alpha \cup \beta}(X) = \varsigma_{\alpha}(X) \cup \varsigma_{\beta}(X)$ . Angel wins for the states that satisfy X from running  $\alpha$  or  $\beta$ .
- 5.  $\varsigma_{\alpha;\beta}(X) = \varsigma_{\alpha}(\varsigma_{\beta}(X))$ . Angel wins for the states that satisfy X from running  $\alpha$  then  $\beta$ .
- 6.  $\varsigma_{\alpha^*}(X) = \bigcap \{Z \in \mathcal{J} : X \cup \varsigma_{\alpha}(Z) \subseteq Z\}$ . Angel wins for the minimum intersection of states that contain X and running  $\alpha$  once still maintain in this set.
- 7.  $\varsigma_{\alpha^d}(X) = (\varsigma_{\alpha}(X^C))^C$ . Angel wins if Angel loses the winning state  $X^C$ .

Now we have all the tools required to understand an intuitive example in Cops-and-Robbers game. We will let Cop be Angel and Robber be Demon.

*Example* 2.2.4. The following formula describes a naive scenario in the game that if the robber chooses a vertex first, then the cop can always choose a vertex that is the same as the robber.

$$\{\{r:=*;?(r\in V)\}^d;\{c:=*;?(c\in V)\};\}(c=r)$$

We can decompose the formula as follows: (c=r) is the winning condition.  $\langle \alpha \rangle$  is the game which the cop has a winning strategy. Then we decompose the game into  $\langle \{r:=*; ?(r\in V)^d\} \rangle$  and  $\langle \{c:=*; ?(c\in V)\} \rangle$ . The former describes the robber's move and the rule to follow; the latter describes the cop's move and the rule to follow. In the robber's rule, it first randomly assign a value to variable r, but it has to pass the test  $r\in V$ , otherwise it fails the game. This interprets the idea that restricts the robber to select a legal vertex in V. The similar rule is defined for the cop.

*Example* 2.2.5. The following formula describes a hybrid game where in each round, r selects a random real number, then c selects an ODE to run. The goal of the game is c = r.

$$\langle \{\{r:=*;\}^d; \{c'=1\cup c'=-1\}\}^* \rangle (c=r)$$

#### **2.2.3 Axioms**

In this paper, our proofs are built upon dGL axioms using sequent calculus. The proof structure forms like this:

**Definition 2.2.6.** A **sequent** is formed like  $\Gamma \vdash \Delta$ , where  $\Gamma$  stands for the set of preconditions, and  $\Delta$  stands for the set of postconditions.

A **proof rule** is formed like

$$\frac{\Gamma_1 \vdash \Delta_1, ..., \Gamma_n \vdash \Delta_n}{\Gamma \vdash \Delta} ,$$

which expresses the case that if all premises  $\Gamma_i \vdash \Delta_i$  are valid, then the conclusion  $\Gamma \vdash \Delta$  must be valid.

**Theorem 2.2.7.** [12] (Soundness) The dGL sequent calculus is sound.

We can build up dGL axioms using the semantics definitions.

[·] Determinacy Axiom:  $[\alpha]P \leftrightarrow \neg \langle \alpha \rangle (\neg P)$ 

 $\langle := \rangle$  Assignment Axiom:  $\langle x := \theta \rangle P(x) \leftrightarrow P(\theta)$ 

 $\langle \cup \rangle$  Choice Axiom:  $\langle \alpha \cup \beta \rangle P \leftrightarrow \langle \alpha \rangle P \wedge \langle \beta \rangle P$ 

 $\langle ; \rangle$  Composition Axiom:  $\langle a; b \rangle P \leftrightarrow \langle a \rangle \langle b \rangle P$ 

 $\langle * \rangle$  Iteration Axiom:  $\langle a^* \rangle P \leftrightarrow P \vee \langle a \rangle \langle a^* \rangle P$ 

 $\langle ' \rangle$  Solution Axiom:  $\langle x' = f(x) \rangle p(x) \leftrightarrow \exists t \geq 0 \langle x := y(t) \rangle p(x)$ 

 $\langle ? \rangle$  Test Axiom:  $\langle ?Q \rangle P \leftrightarrow Q \wedge P$ 

 $\langle d \rangle$  Duality Axiom:  $\langle \alpha^d \rangle P \leftrightarrow \langle \neg \alpha \rangle \neg P$ .

Table 2.3: Differential Game Logic Axioms

Using the equivalence relation in the axiom above, we can infer the a large proportion of dL sequent calculus proof rules. For example, we can deduce the following proof rules for  $[\cup]$ :

$$\frac{\Gamma \vdash [\alpha]P \quad \Gamma \vdash [\beta]P}{\Gamma \vdash [\alpha \cup \beta]P} \cup R \qquad \frac{[\alpha]P \land [\beta]P \vdash \Delta}{[\alpha \cup \beta]P \vdash \Delta} \cup L$$

A full list of proof rules we used can be found in the Appendix.

We further make remark on two rules we rely on heavily in providing the constructive game strategy here:

$$\frac{\Gamma \vdash J, \Delta \quad J \vdash P \quad J \vdash [\alpha]J}{\Gamma \vdash [\alpha^*]P, \Delta} \ loop$$

$$\frac{\Gamma \vdash \exists x. J(x) \quad x \leq 0, J(x) \vdash P \quad x > 0, J(x) \vdash \langle \alpha \rangle J(x-1)}{\Gamma \vdash \langle \alpha^* \rangle P, \Delta} \ con$$

Note that J in loop rule stands for the **loop invariant** of the hybrid game. It serves to unwrap the box program using an induction-like rule, since for  $[\alpha^*]P$  to hold, we need all runs of hybrid game  $\alpha$  reach a winning state where P is true. Therefore, if J holds initially, J implies P and J holds after one round of the game, we can combine the premises and reach the conclusion.

J in con rule stands for the **loop variant** of the hybrid game. It serves to unwrap the diamond program using an induction-like rule, since for  $\langle \alpha^* \rangle P$  to hold, there exists certain rounds of the game that reaches a winning state where P holds. However, this number of rounds is often hard to calculate, and for large runs of the game, this rule

reduces the redundancy of unwraping one round at a time. Therefore, we are looking for a function J that depends on x such that J decreases by 1 in each round. We separate the premises to case init, step and post.

- init: In this case we show that J(x) holds using the original hypothesis  $\Gamma$ .
- step: In this case we show that given J(x) in the premise, after one run of  $\alpha$ , J(x-1) holds. We can interpret J(x) as x=d where d is equal to some value that changes in the game, so that after one round of game, d decreases by 1.
- post: In this case we show that after J(x) has reached a minimum value, Angel can now break the loop and finish the game. Therefore we need to prove that  $J(x) \vdash P$  at the end.

As we define the value d that decreases monotonically in the game, we need to make sure that d is guaranteed to decrease by some constant value. This is required to prove that d eventually reaches 0. We use the constant 1 without loss of generality in our proofs, as we can multiply a constant to get 1. In some cases, the value is not decreasing linearly but even quicker. This is also provable as long as we guarantee that over a game round, d decreases by at least 1 by defining  $J(x) := x \ge d$ .

*Example* 2.2.8. We can use a sequence of proof rules to formally prove the formula in Example 2.2.5.

$$\frac{c < r \lor c \ge r \quad cont.}{\langle c' = 1 \rangle \langle \{\{r := *\}^d; \{c' = 1 \cup c' = -1\}\}^* \rangle (c = r) \lor \langle c' = -1 \rangle (c = r)}{\langle \{c' = 1 \cup c' = -1\} \rangle (c = r)} \left[ := \right]} \frac{\langle \{c' = 1 \cup c' = -1\} \rangle (c = r)}{\langle \{r := *\}^d; \rangle \langle \{c' = 1 \cup c' = -1\} \rangle (c = r)} \langle d \rangle}{\langle \{r := *\}^d; \{c' = 1 \cup c' = -1\} \rangle (c = r)} \langle r \rangle} \frac{\langle d \rangle}{\langle r := *\}^d; \{c' = 1 \cup c' = -1\} \rangle (c = r)}} \frac{\langle R \rangle}{\langle \{r := *\}^d; \{c' = 1 \cup c' = -1\} \rangle (c = r)}} \frac{\langle R \rangle}{\langle \{r := *\}^d; \{c' = 1 \cup c' = -1\} \}^* \rangle (c = r)}} \frac{\langle R \rangle}{\langle r := *\}^d; \{c' = 1 \cup c' = -1\} \}^* \rangle (c = r)}$$

cont.:

$$\frac{c < r \land t = r - c \vdash (t \ge 0 \land \forall c \ge 0 \rightarrow c_1 = t + c(c_1 = r))}{c < r \vdash (c' = 1) \land (c = r)} \exists R$$

$$\frac{c < r \vdash (c' = 1) \land (c = r)}{c < r \vdash (c' = 1) \land (c = r)} \forall R$$

$$\frac{c < r \vdash (c' = 1) \land (c = r)}{c < r \vdash (c' = 1) \land (c = r)} \lor R$$

$$\frac{c > r \vdash (c' = 1) \land (c = r)}{c > r \vdash (c' = 1) \land (c = r)} \forall R$$

$$\frac{c > r \vdash (c' = 1) \land (c = r)}{c > r \vdash (c' = 1) \land (c = r)} \forall R$$

$$\frac{c > r \vdash (c' = 1) \land (c = r)}{c > r \vdash (c' = 1) \land (c = r)} \lor R$$

$$\frac{c > r \vdash (c' = 1) \land (c = r)}{c > r \vdash (c' = 1) \land (c = r)} \lor R$$

$$\frac{c > r \vdash (c' = 1) \land (c = r)}{c > r \vdash (c' = 1) \land (c = r)} \lor R$$

$$\frac{c > r \vdash (c' = 1) \land (c = r)}{c > r \vdash (c' = 1) \land (c = r)} \lor R$$

$$\frac{c > r \vdash (c' = 1) \land (c = r)}{c > r \vdash (c' = 1) \land (c = r)} \lor R$$

$$\frac{c > r \vdash (c' = 1) \land (c = r)}{c > r \vdash (c' = 1) \land (c = r)} \lor R$$

#### 2.2.4 KeYmaera X

KeYmaera  $X^1$ [6] is an automatic theorem prover designed to prove dGL theorem's correctness.It builds upon a trusted core of axioms, uniform substitution and propositional dL sequent calculus. A complete architecture is given in Figure 2.1, and a more

<sup>&</sup>lt;sup>1</sup>https://keymaerax.org/

detailed introduction is given in [6]. In KeYmaera X, Bellerophron Tactic Language [5] is a programming language for automatic proof constructions and proof search operations of the KeYmaera X core. We also represent our KeYmaera X proofs in this manner that can restore the corresponding dL sequent calculus.

