

# Bounded Linear Functions

## (1) Main Theorems:

### ① Uniform Boundedness Principle:

#### i) Baire Lemma:

The complete metric space  $X$  is Baire space.

i.e.  $(X_n)$  is seq of closed sets. s.t.  $\text{int } X_n = \emptyset$ .

Then  $\text{int } \bigcup X_n = \emptyset$ .

Cor.  $(X_n)$  seq of closed sets.  $\bigcup X_n = X$ . Then

$\exists n_0$  s.t.  $\text{int } X_{n_0} \neq \emptyset$ .

Pf:  $\Leftrightarrow U_n = X_n^c$  dense. Then  $G = \bigcap U_n$  dense.

By contradiction: suppose  $\exists W \cap G = \emptyset$ ,  $W$  open.

Choose seq  $(B(x_n, r_n))$ .  $x_n \in B(x_{n+1}, r_{n+1}) \cap U_n$ .

$r_n < \frac{r_{n+1}}{2}$ .  $\overline{B(x_0, r_0)} \subseteq W$ .  $\overline{B(x_n, r_n)} \subseteq B(x_{n+1}, r_{n+1}) \cap U_n$ .

$\{x_n\}$  is Cauchy  $\rightarrow x \in G \cap W$ .

ii) let  $E, F$  n.v.s.  $L(E, F)$  is space of BLO's:

$T: E \rightarrow F$  with norm  $\|T\|_{L(E, F)} = \sup_{\substack{x \in E \\ \|x\| \leq 1}} \|Tx\|$ .

prop.  $F$  is Banach  $\Leftrightarrow L(E, F)$  is Banach.



Pf:  $(\Rightarrow) (M_n) \in \mathcal{L}(E, F)$ . Cauchy  $\Rightarrow \forall x, (M_n x) \in F$  Cauchy

Denote  $M_n x \rightarrow \eta x$ . Check  $M: x \mapsto \eta x$  BLD.

$M_n \rightarrow M$  in  $\mathcal{L}(E, F)$ .

$(\Leftarrow) (M_n) \in F$ . Cauchy. Fix  $x_0 \in E, \|x_0\|=1, x_0^*(x_0)=1$

Set  $A^n(x) = x_0^*(x) m_n, m_n \in F, A^n: E \rightarrow F$  BLD.

$\Rightarrow (A^n)$  Cauchy in  $\mathcal{L}(E, F)$ . Check  $m_n \rightarrow m = A^*(x_0)$

Remark:  $Y \xrightarrow{\phi} \mathcal{L}(X, Y), \phi(\eta) = A^*, \phi$  is isometric embed.

Thm. (UBP).

$E$  Banach. F.n.v.s.  $(T_i)_{i \in I} \in \mathcal{L}(E, F)$ . If  $(T_i)_{i \in I}$  satisfies

$\sup_{i \in I} \|T_i x\| < \infty$  for any fixed  $x \in E$ . Then  $\exists C$ , const.

$\|T_i x\| \leq C \|x\|, \forall i \in I, x \in E$ . (i.e.  $\sup_i \|T_i\| \leq C < \infty$ )

Pf:  $X_n = \{x \in E \mid \|T_i x\| \leq n, \forall i \in I\}, \therefore \cup X_n = X$ .

Apply Baire Lemma.  $\exists n_0, \text{int } X_{n_0} \neq \emptyset$ . Choose a ball.

Remark: i) It's remarkable since it claims: pointwise estimate  $\Rightarrow$  global estimate.

ii) In general, pointwise limit of conti operators need not be conti. Linearity is essential.

Cor.  $G$  is Banach space,  $B \subset G$  subset. If  $\forall f \in G^*,$

$f(B) = \{\langle f, x \rangle, x \in B\}$  is bounded. Then  $B$  is bounded.

If: let  $T_b(f) = \langle f, b \rangle$  for  $b \in B$ .

Remark: To check  $B$  is bounded, in finite dimension case, we can check every  $f \in G^*$ .



## ② Open mapping Thm:

$E, F$  are Banach space.  $T \in \mathcal{L}(E, F)$ . surjective.

