

# Structural attack on quasi-cyclic SSAG-code-based McEliece cryptosystems

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## Abstract

*In this paper, we present a structural attack on quasi-cyclic SSAG-code-based McEliece cryptosystems, by showing that the knowledge of the invariant code allows us to recover the secret data. In particular, this shows that the security of such systems must rely on the security of the invariant code. We then propose a McEliece-like scheme based on quasi-cyclic Hermitian codes, and describe known attacks against the invariant code. We conclude by providing some parameters that resist those attacks.*

*Si la sécurité du système repose sur celle du sous-code invariant, pourquoi ne pas définir directement un McEliece avec le code invariant ? En termes de taille de clé publique j'imagine que ça revient au même (à vérifier) ? Cela étant on parle ici de sécurité contre une attaque en reconstruction de clé. Peut-être que pour l'attaque en reconstruction de message clair, il y a une différence ? Dans tous les cas ça mériterait d'être précisé et discuté (pas dans l'abstract évidemment).*

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# 1 Introduction

In the area of post-quantum cryptography, public-key cryptosystems using linear codes look promising. The first such system, based on binary classical Goppa codes, was introduced by McEliece in 1978 [McE78]. Its main drawback is that the size of the corresponding public keys (that is generating matrices of codes) is too large for practical use cases. Many propositions were made in order to correct this, mostly by considering codes with additional structure, e.g. quasi-cyclic codes. Moreover, replacing classical Goppa codes (defined over the projective line) by their natural generalization, AG-codes on curves, can also help in providing more flexibility.

However, recent works from Couvreur, Marquez-Corbella and Pellikaan [CMCP14] broke McEliece cryptosystems based on raw AG-codes on arbitrary genus curves. So, in the same way that classical Goppa codes can be seen as subfield subcodes of GRS codes, this leads to more specifically consider subfield subcodes of AG-codes (SSAG in short), for which there are only few propositions to date.

The present work describes a structural attack on McEliece-like scheme based on structured SSAG-codes. In fact, we show that the security of these systems can be reduced to the security of the so-called invariant code, which can be constructed from the public key. Depending on the assumption of the scheme, this subcode, which was first introduced by [Loi01], leaks too much information on the secret data about the public codes, which can be recovered from it. As a consequence, the parameters of the underlying scheme must be chosen carefully in order to keep a good security level.

As a contremesure to this attack, we also propose a scheme based on SSAG-codes from the Hermitian curve, and provide a set of parameters to secure the corresponding invariant code.

In Section 2, we will give some classical notations and definitions what will be useful, both in algebraic and coding theory. Section 3 will be devoted to the invariant code. In Section 4, we detail our attack in a general framework. Section 5 gives some applications, such that Kummer or Artin-Schreier cases. Section 6 will discuss the generalization of the attack to any cover with solvable Galois group. Finally, we will propose in Section 7 a scheme using QC-SSAG codes on the Hermitian curve which resists our attack, and describe known attacks against the invariant code and counter-measures.

## 2 Notations and properties

### 2.1 Algebraic function fields

Let  $\mathbb{F}_{q^m}$  be the finite field with  $q^m$  elements, where  $q = p^s$  is a power of a prime  $p$  and  $m \geq 1$ . A function field of one variable over  $\mathbb{F}_{q^m}$  is a field  $K$  such that there exists an element  $x \in K$  such that  $K/\mathbb{F}_{q^m}(x)$  is an algebraic and separable extension.

In our context, an algebraic function field  $K$  over  $\mathbb{F}_{q^m}$  will always be seen as the field of rational functions of an algebraic curve  $\mathcal{X}$  defined over  $\mathbb{F}_{q^m}$ , that is, with classical notations,  $K = \mathbb{F}_{q^m}(\mathcal{X})$ .

Denote by  $\mathbb{P}_K$  the set of places of  $K$ . Any place  $P \in \mathbb{P}_K$  comes with its valuation ring  $O_P$  and its discrete valuation  $\nu_P : K \rightarrow \mathbb{Z} \cup \{\infty\}$ . The degree of the place  $P$ , denoted  $\deg(P)$  is defined as the finite integer  $\deg(P) := [O_P/P : \mathbb{F}_{q^m}] < \infty$ . The divisor group of  $K$ , denoted  $\text{Div}(K)$ , is the set of formal sums

$$A = \sum_{P \in \text{Supp}(A)} \nu_P(A) \cdot P,$$

where  $\text{Supp}(A)$  is a finite subset of  $\mathbb{P}_K$ , called the support of  $A$ , made of places such that  $\nu_P(A) \neq 0$ . A place  $P \in \text{Supp}(A)$  is called a zero of  $A$  if  $\nu_P(A) > 0$  (resp. a pole of  $A$  if  $\nu_P(A) < 0$ ). For a function  $z \in K$ , we denote by  $(z)^K$ ,  $(z)_0^K$  and  $(z)_\infty^K$  its principal divisor, divisor of zeros and divisor of poles respectively, that is  $(z)^K = (z)_0^K + (z)_\infty^K$ , where

$$(z)_0^K = \sum_{\nu_P(A) > 0} \nu_P(A) \cdot P \quad \text{and} \quad (z)_\infty^K = \sum_{\nu_P(A) < 0} \nu_P(A) \cdot P.$$

The degree of a divisor is naturally defined by the formula

$$\deg(A) = \sum_{P \in \text{Supp}(A)} \nu_P(A) \cdot \deg(P).$$

We will denote  $\text{Princ}(K)$  the subgroup of  $\text{Div}(K)$  made of principal divisors and  $\text{Div}^0(K)$  the subgroup of degree zero divisors. The divisor class group of  $K$  is defined by  $\text{Cl}(K) := \text{Div}(K) / \text{Princ}(K)$  and the group of divisor classes of degree zero by  $\text{Cl}^0(K) := \text{Div}^0(K) / \text{Princ}(K)$ .

We then let  $h(K) := \# \text{Cl}^0(K)$  be the *class number* of  $K$ . Then for any  $r \geq 1$ , the number of divisor classes in  $\text{Cl}(K)$  of degree  $r$  does not depend on  $r$ , and is equal to  $h(K)$ .

**Theorem 1** (see [TaDN07], Proposition 3.1.23). *Let  $K$  be an algebraic function field over  $\mathbb{F}_{q^m}$  with genus  $g(K)$ . Then the class number  $h(K)$  satisfies*

$$(\sqrt{q^m} - 1)^{2g(K)} \leq h(K) \leq (\sqrt{q^m} + 1)^{2g(K)}.$$

Given a divisor  $A \in \text{Div}(K)$ , its Riemann-Roch space is defined as the  $\mathbb{F}_q$ -vector space

$$\mathcal{L}(A) = \{z \in L \mid (z)^L \geq -A\} \cup \{0\},$$

of dimension  $l(A) := \dim_{\mathbb{F}_q}(\mathcal{L}(A))$ .

Given a tower  $\mathbb{F}_{q^m}(x) \subseteq K \subseteq L$  of function fields over  $\mathbb{F}_{q^m}$ , let us consider a place  $Q \in \mathbb{P}_K$  and one of its extension  $P \in \mathbb{P}_L$ , denoted  $P \mid Q$ . The ramification index will as usual be denoted  $e(P \mid Q)$ . If  $L := \mathbb{F}_{q^m}(\mathcal{Y})$  and  $K := \mathbb{F}_{q^m}(\mathcal{X})$  are the function fields of two curves and if  $\pi : \mathcal{Y} \rightarrow \mathcal{X}$  is the corresponding separable morphism, we will consider the pullback of  $Q \in \mathbb{P}_K$  as the divisor

$$\pi^*Q = \sum_{P \mid Q} e(P \mid Q) \cdot P \in \text{Div}(L).$$

We will make great use of the following lemma, which shows that pullbacks preserve the notion of principal divisors.

**Lemma 2.** *Let  $z \in L$  be a function. Then*

$$(z)^L = \pi^*(z)^K, \quad (z)_0^L = \pi^*(z)_0^K \text{ and } (z)_\infty^L = \pi^*(z)_\infty^K.$$

*Proof.* see [Sti09], Proposition 3.1.9. □

## 2.2 Coding theory

As explained in the introduction, we will be dealing with SSAG-code, that is our AG-codes are defined over an extension  $\mathbb{F}_{q^m}$  of  $\mathbb{F}_q$ .

As before, let  $\mathbb{F}_q$  be a finite field with  $q = p^s$  elements, and let  $m \geq 1$  be an integer. Let us recall the definition of an AG code.

**Definition 3.** *Let  $\mathcal{X}$  be a smooth projective curve over  $\mathbb{F}_{q^m}$  with function field  $L = \mathbb{F}_{q^m}(\mathcal{X})$ ,  $\mathcal{P} = \{P_1, \dots, P_n\}$  be a set of  $n$  distinct places of degree one in  $L$  and  $G \in \text{Div}(L)$  be a divisor such that  $\text{Supp}(G) \cap \mathcal{P} = \emptyset$ . Let us also suppose that  $\deg(G) < n$ . Then we define the AG-code associated to the triple  $(\mathcal{X}, \mathcal{P}, G)$  as the  $\mathbb{F}_{q^m}$ -vector space*

$$\mathcal{C} := C_L(\mathcal{X}, \mathcal{P}, G) = \{(f(P_1), \dots, f(P_n)) \mid f \in L(G)\} \subseteq \mathbb{F}_{q^m}^n.$$

**Definition 4.** *With notation as above, we define the subfield subcode of  $C_L(\mathcal{X}, \mathcal{P}, G)$  over  $\mathbb{F}_q$ , denoted  $SSAG_q(\mathcal{X}, \mathcal{P}, G)$ , as follows*

$$SSAG_q(\mathcal{X}, \mathcal{P}, G) = C_L(\mathcal{X}, \mathcal{P}, G) \cap \mathbb{F}_q^n.$$

In particular, we will be interested in "structured" codes, that is codes with non trivial permutation group. To be concrete, consider an automorphism subgroup  $\Sigma \subseteq \text{Aut}(L)$ , and denote by  $\text{Orb}_\Sigma(P)$  the orbit of a place  $P \in \mathbb{P}_L$  under the action of this subgroup. If  $\Sigma(\mathcal{P}) = \mathcal{P}$  and  $\Sigma(G) = G$ , then  $\Sigma$  induces a permutation  $\tilde{\Sigma}$  on the code  $C_L(\mathcal{X}, \mathcal{P}, G)$ . In order to ensure this, the support  $\mathcal{P}$  and the divisor  $G$  have to be chosen carefully:  $\mathcal{P}$  will be a union, and  $G$  a sum, of orbits under the action of  $\Sigma$ . With this satisfied, it is clear that  $\mathcal{P}$  and  $G$  are  $\Sigma$ -invariant since by definition, each orbit of places in  $L$  is invariant.

As a subfield subcode, the permutation  $\tilde{\Sigma}$  also acts on the code  $SSAG_q(\mathcal{X}, \mathcal{P}, G)$  over  $\mathbb{F}_q$ .

**Example 5.** If  $\Sigma = \langle \sigma \rangle$  is cyclic of order  $\ell$  generated by  $\sigma \in \text{Aut}(L)$  and if  $\mathcal{P}$  and  $G$  are  $\sigma$ -invariant, the code  $C_L(\mathcal{X}, \mathcal{P}, G)$  is said to be  $\ell$ -quasi-cyclic. Notice that in this case, the code  $SSAG_q(\mathcal{X}, \mathcal{P}, G)$  is also  $\ell$ -quasi-cyclic.

Let us also recall the definition of the dual of a linear code:

**Definition 6.** Let  $\mathcal{C}$  be a  $[n, k]$ -linear code over a finite field  $\mathbb{F}_{q^m}$ . Its dual code is defined by

$$\mathcal{C}^\perp := \{y \in \mathbb{F}_{q^m}^n \mid xy^T = 0, \forall x \in \mathcal{C}\}.$$

It is easy to see that any generator matrix of  $\mathcal{C}^\perp$  is a parity check matrix of  $\mathcal{C}$ . In particular,  $\mathcal{C}^\perp$  is a  $[n, n - k]$ -code over  $\mathbb{F}_{q^m}$ .

Finally, we will also need a result using duality of AG-codes.

**Proposition 7.** Let  $C_L(\mathcal{X}, \mathcal{P}, G)$  be an AG-code defined on a curve  $\mathcal{X}$ . Then there exist a divisor  $G' \in \text{Div}(\mathcal{X})$  such that

$$C_L(\mathcal{X}, \mathcal{P}, G)^\perp = C_L(\mathcal{X}, \mathcal{P}, G').$$

*Proof.* See [Sti09], Proposition 2.2.10. □

### 3 Invariant code

**Definition 8.** Given an AG-code  $\mathcal{C} = C_L(\mathcal{X}, \mathcal{P}, G)$  on a curve  $\mathcal{X}$  with function field  $L$ , that is invariant under the action of an automorphism group  $\Sigma \subseteq \text{Aut}(L)$ , we define its invariant code as the subcode

$$\mathcal{C}^\Sigma := \{c \in \mathcal{C} \mid \tilde{\Sigma}(c) = c\},$$

where  $\tilde{\Sigma}$  is the permutation induced by  $\Sigma$  on the code.

Note that this code has repeated entries, so we usually use another one : the *punctured invariant code* (see. [Bar18], Chapter 3). It is denoted  $\bar{\mathcal{C}}^\Sigma$ , and is constructed from the classical invariant code by deleting the repeated entries. Later on, we will use the punctured one, but we will keep the notation  $\mathcal{C}^\Sigma$ .

**Remark 9.** Keeping notations as in [Bar18], Definition 3.1, suppose  $\Sigma = \langle \sigma \rangle$  is cyclic of order  $\ell$ , and denote by  $\sigma_\ell$  the  $\ell$ -quasi-cyclic shift restricted to  $\mathcal{C}$ . Let also  $I_\ell := \{1, \dots, n\} \setminus \{1, \ell + 1, \dots, n - \ell + 1\}$ , where  $n = \text{Length}(\mathcal{C})$ . Then the punctured invariant code can also be defined as

$$\bar{\mathcal{C}}^\Sigma = \text{Punct}_{I_\ell}(\ker(\sigma_\ell - id)).$$

As a consequence, it is possible to build in polynomial time in the parameters of  $\mathcal{C}$ , a generator matrix of the invariant code from the knowledge of a generator matrix of  $\mathcal{C}$  and the induced permutation.

In what follows, we will study the structure of the invariant code, which will be our main tool in the next parts. In particular, we will show that this subcode is nothing but an  $AG$ -code itself, defined on the quotient curve  $\mathcal{X}/\Sigma$ . Note that the function field of the quotient curve is precisely the fixed field  $L^\Sigma$  of  $L$ . Let us start with the following lemma.