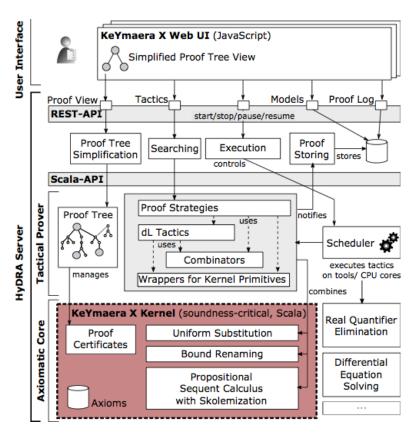


Figure 2.1: KeYmaera X key architecture

In defining KeYmaera X models, we need to define a list of **Definitions** of constants, predicates and formulas; a list of **ProgramVariables**, and a **Problem** that gives the actual sequent to prove. The following table summarizes some common notations in KeYmaera X syntax. Note that we will not distinguish dGL syntax and KeYmaera X syntax in this work, as most of the notations are self-explanatory.

HP	KeYmaera X
x := e	x:=e;
x := *	x:=*;
x' = f(x) & Q	$\{x'=f(x)\&Q\}$
$a \cup b$	a ++ b
$a^*$	{a*}
$a^d$	a^ @
$a \cap b$	a b

Table 2.4: KeYmaera X syntax of dGL

 $\it Example~2.2.9.$  The Bellerophron code in dGL Example.kyx represent the proof in Example 2.2.8.

# Chapter 3

## **Preliminaries**

In this chapter, we formally define the game variant we consider in the following proofs.

The pursuit-evasion game variant we use is always perfect information, which means that all information available to the reader is presented to the players, including all history moves, the playground, the current positions, etc. The game play is always sequential, i.e. the players make moves round by round. We do not distinguish the name of cop/pursuer and robber/evader in the games for simplicity, but rather eliminate the usage of lion and man.

## 3.1 Cops and Robbers

In Chapter 4, we consider only nonempty simply undirected and connected graphs. Given graph G, we say V(G) to be the set of vertices labeled using  $\{1,2,...,n\}$  where n=|G| is the number of vertices. We say E(G) to be the set of edges, where each edge is denoted by a pair of vertices of the form e.g. 23 or  $\{2,3\}$ . For simplicity, we sometimes write vertex  $v \in G$  and edge  $e \in G$  to express the same meaning. For a vertex  $v \in V(G)$ , we say the neighbors of v to be the set of vertices that share an edge with v, and denote this as N(v). We abbreviate the combined set  $\{v\} \cup N(v)$  as N[v].

The classical version consists of a cop (pursuer) C and a robber (evader) R. The game is played on a finite graph with the players make moves alternatively, starting with C. Firstly C chooses a vertex to start then R. Then in each round, the player makes a move to another vertex that neighbors its previous position. The goal of C is to catch R, i.e. to be on the same vertex as R in the same round; the goal of R is to escape from C, i.e. cannot be caught in any round.

We only consider games that have n cops, but always 1 robber. If there are more than one cop in the game, the cops share the same information set and act collaboratively. This allows as to always regard the set of cops as the pursuer, and the robber as the evader. For multi-player versions, the cops move one by one in each round, followed by the robber's move.

### 3.2 Lion and Man

In Chapter 5, we consider only Euclidean planes and straight-line movements. The positions of the cop and the robber are in Cartesian coordinates such that the cop's position is denoted  $(x_C, y_C)$  and the robber's position is denoted  $(x_R, y_R)$ . The straight line movements simplify the movements of the players that allow us to define simple ODEs, but note that this simplification does not actually limit the players' ability. The players have constant speeds that may or may not differ in the game.

The game is played on a geometric plane. The general setup remains the same as Cops and Robbers game. The cop first selects an initial position, then the robber selects an initial position. In each round, the robber first selects a direction of movement, and then the cop selects a direction. Then we allow the players to move simultaneously by a time range of at most 1, controlled by the cop. The winning condition of the game is for the cop to get arbitrarily close to the robber, i.e. in a constant-distance ball.

This particular order of play is by careful selection. We let the cop to move first, otherwise the cop wins by always selecting the robber's initial position. But in each round we let the robber to move first, so that the cop has chance to act on the robber's move. Note that this ordering does not make an actual difference but only simplifies our model, since to switch to another order, we could start counting from the robber's first move, and leave the cop's first move as the initialization.

This type of dynamics is different from traditional lion-and-man game, by allowing the players to act simultaneously, while their moves are restricted by straight lines. Details including the advantages and disadvantages are discussed in Chapter 5.

For a graph G, we define the **cop number** of G using c(G) to be the minimum number of cops required for the cops to win the game. We define the **minimum capture time** of a game for graph G to be st(G). We call the graphs in which c(G) = 1 is cop-win, and the others are robber-win.

*Example* 3.2.1. We provide a Cops and Robbers Game example here to illustrate the game.

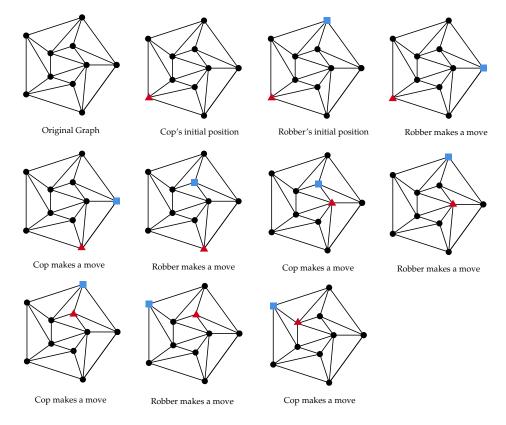


Figure 3.1: The blue triangle represents the robber; the red triangle represents the cop.

We can actually conclude from here that this graph is robber-win. The robber can keep a distance of at least 1 with the cop. This can be formally proven using Theorem 4.3.7.

# Chapter 4

# Pursuit-Evasion Games on Discrete Graphs

## 4.1 Model Setup

For each discrete graph G = (V, E), let V = [n] be the set of vertices. Correspondingly, for each model, we define the following functions:

- vertex: Real  $\rightarrow$  Bool s.t. vertex $(x) = x \in V$ .
- edge: Real×Real  $\rightarrow$  Bool s.t. edge $(x,y) = \text{vertex}(x) \land \text{vertex}(y) \land (x,y) \in E$ .

We first define a round of the game as step:

$$\{co:=c;c:=*;?\mathtt{edge}(c,co);\};\{?(c\neq r);ro:=r;r:=*;?\mathtt{edge}(r,ro);\}^d$$

The complete model of the game for a cop-win graph is as follows:

$$\langle \{c := *; ?\mathtt{vertex}(c); \} \{r := *; ?\mathtt{vertex}(r); \}^d \{\mathtt{step}\}^* \rangle (c = r) \tag{4.1}$$

This model generalizes the theorem for cop-win graphs of traditional cop-vs-robber with only 1 cop and 1 robber. The diamond syntax is used here to describe there exists a winning strategy for the cop in a finite number of rounds. The cop first selects a position and then the robber does. We use tests to guarantee that both members are responsible for taking a legal position, otherwise he loses the game. In each round, the cop first selects a new position and then the robber selects a new position. We also use an additional test c! = r to avoid the situation that even if the cop reaches the robber in his round, the robber then moves away.

Notice that for the formal proofs, we use the simplified model by reducing the initial moves, and instead build them into the precondition, where  $c_0$  and  $r_0$  are constants that satisfy  $c_0, r_0 \in V$ .

$$c = c_0 \land r = r_0 \vdash \langle \{ \text{step} \}^* \rangle (c = r) \tag{4.2}$$

On the other hand, we may define the general theorem for a robber-win graph:

$$[\{c := *; ?vertex(c); \} \{r := *; ?vertex(r); \}^d \{step\}^*] (c \neq r)$$
 (4.3)

Notice that this is the dual of 4.1, which implies that a finite graph must be either cop-win or robber-win.

## 4.1.1 A Concrete Example

We consider a star graph of 4 vertices as in Figure 4.1. We further define the following predicates:

- $vertex(x) = (x = 1 \lor x = 2 \lor x = 3 \lor x = 4)$
- $edge(x, y) = (x = 2 \land (y = 1 \lor y = 3 \lor y = 4)) \lor (y = 2 \land (x = 1 \lor x = 3 \lor x = 4))$

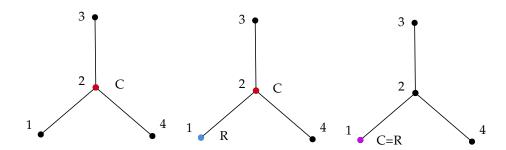


Figure 4.1: (a) The cop chooses initial position; (b) The robber chooses initial position; (c) The cop moves in the first round.

We can now prove Theorem 4.1 by first letting c=2, it passes the test. Then we expand the possible positions of the robber and discuss by cases. Suppose r=1, then we move into diamond star rule and expand by one step. Now we store the old position of the cop as co=2 and select c=1. Now cco passes the edge test, but c=r, so the cop wins.

This proof sketch shows the analysis we make when we have a concrete graph example: first we define the functions that characterize the graph; then we expand the definitions and discuss the cop's strategy by cases.

### 4.2 Abstraction

We see from the previous example that defining vertex and edge functions only serves for a specific graph. This kind of definition also results in brute-force expanding the diamond star rule by enumerating each specific move. It is natural to consider the more general case as in graph families. In discrete graphs, we can make this generalization by abstracting the size of the graph as an integer n. Although the nature of KeYmaera X variables does not allow it to directly define integer types, we can define natural numbers by proving lemmas of its group properties.

**Definition 4.2.1.** (Natural Numbers)  $n \in \mathbb{N}^+$  if and only if nat (n), s.t.

$$nat(n) := \langle \{?(n > 1); n := n - 1; \}^* \rangle (n = 1)$$

Note that throughout this paper, we use the definition of natural numbers such that the minimum natural number is 1. We delete the consideration of 0 for simplicity here, and since the graphs we consider are finite non-empty graphs, we don't need 0 case by construction.

**Lemma 4.2.2.** (Plus) For all  $m, n \in \mathbb{N}$ ,  $m + n \in \mathbb{N}^+$ .

**Lemma 4.2.3.** (Multiplication) For all  $m, n \in \mathbb{N}^+$ ,  $m \times n \in \mathbb{N}^+$ .

**Lemma 4.2.4.** (Inequality) For all  $n \in \mathbb{N}^+$ ,  $c \in \mathbb{R}$ ,  $n \ge c \to n+1 > c$ .

Although the lemmas above do not cover all common mathematical properties of natural numbers, these are the ones we rely on to show winning strategies in the section below. To prove these lemmas, we need to first verify natural numbers induction.