Then  $\exists c > 0$ . st.  $B_F(0, c) \subseteq T(B_E(0, 1))$

Pf: 1) Prove =  $\exists c > 0$ . st.  $\overline{T(B_E(0, 1))} \supseteq B_F(0, 2c)$

$X_n = \overline{T(B_E(0, 1))}$ .  $\cup X_n = F$ . by surjection.

Apply Baire Thm.  $\exists B_{F_n}(0, c_n) \in \text{int } X_n$ .

2) Prove =  $T(B_E(0, 1)) \supseteq B_F(0, c)$

$\Leftrightarrow \forall \eta \in F$ .  $\|\eta\|_F < c$ .  $\exists x \in E$ .  $\|x\|_E < 1$ .  $Tx = \eta$ .

From  $\overline{T(B_E(0, \frac{1}{2}))} \supseteq B_F(0, c)$ . we have:

$\forall \varepsilon > 0$ .  $\exists z \in E$ . st.  $\|Tz - \eta\|_F < \varepsilon$ .  $\|z\|_E < \frac{1}{2}$

Let  $\varepsilon = \frac{c}{2}$ . Then  $\|Tz_1 - \eta\|_F < \frac{c}{2}$ .  $\|z_1\|_E < \frac{1}{2}$

$\therefore Tz_1 - \eta \in B_F(0, \frac{c}{2}) \subseteq \overline{T(B_E(0, \frac{1}{4}))}$ . Apply again...

Then  $x_n = \sum_{k=1}^n z_k \rightarrow x$  is what we need.

Cor. Under the assumption above.  $T$  is open mapping.

Pf:  $\forall \eta_0 \in T(w)$ .  $\exists x_0 \in E$ .  $Tx_0 = \eta_0$ .  $\therefore \exists B(x_0, r) \subseteq w$ .

$\therefore T(B(x_0, r)) = T(x_0 + B(0, r)) \subseteq T(w)$ .

i.e.  $T(x_0) + T(B(0, r)) \subseteq T(w)$ . Apply Thm:  $\exists c$ .

$T(B(0, r)) \supseteq B(0, rc) \therefore B(\eta_0, rc) \subseteq T(w)$ .

Cor. With addition,  $T$  is bijective. Then  $T^{-1} \in \mathcal{L}(F, E)$ .

Pf:  $\forall \eta \in F$ .  $\exists x \in B_E(0, 1)$ .  $Tx = \eta$ .

$\therefore \forall z \in F$ .  $z = \frac{z}{\|z\|} \cdot \frac{\|z\|}{2} \cdot \frac{2}{\|z\|} \cdot \frac{\|z\|}{c}$ .  $\exists x_0 \in B_E(0, 1)$ .

st.  $Tx_0 = \frac{z}{\|z\|} \cdot \frac{c}{2} \therefore z = \frac{2\|z\|}{c} \cdot Tx_0$ .



$$\therefore \|T^*z\| = \left\| \frac{2\|z\|}{c} \cdot x_0 \right\| \leq \frac{2}{c} \|z\|. \quad T^* \in \mathcal{L}(F, E).$$

Cor.  $E$  v.s. with norm  $\|\cdot\|_1, \|\cdot\|_2$ . If  $(E, \|\cdot\|_1)$  and  $(E, \|\cdot\|_2)$  are both Banach, and exist  $c > 0$  s.t.  
 $\|x\|_2 \leq c\|x\|_1, \forall x \in E$ . Then  $\|\cdot\|_1 \sim \|\cdot\|_2$ .

Pf:  $(E, \|\cdot\|_1) \xrightarrow{I} (E, \|\cdot\|_2)$ .  $\therefore I^*$  is anti.

### ③ Closed Graph Thm:

Thm.  $E, F$  are Banach space.  $T: E \rightarrow F$  linear. Denote:

$G(T) = \{(x, Tx) \mid x \in E\}$ . Then:  $T \in \mathcal{L}(E, F) \Leftrightarrow G(T)$  is closed.

Pf: Only prove  $(\Leftarrow)$ :

Denote:  $\|x\|_1 = \|x\|_E + \|Tx\|_F$ . Check  $(E, \|\cdot\|_1)$  is Banach

Since  $G(T)$  is closed. By cor. above.  $\|\cdot\|_1 \sim \|\cdot\|_E$

Cor.  $A = D(A) \subset E \rightarrow F$  bijection.  $G(A)$  is closed. Then:

$A^{-1}$  is bdd on  $F$  i.e.  $A^{-1} \in \mathcal{L}(F, E)$

Pf:  $(D(A), \|\cdot\|_1)$  is Banach space.