**Lemma 10.** *Let  $\mathcal{P}$  and  $G$  be as in Definition 1, and suppose that they are invariant under an automorphism  $\sigma \in \text{Aut}(L)$ . If  $c = \text{Ev}_{\mathcal{P}}(g) \in C_L(\mathcal{X}, \mathcal{P}, G)$  is such that  $\sigma(c) = c$ , then  $g$  is  $\sigma$ -invariant, ie.  $g \circ \sigma = g$ .*

*Proof.* Let us write  $\mathcal{P} = \{P_1, \dots, P_n\}$ , and let  $c = (g(P_1), \dots, g(P_n))$  be such that  $\sigma(c) = c$ . Then we have

$$\begin{aligned} \forall i \in \{1, \dots, n\}, \quad g(P_{\sigma(i)}) = g(P_i) &\iff \forall i \in \{1, \dots, n\}, \quad (g \circ \sigma)(P_i) = g(P_i) \\ &\iff \forall i \in \{1, \dots, n\}, \quad (g \circ \sigma - g)(P_i) = 0. \end{aligned}$$

Since the divisor  $G$  is  $\sigma$  invariant, we have  $g \circ \sigma \in L(G)$ , and thus  $g \circ \sigma - g \in L(G)$  also. However the equivalence above gives  $n > \deg(G)$  zeroes to this function. This implies  $(g \circ \sigma - g) \equiv 0$ , and the result follows.  $\square$

**Proposition 11.** *Let  $G \in \text{Div}(L)$  be a divisor of  $L$  invariant by an automorphism  $\sigma \in \text{Aut}(L)$ . Then there exists a divisor  $\tilde{G} \in \text{Div}(L^\sigma)$  such that  $L(G)^\sigma = L(\tilde{G})$ .*

est-ce le même  $\tilde{G}$  que dans la Déf. 16 ?

*Proof.* Since  $G$  is  $\sigma$  invariant, there exist  $s \in \mathbb{N}^*$  and places  $Q_1, \dots, Q_s \in \mathbb{P}_L$  such that

$$\text{Supp}(G) = \bigsqcup_{i=1}^s \text{Orb}_\sigma(Q_i),$$

that is

$$G = \sum_{i=1}^s t_i \sum_{Q \in \text{Orb}_\sigma(Q_i)} R,$$

for some  $t_i \in \mathbb{Z}$ . Now let  $g \in L(G) \subseteq L$  be such that  $g \circ \sigma = g$  (ie.  $g \in L(G)^\sigma \subseteq L^\sigma$ ).

For each  $i \in \{1, \dots, s\}$ , consider a place  $Q'_i \in \mathbb{P}_{L^\sigma}$  be such that  $Q_i \mid Q'_i$ . It is well known that for every  $Q \in \text{Orb}_\sigma(Q_i)$ , one also have  $Q \mid Q'_i$  and  $e(Q|Q'_i) = e(Q_i|Q'_i)$ . Since  $g \in L(G)$ , we know by definition that

$$(g)_L \geq - \sum_{i=1}^s t_i \sum_{Q \in \text{Orb}_\sigma(Q_i)} Q$$

Note that for every  $i \in \{1, \dots, s\}$ , we have  $e(Q_i|Q'_i) \cdot \nu_{Q'_i}(g) = \nu_{Q_i}(g)$ , so we have

$$(g)_{L^\sigma} \geq - \sum_{i=1}^s \frac{t_i}{e(Q_i|Q'_i)} Q'_i.$$

Let us define  $\tilde{G} := \sum_{i=1}^s \left\lfloor \frac{t_i}{e(Q_i|Q'_i)} \right\rfloor Q'_i \in \text{Div}(L^\sigma)$ . Then we have  $g \in L(\tilde{G}) \subseteq L^\sigma$ . Hence  $L(G)^\sigma \subseteq L(\tilde{G})$ .

Conversly, let  $g \in L^\sigma$  such that  $g \in L(\tilde{G})$ , with  $\tilde{G}$  defined as above. Then we have

$$(g)_L \geq - \sum_{i=1}^s e(Q_i|Q'_i) \cdot \left\lfloor \frac{t_i}{e(Q_i|Q'_i)} \right\rfloor \sum_{Q \in \text{Orb}_\sigma(Q_i)} Q \geq -G,$$

that is  $g \in L(G) \cap L^\sigma = L(G)^\sigma$ .  $\square$

**Theorem 12** (Structure of the invariant code). *Let  $\mathcal{C} := C_L(\mathcal{X}, \mathcal{P}, G)$  be an AG-code defined on a curve  $\mathcal{X}$  with function field  $L$ , invariant under the action of an automorphism group  $\Sigma \subseteq \text{Aut}(\mathcal{X})$ . Then its invariant code is also an AG-code, defined on the quotient curve  $\mathcal{X}/\Sigma$ . In particular, there exist a support  $\tilde{\mathcal{P}}$  and a divisor  $\tilde{G}$  on the quotient curve  $\mathcal{X}/\Sigma$  such that*

$$\mathcal{C}^\Sigma = C_L(\mathcal{X}/\Sigma, \tilde{\mathcal{P}}, \tilde{G}).$$

*Proof.* Straightforward consequence of Lemma 10 and Proposition 11. □

**Remark 13.** The above theorem can be made a bit more precise, since we can make  $\tilde{\mathcal{P}}$  and  $\tilde{G}$  explicit. Indeed, the divisor  $\tilde{G}$  is nothing but the one introduced in the proof of Proposition 11, while  $\tilde{\mathcal{P}} := \{P' \in \mathbb{P}_{L^\sigma} ; P \mid P'\}$ . In particular, they can be described by using the ramification in the cover  $\mathcal{X} \mapsto \mathcal{X}/\Sigma$ .

**Remark 14.** Let  $\mathcal{C}$  be an AG-code on  $\mathcal{X}$  stable under  $\Sigma \subseteq \text{Aut}(\mathcal{X})$ , then

$$(\mathcal{C} \cap \mathbb{F}_q^n)^\Sigma = \{c \in \mathcal{C} \mid c \in \mathbb{F}_q^n \text{ and } \sigma(c) = c, \forall \sigma \in \Sigma\} = \mathcal{C}^\Sigma \cap \mathbb{F}_q^n,$$

that is invariant and subfield subcode operations commute.

**Corollary 15.** *With the notations of Theorem 1, let  $SSAG_q(\mathcal{X}, \mathcal{P}, G)$  be a subfield subcode of an AG-code with an action of  $\Sigma$ . Then*

$$SSAG_q(\mathcal{X}, \mathcal{P}, G)^\Sigma = SSAG_q(\mathcal{X}/\Sigma, \tilde{\mathcal{P}}, \tilde{G}),$$

where  $\tilde{\mathcal{P}}$  and  $\tilde{G}$  are defined as in Remark 2.

*Proof.* Immediate consequence of Theorem 12 and Remark 14. □

## 4 Recovering the equation of a covering

Throughout all this section, we work in the finite field  $\mathbb{F}_{q^m}$ , with  $m \geq 1$  and  $q = p^s$  a prime power. Let us consider a separable morphism

$$\pi : \mathcal{Y} \mapsto \mathcal{X}$$

between curves defined over  $\mathbb{F}_{q^m}$ . It corresponds to a tower of function fields  $\mathbb{F}_{q^m}(x) \subseteq K \subseteq L$ , where  $K = \mathbb{F}_{q^m}(\mathcal{X})$  and  $L = \mathbb{F}_{q^m}(\mathcal{Y}) = \mathbb{F}_{q^m}(x, y)$ . Since  $L$  is a finite algebraic extension of  $K$ , there exists an element  $y \in L$  such that  $L = K(y)$ ;  $y$  being a primitive element satisfying

$$H(y) = 0, \quad H \in K[T] \text{ irreducible polynomial.}$$

The key part of our attack will be to recover a defining equation of the curve  $\mathcal{Y}$ , that is the minimal polynomial  $H$  of  $y$  over  $K$ . To this end, let us introduce the following concept.

**Definition 16.** *For a divisor  $G \in \text{Div}(L)$ , let us denote by  $\tilde{G} \in \text{Div}(K)$  the largest divisor (according to the degree) such that*

$$\pi^* \tilde{G} \leq G.$$

*Note that  $\tilde{G}$  is unique and thus well-defined.*

**Remark 17.** *If  $A \in \text{Div}(K)$ , we have*

$$\widetilde{\pi^* A} = A.$$

$\pi$  correspond  
seulement à  
 $K \subseteq L$

compatibilité  
avec Prop. 11 ?

Let us give the setting of our attack. Denote by  $\ell = [L : K]$  the degree of the extension  $L/K$ . Suppose that we are given a set of  $r$  places of degree one in  $K$ , say  $\tilde{\mathcal{P}} = \{Q_1, \dots, Q_r\}$ , that totally split in  $L/K$ . For any  $1 \leq i \leq r$ , we then have

$$\pi^* Q_i = P_{i,1} + \dots + P_{i,\ell}, \text{ with } P_{i,j} \in \mathbb{P}_L$$

Denote by  $\mathcal{P} = \{P_{i,j} \mid 1 \leq i \leq r \text{ and } 1 \leq j \leq \ell\}$  the set of all extensions of the  $Q_i$ 's in  $L$ . Let  $G \in \text{Div}(L)$  be a divisor of degree  $d$  smaller than  $n = \ell r$  such that  $\text{Supp}(G) \cap \mathcal{P} = \emptyset$ . Also, we denote by  $\tilde{G} \in \text{Div}(K)$  its related divisor according to Definition 16. Note that this implies that  $\text{Supp}(\tilde{G}) \cap \tilde{\mathcal{P}} = \emptyset$  as well.

notation  
déroutante  
d'avoir les  $Q$   
dans  $K$  et les  $P$   
dans  $L$

**Remark 18.** The situation above can also be described in the following manner: Consider a curve  $\mathcal{Y}$  with function field  $L$ , together with a subgroup  $\Sigma \subseteq \text{Aut}(\mathcal{Y})$ . We then get a cover  $\mathcal{Y} \mapsto \mathcal{Y}/\Sigma$ , as well as a function field  $K = \mathbb{F}_{q^m}(\mathcal{Y}/\Sigma) = L^\Sigma$ . If  $G$  is made of orbits under the action of  $\Sigma$ , then  $\mathcal{P}$  and  $G$  gives rise to an AG-code  $C_L(\mathcal{Y}, \mathcal{P}, G)$  that is invariant under the action of  $\Sigma$ , and thus its invariant code is given by  $C_L(\mathcal{Y}/\Sigma, \tilde{\mathcal{P}}, \tilde{G})$ . As explained in the introduction, we will later be dealing with the subfield subcode version of those codes.

cas galoisien  
uniquement ?

Before describing the procedure to recover the equation of  $\mathcal{Y}$ , let us put together our assumptions :

1. We know a parity check matrix  $\mathbf{H}$  of the SSAG-code

$$\mathcal{C} = \text{SSAG}_q(\mathcal{Y}, \mathcal{P}, G),$$

that is generic framework of McEliece's scheme;

reformuler

2. We know a plane model of the quotient curve  $\mathcal{X}$  (ie. the defining equation of the function field  $K$ ), the set of places  $\tilde{\mathcal{P}}$  and the divisor  $\tilde{G} \in \text{Div}(K)$  (that is exactly the invariant code);
3. We know the morphism  $\pi : \mathcal{Y} \mapsto \mathcal{X}$  at the level of the set of places  $\mathcal{P}$ , that is for every (unknown)  $P \in \mathcal{P}$ , we know the corresponding place  $Q \in \tilde{\mathcal{P}}$  such that  $P \mid Q$  (ie.  $Q = \pi(P)$ );
4. We have "enough information" on the pole divisor of  $y$  in  $K$ , where  $y \in L$  is such that  $L = K(y)$ . This assumption will be discussed later, since the key point of the attack will be to control the divisor

$$(\widetilde{y})_\infty^L \in \text{Div}(K).$$

In fact, we will need to understand its support in  $K$ , and how he ramifies in  $L/K$ .

Let us now explain what we plan to do. The main idea is to recover first the support  $\mathcal{P}$ , that are points on the curve  $\mathcal{Y}$ , in order to be able to recover its defining equation using interpolation. Thanks to hypothesis 2., we know the coordinates of the rational points corresponding to  $Q_i$ 's in the plane model of the curve  $\mathcal{X}$ . In fact, let us denote by  $\alpha$  a primitive element of  $K$  over  $\mathbb{F}_{q^m}(x)$ , that is  $K = \mathbb{F}_{q^m}(x, \alpha)$  (possible since  $K/\mathbb{F}_{q^m}(x)$  is separable and algebraic). Then one can denote by  $(x(Q_i) : \alpha(Q_i) : 1)$  the coordinate of the rational point in  $\mathcal{X}(\mathbb{F}_{q^m})$  corresponding to the place  $Q_i \in \tilde{\mathcal{P}}$ .

As the curve  $\mathcal{Y}$  covers the plane model of  $\mathcal{X}$ , it admits a model in  $\mathbb{P}^3(\mathbb{F}_{q^m})$ ; that is any  $P \in \mathcal{P}$  corresponds to a point with coordinates  $(x(P) : \alpha(P) : y(P) : 1) \in \mathbb{P}^3(\mathbb{F}_{q^m})$ . Since places in  $\mathcal{P}$  are extensions of places in  $\tilde{\mathcal{P}}$ , they correspond to points that have the same  $x$  and  $\alpha$  coordinates, equal to those of their restriction in  $K$ . In other words, for all  $1 \leq i \leq r$  and  $1 \leq j \leq \ell$ , the place  $P_{i,j} \in \mathcal{P}$  corresponds to the rational point

$$(x(Q_i) : \alpha(Q_i) : y(P_{i,j}) : 1) \in \mathcal{Y}(\mathbb{F}_{q^m}).$$

As a result, from hypothesis 2, one only need to recover the  $y$ -evaluation of points in  $\mathcal{P}$  in order to conclude. So the key part will be to recover the row vector

$$\mathbf{y} = (y_{i,j})_{i,j}, \tag{1}$$

where  $y_{i,j} := y(P_{i,j})$ , for every  $1 \leq i \leq r$  and  $1 \leq j \leq \ell$ .