**Lemma 4.2.5.** (Induction) Given arbitrary predicate *p*, we have

$$p(1) \rightarrow (\forall x (nat(x) \rightarrow p(x) \rightarrow p(x+1))) \rightarrow (\forall y (nat(y) \rightarrow p(y)))$$

The formula is obviously valid since it's the representation of mathematical induction in dGL.

Lemma 4.2.5 provides sufficient tools to formalzie the proof of the mathematical properties of natural numbers. Here we give a proof sketch of Lemma 4.2.4. We first formalize the lemma in dL language:

$$\forall m(nat(m) \rightarrow \forall n(nat(n) \rightarrow nat(m+n))).$$

Define predicate p(x) := nat(m+x). Then we need to show the base case  $nat(m) \to nat(m+1)$  and the induction step  $nat(m+x) \to nat(m+x+1)$ . Both cases can be proven simply by expanding the definition and unrolling  $\langle * \rangle$  once.

## 4.3 Proofs of Winning Strategies

Now we can formally prove the theorems for some common graph families of arbitrary size. By considering a certain type of graph, we could define specification in edge definition and the corresponding cop's strategy.

## **4.3.1** Cycles

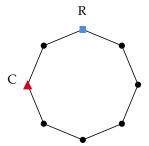


Figure 4.2: A cycle graph example. The blue rectangle denotes the robber; the red triangle denotes the cop. The invariant describes the distance between the players is kept constant.

**Theorem 4.3.1.** A cycle of size n where  $n \ge 4$  is robber-win.

- $vertex(x) = nat(x) \land x \le n$ .
- $\bullet \ \operatorname{edge}(x,y) = \operatorname{mod}((x-y),n,1) \vee \operatorname{mod}((x-y),n,-1).$

where mod is defined as

$$mod(x, n, r) \equiv (x = r \lor x + n = r).$$

Note that this definition is very similar to the mathematical notation of  $\mod$  with simplification, since the domain we work with is in [n].

*Proof.* The winning strategy of the robber is to always keep a constant distance from the cop. We formally define the invariant as

$$J := \operatorname{mod}(r - c, n, 2).$$

For arbitrary initial position c satisfying  $\operatorname{vertex}(c)$ , the robber selects  $r = (c+2) \mod n$ . Now we expand [\*] using loop rule.

We separately prove the following cases:

init: To show ⊢ *J*:
 By the way of initial selection, the claim is obvious.

• step: To show  $J \vdash [\mathsf{step}]J$ : Given J as premise, we will discuss all possible cop's move: in this particular graph, the cop can either move to  $c+1 \bmod n$  or move to  $c-1 \bmod n$ . This allows the robber to respond by case:

- If the cop moves to  $c + 1 \mod n$ , the robber moves to  $r + 1 \mod n$ .
- **-** If the cop moves to c − 1 mod n, the robber moves to r − 1 mod n.

It's easy to see that the invariant is kept after the round.

• post: We also infer that  $J \vdash (c \neq r)$  from arithmetic.

#### **4.3.2** Trees

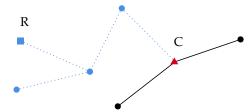


Figure 4.3: A tree graph example depicting the cop's strategy. The blue rectangle denotes the robber; the red triangle denotes the cop. The blue dotted area depicts S(c, r). The cop's strategy shrinks the size of this region over rounds.

## **Theorem 4.3.2.** A tree graph is cop-win.

Define the following variable in a tree *G*:

- Let  $d_G(r,c)$  be the distance between r and c in G. If r,c are not connected, define  $d_G(r,c)=\infty$ .
- Let  $S(c,r) = \{v \in V(G), c \notin P(v,r)\}.$
- Let P(c,r) be set of all vertices on the unique path from c to r,  $p_i(c,r)$  be the ith vertex on this path, 0-indexed.

**Theorem 4.3.3.** (Axiom) if c is on the path from a to b, then the path from a to b is exactly the path from a to c and the path from c to b. i.e.

$$T(G) \vdash \forall a, \forall b, \forall c, P(a, b) = P(a, c) \cup P(c, b).$$

**Lemma 4.3.4.** If c moves a step abiding to the strategy above, then S(c, r) must decrease by at least 1.  $|S(c, r)| > |S(p_1(c, r), r)|$ .

*Proof.* Let the cop select the position  $c_0$  that satisfies  $c_0 = \arg\min_v \min_u d_G(u, v)$ . This vertex is called the center of G. Then let the initial selection of the robber be  $r_0$  that satisfies  $\operatorname{vertex}(r_0)$ . We formally define the variant d as

$$J(d) := d = |S(c, r)|.$$

We separately prove the following cases:

- init: To show  $\vdash \exists dJ(d)$ : Select  $d = |S(c_0, r_0)|$  and the conclusion follows naturally.
- step: To show  $d \geq 0$ ,  $J(d) \vdash \langle \text{step} \rangle J(d)$ : Given J(d) as premise and  $d \geq 0$  we will discuss all possible robber's move: Since  $d \geq 0$ , there exists at least a legal move r' such that  $r' \neq c$ . Notice that by the property of the tree, S(c,r) = S(c,r'). Then the cop selects  $c' = p_1(c,r)$ . Applying Lemma 4.3.4,  $|S(c,r)| \geq |S(p_1(c,r),r)| + 1$ . Therefore J(d) holds.
- post: To show  $d \le 0$ ,  $J(d) \vdash (c = r)$ . This means d = 0, i.e. the size of the active region of r is 0, so c = r.

## 4.3.3 General Graph

Figure 4.4: An dismantlable graph example. The labels denote the dismantling order by removing corners of the graph.

**Definition 4.3.5.** For a vertex v, let N(v) be the set of neighbors of v and  $N[v] = N(v) \cup \{v\}$ . A **corner** of a graph G is a vertex v such that  $N[v] \subseteq N[u]$  for some  $u \in N(v)$ .

**Definition 4.3.6.** A graph is **dismantlable** if it has a dismantling-order, which is an ordering of the vertices from 1 to *n*, such that

For all  $i \in [n]$ , vertex i is a corner of the vertex-induced subgraph  $\{i, i+1, ..., n\}$  of G.

A **dismantling order** is an elimination ordering for chordal planar graphs. i.e. We can always find a corner vertex and delete it, and repeat this process until there's no vertex left.

**Theorem 4.3.7.** If a graph G of n vertices has a dismantling order  $f: G \to [n]$  where f(v) = i is the order of v, then the graph is cop-win.

*Proof.* Let the vertex set be labeled as the dismantling. Since the graph is guaranteed to contain a simplifical vertex and after deletion, the graph is still chordal, let  $G_i$  be the graph  $G_i = G \cap \{i, ..., n\}$ . From  $G_i$  to  $G_{i+1}$  there is a mapping  $f_i$  for all the vertices such that  $f_i(v) = u$  where  $N[v] \subseteq N[u]$  in  $G_i$ . Define  $F_i : G_1 \to G_i = f_i \cdot f_{i-1}... \cdot f_1$ . Each step i if robber is on u, the cop must be on  $F_i(u)$ , and next step if robber moves to  $v \in N(u)$ , cop moves to  $F_{i+1}(v)$ .

Now we can use this rule to construct a dL proof. Let the variant be

$$J(d) := F_d(r) = c.$$

- init: The cop will select initial position n, so that by definition,  $F_n(r) = n \forall r \in [n]$ .
- step: To show  $F_d(r) = c \vdash \langle \text{step} \rangle F_{d-1}(r) = c$ . By definition, the new position  $r_1 \in N(r)$ . Since  $F_d(r) = c$ , then  $f_d(F_{d-1}(r)) = c$ . Then  $N[F_{d-1}(r)] \subseteq N[c]$  in  $G_d$ . Since  $r_1 \in N(r)$ ,  $F_{d-1}(r_1) \in N[F_{d-1}(r)]$  in  $G_{d-1}$ . So  $F_{d-1}(r_1) \in N[c]$ . Now we can let the cop's new position be  $F_{d-1}(r_1)$  that satisfies the test, and thus proves the conclusion.

• post: Since  $F_1$  is the identity function, then  $F_1(r) = c$  implies r = c.

4.3.4 **Grids** 

Theorem 4.3.7 provides a general strategy to identify 1-cop-win graphs and constructive winning strategies. Here we extend the discussion to multiple cop games. By Theorem 4.3.1, the grid graphs is obviously robber-win. Instead, we claim that grid graphs are 2-cop-win.

**Theorem 4.3.8.** A grid graph of size  $n \times n$  is 2-cop-win.

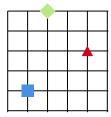


Figure 4.5: A grid graph example of size  $5 \times 5$ . The green diamond denotes C1; the red triangle denotes C2; the blue rectangle denotes R.

We will also modify the model setup in Section 4.1, since we now model the game in 2D coordinates and we will need separate variables to represent the cops. Let  $(x_1, y_1)$  denote the position of Cop 1,  $(x_2, y_2)$  denote the position of Cop 2 and  $(x_R, y_R)$  denote the position of the Robber. We define the coordinates of the game to be (1,1) to (n,n). Then the graph definition is

- $vertex(x,y) = nat(x) \land nat(y) \land x \ge 0 \land x \le n \land y \ge 0 \land y \le n$ .
- $\operatorname{edge}(x_1, y_1, x_2, y_2) = \operatorname{vertex}(x_1, y_1) \wedge \operatorname{vertex}(x_2, y_2) \wedge ((|x_1 x_2| = 1 \wedge y_1 = y_2) \vee (|y_1 y_2| = 1 \wedge x_1 = x_2))$

To formalize the model, we define the following hybrid program:

```
\begin{split} & \texttt{move1} := \{x_{CO1} := x_{C1}; y_{CO1} := y_{C1}; x_{C1} := *; y_{C1} := *; ?\texttt{edge}(x_{C1}, y_{C1}, x_{CO1}, y_{CO1})\} \\ & \texttt{move2} := \{x_{CO2} := x_{C2}; y_{CO2} := y_{C2}; x_{C2} := *; y_{C2} := *; ?\texttt{edge}(x_{C2}, y_{C2}, x_{CO2}, y_{CO2})\} \\ & \texttt{moveR} := \{x_{R1} := x_{R}; y_{R1} := y_{R}; x_{R} := *; y_{R} := *; ?\texttt{edge}(x_{R}, y_{R}, x_{R1}, y_{R1})\} \end{split}
```

We use the shorthand same  $(x_1, y_1, x_2, y_2) := x_1 = x_2 \wedge y_1 = y_2$  to denote the case that the coordiates  $(x_1, y_1)$ ,  $(x_2, y_2)$  are the same.