Rmk:  $A$  isn't necessarily bdd. e.g.  $A = \{[0, x] \cap \{f(x) = 0\} \subset [0, x] \cap \{f(x) = 0\} \rightarrow [0, x]$ .  $A(f) = f'$

### (2) Transpose of BLO's:

For  $X, Y$  Banach spaces.  $M: X \rightarrow Y$  BLO. Then we can define transpose of  $M: M^*: Y^* \rightarrow X^*$  s.t.

$\langle M^*, t \rangle = \text{dom}$  for  $\forall t \in Y^*$

Claim:  $M^* \in \mathcal{L}(Y^*, X^*)$ .

i)  $M^*$  is linear.

ii)  $\|M^*\| = \|M\|$

$$1^*) \quad \|\langle M^*, t \rangle\| = \sup | \langle M^*, t \rangle(x) | = \sup | t(Mx) |$$

$$= \sup \left| \ell \left( \frac{Mx}{\|M\|} \right) \right| \|M\| \leq \|\ell\| \|M\| \Rightarrow \|M^*\| \leq \|M\|$$

$$\begin{aligned} 2^o) \|M\| &= \sup_x \|Mx\| = \sup_x \sup_{\ell} |\langle \ell, Mx \rangle| \\ &= \sup_{x, \ell} |\langle M^* \ell, x \rangle| \leq \|M^* \ell\| \|x\| \leq \|M^*\|. \end{aligned}$$

$$\text{iii)} \text{ For } M_1^*, M_2^* \in \mathcal{L}(Y^*, X^*), (M_1^* + M_2^*) = (M_1 + M_2)^*$$

$$\text{iv)} \text{ For } E, F, G \text{ Banach spaces. } T \in \mathcal{L}(E, F), S \in \mathcal{L}(F, G)$$

$$\text{Then: } (S \circ T)^* = T^* \circ S^* \text{ (contravariant)}$$

$$E \xrightarrow{T} F \xrightarrow{S} G \Rightarrow G^* \xrightarrow{S^*} F^* \xrightarrow{T^*} E^*$$

$$\text{v)} \text{ For } T \in \mathcal{L}(E, F), \text{ bijection} \Rightarrow T^* \text{ is bijection.}$$

$$\text{vi)} T \in \mathcal{L}(E, F), \text{ between Banach spaces. } R(T) \text{ closed} \Rightarrow R(T^*) \text{ closed.}$$

e.g.  $X$  is Hilbert space.  $M \in \mathcal{L}(X, X)$ .

$M^*$  is transpose of  $M$ . To obtain adjoint in  $(\cdot, \cdot)$ :

By Riesz's Thm:  $\exists \eta \in X$  corresponds  $\ell_\eta \in X^*$ .

$$\text{s.t. } \langle \ell_\eta, x \rangle = (\eta, x), \quad \forall x \in X.$$

$$\text{Define: } \tilde{M}: X \rightarrow X, \quad \tilde{M}(\eta) = M^*(\ell_\eta).$$

$$\Rightarrow (\eta, Mx) = (x, \tilde{M}\eta).$$

$$\begin{aligned} \text{Pf: } (\eta, Mx) &= \langle \ell_\eta, Mx \rangle = \langle M^* \ell_\eta, x \rangle \\ &= (\tilde{M}(\eta), x) \end{aligned}$$



### (3) BLF's of Completion:

.  $m \in L(X, Y)$ .  $X, Y$  n.v.s. Suppose  $\bar{X}, \bar{Y}$  are completion of  $X, Y$ . Then we can define  $m_0: \bar{X} \rightarrow \bar{Y}$  st.  $m_0 \in L(\bar{X}, \bar{Y})$  and it satisfies:

$$m_0([X_n]) = [mX_n].$$

(check  $m_0$  is well-def  $\begin{cases} (mX_n) \text{ is Cauchy} \\ \text{indep with } (X_n). \end{cases}$  linear.

and bounded. by  $\|m_0([X_n])\| = \|[mX_n]\|$

$$= \lim_n \|mX_n\| \leq \frac{\lim_n \|m\| \|X_n\|}{n} = \|m\| \|[X_n]\|.$$

### (4) Example of BLF: integral operator

①  $S_j$  is metric space. Consider measure space  $(S_j, B_{S_j}, \mu_j)$ .