In order to recover  $\mathbf{y}$ , we will construst a linear system of which it is solution. For that, recall that by definition, the parity check matrix of the code  $\mathcal{C} = SSAG_q(\mathcal{Y}, \mathcal{P}, G)$  satisfies

$$c \in \mathcal{C} \iff H \cdot c^T = 0. \quad (2)$$

Moreover, we know that a codeword  $c \in \mathcal{C}$  comes from evaluation at  $P_{i,j} \in \mathcal{P}$  of functions in the Riemann-Roch space of  $G$ , that is.

$$c = (f(P_{i,j})) , f \in L(G) \text{ and } c \in \mathbb{F}_q^n.$$

Of course,  $L(G)$  is unknown since the divisor  $G$  is as well. But we actually don't need the whole space  $L(G)$  to recover  $\mathbf{y}$ . In fact, we are searching for a subspace  $\mathcal{L} \subseteq L(G)$ , big enough (we will explain it later), and made of functions that specifically have the form  $g \cdot y$ , where  $g \in K$  and  $y$  is such that  $L = K(y)$ . In fact, once such a space is found, one has

$$\{c = (g(P_{i,j}) \cdot y(P_{i,j})) , 1 \leq i \leq r , 1 \leq j \leq \ell \text{ and } f \cdot y \in \mathcal{L}\} \cap \mathbb{F}_q^n \subseteq \mathcal{C}.$$

In particular, since  $g \in K$ , the  $g(P_{i,j})$  are known (recall the discussion above), and thus the right-hand side of (2) gives us a system where everything is known but the  $y(P_{i,j})$ , that is exactly what we want.

Let us now explain how to get a space of functions  $\mathcal{L} \subseteq L(G)$  as above. In particular, since we know the quotient curve (that is we know its function field  $K$ ) as well as the morphism of curve  $\pi : \mathcal{Y} \rightarrow \mathcal{X}$ , we will construct  $\mathcal{L}$  as a pull-back of function in  $K$ . To be concrete, we are searching for a space of functions  $\mathcal{F} \subseteq K$ , as big as possible, such that

$$\pi^* \mathcal{F} \cdot y \in L(G). \quad (3)$$

The following lemma gives us the optimal choice for the space  $\mathcal{F}$ .

**Lemma 19.** *The space of functions  $\mathcal{F} \subseteq K$ , given by*

$$\mathcal{F} := L\left(\tilde{G} - \widetilde{(y)_\infty^L}\right) \subseteq L(\tilde{G})$$

*satisfies condition (3) above.*

*Proof.* The inclusion  $\mathcal{F} \subseteq L(\tilde{G})$  easy follows from the fact that  $\widetilde{(y)_\infty^L}$  is a positive divisor, and thus  $\tilde{G} - \widetilde{(y)_\infty^L} \leq \tilde{G}$ . Let us show that (3) holds. Let  $f \in \mathcal{F} = L\left(\tilde{G} - \widetilde{(y)_\infty^L}\right)$ . By definition, one has

$$(f)^K \geq -\left(\tilde{G} - \widetilde{(y)_\infty^L}\right),$$

and then

$$(\pi^* f)^L \geq -\pi^* \left(\tilde{G} - \widetilde{(y)_\infty^L}\right) = (y)_\infty^L - G, \quad \text{using Remark 17.}$$

Now, one gets

$$(\pi^* f \cdot y)^L = (\pi^* f)^L + (y)^L \geq ((y)_\infty^L - G) + (y)^L = (y)_0^L - G \geq -G,$$

since  $(y)_0^L$  is an effective divisor. In particular, we just proved that  $\pi^* f \cdot y \in L(G)$  for every  $f \in \mathcal{F}$ , that is (3) holds.  $\square$

**Remark 20.** As we are searching for a space  $\mathcal{F}$  as big as possible, we can see in the above proof that we made the best choice possible. In fact, since we want functions with the specific form  $g \cdot y$  where  $g$  doesn't depend on the variable  $y$ , one need to compensate this fact by "deleting" the term  $-(y)_\infty^L$ , which is the smallest as possible, that is we loose the least informations as possible in order (3) to be satisfied.

utilisation de la rem. 17 à l'envers ? elle dit  $\pi^* A = A$  mais elle ne dit pas  $\pi^* B = B$ , on a seulement une inégalité

à préciser déjà en corrigeant la preuve ci-dessus, mais sinon attention à  $G - (y)_\infty^L$



Note that the space  $\mathcal{F}$  in Lemma 19 can be explicitly determined in our situation, since it is a subspace of  $K$  which is supposed to be known (see hypothesis 2. and 4. above). In particular, the divisor

$$D := \tilde{G} - (\widetilde{y})_{\infty}^L \in \text{Div}(K) \quad (4)$$

is known from now on. In particular, one can find a basis of its Riemann-Roch space, that is there exists functions  $f_1, \dots, f_s \in K$  (where  $s = \dim(D)$ ) such that

$$\mathcal{F} := L(D) = \langle f_1, \dots, f_s \rangle_{\mathbb{F}_{q^m}}.$$

Now let us consider the row vectors, for every  $1 \leq k \leq s$  :

$$\mathbf{u}_k := (\pi^* f_k(P_{i,j}))_{i,j}, \text{ with } 1 \leq i \leq r, 1 \leq j \leq \ell.$$

At this point, we are able to compute the  $\mathbf{u}_k$ 's the following way :

1. We first compute the vectors  $\mathbf{a}_k := (f_k(Q_i))_i$ ,  $1 \leq i \leq r$ . This can be easily done since both the  $f_k$ 's and  $Q_i$ 's are known at this point;
2. Next we use hypothesis 3. to recover the  $\mathbf{u}_k$ 's : by definition of pull-back, for any fixed  $1 \leq i \leq r$ , we have

$$f_k(Q_i) = \pi^* f_k(P_{i,j}), \quad 1 \leq j \leq \ell.$$

Since we know the indices (in  $\mathcal{P}$ ) corresponding to the extension in  $\mathbb{P}_L$  of any  $Q \in \tilde{\mathcal{P}}$ , we can re-build the  $\mathbf{u}_k$ 's by duplicating the value of  $f_k(Q_i)$  in the corresponding coordinates.

Now, using equations (2) and (3) above, one gets

$$\mathbf{u}_k \star \mathbf{y} \in \mathcal{C}, \text{ for every } 1 \leq k \leq s, \text{ intersection } \mathbb{F}_q^n ?$$

where  $\star$  is the componentwise product of row vectors. If we denote by  $\mathbf{D}_k = \text{Diag}(\mathbf{u}_k)$ , equation (2) leads to the linear system

$$\begin{pmatrix} H \cdot \mathbf{D}_1 \\ \vdots \\ H \cdot \mathbf{D}_s \end{pmatrix} \cdot \mathbf{y}^T = 0, \quad (5)$$

from which  $\mathbf{y}$  is a particular solution. Then if we have enough equations, we can hope to recover  $\mathbf{y}$  by solving (5).

Let us denote by

$$A := \begin{pmatrix} \mathbf{H} \cdot \mathbf{D}_1 \\ \vdots \\ \mathbf{H} \cdot \mathbf{D}_s \end{pmatrix}$$

the above matrix. It is clear by construction that  $\mathbf{y}$  is in the kernel of  $A$ , but since it's the only solution we are searching for, it can be interesting to investigate other ones. In order to have unicity of the solution, we would like to have as much equations as possible. Thus, let us study how the parameters impact the number of equations and thus the space of solutions.

If  $S$  denotes the number of equations in the linear system (5), one have

$$S = \# \text{Rows}(H) \times s,$$

where  $s := \dim(D)$ . By definition, the number of rows of  $H$  equals  $n - \dim_{\mathbb{F}_{q^m}}(\mathcal{C}) = \ell r - \dim_{\mathbb{F}_{q^m}}(\mathcal{C})$ . Using the Riemann-Roch theorem twice gives

$$s = \dim(D) \leq \deg \left( \tilde{G} - (\widetilde{y})_{\infty}^L \right) + 1 - g(K),$$

and

$$\dim_{\mathbb{F}_{q^m}}(\mathcal{C}) \leq \deg(G) + 1 - g(L).$$

Since  $\deg(\tilde{G}) = \lfloor \frac{\deg(G)}{\ell} \rfloor$ , it is clear from the above estimation that the number  $S$  of equations depend on both genera of  $L$  and  $K$ , as well as the degree of the divisor  $G$  (provided that  $n = \ell r$  is fixed).

dimension sur  $\mathbb{F}_{q^m}$  ou sur  $\mathbb{F}_q$  ?

In particular, if  $g(K)$  and  $g(L)$  are too high, we will probably have less equations (keep in mind that  $g(L) \geq g(K)$  and that  $g(L)$  can be computed from  $g(K)$  (see. Hurwitz' formula, [Sti09], theorem 3.4.13)).

Moreover, if the cover  $\pi : \mathcal{Y} \mapsto \mathcal{X}$  is fixed, as well as the cardinality of the set  $\mathcal{P}$  (that is  $n$ ); there exist an integer  $d_{max}$  such that the number of equations is maximal for  $\deg(G) = d_{max}$ .

On the other hand, in all our computing experiments, we noticed that theses parameters (ie.  $\deg(G)$  and both genera in particular) don't impact the structure of the kernel of  $A$  and thus the solutions of (5). This is actually a good remark since those kinds of problems usually tend to be harder in big genera situations. It turns out that it was pretty much predictable since it is possible to describe all solutions of the system, as explained in the next proposition.

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**Proposition 21.** *Let  $h \in L$  be a function such that*

$$(h)_\infty^L \leq (y)_\infty^L$$

*holds. Then the evaluation vector  $\mathbf{h} := (h_{i,j})_{i,j}$ , where  $h_{i,j} := h(P_{i,j})$ , for every  $1 \leq i \leq r$  and  $1 \leq j \leq \ell$  is also in the kernel of  $A$ .*

*Proof.* Using notations of Lemma 19, take  $h \in L$  as above, and a function  $g \in \mathcal{F}$ . By definition of  $\mathcal{F}$ , we have

$$(\pi^*g)^L \geq -\pi^* \left( \tilde{G} - (\widetilde{y})_\infty^L \right) = (y)_\infty^L - G.$$

Thus we have

$$(\pi^*g \cdot h)^L = (\pi^*g)^L + (h)^L \geq ((y)_\infty^L - G) + (h)^L = (h)_0^L + \underbrace{((y)_\infty^L - (h)_\infty^L)}_{\geq 0} - G \geq -G,$$

that is  $\pi^*\mathcal{F} \cdot h \in L(G)$ . This completes the proof.  $\square$

The above proposition proves that the space of solutions doesn't depend on the number of equations. We will see in some examples later that we can explicitly decide whenever a function gives a solution or not, depending on the divisor  $(y)_\infty^L$ . This leads to a problem: how to find  $\mathbf{y}$  among all possible solutions? We will see in practical examples later that depending on the cover, and especially on the action of the automorphism group  $\Sigma$ , we can add to the system (5) others equations, that are only satisfied by  $\mathbf{y}$ , allowing us to separate it from other solutions.

## 5 Applications

### 5.1 About the quotient curve

As we saw in section 4, our procedure allows us to recover the defining equation of a curve  $\mathcal{Y}$ , provided that we are given enough informations about one of its quotient curve  $\mathcal{X}$ . One can also see the situation as follow: Given a plane curve  $\mathcal{X}$ , can we recover the defining equation of one of its cover  $\mathcal{Y}$ ? A natural question is then for which type of curve  $\mathcal{X}$  this is possible.

The easiest case is then  $\mathcal{X}$  equals the projective line  $\mathbb{P}^1(\mathbb{F}_{q^m})$ . In fact, this curve has genus zero and we know exactly its rational places. This case is not really interesting, and in fact it will be contained in the discussion below.

In fact, we will see that we can take  $\mathcal{X}$  in a general classe of curves. In particular, from Hypthesis 4. (see section 4) we need to control the pole divisor of a prime element of  $L/K$ , that is we would like  $(\widetilde{y})_\infty^L$  to be as simple as possible (in terms of the cardinality of its support). In order to satisfy this condition, the function field  $K$  of the curve  $\mathcal{X}$  has to be well chosen. In fact, take an separating

element  $x \in K$ , such that  $K/\mathbb{F}_{q^m}(x)$  is separable and algebraic. Thus there exist  $\alpha \in K$  such that  $K = \mathbb{F}_{q^m}(x, \alpha)$ . Let us define the classe of curve were  $\mathcal{X}$  will be taken.

**Definition 22.** For a curve  $\mathcal{X}$  over  $\mathbb{F}_{q^m}$ , we say that it has separated variables if its function field  $K = \mathbb{F}_{q^m}(x, \alpha)$  is given by

$$F_1(\alpha) = F_2(x) , \text{ where } F_1, F_2 \in \mathbb{F}_q[T].$$

In this case, one have  $[K : \mathbb{F}_{q^m}(x)] = \deg(F_1)$ .

The following lemma explain why these curves are intersting in our case :

**Lemma 23.** Let  $\mathcal{X}$  be a curve with separated variables, whose function field  $K = \mathbb{F}_{q^m}(x, \alpha)$  is given by the equation

$$F_1(\alpha) = F_2(x),$$

where  $F, G \in \mathbb{F}_{q^m}[T]$  are two univariate polynomials with coprime degrees, and denote by  $\pi : \mathcal{X} \mapsto \mathbb{P}^1(\mathbb{F}_{q^m})$ . the corresponding morphism of curves. Let  $R_\infty$  be the pole of  $x$  in  $\mathbb{F}_{q^m}(x)$ . Then  $R_\infty$  is totally ramified in  $K/\mathbb{F}_{q^m}(x)$ , and its unique extension  $Q_\infty \in K$  is the unique pole of  $\alpha \in \mathbb{P}_K$ . In particular, one have

$$(\alpha)_\infty^K = \deg(F_2) \cdot Q_\infty.$$

*Proof.* Let  $Q_\infty$  be some extension of  $R_\infty$  in  $K$ . One have obviously

$$e(Q_\infty | R_\infty) \leq \deg(F_1) = [K : \mathbb{F}_{q^m}(x)].$$

On the other side, from the defining equation of  $K$ ; one gets

$$F_1(\alpha) = F_2(x) \Rightarrow \deg(F_1) \cdot \nu_{Q_\infty}(\alpha) = e(Q_\infty | R_\infty) \cdot \deg(F_2) \cdot \underbrace{\nu_{R_\infty}(x)}_{=-1},$$

and since  $(\deg(F_1), \deg(F_2)) = 1$ , we get  $\deg(F_1) \mid e(Q_\infty | R_\infty)$ , that is  $R_\infty$  is fully ramified in  $K/\mathbb{F}_{q^m}(x)$  and  $e(Q_\infty | R_\infty) = \deg(F_1)$ . Moreover, we have

$$\begin{aligned} \deg(F_1) \cdot (\alpha)_\infty^K &= \deg(F_2) \cdot \pi^*(x)_\infty^{\mathbb{F}_q(x)} \\ &= \deg(F_2) \cdot \pi^* R_\infty \\ &= \deg(F_2) \cdot e(Q_\infty | R_\infty) \cdot Q_\infty , \end{aligned}$$

which gives the result.  $\square$

The main point in these kind of curves is that we keep track of the place at infinity in the corresponding extension of function field, that will later allow us to look at this point in the tower  $\mathcal{Y} \mapsto \mathcal{X} \mapsto \mathbb{P}^1(\mathbb{F}_{q^m})$ ; giving us a good way to describe the divisor  $\widetilde{(y)}_\infty^L \in \text{Div}(K)$ .