Then we define the game round:

```
\mathtt{step} := \{\mathtt{moveR}; \}^d \{ ? \neg (\mathtt{same}(x_{C1}, y_{C1}, x_R, y_R) \lor \mathtt{same}(x_{C2}, y_{C2}, x_R, y_R)); \mathtt{move1}; \mathtt{move2}; \}
```

Now the formula to prove is

```
\vdash \langle \mathtt{init}; \{\mathtt{step}^*\} \rangle (\mathtt{same}(x_{C1}, y_{C1}, x_R, y_R) \lor \mathtt{same}(x_{C2}, y_{C2}, x_R, y_R))
```

**Theorem 4.3.9.** Let G be a grid graph of size  $n \times n$ . Then G is 2-cop-win.

**Definition 4.3.10.** Let manhattan(x, y) = x + y denote the **Manhattan Distance** between (x, y) and the origin (0, 0).

*Proof.* The variant is defined as

```
J(d) := \neg(\text{same}(x_{C1}, y_{C1}, x_R, y_R) \lor \text{same}(x_{C2}, y_{C2}, x_R, y_R)) \to (x_R \le x_{C1} \land x_R \le x_{C2} \land y_R \le y_{C1} \land y_R \le y_{C2} \land d = \text{manhattan}(x_{C1}, y_{C1}) + \text{manhattan}(x_{C2}, y_{C2}) - \text{manhattan}(x_R, y_R))
```

- init: Select initial position  $(x_{C1}, y_{C1}) = (x_{C2}, y_{C2}) = (n, n)$ . Then J(d) can be proven automatically.
- step: To show d > 0,  $J(d) \vdash \langle \text{step} \rangle J(d)$ , we first separately consider the case where the hypothesis part in J is false. Then the cops' strategy can exactly copy the move of the robber, which makes J(d) holds.

When the hypothesis part is true, we will discuss by case of initial positions:

- Let the robber be at  $(x_R, y_R)$ . Let the new position the robber selects to be  $(x_{R1}, y_{R1})$ . Note that the distance  $(x_{R1} - x_R)^2 + (y_{R1} - y_R)^2 \le 1$ , and  $-1 \le x_{R1} + y_{R1} - x_R - y_R \le 1$ .

Define the cop's strategy to be, given the new position  $(x_{R1}, y_{R1})$ :

- \*  $x_{R1} = x_{C1}$ ,  $y_{R1} y_{C1} \ge 0$ . Then let the new position for C1 to be  $(x_{C1}, y_{C1} 1)$ .
- \*  $x_{R1} < x_{C1}$ . Then let the new position for C1 to be  $(x_{C1} 1, y_{C1})$ .
- \*  $x_{R1} > x_{C1}$ . Then let the new position for C1 to be  $(x_{C1} + 1, y_{C1})$ .

Define the cop's strategy to be, given the new position  $(x_{R1}, y_{R1})$ :

- \*  $y_{R2} = y_{C2}$ ,  $x_{R2} x_{C2} \ge 0$ . Then let the new position for C2 to be  $(x_{C2} 1, y_{C2})$ .
- \*  $y_{R2} < y_{C2}$ . Then let the new position for C2 to be  $(x_{C2}, y_{C2} 1)$ .
- \*  $y_{R2} > y_{C2}$ . Then let the new position for C1 to be  $(x_{C2}, y_{C2} + 1)$ .

We first reason the invariant  $x_{C1} \ge x_{R1} \land y_{C1} \ge y_{R1}$  holds: If  $x_{R1} = x_{C1}$ , then we must have the case  $y_{R1} - y_{C1} > 0$ , since otherwise the cop has caught the robber. Then it's safe to decrease  $y_{R1}$  by 1. In other cases, the invariant holds for simple geometry. A similar analysis can be done for C2 case.

Then we reason about the invariant. Notice that manhattan(x, y) changes by absolute value at most one. Argue that only the following cases are possible:

Table 4.1: The possible cases for the cop's strategy

	1	1 07
$\Delta$ manhattan $(x_R,y_R)$	$\Delta$ manhattan $(x_{C1},y_{C1})$	$\Delta$ manhattan $(x_{C2},y_{C2})$
-1	-1	-1
+1	+1	-1
+1	-1	+1
+1	-1	-1

The mathematical reasoning is simple brute-force and listing all possible cases. We present pictures for these cases as Figure 4.6.

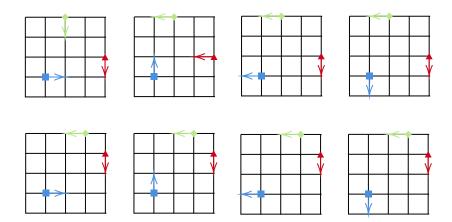


Figure 4.6: The green diamond denotes C1; the red triangle denotes C2; the blue rectangle denotes R. The arrows denote the movement through a game round. The positions are relative.

An intuitive way to understand this strategy is that C1 moves in order to reach  $x_{C1} = x_R$  first, when this is achieved then move on the y-axis; C2 moves in order to reach  $y_{C2} = x_R$  first, when this is achieved then move on the x-axis.

- post: To show  $d \leq 0$ ,  $J(d) \vdash (\mathtt{same}(x_{C1}, y_{C1}, x_R, y_R) \lor \mathtt{same}(x_{C2}, y_{C2}, x_R, y_R))$ , use  $\to L$  to separately consider the case where the hypothesis part in J. If the hypothesis is true, the conclusion also follows. Otherwise we reach the case that all C1, C2, R are on the same coordinate, therefore the conclusion is also true.

# Chapter 5

# **Pursuit-Evasion Games on Continuous Planes**

## 5.1 Model Setup

Recall from Chapter 3 of the game setting in continuous plane: the cop and the robber are in a 2D region, in each round moving in its constant speed simultaneously.

**Definition 5.1.1.** We use the following predicates to denote the winning condition:

- inBall $(x_C, y_C, x_R, y_R, r) \Leftrightarrow (x_C x_R)^2 + (y_C y_R)^2 \leq r^2$  where r is a constant.
- inRegion(x,y): Real $^2 \to \text{Bool}$ : describes whether the current location (x,y) is in game region.

We define the position of cop to be  $(x_C, y_C)$  and the position of the robber to be  $(x_R, y_R)$ . We also define velocity  $v_C$  and  $v_R$  correspondingly. We use vectors to define the direction of movement for the players:  $(x_{C1}, y_{C1})$  and  $(x_{R1}, y_{R1})$ . We didn't use the notion of velocity in previous sections, because we can assume the cop and the robber to have same velocity by moving discretely by one edge at a time, which assumes the cop and the robber to essentially have the same speed. Then we define the following helper predicates:

We first define the ODE as plant:

$$\{x_C' = v_C \times x_{C1}, y_C' = v_C \times y_{C1}, x_R' = v_R \times x_{R1}, y_R' = v_R \times y_{R1}, t' = 1 \& (t \leq 1 \land \mathtt{inRegion}(x_C, y_C))\}$$

The complete model of the game for a cop-win graph is as follows:

$$(x_C, y_C) = (x_{C0}, y_{C0}) \vdash \langle \{\{x_{R1} := *; y_{R1} := *; ?x_{R1}^2 + y_{R1}^2 = 1; \}^d$$

$$\{x_{C1} := *; y_{C1} := *; ?x_{C1}^2 + y_{C1}^2 = 1; \}$$

$$\{\text{plant}\}\}^* \rangle (\text{inRegion}(x_R, y_R) \rightarrow \text{inBall}(x_C, y_C, x_R, y_R, r))$$

$$(5.1)$$

Similarly, the complete model of the game for a robber-win graph is as follows:

$$(x_R, y_R) = (x_{R0}, y_{R0}) \vdash [\{\{x_{C1} := *; y_{C1} := *; ?x_{C1}^2 + y_{C1}^2 = 1; \}$$

$$\{x_{R1} := *; y_{R1} := *; ?x_{R1}^2 + y_{R1}^2 = 1; \}^d$$

$$\{\text{plant}\}\}^*](\text{inRegion}(x_R, y_R) \rightarrow \text{inBall}(x_C, y_C, x_R, y_R, r))$$

$$(5.2)$$

where  $x_{C0}, y_{C0}, x_{R0}, y_{R0}$  are constants that state the initial positions.

We choose time-triggered controller because an event-based controller does not specify time, therefore could move at instants of time and could not be proved using variants. Note that the ODE states that the cop and the robber are simultaneously moving. In each round, the maximum time range is 1 and the time duration is controlled by Angel (i.e. the cop). This allows the robber to change direction over a certain amount of time but not immediately. We can instead model with robber chooses time to break out of ODE, but we need to add constraint that each round runs at least time 1 so that we can use the same variant as before. This follows more naturally from real life scenario. The different choices result in different models, but they are equivalent as long as variant d is monotonically strictly decreasing.

There's a slight difference in the ordering of players in step for cop-win and robberwin cases. It is, however, interchangeable due to the following fact:

Consider a robber-win graph with a different ordering:

[robber moves; cop moves;][robber moves; cop moves;]...

This can be rearranged into repetitions by

[robber moves;][cop moves; robber moves;][cop moves; robber moves;]...

And since the initial robber's move follows by robber selecting a position in the game, it can be combined with the initial condition. This change of ordering also makes it easier to reason game strategies, since in robber-win cases, the robber moves based on the previous cop's move.

A subtlety in defining the model for both the cop and the robber to not crash into the obstacle at anytime, is ensured by allowing the differential equation to take the form  $x' = f(x) \& Q_C$  and add  $Q_R$  to the post-condition, where  $Q_C$  ensures the cop does not crash in to the obstacle and  $Q_R$  ensures the robber does not crash into the obstacle. This is a correct representation because the cop is in charge of the time, which means the cop is responsible so that it does not run into the obstacle in running the ODE, and when the

robber is choosing the direction, if it crashs into the obstacle at anytime in the  $0 \le t \le 1$  timeframe, the cop wins the game automatically.

We also make note of the definition of obstacles more carefully using illustrations in Figure 5.1.

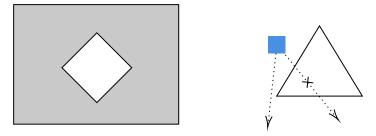


Figure 5.1: Example of obstacles. The white region on the left picture is considered illegal, the grey region and the black boundaries are considered legal; the dotted lines denote the possible moves for the blue rectangle given the triangle obstacle: the one without the cross is legal and the other one is illegal.

## 5.2 Faster Cop

#### 5.2.1 Unbounded Plane

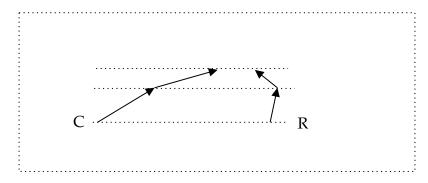


Figure 5.2: An unbounded plane example. The cop moves according to the invariant that  $y_C = y_R$ .