$\mu_j(S_j) < \infty$ .  $j=1,2$ .  $B_{S_j}$  is Borel of  $S_j$ .

$$Tf: A = L^2(\mu_1) \rightarrow L^2(\mu_2). Af = \int_{S_1} k(s,t) f(t) d\mu_1$$

where  $k: S_1 \times S_2 \rightarrow \mathbb{C}$ .

Find condition st.  $A$  is BLF:

$$\text{Note that } \|Af\|^2 \leq \|k\|_{L^2(\mu_1 \times \mu_2)}^2 \|f\|_{L^2(\mu_1)}^2$$

$$\therefore \|Af\|_{L^2(\mu_2)} \leq \|k\|_{L^2(\mu_1 \times \mu_2)} \|f\|_{L^2(\mu_1)}$$

If  $k \in L^2(\mu_1 \times \mu_2)$ . Then  $A$  is BLD. call it integral operator.

### ② Representation of norm:

$$\|Af\|_{L^2(\mu_2)} = \sup_{\substack{h \in L^2(\mu_2) \\ \|h\|_{L^2(\mu_2)}=1}} |\langle Af, h \rangle| = \sup_{\square} \left| \int_{S_2} Af(s) h(s) d\mu_2 \right|$$



$$|\langle Af, h \rangle| = \left| \int_{S_1 \times S_2} k(s, t) f(s) h(t) ds dt \right|$$

$$\leq \frac{1}{2} \int_{S_1 \times S_2} \gamma |k|^2 f^2 + \frac{1}{\gamma} |k|^2 h^2 \leq \frac{1}{2} C_1 \gamma \|f\|_{L^2}^2 + \frac{1}{2} C_2 \frac{1}{\gamma} \|h\|_{L^2}^2$$

If  $\|h\|_{L^2} \leq 1$ . Then choose  $\gamma$  is optimum.

$$\|Af\| \leq C \|f\|_{L^2}. \quad C = \sqrt{C_1 C_2} = \sqrt{\sup_{t \in S_1} \int |k| d\mu_2 \sup_{t \in S_2} \int |k| d\mu_1}$$

To guarantee  $A$  is BLO. we have different condition.  $C < \infty$ .

## (5) Complementary Subspaces

### and Invertibility:

Thm. (property of closed subspaces)

$E$  is Banach space.  $G, L \subseteq E$ , closed subspaces

st.  $G+L$  is also closed. Then  $\exists C > 0$ . Such that

$$\forall z \in G+L. \exists x \in G, y \in L. \text{ st. } z = x+y. \|x\| + \|y\| \leq C \|z\|.$$

Pf:  $T = G \times L \rightarrow G+L$     Conti. Linear and surjective  
 $(x, y) \mapsto x+y$     from  $G, L$ .  $G+L$  are closed.

Apply open mapping thm. on  $T$ .

Cor.  $\exists C > 0$ . st.  $\text{dist}(x, G \cap L) \leq C (\text{dist}(x, G) + \text{dist}(x, L))$

$\forall x \in E$ . under the condition above.

Pf: Choose  $a \in G$ ,  $b \in L$ . st.  $\|a-x\| \leq \text{dist}(x, G)$

and  $\|b-x\| \leq \text{dist}(x, L)$ .

$a-b \in G+L$ . Apply Thm.  $\exists a' \in G, b' \in L$ . st.

$$a-b = a'+b'. \quad C\|a-b\| \geq \|a'\| + \|b'\|$$

$$\therefore a-a' = b'+b \in G \cap L. \quad \text{dist}(x, G \cap L) \leq \|x - (a-a')\|.$$

Cor.  $E$  Banach space.  $G, L \subseteq E$ . CLO. If  $\exists c > 0$  st.

$d(x, G \cap L) \leq c d(x, L)$ . Then  $G + L$  is closed.

Rmk: It's converse of Thm. above.