## 5.2 Kummer covering

Let  $\mathcal{X}$  be a curve over  $\mathbb{F}_{q^m}$  with separated variables (see. Definition 22), those function field is given by  $K = \mathbb{F}_{q^m}(x, \alpha)$ , with

$$F_1(\alpha) = F_2(x) ; \text{ with } F_1, F_2 \in \mathbb{F}_{q^m}[T]$$

and  $(\deg(F_1), \deg(F_2)) = 1$ .

Let  $\ell \mid q - 1$  be an integer (not necessarily a prime). Consider the extension  $L = K(y)$ , with

$$y^\ell = f(x, \alpha) , \quad f \in \mathbb{F}_{q^m}[X, T] \tag{6}$$

and denote by  $d := \deg(f)$  the degree of the bivariate polynomial  $f$ . Suppose also that  $(d, \ell) = 1$ . Then  $L/K$  is a Kummer extension; is cyclic of order  $\ell = [L : K]$  and

$$\text{Gal}(L/K) = \{\sigma : y \mapsto \xi \cdot y \mid \xi \in \mu_\ell^*(\mathbb{F}_{q^m})\}.$$

**Remark 24.** *This special case of cyclic extension have been extensively studied and are well-known. With Artin-Schreier extensions, they characterize in a sense all cyclic extensions (see [Sti09] Annex A.13). In particular, in their settings, the element  $f$  as in (6) should be an element in  $K$ , that is potentially a rational function. Above, we supposed that  $f$  is a polynomial, since we can always reduce to this case using a change of variables. Finally, you can refer to [Sti09], Proposition 3.7.3 for more details about ramification in Kummer extensions).*

Let us explain the hypotheses before describing our attack in this context (this is a special case of those given in section 4.). Denote by  $\mathcal{Y} \mapsto \mathcal{X}$  the morphism of algebraic curves that corresponds to the extension of function fields  $L/K$ . Suppose we are given an  $SSAG$  code  $\mathcal{C}$  on the curve  $\mathcal{Y}$ , that is invariant under the action of the whole Galois group  $\text{Gal}(L/K)$ . Since this group is well-know, the corresponding action is completely determined by the choice of an  $\ell^{th}$ -root of unity  $\xi \in \mu_\ell^*(\mathbb{F}_{q^m})$ . Our hypotheses are then the following :

1. We know a parity check matrix  $H$  of the code  $\mathcal{C} = SSAG_q(\mathcal{Y}, \mathcal{P}, G)$ ;
2. The quotient curve  $\mathcal{X}$  is known (that is polynomials  $F_1$  and  $F_2$ ), as well as the structure of the invariant code of  $\mathcal{C}$ , ie.  $\tilde{\mathcal{P}}$  and  $\tilde{G}$  such that  $\mathcal{C}^\sigma = SSAG_q(\mathcal{X}, \tilde{\mathcal{P}}, \tilde{G})$ ;
3. The automorphism  $\sigma \in \text{Gal}(L/K)$  that acts on  $\mathcal{C}$  is unknown, that is we don't know the corresponding root of unity  $\xi$ .

According to Section 4, we need to control the divisor  $\widetilde{(y)_\infty^L}$ . Let us start with the following lemma.

**Lemma 25.** *Keep notations as in Lemma 23. Then in the above situation, the place  $Q_\infty$  (the unique pole of  $\alpha$ ) is fully ramified in  $L/K$ , and its unique extension  $P_\infty \in L$  is the unique pole of  $y$  in  $L$ .*

*Proof.* Well-known from Kummer theory. □

**Proposition 26.** *We have*

$$(x)_\infty^L = \ell \cdot \deg(F_1) \cdot P_\infty,$$

$$(\alpha)_\infty^L = \ell \cdot \deg(F_2) \cdot P_\infty,$$

and

$$(y)_\infty^L = d \cdot P_\infty.$$

*Proof.* Let  $R_\infty$  be the simple pole of  $x$  in  $\mathbb{F}_{q^m}(x)$ . It is totally ramified in  $K/\mathbb{F}_{q^m}(x)$  (see Lemma 25), so  $(x)^K = \deg(F_1) \cdot Q_\infty$ . We also know the divisor of poles of  $\alpha$  in  $K$ , so using Lemma 2 yields

$$(x)_\infty^L = \pi^*(x)_\infty^K = \deg(F_1) \cdot \pi^*Q_\infty = \ell \cdot \deg(F_1) \cdot P_\infty,$$

and

$$(\alpha)_\infty^L = \deg(F_2) \cdot \pi^*Q_\infty = \ell \cdot \deg(F_2) \cdot P_\infty.$$

Next, by hypothesis one have  $(f)_\infty^K = d \cdot Q_\infty$  (recall that  $Q_\infty$  is the unique pole of  $x$  and  $\alpha$  and  $K$ ), so the equation  $y^n = f$  gives

$$\begin{aligned} \ell \cdot (y)_\infty^L &= \pi^*(f)_\infty^K \\ &= d \cdot e(P_\infty | Q_\infty) \cdot P_\infty, \end{aligned}$$

that is  $(y)_\infty^L = d \cdot P_\infty$ . □

**Remark 27.** Considering theses extensions, the divisor of pole of  $y$  we are interested in is particularly simple because it is only supported by one place, that correspond to the point at infinity in  $\mathbb{P}^1(\mathbb{F}_{q^m})$ , that is totally ramified in the tower  $\mathbb{F}_{q^m}(x) \subseteq K \subseteq L$ .

Proposition 26 above allows us to give the precise structure of the divisor  $D$  (recall its definition in (4), Section 4).

**Corollary 28.** *One have*

$$D = \tilde{G} - \left\lceil \frac{d}{\ell} \right\rceil \cdot Q_\infty \in \text{Div}(K).$$

*Proof.* From the structure of  $(y)_\infty^L$  given in Proposition 26, it is clear that

$$\text{Supp}(\widetilde{(y)_\infty^L}) = \{Q_\infty\}.$$

It remains to show that if  $D$  is defined as above, then  $D = \widetilde{G - (y)_\infty^L}$ . In fact, we have

$$\begin{aligned} \pi^* D &= \pi^* \left( G - \left\lceil \frac{d}{\ell} \right\rceil \cdot Q_\infty \right) \\ &= \pi^* \tilde{G} - \left\lceil \frac{d}{\ell} \right\rceil \cdot \pi^* \widetilde{Q_\infty} \\ &= G - n \cdot \left\lceil \frac{d}{\ell} \right\rceil \cdot P_\infty \quad \text{using Remark 17)} \\ &\leq G - d \cdot P_\infty \\ &= G - (y)_\infty^L, \end{aligned}$$

the last equality coming from Proposition 26. Moreover, this choice of  $D$  is optimal (ie. the biggest, see. Definition 16) since  $d/\ell$  can not be an integer (recall that  $d$  and  $\ell$  are coprime).  $\square$

Note that the divisor  $D$  in the above corollary is known in this context from our hypotheses, and thus one can construct the corresponding linear system (see (5)).

hypotheses As we already mentionned earlier, the linear system (5) doesn't only have the vector  $\mathbf{y}$  as solution, but also any evaluation vector that comes from a function  $h \in L$  such that

$$(h)_\infty^L \leq (y)_\infty^L = d \cdot P_\infty.$$

In the context of a Kummer covering, one can easily find other solutions. In fact, let  $h := x^i \alpha^j \in K$  be a function that only depend on variables  $x$  and  $\alpha$ , and  $\mathbf{h} = \mathbf{x}^i \boldsymbol{\alpha}^j$  its corresponding row vector, following usual notations. Using Proposition 26, and in particular the description of the pole divisors of  $x$  and  $\alpha$ , we see that

$$(h)_\infty^L = \ell \cdot (i \cdot \deg(F_1) + j \cdot \deg(F_2)) \cdot P_\infty.$$

As a result,  $\mathbf{h}$  is also a solution of the system (5), provided that

$$\ell \cdot (i \cdot \deg(F_1) + j \cdot \deg(F_2)) \leq d.$$

Since we have found other solutions, we need to choose the vector  $\mathbf{y}$  among them. This can be done by adding other equations to the system, that are only satisfied by the vector  $\mathbf{y}$ . Indeed, since the action of the automorphism group  $\Sigma = \langle \sigma \rangle$  that acts on the support  $\mathcal{P}$  of the code  $\mathcal{C}$  is given by

$$\sigma : y \longmapsto \xi \cdot y,$$

with  $\xi \in \mu_\ell^*(\mathbb{F}_{q^m})$ , the components of  $\mathbf{y}$  satisfy a geometric progression by orbit (recall that  $\mathcal{P}$  is made of distincts orbit under the action of  $\sigma$ ). To simplify a bit the situation, recall that the set  $\mathcal{P}$  is made of  $r$  orbits of length  $\ell$ , and suppose its elements are ordered orbit by orbit, that is if  $\tilde{\mathcal{P}} = \{Q_1, \dots, Q_r\} \in \mathbb{P}_K$ , then elements of  $\mathcal{P}$  at indices  $(i-1)\ell + 1, \dots, i\ell$  correspond to the  $\ell$  extensions of  $Q_i$  in  $L$  (for every  $1 \leq i \leq r$ ). Let us consider the following bloc matrices

$$A(\xi) := \begin{pmatrix} B(\xi) & 0 & \cdots & 0 \\ 0 & B(\xi) & \cdots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ 0 & \cdots & 0 & B(\xi) \end{pmatrix}, \text{ where } B(\xi) = \begin{pmatrix} \xi & -1 & 0 & \cdots & 0 \\ 0 & \xi & -1 & \cdots & 0 \\ \vdots & \ddots & \ddots & \ddots & 0 \\ 0 & \ddots & \ddots & \ddots & -1 \\ -1 & 0 & \cdots & \cdots & \xi \end{pmatrix}$$

and  $\xi$  is the root of unity that defines  $\sigma$ , and  $A(\xi) \in M_n(\mathbb{F}_{q^m})$ . Then we have

$$A(\xi) \cdot \mathbf{y}^T = 0.$$

In particular, one gets

$$\begin{pmatrix} A(\xi) \\ H \cdot \mathbf{D}_1 \\ \vdots \\ H \cdot \mathbf{D}_s \end{pmatrix} \cdot \mathbf{y}^T = 0. \quad (7)$$

The system (7) is enough to recover  $\mathbf{y}$  since the other solutions of (5) doesn't satisfy this geometric progression structure, because it is clear by construction that the evaluation vectors  $\mathbf{x}$  and  $\boldsymbol{\alpha}$  are equals on each orbit of length  $\ell$ , since  $\sigma$  only acts on  $y$ -coordinate of points on the curve  $\mathcal{Y}$ .

**Remark 29.** Since,  $\sigma$  (and so  $\xi$ ) is supposed to be unknown at the beginning of the attack, one may have to test all the possibilities for  $\xi$  in order to find the correct one. This leads to solve at most  $\#\mu_\ell^*(\mathbb{F}_q) = \varphi(\ell)$  linear systems like (7), which remains reasonable since  $\varphi(\ell)$  is rather small.

In all our computing experiences, system (7) allows us to recover the desired vector  $\mathbf{y}$ . To finish the attack, one only have to recover the polynomial  $f$  that defines the extension  $L/K$  by using a bivariate polynomial interpolation method. You can find in annex A a detailed algorithm for this attack, as well as a complexity analysis.

### 5.3 Artin-Schreier covering

As in section 5.2, the quotient curve  $\mathcal{X}$  is taken as a curve over  $\mathbb{F}_{q^m}$  with separated variables, those function field  $K = \mathbb{F}_q(x, \alpha)$  is given by

$$F_1(\alpha) = F_2(x), \quad F_1, F_2 \in \mathbb{F}_{q^m}[T]$$

and  $(\deg(F_1), \deg(F_2)) = 1$ .

Here, we will consider an Artin-Schreier cover of the curve  $\mathcal{X}$ . Let  $p := \text{char}(\mathbb{F}_{q^m})$  denote the characteristic of the base field  $\mathbb{F}_{q^m}$ . Consider the extension  $L = K(y)$ , with

$$y^p - y = f(x, \alpha), \text{ with } f \in \mathbb{F}_{q^m}[X, T]$$

and denote by  $d := \deg(f)$  the degree of the bivariate polynomial  $f$ . Suppose also that  $(d, p) = 1$ . Then the extension  $L/K$  is an Artin-Schreier extension, is cyclic of order  $p$  and

$$\text{Gal}(L/K) = \{\sigma : y \mapsto y + \beta, \beta \in \{0, \dots, p-1\}\}.$$

Note that once again, we took  $f$  as a polynomial instead of a potential rational function for the same reasons as in section 5.2 (see. Remark 24).

In this case, the hypotheses of our procedure are the same as in section 5.2, knowing that this time the automorphism is completely determined by the choice of an element  $\beta \in \mathbb{F}_p$ . Here again, our goal will be to recover the minimal polynomial of  $y$  over  $K$ , that is  $f \in \mathbb{F}_{q^m}[X, T]$ . Using the defining equation of the function field  $L$  and the fact that  $d$  is prime to  $p$ , one can show that the place  $Q_\infty \in \mathbb{P}_K$  (same notations as in Lemma 25) is totally ramified in  $L/K$ . As usual, we denote by  $P_\infty$  its unique extension in  $L$ . With our choices of parameters and hypotheses, we can prove that

**Proposition 30.** *We have*

$$(x)_\infty^L = p \cdot \deg(F_1) \cdot P_\infty,$$

$$(\alpha)_\infty^L = p \cdot \deg(F_2) \cdot P_\infty,$$

and

$$(y)_\infty^L = d \cdot P_\infty.$$

*Proof.* Similar to the proof of proposition 26 above.  $\square$

Note that this is almost the same result as in the Kummer case. In particular, the divisor of poles of  $y$  in  $L$  is only supported by the place  $P_\infty$ . As a result, the divisor in  $K$  that we will use to construct our linear system is here given by

$$D = \tilde{G} - \left\lfloor \frac{d}{p} \right\rfloor \cdot Q_\infty \in \text{Div}(K).$$

This allows us to construct the linear system (5), since  $D$  can be constructed from our hypotheses.