**Theorem 5.2.1.** If the game region is unbounded Euclidean plane with speed  $v_C > v_R > 0$ , the game is cop-win.

Without loss of generality, the model assumes the cop is at (-1,0) and the robber is at (1,0) in the initial state. The cop moves in a constant velocity  $v_C$  and the robber moves in a constant velocity  $v_R$  where  $v_R = 1, v_C = 2$ . We will not restrict a closed plane in the model, because the cop is guaranteed to catch the robber even if in open region.

*Proof.* The proof structure relies on con rule and the following variant *J*:

$$J(d) := d \ge x_R - x_C - 1 \land y_C = y_R \land x_R \ge x_C.$$

*Proof Idea:* In each round, we will select the direction of the cop based on the direction of the robber that satisfies the invariant  $y_C = y_R$ , which is calculated using  $y_{C1} = \frac{y_{R1}}{2}$ ,  $x_{C1} = (1 - \frac{y_{R1}}{2})^{1/2}$ . The x-axis distance of  $x_C$  is guaranteed to approach  $x_R$  by at least 1 in each round, so in the end we will have inBall( $x_C, y_C, x_R, y_R, 1$ ).

This lower bound attains equality when C and R are moving in the same direction, where  $\Delta(d) = v_C - v_R$ .

Let  $H = v_C \times y_{C1} = v_R \times y_{R1}$ , we know

$$\Delta(d) \le v_R \times x_{R1} - v_C \times x_{C1}.$$

Let  $d_1 = v_R \times x_{R1}, d_2 = v_C \times x_{C1}$ . So we have  $d_1 - d_2 = (d_1^2 - d_2^2)/(d_1 + d_2)$ . Note that  $d_1^2 - d_2^2 = v_C^2 - H^2 - v_R^2 + H^2$ , and  $d_1 + d_2 = \sqrt{v_C^2 - H^2} + \sqrt{v_R^2 - H^2}$  where H is the movement in y-axis. Obviously  $d_1 + d_2$  reaches maximum if H = 0, where  $d_1 - d_2$  reaches minimum.

- init: To show  $C=(-1,0), R=(1,0) \vdash \exists d.J(d)$ , we first note that the variant is satisfied by selecting  $d=x_R-x_C-1$ . Then the condition  $y_C=y_R=0$  and  $x_R \geq x_C$  are met by arithmetic.
- step: To show d > 0,  $J(d) \vdash \langle \text{step} \rangle J(d-1)$ , since d > 0,  $x_R x_C > 1$ . Let  $(x_{R1}, y_{R1})$  be the direction of the robber, them we select  $(x_{C1}, y_{C1})$  according to the strategy stated above. This guarantees that after a certain amount of time, the invariant  $y_C = y_R$  holds.

Now we consider the following cases separately:

- If  $x_R + x_{R1} < x_C + x_{C1}$ , then the players cannot safely move by time amount of 1. Let t satisfy  $x_R + tx_{R1} = x_C + tx_{C1}$ , which also satisfies  $0 \le t < 1$ , and ODE moves by t. Now the final condition satisfies  $x_R = x_C$ . Since initially we have  $x_R x_C > 1$ , d has decreased by at least 1.
- If  $x_R + x_{R1} \ge x_C + x_{C1}$ , then the players can safely move time duration of 1 without violating the invariant. Therefore the final condition satisfies  $x_R \ge x_C$ . And by math we reasoned before, d has decreased by at least 1.
- post: To show  $d \leq 0, J(d) \vdash \mathtt{inBall}(x_C, y_C, x_R, y_R, 1)$ , obviously when  $y_C = y_R$ ,  $x_R x_C \leq 1$ , the final condition is satisfied.

### 5.2.2 Unbounded Plane with Line Obstacle

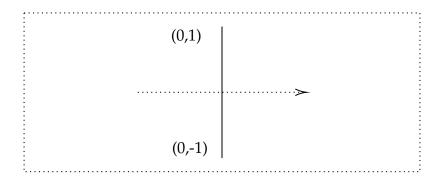


Figure 5.3: Sketch of the dGL model to prove.

**Definition 5.2.2.** We define the **time-optimal path** between  $(x_1, y_1)$  and  $(x_2, y_2)$  in the plane with obstacles by the shortest path one can navigate from  $(x_1, y_1)$  to  $(x_2, y_2)$  without transpassing the obstacle. So

$$top(x_C, y_C, x_R, y_R) = \min(euc(x_C, y_C, 0, 1) + euc(0, 1, x_R, y_R), euc(x_C, y_C, 0, -1) + euc(0, -1, x_R, y_R))$$

**Theorem 5.2.3.** (Axiom) When the field consists of only line segment obstacles, the time-optimal paths are also line segments.

**Definition 5.2.4.** We define the **Euclidean Distance** between  $(x_1, y_1)$  and  $(x_2, y_2)$  in the plane with obstacles by  $euc(x_1, y_1, x_2, y_2) = \sqrt{(x_1 - x_2)^2 + (y_1 - y_2)^2}$ .

**Definition 5.2.5.** We define the function  $dist : \mathbb{R}^4 \to \mathbb{R}$  of the time-optimal path between  $(x_C, y_C)$  and  $(x_R, y_R)$  to be

$$dist(x_C, y_C, x_R, y_R) = \begin{cases} top(x_C, y_C, x_R, y_R) \\ \text{if the line segment connecting } (x_C, y_C) \\ \text{and } (x_R, y_R) \text{ intersect with the obstacle } \\ euc(x_C, y_C, x_R, y_R) \\ \text{otherwise} \end{cases}$$

To formalize our proof, an additional definition is required:

**Definition 5.2.6.**  $\operatorname{cut}(x_C, y_C, x_R, y_R)$  is the **intercept** of the line crossing  $(x_C, y_C)$  and  $(x_R, y_R)$  with the *y*-axis.

$$\mathtt{cut}(x_C,y_C,x_R,y_R) := y_C - \frac{y_C - y_R}{x_C - x_R} \times x_C$$

**Theorem 5.2.7.** If the game region is an unbounded Euclidean plane consists of 1 line-segment obstacle and  $v_C > v_R > 0$ , the game is cop-win.

The optimal strategy is to follow the time-optimal path to the position of robber in the previous round. In our proof, we specify our field to be Figure 5.3 to ease computation complexity. We can always define the coordinates for the open planes with a line obstacle to look like Figure 5.3.

Therefore, we can define the following predicate:  $\mathtt{inRegion}(x,y) \leftrightarrow x_C \neq 0 \lor y_C \geq 1 \lor y_C \leq 1$ .

**Model** We refine the model in Example 5.3 as

$$\langle \langle \{\{x_{R1} := *; y_{R1} := *; ?x_{R1}^2 + y_{R1}^2 = 1; \}^d$$

$$\{x_{C1} := *; y_{C1} := *; ?x_{C1}^2 + y_{C1}^2 = 1; \}$$

$$\{plant\}\}^* \rangle \langle (inRegion(x_R, y_R) \rightarrow inBall(x_C, y_C, x_R, y_R, 2))$$

$$(5.3)$$

Note that by definition, these two formulas are equivalent.

For the outermost loop, we define loop variant  $J(d) \equiv$ 

```
\begin{split} & (\mathsf{inRegion}(x_C, y_C) \land (\\ & \neg \mathsf{inRegion}(x_R, y_R) \lor \\ & (((x_C \times x_R \ge 0 \lor \mathsf{cut}(x_C, y_C, x_R, y_R) \ge 1 \lor \mathsf{cut}(x_C, y_C, x_R, y_R) \le -1) \to \\ & (d-2 \ge \mathsf{euc}(x_C, y_C, x_R, y_R) \lor \mathsf{euc}(x_C, y_C, x_R, y_R) \le 2)) \land \\ & ((xC * xR < 0 \land cut(x_C, y_C, x_R, y_R) < 1 \land cut(x_C, y_C, x_R, y_R) > -1) \to \\ & (d-2 \ge top(x_C, y_C, x_R, y_R) \lor top(x_C, y_C, x_R, y_R) \le 2))))) \end{split}
```

with initial condition

$$v_C = 2 \wedge v_R = 1 \wedge x_C = -2 \wedge y_C = 0 \wedge x_R = 2 \wedge y_R = 0$$

Now we can use con rule to separate into cases:

- init: Proven via arithmetic.
- step: See below.
- post: Proven via arithmetic. Note that when  $d \leq 0$ , inBall $(x_C, y_C, x_R, y_R, 2)$  is satisfied.

Then when dealing with the second loop, we are left with

$$d \leq 0, J(d) \vdash \langle \{ \mathsf{ctrl}; \mathsf{plant} \}^* \rangle \mathsf{cop\_win}$$

There are three distinct states we consider at any game state:

unsafe\_robber 
$$\equiv (x_R = 0 \land y_R < 1 \land y_R > -1)$$
  
euc\_cop  $\equiv (xC \times xR \ge 0 \lor cut(x_C, y_C, x_R, y_R) \ge 1 \lor cut(x_C, y_C, x_R, y_R) \le -1)$   
top\_cop  $\equiv ((xC \times xR < 0 \land (cut(x_C, y_C, x_R, y_R) > -1 \land cut(x_C, y_C, x_R, y_R) < 1))$ 

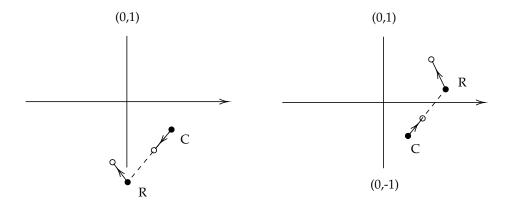


Figure 5.4: LHS illustrates an example of euc\_cop results in top\_cop; RHS illustrates an example of euc\_cop results in euc\_cop.

Remark 5.2.8. We will also use a shorthand euc\_cop to represent euc\_cop( $x_C, y_C, x_R, y_R$ ), top\_cop to represent top\_cop( $x_C, y_C, x_R, y_R$ ) and only emphasize the input parameters otherwise.

To show the loop invariant holds after steping one round of the game, we first assume  $d \ge 2$  to start with.

**Lemma 5.2.9.** For all  $x_R, y_R, x_C, y_C \in \mathbb{R}$ ,  $top(x_R, y_R, x_C, y_C) \ge euc(x_R, y_R, x_C, y_C)$ .

This is obvious because the euclidean distance is the shortest distance in 2D space.