Lemma  $A = D(A) \subset X \rightarrow Y$ . injective CLO.  $X, Y$  are Banach. Then:  $R(A)$  is closed  $\Leftrightarrow \exists c > 0$  st.  $\|x\| \leq c \|Ax\|$ .  $\forall x \in D(A)$ .

Pf:  $(\Leftarrow)$   $Ax_n \rightarrow y \Rightarrow (x_n)$  Cauchy  $\Rightarrow x_n \rightarrow x$  in  $X$ .  
 $\Rightarrow$  By closed graph.  $y = Ax$ .

$(\Rightarrow)$   $(R(A), \|\cdot\|_Y)$  is CLO of  $Y$ . So Banach

$A = D(A) \xrightarrow[\text{CLO}]{} (R(A), \|\cdot\|_Y)$ .  $\therefore A^{-1}$  is bdd.

Cor.  $A = D(A) \subset X \rightarrow Y$ . CLO. Then:  $R(A)$  is closed

$\Leftrightarrow \exists c > 0$  st.  $d(x, N(A)) \leq c \|Ax\|$ .  $\forall x \in D(A)$ .

Pf:  $X \xrightarrow{A} Y$  Note that  $R(\tilde{A}) = R(A)$ .  
 $\searrow \swarrow$   
 $x \quad x/\ker A \quad \tilde{A}$   
 check: 1°)  $N(A)$  is closed  
 2°)  $\tilde{A}$  is CLO.

1°) follows from  $A$  is CLO. 2°) is trivial

Then  $X/\ker A$  is Banach. Apply Lemma.

Pf of cor:  $\pi: E \rightarrow E/L$ .  $T: G \rightarrow E/L$ .  $Tx = \pi x$

$\therefore N(T) = G \cap L$ .  $T$  is CLO.

$\Rightarrow R(T) = \pi(G)$  closed.  $\pi^{-1}(\pi(G)) = G + L$  closed.

Rmk: i)  $\mathcal{L}: E \rightarrow F$ . linear bijection among Banach spaces.  $\mathcal{L}$  isn't necessary to be bdd:

$E = F$ .  $\dim E = \infty$ . where  $(e_n)_{n \in \mathbb{N}}$  is set of

Hamel Basis. set:  $\mathcal{L}(e_n) = n e_n$ .  $n \uparrow \infty$



ii) Remove "Banach". We can't apply Close Graph Thm.

ex.  $X$ . Banach space with Hamel Basis  $(e_\alpha)_{\alpha \in A}$ .

set  $\|x\|_Y = \sum_i |a_i|$  for  $x = \sum_i a_i e_i \in X$ .

Then  $Y = (X, \|\cdot\|_Y)$  isn't Banach. since  $\sum_{k=1}^{\infty} \frac{e_k}{2^k}$

$\notin Y$ .  $X \xrightarrow{I} Y$ .  $I = id$  is CLD.  $I^{-1}$  is bld.

$\Rightarrow I$  isn't BLD (otherwise  $X \cong Y$  complete)

## ① Complement: Basis:

Def: i) Hamel Basis of vector space  $E$  is

the maximal l.i. set  $(e_\alpha)_{\alpha \in A}$  st.

$\forall$  finite set of  $(e_\alpha)_{\alpha \in A}$  is l.i. and

$\forall x \in E$ .  $x$  is finitely span by  $(e_\alpha)_{\alpha \in A}$ .

ii) Schauder Basis of vector space  $E$  is

a l.i. set  $(e_n)_{n \in \mathbb{N}}$  st.  $\forall x \in E$ .  $\exists! (a_n)$

st.  $x = \sum_{n=1}^{\infty} a_n e_n$  uniquely.

Thm: i) By Zorn's Lemma. Hamel Basis always exists.

But not for Schauder basis.

ii) o.n.b in Hilbert space is Schauder basis.

but not Hamel basis.

iii) Schauder basis can be uncountable.

## ② Complement of Banach space:

Def:  $G$  is CLS of  $E$ .  $L \subseteq E$  subspace.  $L$  is complement

(topological) of  $G$  if: i)  $L = \bar{L}$  ii)  $G \cap L = \{0\}$ .  $G + L = E$

$\Rightarrow \pi_G : G + L \rightarrow G$ . canonical Proj. is surjective BLD.



Prop. i) Finite-dimension subspace admits a complement.

ii) Closed subspace with finite codimension admits a complement.

iii) Closed subspace of Hilbert space admits a complement.

