#### From last time

A file system uses inodes which contain 8 block-numbers. These are for the first 7 blocks of the file and an indirect block, which just contains block-numbers for the remaining blocks in the file.

A block-number occupies 2 bytes. Each block is 4k bytes.

What is the maximum size of a file in bytes?

What is the maximum total size of directories and files in a single disk partition?



### COMP25111: Operating Systems

Lecture 18: Windows XP Case Study

Oscar Palomar

School of Computer Science, University of Manchester

Autumn 2016

COMP25111 Lecture 18

### Overview & Learning Outcomes

- Background
- Components: layers & managers
  - Scheduling
  - Virtual Memory
  - Input/Output
  - File system

1/27COMP25111 Lecture 18

### **History & Motivation**

Early Windows systems (3.1, 95, 98)

- demonstrated the usefulness of a GUI-based OS
- designed for "home use" (not secure or multiprocessing)
- initially 16-bit

#### Windows NT:

- designed as a commercial strength 32-bit system
- led to Windows 2000, XP, Vista, 7,  $\dots$

#### Modern Windows:

- mobile systems (power efficiency, touch vs. mouse,  $\ldots)$
- Windows 8, 10

**Unifying Themes** 

COMP25111 Lecture 18

3/27COMP25111 Lecture 18

Background

#### Goals

Portability: written in C and C++

(>29M lines – Linux <2M + 1.5M for X windows)

- HAL (Hardware Abstraction Layer) = processor-dependent

Extensibility: layered architecture; executive in kernel mode.

Compatibility (Win16, Win32, POSIX, OS/2)

- DOS emulation in "Virtual DOS machine"

Performance, Scalability, Multiprocessor support

Reliability

International support (Unicode etc.)

For non-programmers

Users (& programmers) see Windows as a single entity

- GUI built into OS
- Win32 API hides library/system split
- "Object-Oriented"
- Handles
- methods

Registry

COMP25111 Lecture 18 Background 6/27COMP25111 Lecture 18 Background 7/6

### Structure (OSC/J)

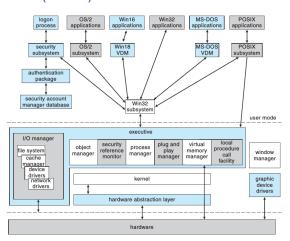


Figure 22.1 Windows XP block diagram.

COMP25111 Lecture 18

Components: layers & managers

## System services

System call interface not publicly available

Well defined Win32 API

- very large library of functions
- which hide system calls
- includes GUI

(Unix: minimal set of system calls, all public)

COMP25111 Lecture 18

Components: layers & managers

### Configuration manager – Registry

Hive = name of a registry database e.g. HKEY\_CURRENT\_USER (HKCU)

Subkey/Key = sub/directory

e.g. HKEY\_CURRENT\_USER\Control Panel\desktop

entry = (name, type, data) i.e. value e.g. "ScreenSaveTimeOut"="60"

- "ScreenSaveUsePassword"=dword:00000000
- + replaces many scattered configuration files
- hard to copy, share, edit, back-up
- heavily used, single point of failure

Integrity

## Architecture – layered system of modules

Protected mode:

- HAL: e.g. read/write device registers, interrupt handling so independent of bus; timers/clock
- "kernel": thread scheduling, interrupt handling, low-level processor synchronisation, recovery after power failure, etc.
- executive: managers; "system services" distribute system calls to managers

User mode:

- service processes
- environment subsystems (Win32, Posix, OS/2)

9/27COMP25111 Lecture 18

Components: layers & managers

### Object manager

"object-oriented" - uses objects ("handles") for all services & entities

Objects: process, thread, section of memory, open file, comms port, semaphore, timer, registry key, device, driver, ...

Searchable directory

Named objects can be shared, Unnamed objects are private to creating process

Each object can have Access Control List (ACL)

11/27COMP25111 Lecture 18

Components: layers & managers

12/27

### Kernel - scheduling

Job - Process - Thread - Fiber

- process owns resources
- threads scheduled by OS (system calls)
- fibers scheduled by library (outside OS)

Also deals with

- pipes, sockets, rpc ...
- semaphores ...
- multi-core CPUs

COMP25111 Lecture 18 Components: lavers & managers 13/27/COMP25111 Lecture 18 Components: lavers & managers

## Scheduling

Pre-emptive

Priorities (0:zero-pages, 1-15:user, 16-31:system)

Selectable time quantum (e.g. 20ms)

Dynamically change user priorities (initial-15 only):

- time quantum expired: -1
- boost priority of threads released from I/O (e.g.+6 keyboard)
- extend quantum of foreground application
- starvation prevention (temporarily boost priority) find threads that haven't run in a while

Cannot guarantee real-time deadlines

OMP25111 Lecture 18

Components: layers & managers

#### Input/Output

Manager interrogates each slot of each bus

- at boot time (mostly)
- at any time (e.g. USB) Plug&Play device info  $\rightarrow$  where to find driver

#### Drivers:

- I/O Request Packet (IRP)
- Object-based (list of methods):

init, add device, interrupt, fast I/O, DMA, abandon ...

- unplug
- configurable
- multi-processor safe

Monolithic or "stacked"

COMP25111 Lecture 18

#### Components: layers & managers

### Memory Management

assumes H/W support; based on Intel PC memory architecture

2<sup>32</sup> = 4GB address space; top half=OS, bottom=user pages (e.g. 4kB); no segments, but 64kB boundaries top & bottom 64kB unused

processes can share pages

- "copy on write"
- "position independent code"

#### version of LRU

keeps some pages free, so can always load new page dynamically calculated max working set size per process lots of heuristics and kludges

can memory-map files

16/27COMP25111 Lecture 18

Components: layers & managers

### **NTFS**

"clusters" (blocks) e.g. 4KB

File = set of attributes (byte streams –  $\max 2^{64}B$ )

Master File Table (MFT) = set of 1kb records 0-26: metadata (MFT, log, boot, rootdir, bitmap, ACL, ...)

MFT record = attributes, name, list of (block start & count) (if needed: list of overflow records)

directory: data = list of (file-name, MFT record, ...)
(large directories use B+ trees)

Integrity: updates within transactions, logging

Compression & Encryption

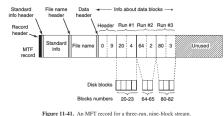
Summary of key points

20/27COMP25111 Lecture 18

Components: layers & managers

## MFT (MOS4)





Background

Components: layers & managers

- Scheduling
- Virtual Memory
- Input/Output
- File system

COMP25111 Lecture 18 Components: lavers & managers 23/27/COMP25111 Lecture 18 Components: lavers & managers 24/27

## **Your Questions**

# Glossary

Hardware Abstraction Layer (HAL)

DLL

Win32 API

Handle

Registry

Hive, Key

Fiber

NTFS

Master File Table (MFT)

COMP25111 Lecture 18

Components: layers & managers

25/27COMP25111 Lecture 18

Components: layers & managers

## Reading

newer OSC/J: Ch 22

older OSC/J: Ch 21

MOS: Ch 11

COMP25111 Lecture 18

Components: layers & managers

27/27

. . . .