**Lemma 5.2.10.**  $\forall d \geq dist(x_R, y_R, x_C, y_C)$  and  $d \geq 2$ , we have

$$d \geq 2 \wedge (\texttt{unsafe\_robber} \vee (\texttt{euc\_cop} \rightarrow d \geq euc(x_C, y_C, x_R, y_R) \wedge \texttt{top\_cop} \rightarrow d \geq top(x_C, y_C, x_R, y_R))) \\ \rightarrow \{\texttt{ctrl}; \texttt{plant}\}^*$$

$$(\texttt{unsafe\_robber} \lor (\texttt{euc\_cop} \rightarrow d-1 \geq euc(x_C, y_C, x_R, y_R) \land \texttt{top\_cop} \rightarrow d-1 \geq top(x_C, y_C, x_R, y_R)))$$

*Proof.* We will first break down the proof by different cases in the premise:

Case 1:  $d \ge 2 \land \mathtt{unsafe\_robber}$ . The cop then selects t = 0 and thus reaches conclusion  $\mathtt{unsafe\_robber}$ .

Case 2:  $d \ge 2 \land euc\_cop$ . Then we also know  $d \ge euc(x_C, y_C, x_R, y_R)$ .

Use discrete ghost and store the initial positions:  $x_{C0} = x_C, y_{C0} = y_C, x_{R0} = x_R, y_{R0} = y_R$ . Select

$$x_{C1} = \frac{x_{R0} - x_{C0}}{\sqrt{(x_{R0} - x_{C0})^2 + (y_{R0} - y_{C0})^2}}, y_{C1} = \frac{y_{R0} - y_{C0}}{\sqrt{(x_{R0} - x_{C0})^2 + (y_{R0} - y_{C0})^2}}$$

The cop selects t = 1. We first show that the domain constraint holds:

$$\forall r(0 \le r \le 1) \to r \le 1 \land \neg (x_{C0} + v_C \times x_{C1} = 0 \land y_{C0} + v_C \times y_{C1} < 1 \land y_{C0} + v_C \times y_{C1} > -1)$$

This can be proven by breaking down into each subcase and by QE. Then we show the post condition hold: This is equivalent to showing

$$d \geq 2 \land d \geq euc(x_C, y_C, x_R, y_R) \land euc(x_C, y_C, x_R, y_R) \geq 2 \rightarrow \\ \langle \texttt{ctrl}; \texttt{plant} \rangle (\texttt{unsafe\_robber} \lor \texttt{euc\_cop} \rightarrow d - 1 \geq euc(x_C, y_C, x_R, y_R) \lor \\ \texttt{top\_cop} \rightarrow d - 1 \geq top(x_C, y_C, x_R, y_R)$$

We can now use orL to discuss the proof by cases.

- Ends in unsafe\_robber. Choose t = 1 and exact post condition as needed.
- Ends in euc\_top: Intuition: The cop moves towards the robber, and the robber moves distance 1. The distance is still  $euc(x_R, y_R, x_C, y_C)$ . So

$$euc(x_R, y_R, x_C, y_C) \le euc(x_{R0}, y_{R0}, x_C, y_C) + euc(x_R, y_R, x_{R0}, y_{R0})$$

$$= euc(x_{R0}, y_{R0}, x_C, y_C) + 1$$

$$= euc(x_{R0}, y_{R0}, x_{C0}, y_{C0}) - 1$$

This can be proven by expanding the definitions and QE.

• Ends in top\_cop:

Intuition: The cop moves towards the robber, and the robber moves distance 1. WLOG suppose the distance now becomes  $top(x_R, y_R, x_C, y_C) = euc(x_R, y_R, 0, 1) + euc(x_C, y_C, 0, 1)$ . So

$$top(x_R, y_R, x_C, y_C) = euc(x_R, y_R, 0, 1) + euc(x_C, y_C, 0, 1)$$

$$\leq euc(x_{R0}, y_{R0}, x_C, y_C) + euc(x_R, y_R, x_{R0}, y_{R0})$$

$$= euc(x_{R0}, y_{R0}, x_{C0}, y_{C0}) - 1$$

This can be proven by expanding the definitions and QE.

Case 3:  $d \ge 2 \land \text{top\_cop}$ . Then we also know  $d \ge top(x_C, y_C, x_R, y_R)$ .

The difference from Case 2 is that we only unroll once in Case 2, but we may need to unroll twice in Case 3, i.e. change direction twice.

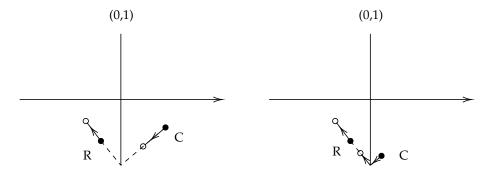


Figure 5.5: LHS illustrates an example of top\_cop results in top\_cop; RHS illustrates an example of top\_cop results in euc\_cop.

Use discrete ghost and store the initial positions:  $x_{C0} = x_C, y_{C0} = y_C, x_{R0} = x_R, y_{R0} = y_R$ .

We first show that the domain constraint holds:

$$\forall r(0 \le r \le 1) \to r \le 1 \land \neg (x_{C0} + v_C \times x_{C1} = 0 \land y_{C0} + v_C \times y_{C1} < 1 \land y_{C0} + v_C \times y_{C1} > -1)$$

This can be proven via expanding definitions and QE. Then we show the post condition hold:

- Ends in unsafe\_robber. Exactly post condition as needed.
- Ends in state euc\_cop: Expand twice and get

$$d \geq 2 \land d \geq top(x_C, y_C, x_R, y_R) \land top(x_C, y_C, x_R, y_R) \geq 2 \rightarrow post \lor \langle ctrl; plant \rangle post \lor \langle ctrl; plant$$

Note that the only case possible is that  $euc(x_{C0}, y_{C0}, 0, 1) \le 2$  or  $euc(x_{C0}, y_{C0}, 0, -1) \le 2$ .

– First select  $t=\frac{\sqrt{(x_{C0})^2+(1-y_{C0})^2)}}{2}$  and

$$x_{C1} = \frac{0 - x_{C0}}{\sqrt{(x_{C0})^2 + (1 - y_{C0})^2}}, y_{C1} = \frac{1 - x_{C0}}{\sqrt{(x_{C0})^2 + (1 - y_{C0})^2}}$$

Now we get  $x_C = 0$ ,  $y_C = 1$ . Then select t' = 1 - t and

$$x_{C1} = \frac{x_{R0}}{\sqrt{(x_{R0})^2 + (1 - y_{R0})^2}}, y_{C1} = \frac{x_{R0} - 1}{\sqrt{(x_{R0})^2 + (1 - y_{R0})^2}}$$

So

$$euc(x_R, y_R, x_C, y_C) \leq euc(x_{R0}, y_{R0}, x_C, y_C) + euc(x_R, y_R, x_{R0}, y_{R0})$$

$$\leq top(x_{R0}, y_{R0}, x_{C0}, y_{C0}) - euc(x_{C0}, y_{C0}, 0, 1) - euc(0, 1, x_C, y_C) + 1$$

$$\leq top(x_{R0}, y_{R0}, x_{C0}, y_{C0}) - 1$$

- We can prove the similar case for (0, -1).
- Ends in state top\_cop:

This is the case where  $euc(x_{C0}, y_{C0}, 0, 1) \ge 2$  and  $euc(x_{C0}, y_{C0}, 0, -1) \ge 2$ . So select t = 1 and

$$x_{C1} = \frac{0 - x_{C0}}{\sqrt{(x_{C0})^2 + (1 - y_{C0})^2}}, y_{C1} = \frac{1 - x_{C0}}{\sqrt{(x_{C0})^2 + (1 - y_{C0})^2}}$$

So

$$top(x_R, y_R, x_C, y_C) = top(x_{R0}, y_{R0}, x_{C0}, y_{C0}) - euc(x_R, y_R, x_{R0}, y_{R0})$$
$$= top(x_{R0}, y_{R0}, x_{C0}, y_{C0}) - 1$$

- We can prove the similar case for (0, -1).

### 5.3 Equal Speed Cop

Now we consider the case for convex, closed and bounded region. The result is obvious that a fast cop can eventually catch the robber given the previous result. Instead we argue the case where the cop and the robber have equal speed.

### 5.3.1 Unbounded Plane (with Obstacle)

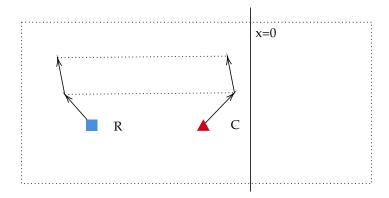


Figure 5.6: An example graph of the unbounded plane with obstacle  $x \ge 0$ . The blue rectangle denotes the robber; the red triangle denotes the cop. The robber's strategy is depicted as above, that it is always moving away from the obstacle in the x-axis.

**Theorem 5.3.1.** If the game region is the Euclidean Plane with finitely many obstacles, then it is robber-win.

*Proof.* We will define  $inRegion(x,y) = x \le 0$ . Note that this is a safe definition that generalizes all cases of different obstacles, by selecting the horizontal axis that all obstacles are at the right of this axis as x = 0. We can also define the position of the robber to be  $x_R = \min(x_C - 2, -2)$ ,  $y_R = x_R$ . Note that for the cop to be in the safe region,  $x_C \le 0$  in our model, but this suffices to define the strategy for generalized cases. Now we consider the invariant

$$J := abs(x_C - x_R) \ge 2.$$

- init: To show  $x_R = \min(x_C 2, -2) \land \operatorname{inRegion}(x_R, y_R) \vdash J$ . This is true by simple arithmetic.
- step: To show  $J \vdash [\mathtt{step}]J$ : we formalize the following strategy for the robber:  $x_{R1} = abs(x_{C1}), y_{R1} = y_{C1}$ . Then given  $x_R \leq 0$ , after positive t < 1 duration, we must have maintained  $x_R \leq 0$ . Since  $x_R$  is non-increasing, J is maintained after step.
- post: Since  $abs(x_C x_R) \ge 2$ , obviously  $(x_C x_R)^2 + (y_C y_R)^2 \ge 1$ .

### 5.3.2 Bounded Region (No Obstacle)

We consider an arbitrary convex, closed and bounded region that contains the origin (0,0) in the 2D-plane.

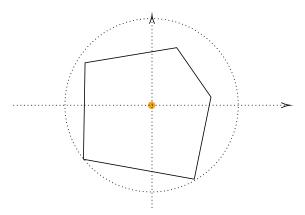


Figure 5.7: An example of convex, closed and bounded region.

**Definition 5.3.2.** This region satisfies the following properties:

There exists R > 1 such that isBounded :=

$$\forall x, \forall y, (\mathtt{inRegion}(x, y) \rightarrow \mathtt{NormToOrigin}(x, y) \leq R^2))$$

and isConvex :=

$$\forall (x_1, y_1), \forall (x_2, y_2), (\mathtt{inRegion}(x_1, y_1) \land \mathtt{inRegion}(x_2, y_2) \rightarrow \forall t (0 \leq t \leq 1 \rightarrow \mathtt{inRegion}(tx_1 + (1 - t)x_2, ty_1 + (1 - t)y_2))$$

where we also define the following helper functions:

$$inRegion(x, y) = x^2 + y^2 \le R^2.$$

$$NormToOrigin(x, y) = x^2 + y^2.$$

**Definition 5.3.3.** Let  $d_C$  be the distance from the cop to the origin,  $d_R$  be the distance from the robber to the origin. i.e.

$$d_C := \sqrt{x_C^2 + y_C^2}, d_R := \sqrt{x_R^2 + y_R^2}.$$

**Theorem 5.3.4.** If the game region is an arbitrary convex, bounded region, and the speed  $v_C = v_R > 0$ , then the game is cop-win.