Pf: ii) Same as i). Denote it by  $G$ . Let  $N \subseteq E^*$ .

st.  $N^\perp = G$ .  $\dim N = p < \infty$ . prove  $N^\perp \oplus G = E$ .

Remark: For a Banach space  $E$ , which isn't Hilbert,

There exists  $G \subseteq E$ , closed linear subspace, st.

$G$  admits no complement.

Def: For  $T \in \mathcal{L}(E, F)$ .

right inverse:  $S \in \mathcal{L}(F, E)$ , st.  $T \circ S = 1_F$

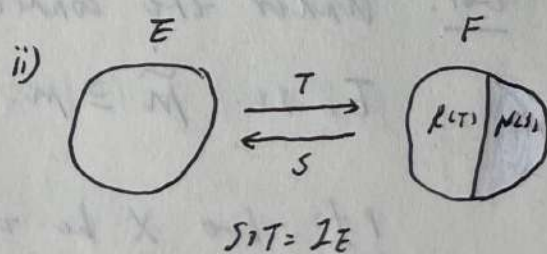
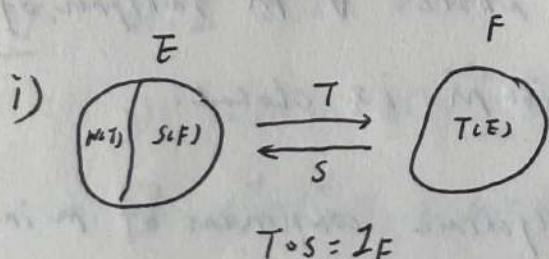
left inverse:  $S \in \mathcal{L}(F, E)$ , st.  $S \circ T = 1_E$ .

Thm. i) For  $T \in \mathcal{L}(E, F)$  surjective. Then

$T$  admits a right inverse  $\Leftrightarrow N(T)$  admits a complement.

ii) For  $T \in \mathcal{L}(E, F)$  injective. Then

$T$  admits a left inverse  $\Leftrightarrow R(T)$  admits a complement.





Prop.  $E$  is Banach.  $M \subseteq E$ . closed linear subspace.

For  $X \subseteq E$ . finite dimension Then:

i)  $M+X$  is closed.

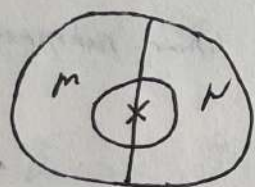
ii)  $M+X$  admits a complement  $\Leftrightarrow M$  does.

Pf: i) suppose  $M \cap X = \{0\}$ . (let  $\tilde{X}$  = complement of  $M \cap X$  in  $X$ )

For  $x_n + y_n \in M+X \rightarrow u \in E$ .  $x_n \in M$ .  $y_n \in X$

check  $(y_n)$  is bounded.  $\Rightarrow (y_n)$  admits convergent subseq.

ii)  $(\Leftrightarrow) E = M \oplus N$ .  $\exists Z_M, Z_N$ . canonical projection.



$Z_M$



$\dim Z_N(X) < \infty$ .

admits a complement

$\tilde{N}$  in  $N$ .

check:  $(M+X) \oplus \tilde{N} = E$

$(\Rightarrow) (W+\tilde{X}) \oplus M = E$ . where  $W$  is the complement of  $M+X$  in  $E$

Remark: subspace with finite codimension needn't be closed:  $\forall$  l.f.  $f$  on  $E$ .  $N(f)$  has dimension  $\dim(E/N(f)) = 1$ . when  $f$  isn't conti.  $N(f) = \{f=0\}$  isn't closed.

Cor. Under the condition above.  $\forall \tilde{M}$  subspace of  $E$ . s.t.  $\tilde{M} \supseteq M$ . Then  $\tilde{M}$  is closed.

Pf: let  $X$  be the algebraic complement of  $M$  in  $\tilde{M}$ .

then  $\tilde{M} = M+X$ .  $\dim X \leq \dim E/M < \infty$ .

Remark: Topological complement  $E$  of  $F$  is different

from algebraic complement, which requires:

$E \oplus F = X$ . Besides,  $E$  is closed. But the latter only require:  $E \oplus F = X$ .