In the Artin-Schreier case, one can proceed the same way to find other solutions of (5). In particular, a monomial  $x^i \alpha^j \in K$  gives a solution vector if and only if

$$p \cdot (i \cdot \deg(F_1) + j \cdot \deg(F_2)) \leq d.$$

Note that this is pretty much the same condition as in Kummer case. Thus, one need a way to select the correct solution. For that, we add again other equations that are only satisfied by the vector  $\mathbf{y}$ , recalling that here, the action of the automorphism group  $\langle \sigma \rangle$  on the set  $\mathcal{P}$  is given by

$$\sigma : y \longmapsto y + \beta,$$

where  $\beta \in \mathbb{F}_p$ . Thus the vector  $\mathbf{y}$  we are searching for satisfies an arithmetic progression by orbit. In order to see it fluently, let us assume again that the support  $\mathcal{P}$  is ordered by orbit. Then let us consider the following bloc matrices :

$$C := \begin{pmatrix} B & 0 & \cdots & 0 \\ 0 & B & \cdots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ 0 & \cdots & 0 & B \end{pmatrix}, \text{ where } B = \begin{pmatrix} -1 & 1 & 0 & \cdots & 0 \\ 0 & -1 & 1 & \cdots & 0 \\ \vdots & \ddots & \ddots & \ddots & 0 \\ 0 & \ddots & \ddots & \ddots & 1 \\ 1 & 0 & \cdots & \cdots & -1 \end{pmatrix}.$$

Then we have

$$C \cdot \mathbf{y}^T = \begin{pmatrix} \beta \\ \vdots \\ \beta \end{pmatrix},$$

where  $\beta$  is the element in  $\mathbb{F}_p$  that defines the automorphism  $\sigma$  (note that  $\beta$  is supposed to be unknown here, but as in Kummer case, we can search for it in reasonable time) . Thus, if we add this to (5) we get

$$\begin{pmatrix} C \\ H \cdot \mathbf{D}_1 \\ \vdots \\ H \cdot \mathbf{D}_s \end{pmatrix} \cdot \mathbf{y}^T = \begin{pmatrix} \beta \\ \vdots \\ \beta \\ 0 \\ \vdots \\ 0 \end{pmatrix} \quad (8)$$

The new system (8) allows us to isolate  $\mathbf{y}$ , since the other solutions do not satisfies this arithmetic progression, for the same reason as in the Kummer case. Thus one can finish the attack by retrieving the polynomial  $f$  using an interpolation method.

## 5.4 Generalization to solvable Galois covering

In section 5.2 and 5.3, we applied our attack in the special case of Kummer and Artin-Schreier covers. Thoses cases are specially interesting since they are the elementary blocks of cyclic covers (see for example [Sti09], Annex A.13 for a characterization of cyclic extensions of function fields). Our aim in this section is to generalize the attack in the case of a solvable Galois covering.

Throughout all this section, let  $\mathcal{X}$  be a curve over  $\mathbb{F}_{q^m}$  with separated variables (see Definition 22) and  $K\mathbb{F}_{q^m}(\mathcal{X})$ . Consider a Galois cover  $\pi : \mathcal{Y} \rightarrow \mathcal{X}$  of  $\mathcal{X}$  by a curve  $\mathcal{Y}$  with function field  $L$ , such that the extension  $L/K$  is Galois with Galois group  $\mathcal{G} = \text{Gal}(L/K)$ . Let us also suppose that  $\mathcal{G}$  is solvable, that is there exist a sequence of normal subgroups

$$\{Id\} := \mathcal{G}_0 \triangleright \mathcal{G}_1 \triangleright \cdots \triangleright \mathcal{G}_s := \mathcal{G}, \quad (9)$$

such that any quotient  $\mathcal{G}_{i+1}/\mathcal{G}_i$  in (9) is cyclic. Let us denote  $L_i := L^{\mathcal{G}_i}$  the fixed field by  $\mathcal{G}_i$ , for  $0 \leq i \leq s$ . Note that  $L_0 = L$  and  $L_s = K$ . From Galois connection (see [Sti09], Annex A.12), the sequence (9) leads to a tower of function fields

$$K := L_s \subseteq L_{s-1} \subseteq \cdots \subseteq L_0 := L, \quad (10)$$

such that for every  $0 \leq i \leq s-1$ , the extension  $L_i/L_{i+1}$  is cyclic, with Galois group equals  $\mathcal{G}_{i+1}/\mathcal{G}_i$ . In particular, the tower (10) corresponds to a sequence of cyclic covers of  $\mathbb{F}_{q^m}$ -curves.

$$\mathcal{Y} := \mathcal{X}_0 \rightarrow \mathcal{X}_1 \rightarrow \cdots \rightarrow \mathcal{X}_s := \mathcal{X}. \quad (11)$$

Note that for every  $0 \leq i \leq s-1$ , the curve  $\mathcal{X}_i$  is equipped with the action of the group  $\mathcal{G}_{i+1}/\mathcal{G}_i$ , and  $\mathcal{X}_{i+1}$  is the corresponding quotient curve.

Now let us assume that we want to attack the secret structure of a public SSAG-code on the curve  $\mathcal{Y} = \mathcal{X}_0$ , that is the code

$$\mathcal{C}_0 := SSAG_q(\mathcal{X}_0, \mathcal{P}_0, G_0),$$

for some support  $\mathcal{P}_0$  and divisor  $G_0$  on  $\mathcal{X}_0$ . In the context of Section 4, let us suppose that we know the secret structure of the invariant code

$$\mathcal{C}_s := \mathcal{C}_0^{\mathcal{G}} = SSAG_q(\mathcal{X}_s, \mathcal{P}_s, G_s)$$

on the smallest curve  $\mathcal{X}_s = \mathcal{X}$ . Then it is possible to attack the secret structure of  $\mathcal{C}_0$  from the knowledge of  $\mathcal{C}_s$ , by applying the attack of Section 4 multiple times, each step allowing us to recover the secret structure of a subcode  $\mathcal{C}_i = SSAG_q(\mathcal{X}_i, \mathcal{P}_i, G_i)$  of  $\mathcal{C}_0$  defined over one of the curve  $\mathcal{X}_i$ . In fact, depending on the order of the Galois group  $\mathcal{G}_{i+1}/\mathcal{G}_i$ , we are led to use section 5.2 or section 5.3. To be more precise, let  $n_i := \#(\mathcal{G}_{i+1}/\mathcal{G}_i)$  for  $0 \leq i \leq s-1$ , and suppose that we can write  $n_i = p^{r_i} m_i$ , with  $(m_i, p) = 1$  ( $p = \text{char}(\mathbb{F}_{q^m})$ ).

Now fix  $0 \leq i \leq s-1$ . If we know the structure of the code  $\mathcal{C}_i = SSAG_q(\mathcal{X}_i, \mathcal{P}_i, G_i)$  as well as a generator matrix of  $\mathcal{C}_0$  and the corresponding automorphism acting on it, we can apply successively  $r_i$ -times the Artin-Schreier case (section 5.3) and one time the Kummer case (section 5.2) with degree  $m_i$  in order to build an SSAG-code  $\mathcal{C}_{i+1}$  defined over  $\mathcal{X}_{i+1}$ .

This way, we can ride up the sequence of curves (11) until we have rebuilt the public code  $\mathcal{C}_0$ . In fact, from the knowledge of  $\mathcal{C}_s$  and the public key, we can reconstruct a sequence of codes  $(\mathcal{C}_i)_i$  until we get the public one.

**Remark 31.** Notice that for every  $0 \leq i \leq s-1$ ,  $\mathcal{C}_i = \mathcal{C}_{i+1}^{\mathcal{G}_{i+1}/\mathcal{G}_i}$ .

**Remark 32.** By hypotheses in Section 4, we know the action of  $\mathcal{G}$  on the invariant support  $\mathcal{P}_s$  on  $\mathcal{X}$ . In particular, we are able to find the corresponding induced permutation at each step of the procedure, ie. for every  $0 \leq i \leq s-1$ , we know the permutation acting on the code  $\mathcal{C}_{i+1}$  leading to the code  $\mathcal{C}_i$  on the quotient curve. This allows use to get a parity check matrix of any code in the sequence  $(\mathcal{C}_i)_i$  from the public generator matrix, which is necessary to build the linear systems we have to solve at each step. As a result, this shows that  $\mathcal{C}_0$  admits several invariant codes, and one can build a generator matrix of anyone of them from the public data.

Let us conclude this section by presenting a toy example.



**Example 33.** With previous notations, let us assume that  $\mathcal{G} = \text{Gal}(L/K)$  is solvable of order  $\#\mathcal{G} = pm$ , with  $(p, m) = 1$ . Let us suppose that we are given the public key corresponding to the code  $\mathcal{C}_0 = \text{SSAG}_q(\mathcal{Y}, \mathcal{P}, G)$ , as well as a full access to the invariant code  $\mathcal{C}_2 := \text{SSAG}_q(\mathcal{X}, \tilde{\mathcal{P}}, \tilde{G})$ . We then proceed as follow :

1. We start by applying section 5.3 on  $\mathcal{C}_2$  in order to build a subcode  $\mathcal{C}_1 = \text{SSAG}_q(\mathcal{X}', \mathcal{P}', G')$  of  $\mathcal{C}_0$ , obtaining during the process a model of a curve  $\mathcal{X}'$  with function field  $F$  such that both extensions  $L/F$  and  $F/K$  are cyclics, with respective order  $m$  and  $p$  (that is the first one is a Kummer extension, the second one is an Artin-Schreier one).
2. From the knowledge of the code  $\mathcal{C}_1$ , we can now apply section 5.2 in order to recover the secret structure of  $\mathcal{C}_0$ .

In the next section, we will propose a scheme based on quasi-cyclic SSAG code constructed from the Hermitian curve, and propose parameters to protect the corresponding invariant code from our attack.

## 6 A McEliece scheme using quasi-cyclic SSAG-codes over the Hermitian curve

### 6.1 The proposed scheme

Let  $q$  be the power of a prime  $p$  and  $m \geq 1$  referring to the extension degree of the finite field  $\mathbb{F}_{q^m}$ . Since the Hermitian curve is defined over a field with square cardinality, let us also denote  $q_0 := p^s$  such that  $m = 2s$  and thus  $q^m = q_0^2$ . We consider the Hermitian function field  $\mathcal{H} = \mathbb{F}_{q_0^2}(x, y)$  over  $\mathbb{F}_{q_0^2} = \mathbb{F}_{q^m}$  defined by the equation

$$y^{q_0} + y = x^{q_0+1}.$$

The idea is to construct a McEliece scheme using SSAG-code on the Hermitian curve, stable under the action of some automorphism of  $\mathcal{H}$ . There are two motivations to use this curve : first, it is a maximal curve, that it has the maximal number of rationnal points (ie.  $N(\mathcal{H}) = q_0^3 + 1$ ). It allows us to consider long codes, and thus more flexibility. Moreover, the automorphism group of  $\mathcal{H}$  is very large and has been well-studied (see for example [Sti09] or [GSX00]); which permits us to chose a good automorphism  $\sigma$  acting on our code (it will be really important later for the security of the scheme, see section 6.4.2).

We use the following notations :

- let  $\sigma \in \mathcal{H}$  be an automorphism of order  $\ell \in \mathbb{N}^*$  (we will describe later how to chose it);
- let  $n_0 \in \mathbb{N}^*$  and  $\mathcal{P} := \bigsqcup_{i=1}^{n_0} \text{Orb}_\sigma(P_i)$  be a support made of  $n_0$  distincts orbits under the action of  $\sigma$ ;
- let  $s \in \mathbb{N}^*$  and  $G = \sum_{i=1}^s t_i \sum_{Q \in \text{Orb}_\sigma(Q_i)} Q$  be an invariant divisor, with  $Q_i \in \mathbb{P}_{\mathcal{H}}$  and  $t_i \in \mathbb{Z}$ . We also suppose that  $\text{supp}(G) \cap \mathcal{P} = \emptyset$ .

We now can describe the scheme :

**Key generation** We consider the quasi-cyclic code

$$\mathcal{C}_{\text{pub}} := \text{SSAG}_q(\mathcal{H}, \mathcal{P}, G)$$

constructed on the Hermitian curve  $\mathcal{H}$ , with length  $n = n_0 \cdot \ell \leq N(\mathcal{H})$  and dimension  $k$ . Let  $t$  be the correction capability of the code and  $G_{\text{pub}} = (I_k | M)$  be a systematic generator matrix of  $\mathcal{C}_{\text{pub}}$ , where  $M$  is an  $\ell$ -blocks-circulant matrix (possible since the code is QC). Thus,  $G_{\text{pub}}$  can entirely be described by the set of rows

$$\rho(G_{\text{pub}}) := \{M_i \mid i \in \{1, \ell + 1, 2\ell + 1, \dots, (n - k) - \ell + 1\}\},$$

$M_i$  representing the  $i$ -th row of  $M$ .

- **Public key** : the set of rows  $\rho(G_{\text{pub}})$  and the integer  $t$ .
- **Secret key** : the support  $\mathcal{P}$  and the divisor  $G$ .

**Encryption** A plain text  $\mathbf{m} \in \mathbb{F}_q^k$  is encrypted by

$$\mathbf{y} = \mathbf{m}G_{\text{pub}} + \mathbf{e} ,$$

where  $\mathbf{e} \in \mathbb{F}_q^n$  is an error vector such that  $\omega(e) \leq t$  ( $\omega$  being the Hamming weight).

**Decryption** Using a general decoding algorithm for algebraic geometry codes (see for example [HP95]), we can find a codeword  $\mathbf{c} = \mathbf{y} - \mathbf{e} \in \mathcal{C}_{\text{pub}}$ . From  $\mathbf{c}$  and the knowledge of  $G_{\text{pub}}$ , we can recover the message  $\mathbf{m}$ .

Note that in this scheme, the automorphism itself and the curve  $\mathcal{H}$  is considered as secret data, and only the order  $\ell$  of  $\sigma$  is known from the public key. In previous sections, we have shown that the knowledge of the full invariant code allows us to attack the system, recovering the secret elements. It means that in order to secure our scheme, we need to ensure that the invariant code can not be recovered easily.

In what follow, we will describe some known attacks against the invariant SSAG-code, and then we will propose a set of parameters to block those attacks.