The variant J(d) is defined as

isBounded 
$$\land$$
 isConvex  $\land$  (inRegion $(x_R, y_R) \rightarrow$  (inRegion $(x_C, y_C)$ )  
 $\land x_R \times y_C = x_C \times y_R \land d_C^2 \le d_R^2 \land (x_R - x_C)^2 + (y_R - y_C)^2 \le d_R^2 \land d \ge R^2 - d_C^2 - 1$ )  
 $\lor$  inBall $(x_C, y_C, x_R, y_R, r)$ ).

This invariant argues that the cop and the robber are always on the same radius and the cop is always closer to the origin than the robber.

**Lemma 5.3.5.** The variant  $dC^2$  increase by at least 1 after one round. We prove this using the following model:

$$J(d) \vdash \langle \{x_R := *; y_R := *; ?(x_R^2 + y_R^2 = 1)\}^d; \{x_C := *; y_C := *; ?(x_C^2 + y_C^2 = 1)\} \rangle (d \ge r^2 - d_C^2)$$

*Proof.* We show this using the following picture:

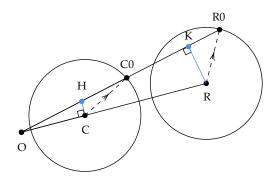


Figure 5.8: This figure shows the movement of C and R in a round

The circle centred at R denotes the range of R's movement and the circle centred at C denotes the range of C's movement. The line connecting O, C0, R0 is the radius that guarantees  $x_{C0} \times y_C = x_C \times y_{C0}$ . For shorthand we say the unit vector  $\vec{C1} := \overrightarrow{CC0}$ ,  $\vec{R1} := \overrightarrow{RR0}$ . We can see from the picture that there is guaranteed to have  $\geq 1$  solution for this C0. This can be solved using the following equation:

$$(x - x_C)^2 + (kx - y_C)^2 = 1.$$

with the definition of the following parameters:

$$k = \frac{y_{R0}}{x_{R0}}, A = 1 + k^2, B = -2x_C - 2ky_C, C = x_C^2 + y_C^2 - 1, D = B^2 - 4AC.$$

So there are two solutions for C0:

$$x_{C0} = \frac{-B \pm \sqrt{D}}{2A}, y_{C0} = k \frac{-B \pm \sqrt{D}}{2A}$$

Consider H to be the vertical point on OR0 such that  $CH \perp OC$ . K to be the vertical point on OR0 such that  $RK \perp OR0$ . Geometry shows that CH/RK = OH/OR = OC/OK. Since

$$(x_H - x_C) \cdot x_C + (kx_H - y_C) \cdot y_C = 0.$$

we can solve  $x_H = \frac{x_C^2 + y_C^2}{x_C + k \times y_C}$ . Since RR0 = 1 and  $RK \leq RR0$ ,  $RK \leq 1$ . Since  $CR \geq 2$ ,  $CH < RK \leq 1$ .

We can prove  $(x_H, y_H) \cdot (x_C, y_C) = 0$  and CH < 1. Then since |CC0| = 1,  $(x_{C1}, y_{C1}) \cdot (x_C, y_C) \ge 0$ .

Since 
$$(x_{C1}, y_{C1}) \cdot (x_C, y_C) \ge 0$$
,  $|OC0|^2 \ge |OC|^2 + |CC0|^2 = |OC|^2 + 1$ .

We redefine the coordinate system so that the players always start on the positive x-axis at the start of the round.

#### Lemma 5.3.6.

$$\begin{aligned} x_R > x_C \ge 0, y_R = y_C = 0, d_R - d_C \ge 2, y_{R1} > 0, x_{R1}^2 + y_{R1}^2 = 1, x_{R0} = x_R + x_{R1}, y_{R0} = y_R + y_{R1}, \\ d \ge d_C^2, x_C \times y_R = x_R \times y_C, d_C^2 \le d_R^2 \\ \vdash \exists x_{C1}, y_{C1}(x_{C1}^2 + y_{C1}^2 = 1 \land x_{C0} = x_{C1} + x_C \land y_{C0} = y_{C1} + y_C \\ \land x_{C0} \times y_{R0} = x_{R0} \times y_{C0} \land d_{C0}^2 \le d_{R0}^2 \land d \ge d_{C0}^2 + 1) \end{aligned}$$

Proof. Let

$$k = \frac{y_{R0}}{x_{R0}}, A = 1 + k^2, B = -2x_C - 2ky_C, C = x_C^2 + y_C^2 - 1, D = B^2 - 4AC.$$

Notice that since  $d_R - d_C \ge 2$ ,  $x_R \ge 2 \ne 0$ . Select  $x_{C1} = \frac{-B + \sqrt{D}}{2A} - x_C$ ,  $y_{C1} = kx_{C1} - y_C$ .

- To show  $d \ge d_{C0}^2 + 1$ , we use Lemma 5.3.4.
- To show  $x_{C0} \times y_{R0} = x_{R0} \times y_{C0}$ , the conclusion follows by simple arithmetic.
- To show  $d_C^2 \le d_R^2$ :  $d_R^2 \ge (x_R 1)^2$ ,  $d_C^2 \le (x_C + 1)^2$ . So  $d_C^2 \ge d_R^2$ .
- To show  $(x_R x_C)^2 + (y_R y_C)^2 \le d_R^2$ ): it suffices to show  $x_C + x_{C1} > 0$ ,  $x_R + x_{R1} > 0$ . Since  $x_{C1} > 0$  by selection, and  $x_R \ge 2$ ,  $x_{R1} \ge -1$ , this is proven.

Now we are able to show the complete theorem formulated as follows:

 ${\tt isBounded} \wedge {\tt isConvex} \wedge {\tt inRegion}(x_R,y_R) \wedge x_C = y_C = 0 \wedge v_C = v_R = 1 \vdash {\tt model}$  where model is Equation 5.3.

- *Proof.* init: To show isBounded $\land$ isConvex $\land$ inRegion $(x_R, y_R) \land x_C = y_C = 0 \land v_C = v_R = 1 \vdash \exists d. J(d)$ , the invaraiants follow naturally; if we plug in  $x_C = y_C = 0$ , the variants also follow by arithmetic.
  - step: To show d > 0,  $J(d) \vdash \langle \text{step} \rangle J(d-1)$ . We consider the following cases:
    - If  $d_R d_C > 2$ , if inRegion $(x_R, y_R)$ , then inRegion $(x_C, y_C)$  by convexity. Then we apply Lemma 5.3.6.
    - If  $d_R d_C \le 2$ , cop selects t = 0 and the robber cannot pass the test.
  - post: To show  $d \leq 0, J(d) \vdash \mathrm{inRegion}(x_R, y_R) \to \mathrm{inBall}(x_C, y_C, x_R, y_R, r)$ , note that the conclusion holds if  $\mathrm{inRegion}(x_R, y_R)$  is false. When  $\mathrm{inRegion}(x_R, y_R)$  is true, we have either  $\mathrm{inBall}(x_C, y_C, x_R, y_R, r)$  or  $R^2 d_C^2 \leq 1$ . Since  $d_R^2 \leq R^2$ , in either case the conclusion holds.

### 5.3.3 Bounded Region (With 1 Obstacle)

Now we consider the case of bounded region as in the previous section, but add obstacles in the area. We will not restrict the settings, but instead discuss sufficient and complete conditions for cop-win and robber-win cases.

Before diving into the problem, we start by stating a lemma that follows from the previous section. The intuition of this lemma is for the cop to force the robber into an obstacle-free region and eventually catch the robber by forcing the robber into a shrinking region over time.

**Definition 5.3.7.** We define the **projection** p from region G to H, where  $H \subseteq G$ , such that for all  $(x_h, y_h) \in H$ , if  $(x_g, y_g) \in G$ ,  $dist(x_g, y_g, x_h, y_h) \ge dist(x_g, y_g, p(x_g, y_g))$ . Note that this is only a well-defined function when there's only one unique such  $p(x_g, y_g)$  for all  $(x_g, y_g) \in G$ .

**Lemma 5.3.8.** Let R be a curve in the plane, H be the line segment connecting the endpoints of the curve. If the region enclosed by R and H is convex, we let this region be G. There exists a projection  $p: G \to H$ , the robber  $(x_R, y_R) \in G$  and the cop satisfies  $(x_C, y_C) = p(x_R, y_R)$ , then the cop can catch the robber in finite time.

#### Model

```
| \text{isConvex} \land \text{isBounded} \land x_C = x_R = 0 \land y_C \leq y_R \vdash \\ \langle \text{step*} \rangle (\text{inRegion}(x_R, y_R) \rightarrow \text{inBall}(x_C, y_C, x_R, y_R, 2)) \\ | \text{where} \\ | \text{step} = \\ \{t := 0; \} \{x_{R1} := *; y_{R1} := *; ?x_{R1}^2 + y_{R1}^2 = 1; \}^d; \{x_{C1} := *; y_{C1} := *; ?x_{C1}^2 + y_{C1}^2 = 1; \}; \\ \{x_R' = x_{R1}, y_R' = y_{R1}, x_C' = x_{C1}, y_C' = y_{C1}, \\ t' = 1 \& (t \leq 1 \land \text{inRegion}(x_C, y_C)) \} \{?(\neg \text{inBall}(x_R, y_R, x_C, y_C, 2)) \}
```

By assumption, this region satisfies isConvex and isBounded, where

$$\mathtt{isBounded} \leftrightarrow \forall x (\exists r (\forall y (\mathtt{inRegion}(x,y) \land y \geq h \rightarrow x^2 + y^2 \leq r))),$$

where we define h > 0 as follows.

We will define the coordinate system such that H lies on the horizontal line y = h, and H is contained in the line segment from (-b,h) to (b,h) for some positive b. The curve R is above y = h. The initial position of the cop is at (0,h) and  $x_C = x_R = 0$ . Let  $(x_{C0},y_{C0})$  and  $(x_{R0},y_{R0})$  be the coordinates for the new position of the cop and the robber corespondingly, b is defined as the minimum radius that contains the edge H, and h is

defined as follows:

$$x_{C0}^{2} + y_{C0}^{2} \ge h^{2} + b^{2}$$

$$x_{C0} \times y_{R0} = x_{R0} \times y_{C0}$$

$$x_{C0} = x_{C1}$$

$$y_{C0} = h + y_{C1}$$

$$x_{C1}^{2} + y_{C1}^{2} \le 1$$

Notice that this h satisfies the requirement that starting from the initial position C=(0,h) and  $R=(0,y_R)$ , the new position of the cop using the strategy in Lemma 5.3.8, satisfies  $x_{C0}^2+y_{C0}^2\geq h^2+b^2$ , as illustrated in Figure 5.9.