Cor.  $E$  is Banach space.  $M \subseteq E$  closed linear subspace of finite co-dimension.  $D \subseteq E$  dense subspace. Then there exists a complement  $X$  of  $M$ . s.t.  $X \subseteq D$ .

Pf: By induction on  $k = \dim(E/M)$ .  $k=0 \checkmark$ .

For  $k=n$ , choose  $x_1 \in D$ ,  $x_1 \notin M$ .

otherwise  $D \subset M \Rightarrow \bar{D} = E \subset M \subset E$ , contradict!

$\therefore M_1 = M + \mathbb{R}x_1$  has co-dimension  $n-1$ . By hypothesis  $\checkmark$ .

Remark: It characterizes the complement of FCLS.

Prop.  $E$  is Banach.  $G, L \subseteq E$ , closed subspace. If  $\exists x_1, x_2 \in E$ , subspace.

s.t.  $\lim x_1, \lim x_2 < \infty$ .  $G+L+x_1 = E$ .  $G \cap L \subseteq x_2$ . Then

$G$  and  $L$  admits a complement.

(6) Orthogonality Revisit:

prop.  $G, L \subseteq E$ , closed subspaces. Then

i)  $G \cap L = (G^\perp + L^\perp)^\perp$

ii)  $G^\perp \cap L^\perp = (G+L)^\perp$

Pf: Note that  $N_1 \subseteq N_2 \Rightarrow N_2^\perp \subseteq N_1^\perp$ .



Thm.  $G, L \subseteq E$ , closed subspaces. Then the following properties are equivalent:

$$i) G+L \underset{\text{dense}}{\subseteq} E \quad ii) G^\perp + L^\perp \underset{\text{dense}}{\subseteq} E^*$$

$$iii) G+L = (G^\perp \cap L^\perp)^\perp \quad iv) G^\perp + L^\perp = (G \cap L)^\perp$$

## (7) Unbounded Linear Operators

and its Adjoints:

① Def:  $E, F$  normed spaces. An unbounded linear operator from  $E$  to  $F$  is:  $A: D(A) \subseteq E \rightarrow F$ .

$$D(A) = \{u \in E \mid \|Au\|_F < \infty\}. \text{ Domain of } A.$$

e.g.  $A = \frac{1}{Ax} \mid x = 1/2 \text{ on } C^\infty[0,1].$

Remark: i)  $A$  is CLO  $\Rightarrow N(A)$  is closed. But consider  $R(A) = R(A)$  may not be closed since  $u_n \rightarrow u$ ,  $u$  may  $\notin D(A)$ . e.g.  
 $T: C[0,1] \rightarrow C[0,1], Tf = \int_0^x f(t) dt.$

ii) We may assume  $A$  is closed CLO and  $D(A)$  is dense. When  $\exists c > 0$  s.t.  $\forall u \in D(A)$ ,  $\|Au\| \leq c\|u\|$ . Then  $A$  can extend to  $E$ .

Def: adjoint of  $A$  is:  $A^*: D(A^*) \subseteq F^* \rightarrow E^*$ .

$$D(A^*) = \{f \in F^* \mid \exists c > 0, \text{ s.t. } |<f, Au>| \leq c\|u\|, \forall u \in D(A)\}.$$

is linear subspace. Usually suppose  $D(A)$  is dense, then extend  $f \in F^*$  to  $E$ .

As the common Transpose, we have:

$$\langle f, Au \rangle_{F^*, F} = \langle A^* f, u \rangle_{E^*, E}$$

Prop.  $A: D(A) \subseteq E \rightarrow F$  densely defined. Then  $A^*$  is closed.

Pf: For  $(v_n, A^* v_n) \rightarrow (v, f)$ .

check i)  $v \in D(A^*)$   
ii)  $f = A^* v$ .