## 6.2 Invariant code on the projective line

In the proposed scheme, the public code is a QC-SSAG-code constructed on the Hermitian curve, ie.

$$\mathcal{C}_{\text{pub}} = \text{SSAG}_q(\mathcal{H}, \mathcal{P}, G);$$

that is invariant under some order  $\ell$  automorphism  $\sigma \in \text{Aut}(\mathcal{H})$ . As shown by Corollary 15, the invariant subcode (say  $\mathcal{C}_{\text{inv}}$ ) is an SSAG-code on the quotient curve. Moreover, this code can be constructed in polynomial time (see Remark 9) from the generator matrix of  $\mathcal{C}_{\text{pub}}$  and the action of the induced permutation on it (that is the public key). This means that a generator matrix of  $\mathcal{C}_{\text{inv}}$  must also be considered as public data. From now on, let us denote by  $G_{\text{inv}}$  a generator matrix of  $\mathcal{C}_{\text{inv}}$ .

In the particular case where the quotient curve  $\mathcal{H}/\langle\sigma\rangle$  is the projective line  $\mathbb{P}^1(\mathbb{F}_{q^m})$ , it is possible to construct an algebraic system to recover the secret elements of  $\mathcal{C}_{\text{inv}}$ . Using the attack of Section 4., we can then recover the public code, breaking the system. The key ingredient that allows us to build such a system is the fact that  $\mathbb{P}^1(\mathbb{F}_{q^m})$  has genus zero and thus has a trivial divisor class group.

From Corollary 15, we know that the invariant code is given by

$$\mathcal{C}_{\text{inv}} := \text{SSAG}_q\left(\mathbb{P}^1(\mathbb{F}_{q^m}), \tilde{\mathcal{P}}, \tilde{G}\right),$$

To construct an algebraic system, we start from the inclusion

$$C_L(\mathbb{P}(\mathbb{F}_{q^m}), \tilde{\mathcal{P}}, \tilde{G})^\perp \subseteq \text{SSAG}_q(\mathbb{P}(\mathbb{F}_{q^m}), \tilde{\mathcal{P}}, \tilde{G})^\perp \otimes \mathbb{F}_{q^m},$$

that is a direct consequence of definitions (in the right-hand side, we have extended the scalars to  $\mathbb{F}_{q^m}$  since it's the field definition of the AG-code). This means that for every codeword  $\mathbf{c} \in C_L(\mathbb{P}(\mathbb{F}_{q^m}), \tilde{\mathcal{P}}, \tilde{G})^\perp$ , we have

$$\mathbf{c} \cdot \mathbf{G}_{\text{inv}}^T = 0 , \tag{12}$$

where  $\mathbf{G}_{\text{inv}}$  is a generator matrix of the invariant code  $\mathcal{C}_{\text{pub}}^\sigma$  (that can be computed in polynomial time from the public data). Moreover, we know from proposition 7 that there exist a divisor  $G' \in \text{Div}(\mathbb{P}^1(\mathbb{F}_{q^m}))$  such that

$$C_L(\mathbb{P}(\mathbb{F}_{q^m}), \tilde{\mathcal{P}}, \tilde{G})^\perp = C_L(\mathbb{P}^1(\mathbb{F}_{q^m}), \tilde{\mathcal{P}}, G').$$

As a consequence, the knowledge of a basis of the Riemann-Roch space  $L(G')$  allows us to write formally codewords in  $C_L(\mathbb{P}^1(\mathbb{F}_{q^m}), \tilde{\mathcal{P}}, G')$ , without knowing the set  $\tilde{\mathcal{P}}$ . The key part is that the projective line

$\mathbb{P}^1(\mathbb{F}_{q^m})$  has a trivial divisor class group. In particular, there exist a function  $h$  in the rational function field  $\mathbb{F}_{q^m}(x)$  such that

$$G' = (h)^{\mathbb{F}_{q^m}(x)} + \deg(G') \cdot P_\infty,$$

where  $P_\infty$  is the unique pôle of  $x$  in  $\mathbb{F}_{q^m}(x)$ . From the last equality, we get

$$L(G') = \langle h(x)x^i \mid 0 \leq i \leq \deg(G') - 1 \rangle_{\mathbb{F}_{q^m}},$$

which is a dimension  $r = \deg(G') - 1$  vector space over  $\mathbb{F}_{q^m}$ .

Let us write the unknown support  $\tilde{\mathcal{P}} = (\tilde{P}_i)_{i=1}^{n_0}$ , with  $\tilde{P}_i = (x_i : 1)$  (here we made the analogy between rational points on a curve and degree one place on the function field). The goal is to recover the  $x'_i$ 's. For that, we denote by  $\mathbf{X} = (X_1, \dots, X_{n_0})$  and  $\mathbf{Z} = (Z_1, \dots, Z_{n_0})$  two sets of formal variables, respectively corresponding to  $x'_i$ 's and  $h(x_i)$ 's. From equation (12) above, we have the following system

$$\begin{pmatrix} Z_1 & \cdots & Z_{n_0} \\ Z_1 X_1 & \cdots & Z_{n_0} X_{n_0} \\ \vdots & \ddots & \vdots \\ Z_1 X_1^r & \cdots & Z_{n_0} X_{n_0}^r \end{pmatrix} \cdot \mathbf{G}_{\text{inv}}^T = 0.$$

The first row provides  $k = \dim(\mathcal{C}_{\text{inv}})$  linear equations in the variables  $\mathbf{Z}$ , and since  $k < n_0$ , one can eliminate some variables in the set  $\mathbf{Z}$ . On the other hand, the 2-transitivity of the affine group on  $\mathbb{F}_{q^m}$  allows us to fix arbitrarily 2 unknowns, say  $x_1$  and  $x_2$ . Therefore, the above system consist in  $kr$  equations in  $n_0 - 2$  variables  $\mathbf{X}$  and  $n_0 - k$  variables  $\mathbf{Z}$ .

If we are able to solve this system, we recover the function  $h$  (and thus  $\tilde{G}$ ) as well as the support  $\tilde{\mathcal{P}}$ ; that is we have reconstructed the invariant code  $\mathcal{C}_{\text{inv}}$ . Since the security of the whole system rely on it, we have broke the scheme.

**Remark 34.** The cost of solving the above system is hard to produce. It is possible to have an upper bound on it in the case where the system has a specific form; which can be useful to estimate the security of schemes using SSAG-codes over the line. Thoses results can be found in [FOP<sup>+</sup>16a], [FOP<sup>+</sup>16b] and [FOPT10].

Actually, if the quotient curve is  $\mathbb{P}^1(\mathbb{F}_{q^m})$ , the security is the same as the scheme using quasi-cyclic classical Goppa codes, and thus there no advantages to use it. In particular, this means that the automorphism  $\sigma$  should be chosen such that  $\mathcal{H}^\sigma$  is not rational. In the latter case, the fixed field has a more complex divisor class group and the above attack doesn't work. In the following section, we will describe the cost of an exhaustive search on the invariant code, depending on different parameters of the fixed field.

### 6.3 Brute force on the invariant code

Let us recall that the security of the private key of the scheme proposed in Section 6.1 rely on the security of the invariant code. This section will be dedicated to the cost of an exhaustive search on it, allowing us to understand how to choose the automorphism  $\sigma$  in order to maximize the complexity of the exhaustive search. Let us rewrite

$$\mathcal{C}_{\text{inv}} := \text{SSAG}_q(\mathcal{H}/\langle\sigma\rangle, \tilde{\mathcal{P}}, \tilde{G})$$

the invariant code. A brute force attack on it will consists in the three following steps :

1. Enumerating all the possible divisor classes of a given degree on the quotient curve  $\mathcal{H}/\langle\sigma\rangle$ ;
2. Guess the good divisor  $\tilde{G}$  in the class;
3. Then guess the support  $\tilde{\mathcal{P}}$  of length  $n_0 := n/\ell$ .

Let us first discuss the third step, which is the easiest to formalize.

**Recovering the invariant support.**

Here we assume that the two first steps were done and that a divisor  $\tilde{G}$  was found. To recover the invariant support there are two ways to proceed :

The first consists in an exhaustive search on all subset  $S \subseteq \mathcal{H}/\langle\sigma\rangle(\mathbb{F}_{q^m})$  of length  $n_0 = n/\ell$ , then we get the good permutation using the SSA algorithm (see [Sen00] for more details).

The second way is to solve a linear system as in Section 6.2. In order to build it, we start by recalling that there exists (from Proposition 7) a divisor  $G' \in \text{Div}(\mathcal{H}/\langle\sigma\rangle)$  such that

$$C_L(\mathcal{H}/\langle\sigma\rangle, \tilde{\mathcal{P}}, \tilde{G})^\perp = C_L(\mathcal{H}/\langle\sigma\rangle, \tilde{\mathcal{P}}, G').$$

Here we don't know the quotient curve from our hypothesis. Nevertheless, let us suppose that the attacker found a way to recover it. It then becomes possible to compute the Riemann-Roch space  $L(G') \subseteq \mathbb{F}_{q^m}(\mathcal{H}/\langle\sigma\rangle)$ ; ie. we have  $L(G') = \langle f_1, \dots, f_s \rangle_{\mathbb{F}_{q^m}}$ . As in section 6.2, one gets

$$\forall 1 \leq i \leq s, (f_i(\tilde{P}_1), \dots, f_i(\tilde{P}_{n_0})) \cdot \mathbf{G}_{\text{inv}}^T = 0,$$

where  $\tilde{\mathcal{P}} = \{\tilde{P}_1, \dots, \tilde{P}_s\}$ . In particular, let us introduce  $2n_0$  formal variables  $X_1, \dots, X_{n_0}$  and  $Y_1, \dots, Y_{n_0}$  corresponding to the evaluation in  $x$  and  $y$  on the places of  $\tilde{\mathcal{P}}$ . Here, we supposed that the quotient curve is seen in a plane model. This leads to the following system

$$\begin{pmatrix} f_1(X_1, Y_1) & \cdots & f_1(X_{n_0}, Y_{n_0}) \\ f_2(X_1, Y_1) & \cdots & f_2(X_{n_0}, Y_{n_0}) \\ \vdots & \ddots & \vdots \\ f_s(X_1, Y_1) & \cdots & f_s(X_{n_0}, Y_{n_0}) \end{pmatrix} \cdot \mathbf{G}_{\text{inv}}^T = 0.$$

Note that this method is nothing but a generalization of the method describe in 6.2, but this system is actually harder to solve since we cannot estimate the form of the rational functions  $f'_i$ s. On the other hand, even if the system is polynomial, the complexity of solving it using Gröbner bases depend on the form and degree of the polynomials and is thus difficult to forecast.

### Enumeration of divisor classes.

Here, we will first explain why it is not necessary to enumerate all divisors in the quotient curve  $\mathcal{H}/\langle\sigma\rangle$  in order to find the correct one. In fact, if the support is fixed, two different divisors can produce the same code. This fact comes from the specific structure of SSAG-codes, inherited from AG ones. In order to precise this, let us introduce the notion of diagonal equivalent codes.

**Definition 35.** Let  $\mathcal{C}_1, \mathcal{C}_2 \subseteq \mathbb{F}_{q^m}^n$  be two linear codes. We say they are diagonal equivalent, denoted  $\mathcal{C}_1 \sim_{\text{diag}} \mathcal{C}_2$ , if there exist  $n$  non zero scalars in  $\mathbb{F}_{q^m}$ , say  $\lambda_1, \dots, \lambda_n$ , such that

$$\mathcal{C}_1 = (\lambda_1, \dots, \lambda_n) \star \mathcal{C}_2 := \{(\lambda_1 c_1, \dots, \lambda_n c_n) \mid (c_1, \dots, c_n) \in \mathcal{C}_2\}.$$

Note that the diagonal equivalence between two codes  $\mathcal{C}_1$  and  $\mathcal{C}_2$  can be check by solving yet another linear system. In fact, let  $\mathbf{G}_{\mathcal{C}_1}$  and  $\mathbf{H}_{\mathcal{C}_2}$  be respectively a generator matrix of  $\mathcal{C}_1$  and a parity check matrix of  $\mathcal{C}_2$ . Let also  $W_1, \dots, W_n$  be  $n$  formal variables, and consider the following system

$$\mathbf{G}_{\mathcal{C}_1} \cdot \begin{pmatrix} W_1 & \cdots & 0 \\ & \ddots & \\ 0 & \cdots & W_n \end{pmatrix} \cdot \mathbf{H}_{\mathcal{C}_2}^T = 0. \quad (13)$$

By Definition 35, this system has at least one solution if and only if  $\mathcal{C}_1 \sim_{\text{diag}} \mathcal{C}_2$ , that is we have an easy way to check whether two codes are diagonal equivalent or not.

Let us now explain why this property leads to a smarter brute force search on the divisor in the case of SSAG codes. First, we deal with AG codes which are easier to treat. The following result shows that the equivalence class of AG-codes depends only on the equivalence class of its divisor.

**Theorem 36.** (see [MP93], Corollary 4.15). Let  $\mathcal{X}$  be an algebraic curve of genus  $g$  and  $\mathcal{P}$  be a set of  $n > 2g - 2$  rational places on  $\mathcal{X}$ . If  $G$  and  $H$  are two divisors on  $\mathcal{X}$  of same degree  $r$  such that  $2g - 1 < r < n - 1$ , then we have

$$C_L(\mathcal{X}, \mathcal{P}, G) \sim_{\text{diag}} C_L(\mathcal{X}, \mathcal{P}, H) \iff G \sim H.$$

In what follow, we denote by  $AG_r(\mathcal{X}, \mathcal{P})$  the set of AG-code on the curve  $\mathcal{X}$  over  $\mathbb{F}_{q^m}$ , defined by a fixed support  $\mathcal{P}$  and any divisor of degree  $r$ . Then we have

**Corollary 37.** Let  $\mathcal{P} \subseteq \mathcal{X}(\mathbb{F}_{q^m})$  be a support of lenght  $n > 2g + 2$ , where  $g$  is the genus of  $\mathcal{X}$ . Let also  $r \in \mathbb{N}$  be such that  $2g - 1 < r < n - 1$ . Then

$$\#(AG_r(\mathcal{X}, \mathcal{P}) / \sim_{\text{diag}}) = h(\mathcal{X}),$$

where  $h(\mathcal{X})$  is the number of divisor classes.

*Proof.* Immediate consequence of Theorem 36 and Proposition ??.

□

The above estimation is sufficient if we want to perform a brute force search on an AG-code defined over a curve  $\mathcal{X}$ . In fact, we could proceed as follows.

1. Perform a brute force search among divisor classes of degree  $r$  (corresponding to the public AG-code), that is choose a representative divisor  $G'$  in the class.
2. Guess the support  $\mathcal{P}'$  (see above) and attempt to solve the system (13) to check whether the code  $C_L(\mathcal{X}, \mathcal{P}', G')$  is diagonal equivalent to the public code.
3. If (13) has a solution  $(\lambda_1, \dots, \lambda_n) \in (\mathbb{F}_{q^m}^*)^n$ , then we have recovered the public code  $(\lambda_1, \dots, \lambda_n) \star C_L(\mathcal{X}, \mathcal{P}', G')$ .