To find the minimum h required, (5.1) implies that  $h_{min} = \frac{b^2 - 1}{2y_{C1}}$ . Therefore we consider the case that minimum  $y_{C1}$  is reached, when  $RR_0 \perp OR_0$ . So we have the additional equation:

$$\frac{1}{y_R^2} = \frac{x_{C1}^2}{x_{C1}^2 + (h + y_{C1})^2}$$

Combining the equations above, we obtain

$$y_R^2 \times y_{C1}^4 + (3 - 2b^2 - y_R^2) \times y_{C1}^2 + \frac{1}{4}(b^2 - 1)^2 = 0$$

so 
$$y_{C1} \ge \frac{\sqrt{-3+2b^2+y_R^2}}{\sqrt{2}y_R}$$
.

Therefore we select  $h=\frac{b^2-1}{2y_{C1}^*}$  where  $y_{C1}^*=\frac{\sqrt{-3+2b^2+y_R^2}}{\sqrt{2}y_R}$  which satisfies the previous equations.

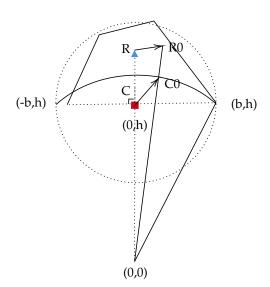


Figure 5.9: Illustration of convex region G in the coordinate system

Under this coordinate system, projection p is defined as  $p(x_g, y_g) = (x'_g, h)$  where  $x'_g$  is  $x_g$  if  $(x'_g, h) \in G$ , and the closest corner on H otherwise.

Let the variant J(d) be

$$y_C \times x_R = x_C \times y_R \wedge x_R^2 \ge x_C^2 \wedge x_R \times x_C \ge 0 \wedge d \ge r^2 - (x_C^2 + y_C^2) \wedge x_C^2 + y_C^2 \ge b^2 + h^2$$

Note that this is the variant as defined in Lemma 5.3.6 with the addition of the last condition  $x_C^2 + y_C^2 \ge b^2 + h^2$ , to guarantee that the robber never escapes the unbounded region G.

First consider the case that  $dist(x_C,y_C,x_R,y_R) \leq 2$ , then the final condition is already met.

Then WLOG suppose  $dist(x_C, y_C, x_R, y_R) > 2$ : We will first apply  $\langle * \rangle$  rule, i.e.

$$dist(x_C, y_C, x_R, y_R) > 2 \land x_C = x_R = 0 \land y_C \le y_R \vdash \langle step \rangle \langle step^* \rangle (inRegion(x_R, y_R) \rightarrow inBall(x_C, y_C, x_R, y_R, 2))$$

and show

$$dist(x_C, y_C, x_R, y_R) > 2 \land x_C = x_R = 0 \land y_C \le y_R \vdash \langle step \rangle (\exists d. J(d)).$$

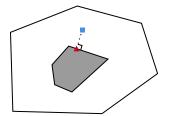
Since  $dist(x_C, y_C, x_R, y_R) > 2$ , then we can select t = 1. We select  $(x_{C1}, y_{C1})$  using the strategy as in Figure 5.9: select  $(x_{C1}, y_{C1})$  that satisfies  $x_C \times y_R = x_R \times y_C$  and has the closest distance to  $(x_R, y_R)$ . By selection of h,  $x_C^2 + y_C^2 \ge b^2 + h^2$  hold.

This guarantees that  $x_R^2 + y_R^2 \ge b^2 + h^2$ , i.e. R is always inside the guarded region of C.

Now use the strategy as in Lemma 5.3.6, we can show that

 $isConvex \land isBounded \land \exists d.J(d) \vdash \langle step^* \rangle (inRegion(x_R,y_R) \rightarrow inBall(x_C,y_C,x_R,y_R,2)),$  so that the rest of the conclusion is proven.

Now the left required to find cop-win cases is equivalent to finding cases such that the cop reaches the projection of the robber on the boundary of the obstacle. Figure 5.10 shows a mapping from this situation to applying Lemma 5.3.8.



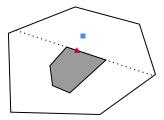


Figure 5.10: The red triangle represents the position of the cop. The cop is at the projection of the robber onto the obstacle. Extend the edge of the obstacle to connect the outer boundary, then the region cut off by this line is exactly the case as the lemma requires.

We first consider a counterexample where the cop fails to catch the robber:

**Conjecture 5.3.9.** If the game region is convex, bounded and contains a single regular polygon as an obstacle, the game is robber-win.

The intuition of this conjecture is shown via Figure 5.11. It is clear that if the cop starts from a corner of the obstacle, the robber can select a position such that the distance between the cop and the robber never decreases. However, if the cop selects a random position in the game space, then it's unclear whether the robber has a fixed strategy to enter this case.

Now we consider the problem from another angle.

**Conjecture 5.3.10.** If the game region is convex, bounded and contains a singular irregular polygon as an obstacle, the game is cop-win.

Now we claim that if both the cop and the robber greedily chase around the obstacle, the cop can eventually catch the robber. This happens because the cop's strategy guarantees to stay on the boundary, while the robber doesn't. Therefore, the total distance the cop runs is strictly positive and less than the robber. However, a formal proof requires defining J(d) to be a strictly decreasing variant such that over a constant time t>0, J(d) is guaranteed to decrease by  $\geq c$  where c is a positive constant. For some cases, this constant can be found through brute-force calculations, but it varies for different cases and it's hard to find a lower bound.

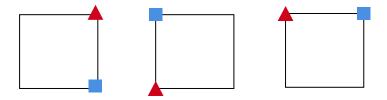


Figure 5.11: In the case where the obstacle is a square, the red triangle represents the cop and the blue rectangle represents the robber. Then the robber can move one edge away from the cop in each round.

Note that this modification allows both the robber and the cop to move freely within a timestep of 1. The mathematical reasoning stay the same, as we can still view each move of the agents to be inside the ball of size 1. This essentially switches the concurrent behavior of the system to turn-based system. The reason for this change is that in the concurrent setting, the robber is allowed to change direction in real time as the cop changes direction, and in the case of obstacles, the robber has incentive to do so, resulting in the case that the robber always wins.

# Chapter 6

# Conclusion

In this work, we discussed different types of pursuit-evasion games and used dGL to create models for game rules and presented formal verification approach for winning strategies. In both discrete and continuous dynamics, the essence is to find correct invariants and variants required to show the proofs. In Chapter 4, we considered Copsand-Robbers game played on discrete graphs. We investigated graph families starting from cycles, trees to a general proof of dismantable graphs, which is the sufficient and complete condition for 1-cop games. We also extended the proofs from concrete examples to abstract properties by using group properties of natural numbers. In addition, we also considered a proof on grids for two-cop games. This modification requires a change in modelling, but cannot extend to different cop numbers. In Chapter 5, we considered Lion-and-Man game played on continuous planes. We investigated several planes casing on the boundary condition, the cop's speed and the obstacle condition. We also discussed the differences among different selections of the game dynamics, and selected the final one that balances between the difficulty of performing formal proofs and whether it simulates reality well.

We compared the difference between dGL proofs and traditional mathematical proofs, and conclude that the advantage of dGL, as shown in continuous games, represents simultaneous moves easily and the use of ODE and domain constraint well explains the game dynamics while keeping the agents in the safe region. This significantly differs from traditional game settings that discusses turn-based games. It is shown that turn-based games favors the cop, while simultaneous version better simulates real-life scenario. However, challenge arises when it comes to defining complicated math definitions properly, and makes the abstraction of proofs difficult using the current set of tools. For future work, it would be valuable to combine other types of tools with dGL to perform formal verification, including program simulations and mathematical ways to potentially simplify the game modeling.

# **Appendix**

## **Differential Game Logic Proof Rules**

### **Propositional Logic Proof Rules**

### dGL Axioms

$$[\cdot]: \qquad [\alpha]P \leftrightarrow \neg \langle \alpha \rangle (\neg P)$$

$$\langle := \rangle : \langle x := \theta \rangle P(x) \leftrightarrow P(\theta) \qquad [:=]: [x := \theta]P(x) \leftrightarrow P(\theta)$$

$$\langle \cup \rangle : \langle \alpha \cup \beta \rangle P \leftrightarrow \langle \alpha \rangle P \wedge \langle \beta \rangle P \qquad [\cup]: [\alpha \cup \beta]P \leftrightarrow [\alpha]P \vee [\beta]P$$

$$\langle : \rangle : \langle a : b \rangle P \leftrightarrow \langle a \rangle \langle b \rangle P \qquad [:]: [a : b]P \leftrightarrow [a][b]P$$

$$\langle * \rangle : \langle a^* \rangle P \leftrightarrow P \vee \langle a \rangle \langle a^* \rangle P \qquad [*]: \langle a^* \rangle P \leftrightarrow P \vee \langle a \rangle \langle a^* \rangle P$$

$$\langle ' \rangle : \langle x' = f(x) \rangle p(x) \leftrightarrow \exists t \geq 0 \langle x := y(t) \rangle p(x)$$

$$\langle ? \rangle : \langle ?Q \rangle P \leftrightarrow Q \wedge P \qquad [?]: [?Q]P \leftrightarrow Q \Longrightarrow P$$

$$\langle d \rangle : \langle \alpha^d \rangle P \leftrightarrow \langle \neg \alpha \rangle \neg P \qquad [d]: [\alpha^d]P \leftrightarrow [\neg \alpha] \neg P$$

$$[:= *]: [x := *: ]P \leftrightarrow \forall x P$$

### dGL Proof Rules

$$\begin{aligned} & \log : \frac{\Gamma \vdash J \quad J \vdash [\alpha]J, J \vdash P}{\Gamma \vdash [\alpha^*]P, \Delta} \\ & \underbrace{\Gamma \vdash \exists dJ(d) \quad d > 0, J(d) \vdash \langle \alpha \rangle J(d-1) \quad d \leq 0, J(d) \vdash P}_{\text{con}} \\ & \text{con} : \frac{\Gamma \vdash [x := e]P, \Delta}{\Gamma \vdash P, \Delta} \end{aligned}$$

## **KeYmaera X Tactics**

A list of proofs is listed at https://github.com/ReedyHarbour/Pursuit-Evasion-Game-Tactics.git

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