From  $\langle v_n, Au \rangle = \langle Av_n, u \rangle$   
 $\forall u \in D(A)$ . Let  $n \rightarrow \infty$ .

$$\therefore \langle v, Au \rangle = \langle A^* v, u \rangle = \langle f, u \rangle. \quad |\langle v, Au \rangle| \leq \|f\| \|u\|.$$

$$\Rightarrow v \in D(A^*). \text{ Since } D(A) \text{ dense} \Rightarrow \forall u \in E \quad \therefore f = A^* v.$$

## ② Orthogonal Relation

between  $A$  and  $A^*$

Def:  $I: F^* \times E^* \rightarrow E^* \times F^*, \quad I[v, f] = [-f, v]$

Prop.  $I(G(A^*)) = G(A)^\perp$

Pf:  $[v, f] \in G(A^*) \Leftrightarrow \langle A^* v, u \rangle = \langle f, u \rangle, \forall u \in D(A)$

$$\Leftrightarrow \langle -f, u \rangle + \langle v, Au \rangle = 0, \forall u \in D(A).$$

$$\text{i.e. } \langle [-f, v], [u, Au] \rangle = 0. \therefore [-f, v] \in G(A)^\perp$$

Cor.  $A: D(A) \subseteq E \rightarrow F$  densely defined, closed. Then.

$$N(A) = R(A^*)^\perp, \quad N(A^*) = R(A)^\perp$$

$$N(A)^\perp = \overline{R(A^*)}, \quad N(A^*)^\perp = \overline{R(A)}$$

Pf: From  $G = G(A), \quad L = E \times \{0\}$ , we have:

$$N(A) \times \{0\} = G \cap L, \quad E \times R(A) = G + L$$

$$\{0\} \times N(A^*) = G^\perp \cap L^\perp, \quad R(A^*) \times F^* = G^\perp + L^\perp$$

$$\text{Since } G(A)^\perp = I(G(A^*)), \quad L^\perp = \{0\} \times F^*.$$



Cor. The following properties are equivalent.

- i)  $R(A)$  is closed. ii)  $R(A^*)$  is closed.  
 iii)  $R(A) = N(A^*)^\perp$ . iv)  $R(A^*) = N(A)^\perp$

④ Thm. X.Y. Bnanch.  $A: D(A) \subseteq X \rightarrow Y$   
 surjective CLO. Then:  
 $A$  is open map.

Pf:  $(p(A), \| \cdot \|_Y)$   $\xrightarrow{\bar{A}}$   $Y$   
 $z \in D(A)/\ker A \xrightarrow{\bar{A}}$   $y$

### ③ Characterization of surjective operators:

Thm.  $A: D(A) \subseteq E \rightarrow F$  closed. densely defined.

$\bar{A}$  is homeo-  
 $z$  is open mapping map.

i) The following properties are equivalent:

- (a)  $A$  is surjective (b)  $N(A^*) = \{0\}$ .  $R(A^*)$  is closed.  
 (c)  $\exists$  const.  $C$  s.t.  $\|v\| \leq C \|A^*v\|$ ,  $\forall v \in D(A^*)$

ii) The following properties are equivalent:

- (a)  $A^*$  is surjective (b)  $N(A) = \{0\}$ .  $R(A)$  is closed.  
 (c)  $\exists$  const.  $C$  s.t.  $\|w\| \leq C \|Aw\|$ ,  $\forall w \in D(A)$ .

Remark:  $A$  [resp  $A^*$ ] is surjective  $\Rightarrow$

$A^*$  [resp  $A$ ] is injective. Converse fails.

(Consider  $A: \ell^2 \rightarrow \ell^2$ ,  $A(x_n) = (\frac{1}{n}x_n)$ .

$A^* = A$ , since  $\ell^2$  is Hilbert.  $A$  is injective.

But  $A$  isn't bijective!)

In particular,  $\dim E \neq \dim F$  can. converse fails.

Pf: i) (b)  $\Rightarrow$  (a).  $R(A) = N(A^*)^\perp = F$  since  $R(A^*)$  is closed

(c)  $\Rightarrow$  (b).  $A^*v_n \rightarrow f \Rightarrow (v_n)$  is Cauchy.

(a)  $\Rightarrow$  (c). For  $\| \frac{v}{\|A^*v\|} \| \leq C$ ,  $\forall v \in D(A^*)$ .

Consider  $B^* = \{ \|A^*v\| \leq 1 \}$  is bounded in  $D(A^*)$

By Rmp.  $\forall f_0 \in F$ ,  $f_0 = Ae_0$ .

$\therefore | \langle f_0, v \rangle | = | \langle Ae_0, v \rangle | = | \langle e_0, A^*v \rangle | \leq \|e_0\| \|A^*v\|$