By Theorem 36 and Corollary 37, we could have an estimation of the cost of a brute force search among divisor classes. Unfortunately, both these results cannot be applied on subfield subcodes directly.

In the case of SSAG-codes, the situation is more complicated, but one can use the following result to have a first estimation on the number of SSAG codes.

**Proposition 38.** (see [MP93], Corollary 7.4). *With above notations, if  $n > 2g + 2$  and  $2g - 1 < r < n$ , then*

$$\#AG_r(\mathcal{X}, \mathcal{P}) = (q^m - 1)^{n-1} h(\mathcal{X}).$$

Now let us denote by  $SSAG_{q,r}(\mathcal{X}, \mathcal{P})$  the set of subfield subcodes on  $\mathbb{F}_q$  of AG codes on the curve  $\mathcal{X}$ , defined by the support  $\mathcal{P}$  and a divisor of degree  $r$ . Since we are taking subcodes, it is clear from the previous proposition that

$$\#SSAG_{q,r}(\mathcal{X}, \mathcal{P}) \leq \#AG_r(\mathcal{X}, \mathcal{P}) = (q^m - 1)^{n-1} h(\mathcal{X}).$$

Actually, we can decrease a little the previous bound with the following remark.

**Remark 39.** Let  $\mathcal{C}_1, \mathcal{C}_2$  be two linear codes of length  $n$  over  $\mathbb{F}_{q^m}$ , and suppose that there exist  $\lambda_1, \dots, \lambda_n \in (\mathbb{F}_q^*)^n$  such that  $\mathcal{C}_1 = (\lambda_1, \dots, \lambda_n) \star \mathcal{C}_2$ . Then their subfield subcodes on  $\mathbb{F}_q$  are equal, ie.

$$\mathcal{C}_1 \cap \mathbb{F}_q^n = \mathcal{C}_2 \cap \mathbb{F}_q^n.$$

This leads to the final upper bound

$$\#SSAG_{q,r}(\mathcal{X}, \mathcal{P}) \leq \frac{(q^m - 1)^{n-1}}{(q - 1)^{n-1}} h(\mathcal{X}). \quad (14)$$

In annex B, we will formally describe a brute search algorithm on the invariant SSAG-code and study its complexity.

Note that from the above approximation, it is clear that the complexity of the exhaustive search is lower bounded by the number of divisor classes on the quotient curve, that is  $h(\mathcal{H}/\langle \sigma \rangle)$ . In particular, this will lead to choose optimally the automorphism  $\sigma \in \text{Aut}(\mathcal{H})$  in order this number to be high enough. In the next section, we will discuss the choice of parameters for our system.

## 6.4 Suggested parameters

### 6.4.1 Choice of the quasi-cyclicity order $\ell$

The main interest in using quasi-cyclic codes is that it allows us to reduce public key sizes. In particular, the larger  $\ell$  is, the smaller the public key is. This choice also influence the security of the scheme, as the security reduces to the security of the invariant code. In particular, if  $\ell$  is too large, the invariant code will be rather small and probably less secured. Recall that it is always possible to build the invariant code from a public generator matrix and the permutation  $\sigma$ . Here we would like to choose  $\ell$  such that it is not possible to construct any other intermediary code. We have two ways to avoid this.

1.  **$\ell$  should be prime.** If there exist a prime  $s \mid \ell$ , then the power permutation  $\sigma^s$  also act on the public code. In particular,  $\mathcal{C}_{\text{pub}}$  is also  $s$ -quasi-cyclic, that is one can construct another invariant code, ie.  $\mathcal{C}_{\text{pub}}^{\sigma^s}$ . Since this is possible for every divisor of  $\ell$ , we are able to construct several SSAG codes smaller than the public code (see Corollary 15 for the structure of the invariant codes). Actually, we don't know if it makes the attack easier, but it is clear that every intermediary code could provides informations about the public support and divisor. As we want to give the least information possible,  $\ell$  will be chosen as a prime.

2.  $\ell$  should be such that  $q$  is in  $\mu_{\ell-1}^*(\mathbb{F}_{q^m})$ . If this is satisfied, the polynomial  $1 + z + z^2 + \dots + z^{\ell-1} \in \mathbb{F}_q[z]$  is irreducible. This is motivated by the fact that there exist another intermediary code that can be constructed from the knowledge of a generator matrix and the automorphism  $\sigma$ , that is the *folded code* (see [FOP<sup>+</sup>16a]). This code is the image of the public code  $\mathcal{C}_{\text{pub}}$  by the map  $\text{id} + \sigma + \dots + \sigma^{\ell-1}$ . Now, if the polynomial  $1 + z + z^2 + \dots + z^{\ell-1}$  is reducible over  $\mathbb{F}_q$ , then it is possible to construct another intermediary subcode of  $\mathcal{C}_{\text{pub}}$  by computing its image by the map  $P(\sigma)$ , where  $P$  is a divisor of  $1 + z + z^2 + \dots + z^{\ell-1}$ . As in 1., we get another subcode of the public one that has a special structure related to the secret support and divisor. Actually we don't know if the knowledge of several folded code (note that we can always construct the full one) simplify an attack, but it could anyway be helpful for an attacker.

**Remark 40.** From the definition, it is clear that the folded code is  $\sigma$ -invariant, that is it is a subcode of  $\mathcal{C}_{\text{pub}}^\sigma$ . Now let  $p$  be the characteristic of  $\mathbb{F}_{q^m}$ . If  $p \nmid \ell$ , then those two codes are equal (see [Bar17], Lemma 3.2). In what follow, we will always have  $p \nmid \ell$ . Hence, if  $\ell$  satisfies 1. and 2. above, then the only subcode of  $\mathcal{C}_{\text{pub}}$  that an attacker can construct is the invariant code. In particular, we can focus on the security of  $\mathcal{C}^\sigma$  without having to worry about possible other subcodes.

#### 6.4.2 Choice of the automorphism $\sigma$

The complexity of the brute force attack on  $\mathcal{C}_{\text{inv}}$ , described in 7.3, depends on the class number of the quotient curve  $h(\mathcal{H}/\langle\sigma\rangle)$ . In particular, from Theorem 1, this number can be estimated using the genus  $g(\mathcal{H}/\langle\sigma\rangle)$ . In this section, we details how to construct an automorphism  $\sigma \in \text{Aut}(\mathcal{H})$  of order  $\ell$ , with  $\ell$  satisfying conditions 1. and 2. above. After, we will see the influence of this choice on the genus of the quotient curve. A complete study on the automorphism group of the Hermitian curve can be found in [GSX00]. In particular, they compute the class number as well as the genus of several quotient curve of the Hermitian curve, which will help us in choosing a good automorphism.

##### Seeking for an order $\ell$ automorphism.

Let us denote by  $\mathcal{A} := \text{Aut}(\mathcal{H})$  the automorphism group of the Hermitian function field. It is isomorphic to the projective unitary group  $\text{PGU}_3(\mathbb{F}_{q_0^2})$ , and has order (see for example [Sti09])

$$\text{ord}(\mathcal{A}) = q_0^3(q_0^2 - 1)(q_0^3 + 1).$$

As we aim to find an automorphism  $\sigma$  of order  $\ell$  satisfying the conditions in 6.4.1, we introduce the subgroup

$$\mathcal{A}(P_\infty) := \{\sigma \in \mathcal{A} \mid \sigma(P_\infty) = P_\infty\} \subseteq \mathcal{A},$$

consisting in all automorphisms fixing the point at infinity  $P_\infty$  in  $\mathcal{H}(\mathbb{F}_{q_0^2})$ . Let  $F = \mathbb{F}_{q_0^2}(x, y) = \mathbb{F}_{q_0^2}(\mathcal{H})$  be the function field of  $\mathcal{H}$ . It is proven in [GSX00] that such an automorphism acts as follows :

$$\begin{cases} \sigma(x) = ax + b \\ \sigma(y) = a^{q_0+1}y + ab^{q_0}x + c, \end{cases} \quad (15)$$

with  $a \in \mathbb{F}_{q_0^2}^*$ ,  $b \in \mathbb{F}_{q_0^2}$  and  $b^{q_0+1} = c^{q_0} + c$  (see (2.2) in [GSX00]). We have

$$\text{ord}(\mathcal{A}(P_\infty)) = q^3(q^2 - 1).$$

From (15), any automorphism  $\sigma \in \mathcal{A}(P_\infty)$  can be identified to a triple  $(a, b, c)$ , with  $a \in \mathbb{F}_{q_0^2}^*$ ,  $b \in \mathbb{F}_{q_0^2}$  and  $b^{q_0+1} = c^{q_0} + c$ . For convenience, this automorphism will be denoted  $\sigma = [a, b, c]$ . The order of such a  $\sigma$  depends only on the order of  $a$  and the choice of  $c$ .

**Lemma 41.** (see [GSX00], Lemma 4.1). *Let  $\sigma = [a, b, c] \in \mathcal{A}(P_\infty)$ , with  $a \neq 1$ . Then we have*

- (i) *If  $\text{ord}(a) \nmid q_0 + 1$ , then  $\text{ord}(\sigma) = \text{ord}(a)$ ;*

(ii) If  $\text{ord}(a) \mid q_0 + 1$  then

$$\text{ord}(\sigma) = \begin{cases} \text{ord}(a), & \text{if } c = \frac{ab^{q_0+1}}{a-1} \\ p \cdot \text{ord}(a), & \text{otherwise} \end{cases}$$

where  $p = \text{char}(\mathbb{F}_{q_0^2})$ .

Now, let  $\ell$  be an integer satisfying conditions 1. and 2. in 6.4.1, which also divides  $q_0^2 - 1$ . We chose randomly an element  $a \in \mathbb{F}_{q_0^2}^*$  of order  $\ell$  and  $b \in \mathbb{F}_{q_0^2}$ . If  $\ell \mid q_0 + 1$ , we choose  $c = \frac{ab^{q_0+1}}{a-1}$ , else we chose any  $c$  among the roots of  $X^{q_0} + X - b^{q_0+1}$ . From Lemma 41, we get an automorphism  $\sigma = [a, b, c]$  of order  $\ell$ .

**The genus of  $\mathcal{H}/\langle\sigma\rangle$ .**

The authors in [GSX00] provide a formula to compute the genus of the curve  $\mathcal{H}/\langle\sigma\rangle$  in our context.

**Proposition 42.** *Let  $\sigma = [a, b, c] \in \mathcal{A}(P_\infty)$  be an automorphism of prime order  $\ell > 2$ . Then*

- (i) *If  $\ell \mid (q_0 - 1)$ , then  $g(\mathcal{H}/\langle\sigma\rangle) = \frac{(q_0 - 1)q_0}{2\ell}$ .*
- (ii) *If  $\ell \mid (q_0 + 1)$  and  $c = \frac{ab^{q_0+1}}{a-1}$ , then  $g(\mathcal{H}/\langle\sigma\rangle) = \frac{(q_0 - 1)(q_0 - (\ell - 1))}{2\ell}$ .*

*Proof.* It is a particular case of [GSX00], Theorem 4.4 □

Notice that since we want the quotient curve to have positive genus,  $\ell$  should be strictly less than  $q_0 + 1$ . Using this Proposition and Theorem 1, we can estimate the class number  $h(\mathcal{H}/\langle\sigma\rangle)$ .

**Corollary 43.** *Let  $\sigma = [a, b, c] \in \mathcal{A}(P_\infty)$  be an automorphism of prime order  $\ell > 2$ . Then we have*

- (i) *If  $\ell \mid (q_0 - 1)$ , then  $h(\mathcal{H}/\langle\sigma\rangle) = \mathcal{O}\left(q_0^{\frac{q_0^2}{\ell}}\right)$ .*
- (ii) *If  $\ell \mid (q_0 + 1)$  and  $c = \frac{ab^{q_0+1}}{a-1}$ , then  $h(\mathcal{H}/\langle\sigma\rangle) = \mathcal{O}\left(q_0^{\frac{q_0(q_0-1)}{\ell}}\right)$ .*

We will see in Annex B that the divisor class  $h(\mathcal{H}/\langle\sigma\rangle)$  is an upper bound for the cost of the brute force algorithm. In our suggested parameters for the scheme (see section 6.4.4), this number will be large enough to reach a complexity bigger than  $2^{128}$  operations over the base field.

### 6.4.3 Choice of the base field

In order to provide SSAG-codes over  $\mathbb{F}_q$ , defined on the Hermitian function field, we have to choose and extension  $\mathbb{F}_{q^m}$  of  $\mathbb{F}_q$  such that  $q^m$  is a square. Let us discuss the choice of  $q$  and  $m$ , and the related  $q_0$  such that  $q^m = q_0^2$ .

- $m$  should not be too large since it has a negative influence on the dimension of the code. In fact, for a fixed length  $n$  and divisor  $G$ , the dimension of the SSAG-code is lower bounded by  $n - m(n - \dim(G))$ . As a result, if  $m$  is too big, the rate (ie.  $k/n$ ) of the SSAG might be too low.
- The same remark holds for the choice of  $q_0$ . In fact, recall that the genus of the Hermitian function fields is  $g = \frac{q_0(q_0-1)}{2}$ . Then the same estimation on the dimension  $k$  of the SSAG code leads to

$$k \geq n - m(n - \dim(G)) = n - m(n - \deg(G) + g - 1),$$

that is  $q_0$  should not be too large as well.



- On the other hand, the choice  $q = q_0$  and  $m = 2$ , which could be a good choice about the two previous points, is not encouraged. The formal argument in this direction is that the smaller the degree extension  $m$  is, the closer the structure of the SSAG code is from the AG one. Since AG codes have been broken in polynomial time (see [CMCP17]), it might be possible to adapt this attack to SSAG codes if they are too close to AG ones. In section 6.4.4, we will still give some parameters with  $m = 2$  because it provides the best key sizes. However, we warn the reader that it could be the weakest keys.

#### 6.4.4 Parameters

Let us recall the notation we will use in the following tables.

- $q$  is the power of a prime such that the SSAG code is defined over  $\mathbb{F}_q$ .
- $m$  is the extension degree such that the underlying AG code is defined over  $\mathbb{F}_{q^m}$ .
- $q_0$  is a prime power such that  $q_0^2 = q^m$ , such that the Hermitian curve is defined over the field  $\mathbb{F}_{q_0^2}$  with square cardinality.
- $n$  and  $k$  are respectively the length and the dimension of the SSAG-code.
- $\ell$  is the order of quasi-cyclicity of the SSAG code.
- $g(\mathcal{H}/\langle\sigma\rangle)$  and  $h(\mathcal{H}/\langle\sigma\rangle)$  are respectively the genus and the class number of the quotient curve.
- The Key sizes are given in bytes, using the formula  $\left\lceil \frac{\log_2(q) \cdot \frac{k}{\ell} \cdot (n - k)}{8} \right\rceil$ , since the public key is a systematic and quasi-cyclic generator matrix of the SSAG code.
- $\omega_{ISD}$  is the  $\log_2$  of the work factor for the ISD attack, computed using **CaWoF** library (see. [Tor16]).

We will suggest parameters for 128 bits of security, keeping in mind that the class number  $h(\mathcal{H}/\langle\sigma\rangle)$  is an upper bound for the brute force attack.

$m$	$q$	$q_0$	$n$	$k$	$\ell$	Key sizes (bytes)	$\omega_{ISD}$	$g(\mathcal{H}/\langle\sigma\rangle)$	$h(\mathcal{H}/\langle\sigma\rangle)$
8	2	$2^4$	4083	2307	3	170718	128	40	$\simeq 2^{326}$
8	2	$2^4$	4085	2315	5	102438	129	24	$\simeq 2^{196}$
4	$2^2$	$2^4$	3000	1246	3	182123	128	40	$\simeq 2^{326}$
4	$2^2$	$2^4$	3000	1252	5	109424	129	24	$\simeq 2^{196}$
3	$3^2$	$3^3$	2996	1277	7	124258	130	39	$\simeq 2^{374}$

Table 1: Suggested parameters for security 128,  $m > 2$

For some parameters with  $m = 2$  in the table below, one have  $h(\mathcal{H}/\langle\sigma\rangle) < 2^{128}$ . In this case, we precise the number  $\#SSAG_{q,r}(\mathcal{H}, \mathcal{P})$  of SSAG-codes over  $\mathbb{F}_q$  with same support  $\mathcal{P}$  and a degree divisor equals to  $r$ .

$m$	$q$	$n$	$k$	$\ell$	Key sizes (bytes)	$\omega_{ISD}$	$g(\mathcal{H}/\langle\sigma\rangle)$	$h(\mathcal{H}/\langle\sigma\rangle)$	$\#SSAG_{q,r}(\mathcal{H}, \mathcal{P})$
2	11	900	613	3	25359	128	15	$\simeq 2^{107}$	$\simeq 2^{6316}$
2	11	1200	740	5	29439	128	11	$\simeq 2^{78}$	$\simeq 2^{8360}$
2	13	1299	775	3	62614	128	26	$\simeq 2^{197}$	$\simeq 2^{9793}$
2	13	994	659	7	14587	128	6	$\simeq 2^{45}$	$\simeq 2^{7386}$

Table 2: Suggested parameters for security 128,  $m = 2$

## A Retrieving the equation of a cover : complexity analysis

In this first Annex, we will present a formal algorithm that describes the attack proposed in Section 5.2 in the special case of a Kummer covering of  $\mathbb{P}^1(\mathbb{F}_{q^m})$ . Recall that in this special case, we are led to solve linear systems of the form :

$$\begin{pmatrix} A(\xi) \\ H \cdot \mathbf{D}_1 \\ \vdots \\ H \cdot \mathbf{D}_s \end{pmatrix} \cdot \mathbf{y}^T = 0, \quad (\Delta(\xi))$$

that is a system with  $s(n-k) + n$  equations for  $n$  unknowns; where  $s = \dim(D)$  (see Section 4) and  $n, k$  are respectively the length and dimension of the public SSAG code. Let us suppose that a plane model of the Kummer covering is given by

$$y^\ell = f(x), \quad f \in \mathbb{F}_{q^m}[T], \quad d := \deg(f).$$

The following proposition gives the complexity of Algorithm 1 below

**Proposition 44.** *Let  $n, k$  be the length and the dimension of the public SSAG code. Let  $r := n/\ell$  be the number of orbits in  $\mathcal{P}$ . If  $r \geq d + 1$ , then Algorithm 1 finds an equation of the cover, as well as the secret structure of the public SSAG code in  $\mathcal{O}(\varphi(\ell)(n^\omega + n^{\omega-1}s(n-k)))$  operations over  $\mathbb{F}_{q^m}$ .*

*Proof.* see [BCG<sup>+</sup>17] for complexity analysis.

Recall that the complexity of solving a linear system with  $k$  equations and  $n$  unknowns is in  $\mathcal{O}(n^{\omega-1}k)$  operations over the base field, where  $\omega$  is the exponent of linear algebra. As a result, the cost of line 9. is  $\mathcal{O}(n^\omega + n^{\omega-1}s(n-k))$  operations over  $\mathbb{F}_{q^m}$ . Since we have to seek for the correct root of unity  $\xi$ , this step might be repeated at most  $\varphi(\ell)$ -times, where  $\varphi$  is the Euler totient function. Next, one have to realise one Lagrange's interpolation at line 13., in order to recover a defining equation of the Kummer cover. In particular, let  $d$  denote the degree of the polynomial  $f$  we have to build. At this step of the algorithm, we have recovered all the points in  $\mathcal{P}$ , and thus if the number  $r$  of orbits in  $\mathcal{P}$  is larger than  $d + 1$ , Lagrange's interpolation finds a unique polynomial  $f$  of degree  $d$  such that a plane model of the cover is given by  $y^\ell = f(x)$  in  $\mathcal{O}(d^2)$  operations over  $\mathbb{F}_{q^m}$ . Note that this step is negligible compared to the cost of line 9. Finally, the last step we have to care about is at line 17. In fact, at this stage of the algorithm, the whole cover is known and it remains to compute the pullback of the invariant divisor. As for the support, we need to recover the  $y$ -coordinates of points in  $\text{Supp}(G)$ . This can be done by finding roots of several polynomials. Indeed, from Kummer's theorem (see [Sti09], Theore 3.3.7), if  $x(Q)$  denotes the  $x$ -coordinate of a point  $Q \in \text{Supp}(\tilde{G})$ , then the  $y$ -coordinates of the extensions of  $Q$  in  $\text{Supp}(G)$  are exactly the roots of the polynomial  $P_Q(T) = T^\ell - f(x(Q)) \in \mathbb{F}_{q^m}[T]$ . This step can be done by factorizing each polynomial  $P_Q$  using Berlekamp algorithm, whose cost is  $\mathcal{O}(\ell^\omega + q^m \ell^2)$  operations over  $\mathbb{F}_{q^m}$ . In any practical cases, the length of the public code is larger than the cardinality of the base field, that is  $n > q^m$  and thus this step is also negligible. As a result, the total cost of Algorithm 1 is in  $\mathcal{O}(\varphi(\ell)(n^\omega + n^{\omega-1}s(n-k)))$  over  $\mathbb{F}_{q^m}$ .  $\square$

Note that this algorithm can be used in the case of Artin-Schreier covers of the projective line, by only changing a few lines. In fact, in the Artin-Schreier setup, we have to solve at most  $p = \#(\mathbb{F}_p)$

linear system of the form (8), whose total cost is in  $\mathcal{O}(p(n^\omega + n^{\omega-1}s(n-k)))$  over  $\mathbb{F}_{q^m}$ . As in Algorithm 1, this is the total cost of the corresponding algorithm since others steps are the same.

**Remark 45.** In Algorithm 1, we describe our attack in the special case where the quotient curve is  $\mathbb{P}^1(\mathbb{F}_{q^m})$ , as it is easier to produce a complexity analysis. However, note that this algorithm can be generalized to general Kummer or Artin-Schreier cover, the only difference being the interpolation step at the end. In fact, in the general case, the polynomial we have to recover is bivariate, thus the interpolation step might be harder. To be a bit more precise, it is possible to use a bivariate Lagrange's interpolation, and the complexity is the same as the classical case, excepted that we need more evaluation points, ie. at least  $\binom{d+2}{d}$  of them. Another point that could be problematic is that the corresponding system might have more than one solution.

This is the main counterpart of considering polynomial instead of rational functions in our settings. In fact, it could be possible to use Lagrange's interpolation in a function field of a curve instead of using it on polynomials, but this theory is not well-developed yet.

**Algorithm 1 : Security reduction in Kummer case****Inputs**

- A parity check matrix  $H_{pub}$  of the public code;
- The full invariant structure, that is  $\tilde{P} = (P_1, \dots, P_r)$  and  $\tilde{G}$ ;
- The quasi-cyclicity order  $\ell$  and the degree  $m = \deg(f)$ .

**Outputs**

- The polynomial  $f$ ;
  - The secret structure  $\mathcal{P}$  and  $G$ .
1.  $x \leftarrow (\underbrace{x(P_1), \dots, x(P_1)}_{\ell \text{ fois}}, \dots, x(Q_r), \dots, x(Q_r))$
  2.  $D \leftarrow \tilde{G} - \lceil d/\ell \rceil \cdot P_\infty \in \text{Div}(\mathbb{P}^1)$
  3.  $s \leftarrow \dim(D)$
  4.  $M \leftarrow$  set of primitive  $\ell$ -th roots of unity
  5.  $\text{cpt} := 0$
  6. while  $\text{cpt} := 0$  do
  7.      $\xi \xleftarrow{\$} M$
  8.      $\text{Exclude}(M, \xi)$
  9.      $S \leftarrow \text{Solve}(\Delta(\xi))$
  10.    if  $\dim(S) = 1$  then
  11.        $\text{cpt} := 1$
  12.        $y^* \xleftarrow{\$} S \setminus \{0\}$
  13.        $f \leftarrow \text{Interpolate}(x, y^*)$
  14.        $\mathcal{P} \leftarrow \{P_{ij} = (x_{ij} : y_{ij} : 1)\}$
  15.    end if
  16. end while
  17.  $G \leftarrow \pi^*(\tilde{G})$
  18. return  $\mathcal{X}, \mathcal{P}$  and  $G$

**Remark 46.** In section 5.4, we generalized our attack in the case of a solvable Galois covering, instead of just a cyclic one. We explained that in order to attack the corresponding public code, we could apply several times the Kummer or Artin-Schreier case. The total cost of the attack in this context is just the number of iterations we have to use Algorithm 1. In particular, if the corresponding solvable Galois group have order  $p^s \lambda$ , with  $p = \text{char}(\mathbb{F}_{q^m})$  and  $(p, \lambda) = 1$ . Then we can attack the public code by using  $s + 1$ -times Algorithm 1.

## B Brute force Algorithm on the invariant code

In section 6.3, we described a brute force attack against the invariant SSAG-code in our settings on the Hermitian curve. This section will be dedicated to a formal algorithm for this attack. Let  $r \geq 0$ , and denote by  $\text{Cl}^r(\mathcal{H}/\langle\sigma\rangle)$  the group of divisor class of degree  $r$  in  $\mathcal{H}/\langle\sigma\rangle$ . For a divisor  $G \in \text{Div}(\mathcal{H}/\langle\sigma\rangle)$ , we denote  $[G]$  its class in  $\text{Cl}^r(\mathcal{H}/\langle\sigma\rangle)$ . In Algorithm 2 below, we plan to recover the good permutation among all subsets of length  $n$ , and then use the SSA algorithm (see [Sen00]). In order to find the good divisor among all classes of a given degree, we essentially use the estimation (14).

### Algorithm 2 : Brute force on SSAG

#### Inputs

- A generator matrix  $\mathbf{G}$  of the invariant SSAG-code  $\mathcal{C}$ ;
- The degree  $r$  of the divisor associated to  $\mathcal{C}$ .

#### Outputs

- A couple  $(\mathcal{P}, G)$  such that  $\mathcal{C} = \text{SSAG}_q(\mathcal{H}/\langle\sigma\rangle, \mathcal{P}, G)$ .
1.  $S \leftarrow \{P \in \mathcal{H} \mid \deg(P) = 1\}$     // The length is fixed
  2. for  $[G] \in \text{Cl}^r(\mathcal{H})$  do
  3.     $\mathcal{C}' \leftarrow \text{SSAG}_q(\mathcal{H}, S, G)$
  4.    for  $[\mathbf{w}] \in \mathbb{F}_{q^m}^n / \mathbb{F}_q^n$  do    //  $\mathbf{w}$  representative of  $[\mathbf{w}]$
  5.    for  $\mathcal{I} \subseteq \{1, \dots, N(\mathcal{H}) - 1\}$  with  $|\mathcal{I}| = n$  do
  6.        $\mathcal{C}'_{\mathcal{I}} \leftarrow \text{Punct}_{\mathcal{I}}(\mathcal{C}')$
  7.        $\pi \leftarrow \text{SSA}(\mathbf{w} \star \mathcal{C}'_{\mathcal{I}}, \mathcal{C})$     // SSA return a permutation or '?'
  8.       If  $\pi \in \Theta_n$  then
  9.           $S_{\mathcal{I}} \leftarrow \{P_i \in S \mid i \in \mathcal{I}\}$
  10.    return  $\pi(S_{\mathcal{I}}), G$  and  $\mathbf{w}$

As it is complicated to provide a complexity analysis for the support recovering, we can at least say that the complexity of Algorithm 2 is at least the cost of the exhaustive search on  $G$  and  $\mathbf{w}$ , that is at least (see (14))

$$((q^m - 1)^{n-1} - (q - 1)^{n-1})h(\mathcal{H}/\langle\sigma\rangle) \text{ operations over } \mathbb{F}_q.$$

By Theorem 1, we know that

$$(\sqrt{q^m} - 1)^{2g(\mathcal{H}/\langle\sigma\rangle)} \leq h(\mathcal{H}/\langle\sigma\rangle) \leq (\sqrt{q^m} - 1)^{2g(\mathcal{H}/\langle\sigma\rangle)}.$$

Then we can write  $h(\mathcal{H}/\langle\sigma\rangle) \in \mathcal{O}(q^{mg(\mathcal{H}/\langle\sigma\rangle)})$  and the cost of the enumeration of divisors  $G$  in  $\text{Cl}^r(\mathcal{H}/\langle\sigma\rangle)$  and vectors in  $\mathbb{F}_{q^m}/\mathbb{F}_q$  is in  $\mathcal{O}(q^{m(n-1)+mg(\mathcal{H}/\langle\sigma\rangle)})$ . To conclude, note that this complexity can be precised in our context for our choice of  $\sigma$  (see Proposition 42 and Corollary 43).

Our suggested parameters for this scheme (see section 6.4.4) are chosen specifically in order  $h(\mathcal{H}/\langle\sigma\rangle)$  to be high enough to block the brute force attack.

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